

EXHIBIT A

Joint Letter

Tel:

Email:

Clerk of the Court,
60 Centre Street, Room 119A, New York,
NY 10007

November 20th 2021

Dear Sir/Madam:

Case No. 653594/2018

Ref: In re Renren Inc. Derivative Litigation

Objections to the Proposed Settlement of Shareholder Derivative Actions

We are writing to raise our objections to the court with regards to the Proposed Settlement of Shareholder Derivative Actions (*Ref: In re Renren Inc. Derivative Litigation, Index No. 653594/2018*). I am representing myself and **38** current and previous Renren shareholders, whose signatures are attached to this letter. We collectively own approximately **544,667** ADS of Renren, representing approximately **8,170,005** Class A shares.

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal *and* by the Defendant or the Company in an opaque way. This arrangement, we believe, implies that this important date may be set in a way that maximises the benefit of the Defendants and to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who would have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation.

Our reasons are:

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1. The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, was public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the shareholders' investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out (worth \$31 or more per ADS). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is what brought us to this Settlement amount of roughly \$300m.

One can establish that:

- a. The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;
- b. The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
- c. The Proposed Settlement is to provide a remedy to these losses;

Hence,

- d. The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement that seeks a remedy. This is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.

2. Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;

New shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants controlled the company, new shareholders should have no reason to doubt the credibility of the Defendants' plans. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments.

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As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

3. Setting a Record date in the future may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who abuses their fiduciary duty.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that "priced in" the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved. All they have to do is to sell their shares to somebody else they know or sell in the rally, and at the same time price out victims even if they want to return.

We suspect this might already have happened. Renren's listed share price jumped over 280% since the Court placed a junction on OPI's assets, and rallied 44% in a day when the Settlement was announced because the proposal, as it stands, framed *current or future shareholders*, not *victimised* Renren shareholders as beneficiaries. The current market price of Renren's ADS is roughly priced in the full amount of the compensation, making Defendants the biggest beneficiaries of their own payout, despite the painstaking efforts in the Proposal that was designed to prevent it.

The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement.

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	48.8
James Jian Liu ⁽⁴⁾	56,710,665	5.2	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Tianruo Pu ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	50.2
Principal Shareholders:			
SoftBank Group Capital I limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	42.6
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	2.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	1.7
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	1.5

From 2019 Annual Report of Renren (Form 20-F)

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	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	48.9
James Jian Liu ⁽⁴⁾	59,477,400	5.4	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Cong Lin ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
Michael McGowan ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	50.3
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	42.3
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	3.3

Notes: From 2020 Annual Report of Renren (Form 20-F)

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a part of this claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren's insiders while “Renren itself is a nominal Defendant in the Lawsuit”, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff's Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff's Counsel states. Nonetheless, the words of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

4. It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions for no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene, after being hurt, for fear of safety. It would be ridiculous to say that those who left were

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not victims, even more so if the court decides, three years later, only those who stay in the crime scene *after the date of the judgement* are eligible for compensation—including the thefts who dress up and return. Wouldn't it be absurd that the actual victims are unable to get compensation but any passing-bys who happen to be around on the lucky date—again, including the theft themselves—are?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders. It was logical to quit being business partners with thefts, hence a lot of shareholders sold.

The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

5. We did a lot in the years to fight for justice.

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the Counsels of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation.... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

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The proceeds of the asset sale are being returned to Renren shareholders.

Some of the largest investors — notably SoftBank, which owns 39 per cent of Renren and is also a large shareholder in SoFi — will get the opportunity to hold shares in the private entity, named Oak Pacific Investment (OPI).

But most retail investors will have to accept a cash dividend, which the complainants say grossly undervalues the assets.

“You can’t just force a sale to yourself at a low price,” said Leo Liao, chief investment officer at Shanghai-based Prusage Asset Management, who said he had written to the SEC to complain about the move.

tpt TPT Retirement Solutions
2.197 Followers

We're delighted to have been named 'DB Master Trust/Consolidator of the Year', for the second year running, at Professional Pensions' UK Pensions Awards! Here's TPT's Stewart Ireland

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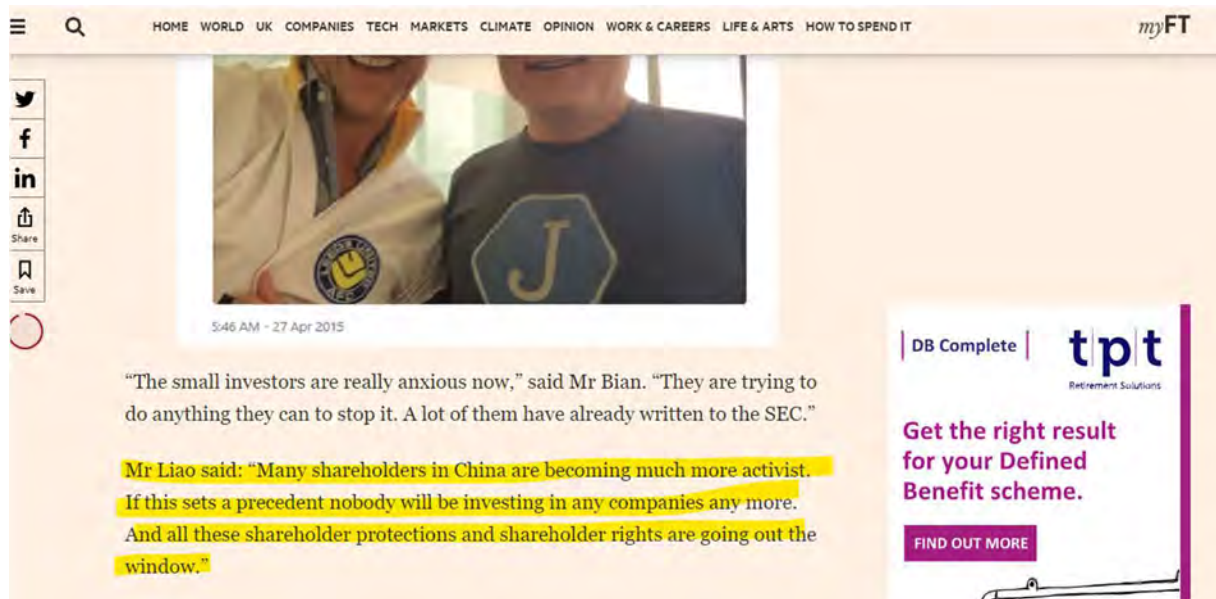


Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US's capital market. It is simply wrong to exclude them from the compensation.

6. Gratefulness to the court, the judge and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We've come a long way. We won't give up now we are so close to justice, and we don't believe the court should approve this Proposal for expedience when it's clearly not in the interests of those who it is supposed to protect. We are looking forward to working with you to bring this to a satisfactory end—not just for entitled compensation, but also for sending a strong signal to all unscrupulous Chinese managers who thought they could abuse the US capital market and steal at will.



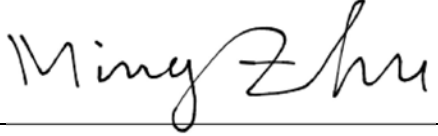
May justice be with all of us.

End

Yours sincerely

Signatories

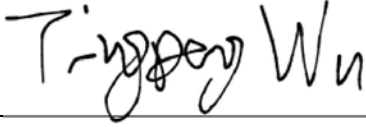

Joint Letter

1.	<p>Name (Print): <u>Song Lin and Li Lian Gillian Lee</u></p> <p>No of shares/ADSs held: <u>146,000</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: </p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>3 First Avenue, Singapore (Post Code: 268737)</u></p> <p>Email: <u>stanleylinsong@gmail.com</u></p> <p>Tel: <u>+65 97386937</u></p>
2.	<p>Name (Print): <u>Zhongqiang Fan</u></p> <p>No of shares/ADSs held: <u>50,000</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: </p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>Room 10#1913,1641 Huashan Rd., Shanghai, China,200030</u></p> <p>Email: <u>ericfan681@qq.com</u></p> <p>Tel: <u>8617191766586</u></p>
3.	<p>Name (Print): <u>MING ZHU</u></p> <p>No of shares/ADSs held: <u>3200</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: </p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>H203, 1st term, Pure Water Shore, OCT, Nanshan District, Shenzhen City, Guangdong Prov. China</u></p>

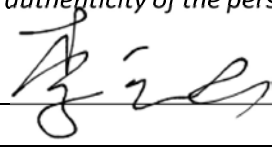

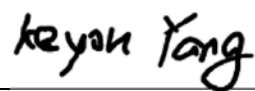
Joint Letter

	<p>Email: <u>zhuming.sz@163.com</u></p> <p>Tel: <u>86 15907559801</u></p>
4.	<p>Name (Print): <u>BIN QIU</u></p> <p>No of shares/ADSs held: <u>8000</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u>Bin Qiu</u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>Xujiahui Road, 515 Lane, No.16, Shanghai, China</u></p> <p>Email: <u>robbie_qiu@hotmail.com</u></p> <p>Tel: _____</p>
5.	<p>Name (Print): <u>YEJUN YAN</u></p> <p>No of shares/ADSs held: <u>10000</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u>Yejun Yan</u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>Poly Kaixuan Mansion, Huancui District, Weihai City, Shandong Province</u></p> <p>Email: <u>keyan112@126.com</u></p> <p>Tel: <u>13381126331</u></p>
6.	<p>Name (Print): <u>Xiaofang Tang</u></p> <p>No of shares/ADSs held: <u>30631</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u>Xiaofang Tang</u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>Room 302, Unit 2, Building 8, Area 2, Faculty Residence of Peking University, Tianxiu South Second Road, Malianwa Street, Haidian District, Beijing, China</u></p>


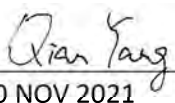
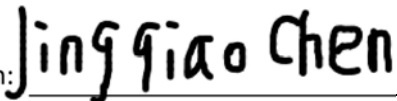
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	<p>Email: <u>Xiaofang Tang Peking@hotmail.com</u></p> <p>Tel: <u>8618601351296</u></p>
7.	<p>Name (Print): <u>Tingpeng Wu</u></p> <p>No of shares/ADSs held: <u>37,969</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: </p> <p>Date: _____</p> <p>Address: <u>4-1-3101, No.1</u> <u>Qinglin Road, Chaoyang District,</u> <u>Beijing</u></p> <p>Email: <u>wutp@foxmail.com</u></p> <p>Tel: <u>86 18610109599</u></p>
8.	<p>Name (Print): <u>Ning Liu and Yan Wang</u></p> <p>No of shares/ADSs held: <u>46,590</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: </p> <p>Date: _____</p> <p>Address : _____ <u>6 Hampshire Ct.,</u> <u>Markham, ON L3T 1J9 Canada</u></p> <p>Email: <u>Liuningok@gmail.com</u></p> <p>Tel: <u>1-647-808-0118</u></p>
9.	<p>Name (Print): <u>Yunshan Li</u></p> <p>No of shares/ADSs held: <u>3,500</u></p>

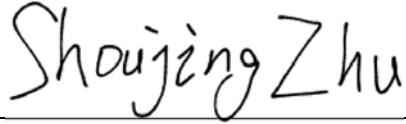


Joint Letter

	<p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: _____</p> <p>Address : RM 301, unit 3, building yi 803, Huangzhuang community, Haidian District, Beijing, China</p> <p>Email: <u>6582353@qq.com</u></p> <p>Tel: <u>8613810171926</u></p>
10.	<p>Name (Print): <u>Dawei Zheng</u></p> <p>No of shares/ADSs held: <u>180</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: _____</p> <p>Address : HaiZhu Qu East XinGang Road 77# XieLv Centre , GuangZhou , China, 510000</p> <p>Email: <u>daweies@gmail.com</u></p> <p>Tel: <u>+86 13480203251</u></p>
11.	<p>Name (Print): <u>Keyou Yang, Guomei Chen</u></p> <p>No of shares/ADSs held: <u>3000</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : 16 Stratheden lane, Vaughan, Ontario, Canada L6A 4K1</p> <p>Email: <u>wangjiangzhe@yahoo.com</u></p> <p>Tel: <u>1-416-660-1624</u></p>
12.	

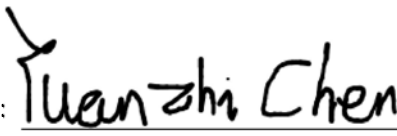
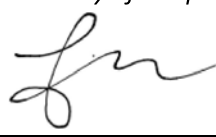
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	<p>Name (Print): <u>Lingyun Wu</u></p> <p>No of shares/ADSs held: <u>4438</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>55 Zhongguancun East Road, Beijing 100190</u></p> <p>Email/: <u>wulingyun@gmail.com /</u></p> <p>Tel: <u>+8613501330868</u></p>
13.	<p>Name (Print): <u>Qian Yang</u></p> <p>No of shares/ADSs held: <u>1,200</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address: <u>4302 – 21 Carlton St., Toronto, ON, Canada, M5B1L3</u></p> <p>Email/Tel: <u>elisew1174@outlook.com/+1(647)978-2390</u></p>
14.	<p>Name (Print): <u>Jingqiao Chen</u></p> <p>No of shares/ADSs held: <u>1400</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : <u>Yangxin County Huangshi City Hubei province</u></p> <p>Email: <u>759803719@qq.com</u></p> <p>Tel: <u>13113288377</u></p>
15.	

Joint Letter

	<p>Name (Print): <u>Shoujing Zhu</u></p> <p>No of shares/ADSs held: <u>4500</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : <u>Room 201. Building 3, No. 2999, Caobao Rd., Shanghai, China ,201101</u></p> <p>Email: <u>zhushoujing@hotmail.com</u></p> <p>Tel: <u>8613482191841</u></p>
16.	<p>Name (Print): <u>Hailin Peng</u></p> <p>No of shares/ADSs held: <u>9400</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : <u>84 Liuxiang Rd, 2-1-503, Fengtai, Beijing, China 100079</u></p> <p>Email: <u>Pengh77@hotmail.com</u></p> <p>Tel: <u>+86-18501362218</u></p>
17.	<p>Name (Print): <u>GengBiao Liao</u></p> <p>No of shares/ADSs held: <u>5063</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address :</p>

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	<p>LongGang,ShenZhen,GuangDong,China 518000</p> <p>Email: 48380277@qq.com</p> <p>Tel: +86-18033444983</p>
18.	<p>Name (Print): <u>Yuanzhi Chen</u></p> <p>No of shares/ADSs held: <u>109990</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : <u>Room 604, No. 15, Tianzhu street,</u> <u>Zhuyuan new village,East District,</u> <u>Zhongshan City, Guangdong Province,</u> <u>China</u></p> <p>Email: <u>Ch3836@163.com</u></p> <p>Tel: <u>+86-13822740903</u></p>
19.	<p>Name (Print): <u>Yuanfang Du</u></p> <p>No of shares/ADSs held: <u>1000</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u></u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : <u>89 Holmes Ave, Toronto, Ontario,</u> <u>Canada, Post Code: M2N 4M3</u></p> <p>Email: <u>Yuanfang.du@gmail.com</u></p> <p>Tel: <u>1-647-929-9212</u></p>
20.	<p>Name (Print): <u>Xiaojie Wu</u></p> <p>No of shares/ADSs held: <u>600</u></p>

Joint Letter

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: 吴晓浩

Date: 20 NOV 2021

Address : 89 Holmes Ave, Toronto, Ontario, Canada, Post Code: M2N 4M3

Email: Ditto_star@hotmail.com

Tel: 1-647-929-9212

21.

Name (Print): Xuegong Bian

No of shares/ADSs held: 277

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: Xuegong Bian

Date: 20 NOV 2021

Address : Room D2404, FutureCity,JieDaoKou,WuHan, China, Post Code:430074

Email: bianxg@gmail.com

Tel: 86-15271877540

22.

Name (Print): Yafei Song


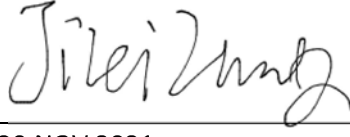
No of shares/ADSs held: 614

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: Yafei Song

Date: 20 NOV 2021

Joint Letter

	<p>Address : No.28, Xisanqi Ruiqi Jiayuan, Haidian District, Beijing' China</p> <p>Email: <u>Harris_song@126.com</u></p> <p>Tel: <u>+86-13910274902</u></p>
23.	<p>Name (Print): <u>Jiye Zhang</u></p> <p>No of shares/ADSs held: <u>3400</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: </p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : <u>Yongding Road B1 Yuefujiayuan,</u> <u>Haidian, Beijing</u> <u>China</u></p> <p>Email: <u>Zhangjileimail@126.com</u></p> <p>Tel: <u>8613911937919</u></p>
24.	<p>Name (Print): <u>Jilei Zhang</u></p> <p>No of shares/ADSs held: <u>5308</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: </p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : <u>Yongding Road B1 Yuefujiayuan,</u> <u>Haidian, Beijing</u> <u>China</u></p> <p>Email: <u>Zhangjileimail@126.com</u></p> <p>Tel: <u>8613911937919</u></p>
25.	<p>Name (Print): <u>CHANGHAO ZHAO</u></p> <p>No of shares/ADSs held: <u>1280</u></p>

Joint Letter

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: CHANGHAO ZHAO
Date: 20 NOV 2021
Address : NO.772 shangyuan road
Jiangning District nanjing
china
Email: 963105931@qq.com
Tel: 86-18761852733

26.

Name (Print): FUHUA HU
No of shares/ADSs held: 4561

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: 胡福华
Date: 20 NOV 2021
Address : FAN JIA TIAN CHENG GONG YU, Shang
Cheng, Hang Zhou, Zhe Jiang, China
Email: hfhwan@163.com
Tel: 8613600528106

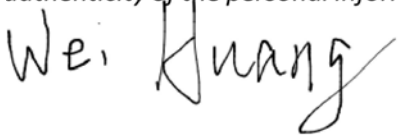
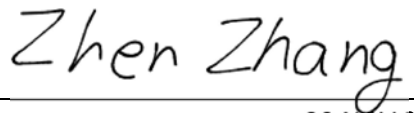
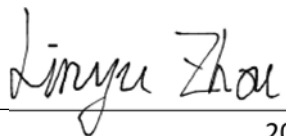
27.

Name (Print): Yan Ge
No of shares/ADSs held: 510

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: Yan Ge
Date: 20 NOV 2021
Address : 241 Lane, Jiangchuan Road, Minhang
District, Shanghai,China

Joint Letter

		Email: <u>976023775@qq.com</u>
		Tel: <u>8613902279652</u>
28.	Name (Print): <u>Wei Huang</u>	
	No of shares/ADSs held: <u>3100</u>	
	<i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i>	
		
	Sign: _____	
	Date: <u>20 NOV 2021</u>	
	Address : <u>No. 17-1 Lao Zheda Zhi Road, Hang</u>	
	<u>zhou, China</u>	
	Email: <u>420591960@qq.com</u>	
	Tel: <u>+8613616514713</u>	
29.	Name (Print): <u>Zhen Zhang</u>	
	No of shares/ADSs held: <u>20400</u>	
	<i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i>	
		
	Sign: _____	
	Date: <u>20 NOV 2021</u>	
	Address : <u>8-1-302, No.59 Minjianger Road, ShiNan</u>	
	<u>District, Qingdao City, Shandong</u>	
	<u>Province, China</u>	
	Email: <u>53678080@qq.com</u>	
	Tel: <u>+8613964280365</u>	
30.	Name (Print): <u>Linyu Zhou</u>	
	No of shares/ADSs held: <u>1837</u>	
	<i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i>	
		
	Sign: _____	
	Date: <u>20 NOV 2021</u>	

Joint Letter

	<p>Address : Room 2101, 246 De Zhou Road, Pudong District, Shanghai, China, 200126</p> <p>Email: <u>233733345@qq.com</u></p> <p>Tel: <u>+8618221594671</u></p>
31.	<p>Name (Print): <u>JIADONG CHANG</u></p> <p>No of shares/ADSs held: <u>122</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u>JIADONG CHANG</u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : No. 201, building 6, zone 1, No. 016, Longshan Street, Dongping street, Dongping County, Shandong Province, China</p> <p>Email: <u>524182264@qq.com</u></p> <p>Tel: <u>+8617853535271</u></p>
32.	<p>Name (Print): <u>ZHEN CHEN</u></p> <p>No of shares/ADSs held: <u>1300</u></p> <p><i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i></p> <p>Sign: <u>Zhen Chen</u></p> <p>Date: <u>20 NOV 2021</u></p> <p>Address : 23M One Excellence T4 Apartment, Nanshan District, Shenzhen, Guangdong Province, China</p> <p>Email: <u>18566664788@163.com</u></p> <p>Tel: <u>+8618566664788</u></p>
33.	<p>Name (Print): <u>Ke Liao</u></p> <p>No of shares/ADSs held: <u>300</u></p>

Joint Letter

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: _____
Date: _____ 20 NOV 2021
Address : _____ 353 HAWKEYE DR
IOWA CITY IA 52246-2605
Email: _____ liaoke2017@gmail.com
Tel: _____ +1-803-566-7707

34.

Name (Print): PAN TENG

No of shares/ADSs held: 3400

I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: _____
Date: _____ 20 NOV 2021
Address : _____ Yongtai Zhuang, Haidian District,
Beijing, PRC
Email: _____ Panteng@adwo.com
Tel: _____ +8618618146378

35.

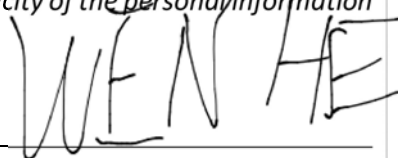
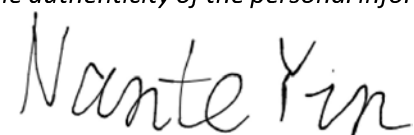
Name (Print): Ke Liao

No of shares/ADSs held: 238

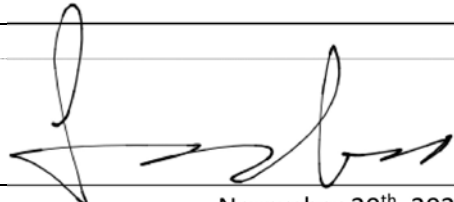
I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.

Sign: _____
Date: _____ 20 NOV 2021
Address : _____ 7 SHENGLONG STREET
BLOCK 5, APT 1-1
CHENGDU, SICHUAN
610041

Joint Letter

		CHINA
	Email:	Liaoke2017@gmail.com
	Tel:	+86-151-0114-7177
36.	Name (Print): <u>WEN HE</u>	
	No of shares/ADSs held:	<u>7330</u>
	<i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i>	
	Sign:	
	Date:	<u>20 NOV 2021</u>
	Address :	957 XIXI ROAD STREET HANGZHOU, ZHEJIANG 310012 CHINA
	Email:	<u>hewenjerry@gmail.com</u>
	Tel:	<u>17605812132</u>
37.	Name (Print): <u>Nante Yin</u>	
	No of shares/ADSs held:	<u>10144</u>
	<i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information I provide.</i>	
	Sign:	
	Date:	<u>20 NOV 2021</u>
	Address :	No. 6-502, floor 16, Hengfeng-Yihai Garden,129 South Fourth Ring West Road, Beijing,China 100070
	Email:	<u>ynt239@sohu.com/yinffett@qq.com</u>
	Tel:	<u>+8615210037420</u>
38.	Name (Print): <u>Shanghai Prusage Asset Management (Clients)</u>	
	No of shares/ADSs held:	<u>3,885</u>
	<i>I concur with the content of the letter and add my support to this joint letter. I am willing to pay legal responsibility for the authenticity of the personal information</i>	

Joint Letter

	<p><i>I provide.</i></p> <p>Sign: </p> <p>Date: <u>November 20th, 2021</u></p> <p>Address : <u>2 Norfolk House, Courtlands, London,</u> <u>England, TW10 5AT</u></p> <p>Email: <u>Leo.liao@prusage.com</u></p> <p>Tel: <u>+44 7912606696</u></p>
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Activity Statement
April 30, 2018

Account Information

Name	Song Lin and Li Lian Gillian Lee
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Joint
Account Capabilities	Portfolio Margin
Base Currency	SGD

Net Asset Value

	APRIL 27, 2018		APRIL 30, 2018		TOTAL	CHANGE
	TOTAL		LONG	SHORT		
Cash	460,208.00		8,314.64	0.00	8,314.64	-451,893.36
Stock	12,134,616.84		12,071,809.00	0.00	12,071,809.00	-62,807.84
Interest Accruals	-32.46		112.31	-126.83	-14.52	17.94
Total	12,594,792.37		12,080,235.95	-126.83	12,080,109.12	-514,683.25
Time Weighted Rate of Return						-0.94%

Analyze this data with PortfolioAnalyst.

CHANGE IN NAV	TOTAL
Starting Value	12,594,792.37
Mark-to-Market	-114,580.81
Deposits & Withdrawals	-400,000.00
Change in Interest Accruals	17.86
Other Fees	-15.00
Commissions	-51.11
Transaction Fees	-54.27
Other FX Translations	0.09
Ending Value	12,080,109.12

Realized & Unrealized Performance Summary

SYMBOL	COST ADJ.	REALIZED					UNREALIZED					TOTAL	CODE
		S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL	S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL		
Warrants													
13598	0.00	0.00	0.00	0.00	0.00	0.00	17,228.66	0.00	0.00	0.00	17,228.66	17,228.66	
13797	0.00	0.00	0.00	0.00	0.00	0.00	116,169.82	0.00	0.00	0.00	116,169.82	116,169.82	
14023	0.00	0.00	0.00	0.00	0.00	0.00	52,853.70	0.00	0.00	0.00	52,853.70	52,853.70	
26120	0.00	0.00	0.00	0.00	0.00	0.00	193,964.89	0.00	0.00	0.00	193,964.89	193,964.89	
26777	0.00	0.00	0.00	0.00	0.00	0.00	2,330.16	0.00	0.00	0.00	2,330.16	2,330.16	
Total Warrants	0.00	0.00	0.00	0.00	0.00	0.00	382,547.22	0.00	0.00	0.00	382,547.22	382,547.22	
Stocks													
1029	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-364.05	0.00	0.00	-364.05	-364.05	
1107	0.00	0.00	0.00	0.00	0.00	0.00	39,491.33	-3,799.71	137,979.35	0.00	173,670.97	173,670.97	
119	0.00	0.00	0.00	0.00	0.00	0.00	18,651.54	-50,378.06	0.00	0.00	-31,726.52	-31,726.52	
1292	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,783.30	0.00	0.00	-2,783.30	-2,783.30	
133	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,155.67	0.00	0.00	-4,155.67	-4,155.67	
1771	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,201.11	0.00	0.00	-4,201.11	-4,201.11	
1777	0.00	0.00	0.00	0.00	0.00	0.00	29,113.39	0.00	0.00	0.00	29,113.39	29,113.39	
1918	0.00	0.00	0.00	0.00	0.00	0.00	333,281.60	-33,182.34	0.00	0.00	300,099.26	300,099.26	
1938	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,879.04	0.00	-8,999.24	-10,878.28	-10,878.28	
2002	0.00	0.00	0.00	0.00	0.00	0.00	9,250.37	-68,976.08	0.00	0.00	-59,725.71	-59,725.71	
2307	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5.12	0.00	0.00	-5.12	-5.12	
2380	0.00	0.00	0.00	0.00	0.00	0.00	686.93	0.00	0.00	0.00	686.93	686.93	
2698	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-104,797.02	0.00	0.00	-104,797.02	-104,797.02	

SYMBOL	COST ADJ.	REALIZED					UNREALIZED					TOTAL	CODE
		S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL	S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL		
296	0.00	0.00	0.00	0.00	0.00	0.00	639.32	-1,984.29	0.00	0.00	-1,344.97	-1,344.97	
581	0.00	0.00	0.00	0.00	0.00	0.00	3,197.10	-15,130.56	0.00	0.00	-11,933.46	-11,933.46	
610	0.00	0.00	0.00	0.00	0.00	0.00	31,504.47	0.00	0.00	0.00	31,504.47	31,504.47	
6865	0.00	0.00	0.00	0.00	0.00	0.00	3,080.26	0.00	0.00	0.00	3,080.26	3,080.26	
743	0.00	0.00	0.00	0.00	0.00	0.00	497,570.29	0.00	0.00	0.00	497,570.29	497,570.29	
817	0.00	0.00	0.00	0.00	0.00	0.00	13,408.91	0.00	0.00	0.00	13,408.91	13,408.91	
848	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-31,763.31	0.00	0.00	-31,763.31	-31,763.31	
FENG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-14,613.34	0.00	0.00	-14,613.34	-14,613.34	
JKS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-59,333.51	0.00	0.00	-59,333.51	-59,333.51	
NTP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-121.05	0.00	0.00	-121.05	-121.05	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	12,773.40	-255,984.74	0.00	0.00	-243,211.34	-243,211.34	
XIN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-24,535.24	0.00	0.00	-24,535.24	-24,535.24	
Total Stocks	0.00	0.00	0.00	0.00	0.00	0.00	992,648.92	-677,987.54	137,979.35	-8,999.24	443,641.48	443,641.48	
Forex													
HKD	0.00	295.67	-161.31	0.00	0.00	134.37	4.72	0.00	0.00	0.00	4.72	139.09	
JPY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-27.81	0.00	0.00	-27.81	-27.81	
USD	0.00	0.00	-792.00	0.00	0.00	-792.00	0.00	0.00	0.00	0.00	0.00	-792.00	
Total Forex	0.00	295.67	-953.30	0.00	0.00	-657.63	4.72	-27.81	0.00	0.00	-23.09	-680.72	
Total (All Assets)	0.00	295.67	-953.30	0.00	0.00	-657.63	1,375,200.86	-678,015.35	137,979.35	-8,999.24	826,165.61	825,507.98	

Month & Year to Date Performance Summary

SYMBOL	DESCRIPTION	MARK-TO-MARKET		REALIZED S/T		REALIZED L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
Warrants							
13598	1918 16NOV18 7.58 C	3,006.16	2,681.89	0.00	0.00	0.00	0.00
13797	1918 18OCT18 15.08 C	19,619.26	14,568.73	0.00	0.00	0.00	0.00
14023	1918 03OCT18 13.0 C	11,591.81	4,035.76	0.00	0.00	0.00	0.00
16807	1918 17APR18 19.88 C	31,514.13	12,560.07	117,574.66	117,574.66	0.00	0.00
26120	1918 02APR19 7.7 C	50,216.10	27,045.00	0.00	0.00	0.00	0.00
26777	700 03JAN20 288.88 C	-8,757.52	-923.98	0.00	0.00	0.00	0.00
Total Warrants		107,189.94	59,967.47	117,574.66	117,574.66	0.00	0.00
Stocks, Equity and Index Options, and SSFs							
1029	IRC LTD	-60.19	-350.30	0.00	0.00	0.00	0.00
1107	MODERN LAND CHINA CO LTD	-40,120.11	-83,716.43	0.00	0.00	0.00	22,543.84
119	POLY PROPERTY GROUP CO LTD	-55,674.75	-93,859.83	0.00	136,373.26	0.00	0.00
1266	XIWANG SPECIAL STEEL CO LTD	0.00	29,894.81	0.00	29,786.96	0.00	0.00
1292	CHANGAN MINSHENG APLL LOGI-H	-271.87	-85.35	0.00	0.00	0.00	0.00
133	CHINA MERCHANTS CHINA DIRECT	-4,112.25	-4,112.25	0.00	0.00	0.00	0.00
1378	CHINA HONGQIAO GROUP LTD	0.00	76,131.74	0.00	45,244.75	0.00	0.00
1771	SUNFONDA GROUP HOLDINGS LTD	-4,166.10	-4,166.10	0.00	0.00	0.00	0.00
1777	FANTASIA HOLDINGS GROUP CO	4,054.87	28,493.91	0.00	0.00	0.00	0.00
1893	CHINA NATIONAL MATERIALS - H	0.00	-209.16	0.00	-177.31	0.00	0.00
1918	SUNAC CHINA HOLDINGS LTD	332,315.79	151,679.19	-46,886.90	-140,113.76	0.00	0.00
1938	CHU KONG PETROLEUM & NATURAL	-1,974.31	-1,572.37	0.00	0.00	0.00	0.00
2002	CHINA SUNSHINE PAPER HLDGS	-26,120.36	54,944.56	0.00	0.00	0.00	0.00
2100	BAIOO FAMILY INTERACTIVE LTD	0.00	-9,212.85	0.00	-38,222.58	0.00	0.00
2136	LIFESTYLE CHINA GROUP LTD	0.00	21,792.26	0.00	21,930.98	0.00	0.00
2307	KAM HING INTERNATIONAL HOLDI	80.90	-79.67	0.00	0.00	0.00	0.00
2380	CHINA POWER INTERNATIONAL	213.06	140.31	0.00	0.00	0.00	0.00
2698	WEIQIAO TEXTILE CO LTD-H	-41,725.69	-134,141.97	0.00	0.00	0.00	0.00
296	EMPEROR ENTERTAINMENT HOTEL	27,519.48	-9,405.97	-756.64	-7,982.40	0.00	0.00
3323	CHINA NATIONAL BUILDING MA-H	0.00	6,196.31	0.00	6,669.26	0.00	0.00
3399	GUANGDONG YUEYUN TRANSPORT-H	0.00	-24,687.90	0.00	-24,749.10	0.00	0.00
450	HUNG HING PRINT GROUP LTD	0.00	5,817.76	0.00	5,835.45	0.00	0.00
581	CHINA ORIENTAL GROUP CO LTD	14,289.91	-12,054.33	0.00	0.00	0.00	0.00
610	WAI KEE HOLDINGS LTD	-4,032.21	37,202.69	7,397.60	7,397.60	0.00	0.00
656	FOSUN INTERNATIONAL LTD	0.00	6,995.83	0.00	7,021.90	0.00	0.00
6865	FLAT GLASS GROUP CO LTD-H	2,779.73	87,081.48	3,019.23	92,952.05	0.00	0.00
700	TENCENT HOLDINGS LTD	0.00	13,819.42	0.00	13,807.43	0.00	0.00
743	ASIA CEMENT CHINA HOLDINGS	280,113.68	492,794.86	0.00	0.00	0.00	0.00
817	CHINA JINMAO HOLDINGS GROUP	13,307.17	36,879.61	0.00	26,188.18	0.00	0.00
848	MAOYE INTERNATIONAL HLDGS	-8,020.68	-31,703.65	0.00	0.00	0.00	0.00

SYMBOL	DESCRIPTION	MARK-TO-MARKET		REALIZED S/T		REALIZED LT	
		MTD	YTD	MTD	YTD	MTD	YTD
926	BESUNYEN HOLDINGS CO LTD	0.00	-13,763.00	0.00	-29,928.71	0.00	0.00
956	CHINA SUNTIEN GREEN ENERGY-H	10,644.52	55,700.61	56,236.91	56,236.91	0.00	0.00
998	CHINA CITIC BANK CORP LTD-H	0.00	114,484.86	0.00	131,369.80	0.00	0.00
FENG	PHOENIX NEW MEDIA LTD -ADR	-7,412.30	-54,452.68	0.00	69,424.08	0.00	0.00
JKS	JINKOSOLAR HOLDING CO-ADR	2,056.98	-49,820.87	0.00	0.00	0.00	0.00
JMEI	JUMEI INTERNATIONAL-ADR	0.00	31,374.87	0.00	24,687.34	0.00	0.00
NTP	NAM TAI PROPERTY INC	-467.42	-534.31	0.00	0.00	0.00	0.00
RENN	RENN	107,017.71	2,090,758.64	0.00	3,280,919.05	0.00	0.00
XIN	XINYUAN REAL ESTATE CO L-ADR	-21,384.96	-140,903.26	0.00	0.00	0.00	0.00
Total Stocks, Equity and Index Options, and SSFs		578,850.59	2,673,351.48	19,010.20	3,714,671.15	0.00	22,543.84
Total (All Assets)		686,040.53	2,733,318.95	136,584.86	3,832,245.81	0.00	22,543.84

Cash Report [Convert Your Cash Balances to SGD](#)

	TOTAL	SECURITIES	FUTURES	MONTH TO DATE	YEAR TO DATE
Base Currency Summary					
Cash Detail					
Starting Cash	460,208.00	460,208.00	0.00		
Commissions	-51.11	-51.11	0.00	-1,614.95	-16,259.09
Deposits	0.00	0.00	0.00	0.00	2,834,815.81
Withdrawals	-400,000.00	-400,000.00	0.00	-1,913,300.00	-5,237,600.00
Dividends	0.00	0.00	0.00	138.96	17,976.06
Broker Interest Paid and Received	0.00	0.00	0.00	-417.54	-4,344.70
Cash Settling MTM	0.00	0.00	0.00	144,945.94	144,945.94
Trades (Sales)	808,006.00	808,006.00	0.00	5,615,772.06	31,243,589.29
Trades (Purchase)	-860,512.27	-860,512.27	0.00	-4,605,738.33	-28,756,423.15
Other Fees	-15.00	-15.00	0.00	-4,066.52	-4,946.60
Transaction Fees	-54.27	-54.27	0.00	-2,408.82	-18,855.37
Cash FX Translation Gain/Loss	733.30	733.30	0.00		
Ending Cash	8,314.64	8,314.64	0.00		
Ending Settled Cash	-62,071.46	-62,071.46	0.00		
HKD					
Cash Detail					
Starting Cash	2,717,860.65	2,717,860.65	0.00		
Commissions	-206.97	-206.97	0.00	-9,160.54	-71,340.12
Deposits	0.00	0.00	0.00	0.00	8,188,093.21
Dividends	0.00	0.00	0.00	0.00	55,170.00
Broker Interest Paid and Received	0.00	0.00	0.00	0.00	-15,635.69
Cash Settling MTM	0.00	0.00	0.00	867,680.00	867,680.00
Trades (Sales)	0.00	0.00	0.00	10,166,560.00	80,762,490.53
Trades (Purchase)	-2,699,850.65	-2,699,850.65	0.00	-15,567,303.55	-88,802,624.79
Transaction Fees	-321.26	-321.26	0.00	-14,379.95	-111,687.11
Ending Cash	17,481.76	17,481.76	0.00		
Ending Settled Cash	1,989,900.35	1,989,900.35	0.00		
JPY					
Cash Detail					
Starting Cash	64,332.7	64,332.7	0		
Broker Interest Paid and Received	0	0	0	-628	-4,408
Trades (Sales)	0	0	0	0	135,834,500
Trades (Purchase)	0	0	0	0	-135,300,362
Ending Cash	64,332.7	64,332.7	0		
Ending Settled Cash	64,332.7	64,332.7	0		
SGD					
Cash Detail					
Starting Cash	893.58	893.58	0.00		
Commissions	-16.15	-16.15	0.00	-79.11	-313.78
Deposits	0.00	0.00	0.00	0.00	700,000.00
Withdrawals	-400,000.00	-400,000.00	0.00	-1,913,300.00	-5,237,600.00
Broker Interest Paid and Received	0.00	0.00	0.00	-331.44	-433.20
Trades (Sales)	403,606.50	403,606.50	0.00	1,917,946.72	4,843,678.53
Trades (Purchase)	0.00	0.00	0.00	0.00	-300,001.00
Other Fees	-15.00	-15.00	0.00	-234.31	-906.50
Ending Cash	4,468.93	4,468.93	0.00		

	TOTAL	SECURITIES	FUTURES	MONTH TO DATE	YEAR TO DATE
Ending Settled Cash	-399,137.57	-399,137.57	0.00		
USD					
Cash Detail					
Starting Cash	84.61	84.61	0.00		
Commissions	0.00	0.00	0.00	-1.00	-2,944.96
Deposits	0.00	0.00	0.00	0.00	574,205.67
Dividends	0.00	0.00	0.00	105.56	6,540.37
Broker Interest Paid and Received	0.00	0.00	0.00	-59.74	-909.54
Trades (Sales)	305,000.00	305,000.00	0.00	1,510,000.00	8,347,945.72
Trades (Purchase)	-305,000.00	-305,000.00	0.00	-1,507,334.40	-8,921,675.39
Other Fees	0.00	0.00	0.00	-2,920.00	-3,078.25
Ending Cash	84.61	84.61	0.00		
Ending Settled Cash	84.61	84.61	0.00		

Change in Position Value

Warrants

Base Currency Summary

Prior Period Value	634,182.33
Positions FX Translation P/L	1,015.17
End Of Period Value	635,197.51

HKD

Prior Period Value	3,759,900.00
End Of Period Value	3,759,900.00

Stocks

Base Currency Summary

Prior Period Value	11,500,434.50
Transactions	51,714.22
MTM P/L On Prior Period	-134,009.08
MTM P/L On Transactions	-113.19
Positions FX Translation P/L	18,585.03
End Of Period Value	11,436,611.49

HKD

Prior Period Value	51,184,484.26
Transactions	306,110.00
MTM P/L On Prior Period	698,646.05
MTM P/L On Transactions	-670.00
End Of Period Value	52,188,570.31

USD

Prior Period Value	2,166,010.08
MTM P/L On Prior Period	-190,088.50
End Of Period Value	1,975,921.58

Open Positions

SYMBOL	OPEN	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Warrants									
HKD									
13598	-	60,000	1	0.9503181	57,019.09	2.6500	159,000.00	101,980.91	
13797	-	490,000	1	0.4766541	233,560.50	1.8800	921,200.00	687,639.50	
14023	-	210,000	1	0.580215	121,845.15	2.0700	434,700.00	312,854.85	
26120	-	750,000	1	1.0891615	816,871.15	2.6200	1,965,000.00	1,148,128.85	
26777	2017-11-09, 01:26:46	200,000	1	1.3310359	266,207.18	1.4000	280,000.00	13,792.82	
Total					1,495,503.07		3,759,900.00	2,264,396.93	
Total in SGD					252,650.29		635,197.51	382,547.22	
SYMBOL	OPEN	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Stocks									
HKD									
1029	-	194,000	1	0.1791079	34,746.94	0.1680	32,592.00	-2,154.94	
1107	-	2,693,800	1	1.0983815	2,958,820.15	1.4800	3,986,824.00	1,028,003.85	
119	-	1,923,000	1	3.7776586	7,264,437.55	3.6800	7,076,640.00	-187,797.55	
1292	-	23,000	1	5.7163068	131,475.06	5.0000	115,000.00	-16,475.06	
133	-	44,000	1	12.8790566	566,678.49	12.3200	542,080.00	-24,598.49	

SYMBOL	OPEN	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
1771	-	513,000	1	1.2884746	660,987.48	1.2400	636,120.00	-24,867.48	
1777	-	630,000	1	1.2864607	810,470.26	1.5600	982,800.00	172,329.74	
1918	-	407,000	1	29.5354645	12,020,934.06	33.9000	13,797,300.00	1,776,365.94	
1938	-	236,000	1	1.1028449	260,271.40	0.8300	195,880.00	-64,391.40	
2002	-	1,415,500	1	2.5197577	3,566,717.07	2.2700	3,213,185.00	-353,532.07	
2307	-	16,000	1	0.6718931	10,750.29	0.6700	10,720.00	-30.29	
2380	2017-12-07, 19:45:00	14,021	1	1.8200	25,518.22	2.1100	29,584.31	4,066.09	
2698	-	1,303,500	1	4.2858887	5,586,655.96	3.8100	4,966,335.00	-620,320.96	
296	-	1,180,000	1	1.7767468	2,096,561.21	1.7700	2,088,600.00	-7,961.21	
581	-	248,000	1	5.9648277	1,479,277.28	5.6800	1,408,640.00	-70,637.28	
610	-	208,000	1	3.6534462	759,916.80	4.5500	946,400.00	186,483.20	
6865	-	94,000	1	2.2560332	212,067.12	2.4500	230,300.00	18,232.88	
743	-	2,193,500	1	2.8772835	6,311,321.46	4.2200	9,256,570.00	2,945,248.54	
817	-	370,000	1	4.2854842	1,585,629.15	4.5000	1,665,000.00	79,370.85	
848	-	1,200,000	1	0.9966794	1,196,015.34	0.8400	1,008,000.00	-188,015.34	
Total					47,539,251.29		52,188,570.31	4,649,319.02	
Total in SGD					8,031,281.11		8,816,737.07	785,455.95	
USD									
FENG	-	20,100	1	4.6183308	92,828.45	4.0700	81,807.00	-11,021.45	
JKS	-	13,200	1	21.770122	287,365.61	18.3800	242,616.00	-44,749.61	
NTP	-	1,516	1	12.2102243	18,510.70	12.1500	18,419.40	-91.30	
RENN	-	146,000	1	10.2263776	1,493,051.13	8.9700	1,309,620.00	-183,431.13	
XIN	-	64,306	1	5.3177584	341,963.77	5.0300	323,459.18	-18,504.59	
Total					2,233,719.66		1,975,921.58	-257,798.08	
Total in SGD					2,961,688.90		2,619,874.42	-341,814.47	
Total Stocks in SGD					10,992,970.01		11,436,611.49	443,641.48	

Transaction Fees

DATE/TIME	SYMBOL	DESCRIPTION	QUANTITY	TRADE PRICE	AMOUNT	CODE
Stocks						
HKD						
2018-04-30, 03:53:11	119	POLY PROPERTY GROUP CO LTD	6,000	3.6900	-23.60	
2018-04-30, 03:53:46	119	POLY PROPERTY GROUP CO LTD	6,000	3.6900	-23.60	
2018-04-30, 03:53:46	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:53:56	119	POLY PROPERTY GROUP CO LTD	8,000	3.6900	-30.80	
2018-04-30, 03:54:57	119	POLY PROPERTY GROUP CO LTD	4,000	3.6900	-15.40	
2018-04-30, 03:54:57	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:55:15	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:55:15	119	POLY PROPERTY GROUP CO LTD	13,000	3.6900	-49.30	
2018-04-30, 03:55:26	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:55:29	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:16	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:27	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:41	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:50	119	POLY PROPERTY GROUP CO LTD	16,000	3.6900	-61.59	
2018-04-30, 03:58:50	119	POLY PROPERTY GROUP CO LTD	6,000	3.6900	-23.60	
2018-04-30, 04:08:06	119	POLY PROPERTY GROUP CO LTD	16,000	3.6800	-60.59	
Total					-321.26	
Total in SGD					-54.27	

Deposits & Withdrawals

SETTLE DATE	DESCRIPTION	AMOUNT	CODE
SGD			
2018-04-30	Disbursement Initiated by Song Lin	-400,000.00	
Total		-400,000.00	

From: [Lin Song](#)
To: [info_RenrenSettlement](#)
Subject: opposition to the proposed settlement
Date: Monday, November 15, 2021 11:54:15 PM
Attachments: [letter-renn stanley.pdf](#)
[renren shares-IB report-30-4-2018.pdf](#)
[IB trade report-27April-15June2018.pdf](#)

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Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1, My request

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will be entitled to compensation. Otherwise, I, together with a group of other shareholders, will continue with our lawsuits against the defendants in Renren Case to protect our rights.

2, The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 146,000 ADSs of Renren and sold them out on during the period from 25 May 2018 till 14 June 2018.

4, Why we sold Renren ADSs but should still be entitled to compensation

The reason we bought the ADSs of Renren in the first place was that we believed in the value of the investments that Renren has made over the years, such as equity in SoFi and Snowball. However, from the announcements that these high quality assets will be carved out cheap which will benefit Renren management cum controlling shareholders at other shareholders' costs, I lost faith in the management of Renren and had to dispose my shares at loss.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). Suppose the criminal was forced to compensate the victims in a few years. Wouldn't that be absurd that the actual victims are

unable to have the compensation just because they have not stayed at the crime scene for 3 years long and ironically those who set their foot on the crime scene in 2021 (part of current stockholders) who were well aware of what had happened at the scene, would get the compensation while their interests have not been harmed (shareholders who bought the shares after the corporate action announcement have invested with open eyes and are well aware of risks involved)?

So we believe we are entitled to a share of this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

(1) The core reason for the lawsuit of 2018 is that the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out (worth \$31 or more per ADS). The damage that carve-out transaction has made in fact is at least \$300m. We are the actual victim of Renren's 2018 corporate action and are entitled to part of settlement regardless whether we are still holding Renren ADSs or not.

(2) The cash settlement of this lawsuit should be given not to the listed company, nor to the current shareholders who bought the shares after the carve-out corporate action. Rather, it should be for the shareholders who hold shares when the company commenced the ill-faith corporate action that is hugely detrimental to the interests of the shareholders who are not part of the management.

(3) According to the settlement, as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may have sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the compensation. This is definitely not fair and equitable.

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market.

I would like to attend the Settlement Hearing.

Yours sincerely,

Name: Song Lin and Li Lian Gillian Lee

(Address: 3 First Avenue, Singapore (Post Code: 268737), email: stanleylinsong@gmail.com)

Date: November 16, 2021

Attachment: Shareholding proof

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Index No. 653594/2018

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I would like to attend the Settlement Hearing.

Yours sincerely,

Name: Song Lin and Li Lian Gillian Lee

(Address: 3 First Avenue, Singapore (Post Code: 268737), email: stanleylinsong@gmail.com)

Signature:

Date: November 16, 2021

Attachment: Shareholding proof

Activity Statement
April 27, 2018 - June 15, 2018

Account Information										
Net Asset Value										
Mark-to-Market Performance Summary										
Realized & Unrealized Performance Summary										
Cash Report Convert Your Cash Balances to SGD										
Open Positions										
Forex Balances										
Net Stock Position Summary										
Trades										
SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
Stocks										
HKD										
119	2018-04-30, 03:53:11	21,000	3.6900	3.6800	-77,490.00	-134.46	77,624.46	0.00	-210.00	O;P
119	2018-04-30, 03:54:57	21,000	3.6900	3.6800	-77,490.00	-134.46	77,624.46	0.00	-210.00	O;P
119	2018-04-30, 03:58:16	25,000	3.6900	3.6800	-92,250.00	-159.95	92,409.95	0.00	-250.00	O;P
119	2018-04-30, 04:08:06	16,000	3.6800	3.6800	-58,880.00	-99.36	58,979.36	0.00	0.00	O
119	2018-05-03, 02:47:45	21,000	3.6600	3.6700	-76,860.00	-131.03	76,991.03	0.00	210.00	O;P
119	2018-05-03, 02:50:45	12,000	3.6600	3.6700	-43,920.00	-74.23	43,994.23	0.00	120.00	O
119	2018-05-21, 01:45:11	101,000	3.8600	3.8400	-389,860.00	-655.94	390,515.94	0.00	-2,020.00	O;P
119	2018-05-21, 01:45:52	34,000	3.8600	3.8400	-131,240.00	-232.35	131,472.35	0.00	-680.00	O;P
119	2018-05-21, 02:46:18	61,000	3.8500	3.8400	-234,850.00	-402.49	235,252.49	0.00	-610.00	O;P
119	2018-05-21, 03:25:38	61,000	3.8400	3.8400	-234,240.00	-403.08	234,643.08	0.00	0.00	O;P
119	2018-05-24, 03:59:25	61,000	3.8000	3.7900	-231,800.00	-398.93	232,198.93	0.00	-610.00	O;P
119	2018-06-08, 02:54:57	51,000	3.7700	3.7600	-192,270.00	-324.67	192,594.67	0.00	-510.00	O;P
119	2018-06-14, 02:58:40	71,000	3.7500	3.7600	-266,250.00	-447.75	266,697.75	0.00	710.00	O
119	2018-06-14, 23:41:49	51,000	3.7300	3.7400	-190,230.00	-324.29	190,554.29	0.00	510.00	O;P
119	2018-06-14, 23:42:20	21,000	3.7300	3.7400	-78,330.00	-132.53	78,462.53	0.00	210.00	O
119	2018-06-14, 23:42:37	21,000	3.7300	3.7400	-78,330.00	-132.53	78,462.53	0.00	210.00	O
119	2018-06-14, 23:43:07	21,000	3.7300	3.7400	-78,330.00	-134.53	78,464.53	0.00	210.00	O;P
Total 119		670,000			-2,532,620.00	-4,322.58	2,536,942.58	0.00	-2,920.00	
1265	2018-06-10, 22:39:24	3,100,000	0.9500	1.0300	-2,945,000.00	-4,950.76	2,949,950.76	0.00	248,000.00	O;P
1265	2018-06-11, 03:56:26	-210,000	1.0300	1.0300	216,300.00	-367.94	-199,834.86	16,097.21	0.00	C;P
1265	2018-06-11, 03:56:43	-210,000	1.0300	1.0300	216,300.00	-368.94	-199,834.86	16,096.21	0.00	C;P
1265	2018-06-11, 03:57:45	-210,000	1.0300	1.0300	216,300.00	-363.94	-199,834.86	16,101.21	0.00	C
1265	2018-06-11, 03:57:54	-210,000	1.0300	1.0300	216,300.00	-369.44	-199,834.74	16,095.83	0.00	C;P
1265	2018-06-11, 03:58:27	-110,000	1.0300	1.0300	113,300.00	-191.70	-104,675.30	8,432.99	0.00	C;P
1265	2018-06-11, 03:58:41	-110,000	1.0300	1.0300	113,300.00	-192.20	-104,675.30	8,432.49	0.00	C;P
1265	2018-06-11, 03:59:23	-110,000	1.0300	1.0300	113,300.00	-194.70	-104,675.30	8,429.99	0.00	C;P
1265	2018-06-11, 03:59:38	-110,000	1.0300	1.0300	113,300.00	-191.70	-104,675.30	8,432.99	0.00	C;P
1265	2018-06-11, 03:59:50	-110,000	1.0300	1.0300	113,300.00	-191.70	-104,675.30	8,432.99	0.00	C;P
1265	2018-06-12, 03:46:28	-310,000	1.0000	1.0000	310,000.00	-522.37	-294,994.03	14,483.60	0.00	C;P
1265	2018-06-12, 23:29:47	110,000	0.9700	0.9800	-106,700.00	-181.24	106,881.24	0.00	1,100.00	O;P
1265	2018-06-13, 01:23:16	410,000	0.9800	0.9800	-401,800.00	-679.02	402,479.02	0.00	0.00	O;P
1265	2018-06-13, 23:48:35	-250,000	1.0700	1.0700	267,500.00	-453.60	-237,903.83	29,142.58	0.00	C;P
1265	2018-06-13, 23:51:30	-250,000	1.0700	1.0700	267,500.00	-451.60	-237,899.85	29,148.55	0.00	C;P
1265	2018-06-13, 23:52:35	-250,000	1.0700	1.0700	267,500.00	-451.60	-237,898.44	29,149.96	0.00	C;P
1265	2018-06-13, 23:58:18	-1,170,000	1.0700	1.0700	1,251,900.00	-2,102.54	-1,127,899.05	121,898.41	0.00	C;P
Total 1265		0			342,600.00	-12,224.98	0.00	330,375.02	249,100.00	
133	2018-06-15, 01:37:01	4,000	12.3000	12.2800	-49,200.00	-83.81	49,283.81	0.00	-80.00	O
133	2018-06-15, 01:37:01	12,000	12.2800	12.2800	-147,360.00	-250.26	147,610.26	0.00	0.00	O;P
Total 133		16,000			-196,560.00	-334.07	196,894.07	0.00	-80.00	
1918	2018-05-04, 03:48:43	-26,000	33.4500	33.4000	869,700.00	-1,461.29	-986,359.19	-118,120.48	1,300.00	C;P
1918	2018-05-09, 02:49:27	-21,000	35.2000	35.3000	739,200.00	-1,240.94	-778,459.83	-40,500.77	-2,100.00	C
1918	2018-05-09, 03:18:19	-40,000	35.3000	35.3000	1,412,000.00	-2,379.92	-1,296,775.54	112,844.53	0.00	C;P
1918	2018-05-09, 03:20:39	-31,000	35.2500	35.3000	1,092,750.00	-1,845.79	-940,124.77	150,779.44	-1,550.00	C;P
1918	2018-05-09, 03:21:33	-31,000	35.2000	35.3000	1,091,200.00	-1,841.74	-886,885.96	202,472.29	-3,100.00	C;P
1918	2018-05-09, 03:22:34	-111,000	35.1500	35.3000	3,901,650.00	-6,553.42	-3,032,286.13	862,810.45	-16,650.00	C;P

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
1918	2018-05-09, 03:29:44	-31,000	35.3000	35.3000	1,094,300.00	-1,837.84	-832,598.59	259,863.57	0.00	C;P
1918	2018-05-13, 23:50:39	-11,000	35.6000	35.5500	391,600.00	-661.11	-301,354.88	89,584.01	550.00	C;P
1918	2018-05-20, 22:42:05	-19,000	34.8500	34.4500	662,150.00	-1,121.78	-547,472.40	113,555.82	7,600.00	C;P
1918	2018-05-20, 22:51:35	-61,000	34.7500	34.4500	2,119,750.00	-3,565.07	-1,690,795.93	425,389.00	18,300.00	C;P
1918	2018-05-20, 22:52:14	-11,000	34.8000	34.4500	382,800.00	-648.16	-323,242.71	58,909.14	3,850.00	C;P
1918	2018-05-21, 01:25:52	-12,000	34.7000	34.4500	416,400.00	-704.40	-346,781.25	68,914.34	3,000.00	C;P
1918	2018-05-29, 23:07:56	11,000	29.6500	29.2000	-326,150.00	-548.30	326,698.30	0.00	-4,950.00	O
1918	2018-05-29, 23:08:08	11,000	29.6500	29.2000	-326,150.00	-548.30	326,698.30	0.00	-4,950.00	O
1918	2018-05-29, 23:15:12	11,000	29.5500	29.2000	-325,050.00	-546.56	325,596.56	0.00	-3,850.00	O
1918	2018-05-30, 02:28:17	11,000	29.4000	29.2000	-323,400.00	-546.44	323,946.44	0.00	-2,200.00	O;P
1918	2018-05-30, 02:51:08	11,000	29.2000	29.2000	-321,200.00	-539.95	321,739.95	0.00	0.00	O
1918	2018-05-31, 23:58:59	-11,000	30.7000	30.6000	337,700.00	-568.62	-325,095.49	12,035.89	1,100.00	C;P
1918	2018-06-01, 02:10:19	-11,000	30.4500	30.6000	334,950.00	-566.26	-326,698.30	7,685.44	-1,650.00	C;P
1918	2018-06-01, 02:15:13	-35,000	30.5000	30.6000	1,067,500.00	-1,807.20	-1,030,682.64	35,010.16	-3,500.00	C;P
1918	2018-06-05, 02:59:19	-41,000	32.7000	32.6000	1,340,700.00	-2,253.65	-1,223,850.00	114,596.35	4,100.00	C;P
1918	2018-06-05, 03:00:54	-9,000	32.6000	32.6000	293,400.00	-497.13	-268,650.00	24,252.87	0.00	C;P
1918	2018-06-05, 03:01:47	-9,000	32.6000	32.6000	293,400.00	-495.63	-268,650.00	24,254.37	0.00	C;P
1918	2018-06-05, 03:04:07	-9,000	32.6500	32.6000	293,850.00	-495.44	-268,650.00	24,704.56	450.00	C;P
1918	2018-06-05, 03:21:49	-19,000	32.6000	32.6000	619,400.00	-1,045.33	-567,150.00	51,204.67	0.00	C;P
1918	2018-06-05, 03:22:14	-19,000	32.5500	32.6000	618,450.00	-1,045.19	-567,150.00	50,254.81	-950.00	C;P
1918	2018-06-11, 21:45:11	-11,000	32.9500	33.1000	362,450.00	-608.88	-328,350.00	33,491.12	-1,650.00	C
1918	2018-06-11, 21:46:37	-11,000	32.9500	33.1000	362,450.00	-608.88	-328,350.00	33,491.12	-1,650.00	C
1918	2018-06-11, 21:48:46	-11,000	32.9000	33.1000	361,900.00	-608.01	-328,350.00	32,941.99	-2,200.00	C;P
1918	2018-06-11, 22:31:17	-11,000	32.6000	33.1000	358,600.00	-602.27	-328,350.00	29,647.73	-5,500.00	C
Total 1918		-557,000			19,196,300.00	-37,793.52	-16,498,434.06	2,660,072.43	-16,200.00	
296	2018-04-27, 03:52:58	-125,000	1.7300	1.7300	216,250.00	-364.40	-220,371.49	-4,485.89	0.00	C;P
Total 296		-125,000			216,250.00	-364.40	-220,371.49	-4,485.89	0.00	
610	2018-04-27, 03:11:44	-26,000	4.4600	4.4300	115,960.00	-196.50	-95,521.41	20,242.09	780.00	C;P
610	2018-04-27, 03:12:02	-2,000	4.4600	4.4300	8,920.00	-27.24	-7,332.41	1,560.35	60.00	C
610	2018-04-27, 03:15:47	-28,000	4.4500	4.4300	124,600.00	-211.35	-102,332.66	22,055.98	560.00	C;P
Total 610		-56,000			249,480.00	-435.10	-205,186.48	43,858.42	1,400.00	
6865	2018-04-27, 03:13:05	-14,000	2.5200	2.5200	35,280.00	-64.38	-32,616.36	2,599.26	0.00	C;P
6865	2018-04-27, 03:19:03	-91,000	2.5000	2.5200	227,500.00	-388.02	-211,811.04	15,300.95	-1,820.00	C;P
Total 6865		-105,000			262,780.00	-452.40	-244,427.39	17,900.20	-1,820.00	
743	2018-05-03, 02:14:24	10,500	4.3100	4.2900	-45,255.00	-83.14	45,338.14	0.00	-210.00	O;P
743	2018-05-03, 02:20:08	9,500	4.3100	4.2900	-40,945.00	-86.72	41,031.72	0.00	-190.00	O;P
743	2018-06-01, 02:21:22	-10,500	4.7500	4.7100	49,875.00	-84.27	-29,875.80	19,914.93	420.00	C
743	2018-06-01, 02:23:58	-10,500	4.7500	4.7100	49,875.00	-87.27	-29,870.77	19,916.96	420.00	C;P
743	2018-06-14, 23:24:59	-20,500	5.3900	5.4000	110,495.00	-197.31	-58,401.31	51,896.39	-205.00	C;P
743	2018-06-15, 02:32:46	-66,000	5.3900	5.4000	355,740.00	-611.84	-188,422.59	166,705.57	-660.00	C;P
743	2018-06-15, 03:28:33	-50,000	5.3900	5.4000	269,500.00	-469.45	-142,245.86	126,784.69	-500.00	C;P
Total 743		-137,500			749,285.00	-1,619.98	-362,446.48	385,218.54	-925.00	
81	2018-06-07, 03:44:01	100,000	3.6700	3.6700	-367,000.00	-626.96	367,626.96	0.00	0.00	O;P
81	2018-06-07, 03:44:46	31,000	3.6700	3.6700	-113,770.00	-196.52	113,966.52	0.00	0.00	O;P
81	2018-06-07, 03:53:22	31,000	3.6700	3.6700	-113,770.00	-195.02	113,965.02	0.00	0.00	O;P
81	2018-06-08, 02:48:23	50,000	3.5800	3.5800	-179,000.00	-300.68	179,300.68	0.00	0.00	O
81	2018-06-08, 02:48:43	50,000	3.5800	3.5800	-179,000.00	-300.68	179,300.68	0.00	0.00	O
81	2018-06-08, 02:49:48	50,000	3.5800	3.5800	-179,000.00	-303.68	179,303.68	0.00	0.00	O;P
81	2018-06-14, 03:22:00	101,000	3.4600	3.4600	-349,460.00	-589.08	350,049.08	0.00	0.00	O;P
81	2018-06-14, 22:36:38	101,000	3.4528713	3.4100	-348,740.00	-600.60	349,340.60	0.00	-4,330.00	O;P
81	2018-06-14, 23:24:04	50,000	3.4400	3.4100	-172,000.00	-290.44	172,290.44	0.00	-1,500.00	O;P
81	2018-06-14, 23:33:52	23,000	3.4300	3.4100	-78,890.00	-136.41	79,026.41	0.00	-460.00	O;P
Total 81		587,000			-2,080,630.00	-3,540.09	2,084,170.09	0.00	-6,290.00	
817	2018-06-14, 03:10:23	52,000	4.3900	4.4200	-228,280.00	-388.55	228,668.55	0.00	1,560.00	O;P
Total 817		52,000			-228,280.00	-388.55	228,668.55	0.00	1,560.00	
Total					15,978,605.00	-61,475.67	-12,484,190.61	3,432,938.73	223,825.00	
Total in SGD					2,732,328.06	-10,497.91	-2,134,346.91	587,483.24	38,048.90	
USD										
FENG	2018-05-15, 10:21:27	-1,000	4.1900	4.8700	4,190.00	-5.00	-4,836.37	-651.37	-680.00	C;P
FENG	2018-05-15, 10:23:55	-8,400	4.2001464	4.8700	35,281.23	-42.00	-38,698.09	-3,458.86	-5,626.77	C;P
FENG	2018-05-15, 10:24:06	-400	4.2007	4.8700	1,680.28	-2.00	-1,842.77	-164.49	-267.72	C;P
FENG	2018-05-15, 10:24:19	-400	4.200525	4.8700	1,680.21	-2.00	-1,842.77	-164.56	-267.79	C;P
FENG	2018-05-15, 10:24:27	-400	4.2007	4.8700	1,680.28	-2.00	-1,842.77	-164.49	-267.72	C;P
FENG	2018-05-15, 10:24:35	-400	4.2000	4.8700	1,680.00	-2.00	-1,842.77	-164.77	-268.00	C;P
FENG	2018-05-15, 10:27:00	-500	4.2000	4.8700	2,100.00	-2.50	-2,303.46	-205.96	-335.00	C;P
FENG	2018-05-15, 10:30:19	-400	4.2000	4.8700	1,680.00	-2.00	-1,842.77	-164.77	-268.00	C
FENG	2018-05-15, 10:30:28	-500	4.2000	4.8700	2,100.00	-2.50	-2,303.46	-205.96	-335.00	C
FENG	2018-05-15, 10:30:36	-400	4.200525	4.8700	1,680.21	-2.00	-1,842.77	-164.56	-267.79	C;P
FENG	2018-05-15, 10:30:43	-400	4.200525	4.8700	1,680.21	-2.00	-1,842.77	-164.56	-267.79	C;P

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
FENG	2018-05-15, 10:30:49	-300	4.2007	4.8700	1,260.21	-1.50	-1,382.07	-123.36	-200.79	C;P
FENG	2018-05-15, 10:30:57	-400	4.200525	4.8700	1,680.21	-2.00	-1,842.77	-164.56	-267.79	C;P
FENG	2018-05-15, 10:31:02	-400	4.200175	4.8700	1,680.07	-2.00	-1,842.77	-164.70	-267.93	C;P
FENG	2018-05-15, 10:31:52	-400	4.20035	4.8700	1,680.14	-2.00	-1,842.77	-164.63	-267.86	C;P
FENG	2018-05-15, 10:32:23	-300	4.1900	4.8700	1,257.00	-1.50	-1,382.07	-126.57	-204.00	C;P
FENG	2018-05-15, 10:33:16	-300	4.1900	4.8700	1,257.00	-1.50	-1,382.07	-126.57	-204.00	C;P
FENG	2018-05-15, 10:33:19	-300	4.2000	4.8700	1,260.00	-1.50	-1,382.07	-123.57	-201.00	C
FENG	2018-05-15, 10:33:27	-1,000	4.20048	4.8700	4,200.48	-5.00	-4,606.92	-411.44	-669.52	C;P
FENG	2018-05-15, 10:33:35	-1,000	4.2000	4.8700	4,200.00	-5.00	-4,606.92	-411.91	-670.00	C;P
FENG	2018-05-15, 10:34:56	-2,500	4.200352	4.8700	10,500.88	-12.50	-11,517.29	-1,028.91	-1,674.12	C;P
Total FENG		-20,100			84,408.41	-100.50	-92,828.45	-8,520.54	-13,478.59	
JKS	2018-06-04, 11:37:51	1,000	13.4900	13.7700	-13,490.00	-5.00	13,495.00	0.00	280.00	O;P
Total JKS		1,000			-13,490.00	-5.00	13,495.00	0.00	280.00	
RENN	2018-05-02, 09:38:31	10,000	8.5500	8.6000	-85,500.00	-50.00	85,550.00	0.00	500.00	O;P
RENN	2018-05-02, 11:59:55	1,782	8.4900	8.6000	-15,129.18	-8.91	15,138.09	0.00	196.02	O
RENN	2018-05-11, 11:40:57	1,100	8.6400	8.7800	-9,504.00	-5.50	9,509.50	0.00	154.00	O;P
RENN	2018-05-25, 11:32:29	-2,000	8.91025	9.1000	17,820.50	-10.00	-22,030.00	-4,219.50	-379.50	C;P
RENN	2018-05-25, 12:14:40	-1,100	8.9118182	9.1000	9,803.00	-5.50	-12,111.50	-2,314.00	-207.00	C;P
RENN	2018-05-25, 12:21:46	-3,100	8.9302097	9.1000	27,683.65	-15.50	-34,133.90	-6,465.75	-526.35	C;P
RENN	2018-05-25, 13:02:49	-6,100	8.9604098	9.1000	54,658.50	-30.50	-67,016.50	-12,388.50	-851.50	C;P
RENN	2018-05-29, 10:34:33	-600	9.0000	8.7900	5,400.00	-3.00	-6,571.00	-1,174.00	126.00	C;P
RENN	2018-05-29, 10:40:19	-500	9.0000	8.7900	4,500.00	-2.50	-5,473.50	-976.00	105.00	C;P
RENN	2018-05-29, 10:41:36	-200	9.0000	8.7900	1,800.00	-1.00	-2,187.93	-388.93	42.00	C;P
RENN	2018-05-29, 10:42:07	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.93	-390.93	42.00	C;P
RENN	2018-05-29, 10:42:22	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:42:34	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:42:52	-200	9.0000	8.7900	1,800.00	-1.00	-2,190.00	-391.00	42.00	C;P
RENN	2018-05-29, 10:43:05	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:43:16	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:43:26	-200	9.0000	8.7900	1,800.00	-1.00	-2,190.00	-391.00	42.00	C;P
RENN	2018-05-29, 10:43:43	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:43:54	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:44:06	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:44:25	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:44:34	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:44:46	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:44:56	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:45:05	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:45:15	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:45:27	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:45:41	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:45:55	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:46:09	-200	9.0000	8.7900	1,800.00	-1.00	-2,190.00	-391.00	42.00	C;P
RENN	2018-05-29, 10:46:21	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:46:32	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:46:39	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:46:49	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:47:00	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:47:10	-200	9.0000	8.7900	1,800.00	-1.00	-2,189.00	-390.00	42.00	C;P
RENN	2018-05-29, 10:47:18	-200	9.0000	8.7900	1,800.00	-1.00	-2,190.00	-391.00	42.00	C;P
RENN	2018-05-29, 10:47:26	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:47:36	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:47:46	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:47:53	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:48:00	-200	9.0000	8.7900	1,800.00	-1.00	-2,191.00	-392.00	42.00	C;P
RENN	2018-05-29, 10:48:07	-200	9.0000	8.7900	1,800.00	-1.00	-2,177.25	-378.25	42.00	C;P
RENN	2018-05-29, 10:48:16	-200	9.0000	8.7900	1,800.00	-1.00	-2,176.75	-377.75	42.00	C;P
RENN	2018-05-29, 10:48:23	-200	9.0000	8.7900	1,800.00	-1.00	-2,177.00	-378.00	42.00	C;P
RENN	2018-05-29, 10:48:30	-200	9.0000	8.7900	1,800.00	-1.00	-2,176.00	-377.00	42.00	C;P
RENN	2018-05-29, 10:48:39	-200	9.0000	8.7900	1,800.00	-1.00	-2,175.00	-376.00	42.00	C;P
RENN	2018-05-29, 10:48:49	-200	9.0000	8.7900	1,800.00	-1.00	-2,174.00	-375.00	42.00	C;P
RENN	2018-05-29, 10:49:01	-200	9.0000	8.7900	1,800.00	-1.00	-2,173.00	-374.00	42.00	C;P
RENN	2018-05-29, 10:49:09	-200	9.0000	8.7900	1,800.00	-1.00	-2,174.00	-375.00	42.00	C;P
RENN	2018-05-29, 10:50:11	-300	9.0000	8.7900	2,700.00	-1.50	-3,262.50	-564.00	63.00	C;P
RENN	2018-05-29, 10:50:23	-200	9.0000	8.7900	1,800.00	-1.00	-2,175.00	-376.00	42.00	C;P
RENN	2018-05-29, 10:50:33	-200	9.0000	8.7900	1,800.00	-1.00	-2,175.00	-376.00	42.00	C;P
RENN	2018-05-29, 10:50:42	-200	9.0000	8.7900	1,800.00	-1.00	-2,175.00	-376.00	42.00	C;P
RENN	2018-05-29, 10:50:58	-200	9.0000	8.7900	1,800.00	-1.00	-2,175.00	-376.00	42.00	C;P
RENN	2018-05-29, 10:51:08	-200	9.0000	8.7900	1,800.00	-1.00	-2,175.00	-376.00	42.00	C;P

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NYSCEF DOC. NO. 834

Account Management

RECEIVED NYSCEF: 12/02/2021

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
RENN	2018-05-29, 10:51:18	-200	9.0000	8.7900	1,800.00	-1.00	-2,175.00	-376.00	42.00	C;P
RENN	2018-05-29, 10:51:26	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:51:34	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:51:44	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:51:53	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:52:01	-300	9.0000	8.7900	2,700.00	-1.50	-3,331.50	-633.00	63.00	C;P
RENN	2018-05-29, 10:52:09	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:52:17	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:52:24	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:52:33	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:52:51	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:53:03	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:53:11	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:54:21	-1,800	9.0000	8.7900	16,200.00	-9.00	-19,989.00	-3,798.00	378.00	C;P
RENN	2018-05-29, 10:55:15	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:55:26	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:55:35	-300	9.0000	8.7900	2,700.00	-1.50	-3,331.50	-633.00	63.00	C;P
RENN	2018-05-29, 10:55:48	-300	9.0000	8.7900	2,700.00	-1.50	-3,331.50	-633.00	63.00	C;P
RENN	2018-05-29, 10:56:06	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:56:15	-200	9.0000	8.7900	1,800.00	-1.00	-2,221.00	-422.00	42.00	C;P
RENN	2018-05-29, 10:59:13	-300	9.0100	8.7900	2,703.00	-1.50	-3,331.50	-630.00	66.00	C;P
RENN	2018-06-01, 13:03:12	-1,000	8.9200	8.8500	8,920.00	-5.00	-11,105.00	-2,190.00	70.00	C;P
RENN	2018-06-01, 13:23:50	-1,000	8.9300	8.8500	8,930.00	-5.00	-11,105.00	-2,180.00	80.00	C;P
RENN	2018-06-01, 13:52:01	-308	8.9400	8.8500	2,753.52	-1.54	-3,451.14	-699.16	27.72	C
RENN	2018-06-11, 12:09:36	-3,800	8.9055263	8.8300	33,841.00	-19.00	-42,575.00	-8,753.00	287.00	C;P
RENN	2018-06-11, 13:11:07	-3,300	8.9400	8.8300	29,502.00	-16.50	-36,976.50	-7,491.00	363.00	C;P
RENN	2018-06-11, 13:23:15	-100	8.9500	8.8300	895.00	-1.00	-1,120.50	-226.50	12.00	C
RENN	2018-06-12, 11:06:33	-1,100	9.0500	9.0500	9,955.00	-5.50	-12,265.50	-2,316.00	0.00	C;P
RENN	2018-06-12, 11:08:06	-1,100	9.0400	9.0500	9,944.00	-5.50	-12,325.50	-2,387.00	-11.00	C;P
RENN	2018-06-12, 11:08:26	-400	9.0400	9.0500	3,616.00	-2.00	-4,482.00	-868.00	-4.00	C;P
RENN	2018-06-12, 11:08:42	-200	9.0400	9.0500	1,808.00	-1.00	-2,241.00	-434.00	-2.00	C;P
RENN	2018-06-12, 11:09:40	-200	9.0400	9.0500	1,808.00	-1.00	-2,235.00	-428.00	-2.00	C;P
RENN	2018-06-12, 11:10:02	-5,300	9.0300	9.0500	47,859.00	-26.50	-59,071.26	-11,238.76	-106.00	C;P
RENN	2018-06-12, 11:21:44	-500	9.0500	9.0500	4,525.00	-2.50	-5,552.50	-1,030.00	0.00	C;P
RENN	2018-06-12, 11:21:59	-200	9.0400	9.0500	1,808.00	-1.00	-2,221.00	-414.00	-2.00	C;P
RENN	2018-06-12, 11:23:34	-700	9.0400	9.0500	6,328.00	-3.50	-7,773.50	-1,449.00	-7.00	C;P
RENN	2018-06-12, 11:23:51	-800	9.0400	9.0500	7,232.00	-4.00	-8,884.00	-1,656.00	-8.00	C;P
RENN	2018-06-12, 11:24:05	-600	9.0400	9.0500	5,424.00	-3.00	-6,663.00	-1,242.00	-6.00	C;P
RENN	2018-06-12, 11:25:13	-800	9.0300	9.0500	7,224.00	-4.00	-8,810.56	-1,590.56	-16.00	C
RENN	2018-06-12, 11:52:43	-700	9.0000	9.0500	6,300.00	-3.50	-7,645.42	-1,348.92	-35.00	C;P
RENN	2018-06-12, 14:45:33	-300	9.0300	9.0500	2,709.00	-1.50	-3,274.58	-567.08	-6.00	C;P
RENN	2018-06-12, 14:45:59	-400	9.0400	9.0500	3,616.00	-2.00	-4,370.00	-756.00	-4.00	C;P
RENN	2018-06-12, 14:51:57	-2,100	9.0458762	9.0500	18,996.34	-10.50	-22,940.00	-3,954.16	-8.66	C;P
RENN	2018-06-12, 14:52:04	-500	9.0500	9.0500	4,525.00	-2.50	-5,462.50	-940.00	0.00	C
RENN	2018-06-12, 14:52:04	-3,100	9.0526323	9.0500	28,063.16	-15.50	-33,867.00	-5,819.34	8.16	C;P
RENN	2018-06-12, 14:53:38	-2,100	9.0600	9.0500	19,026.00	-10.50	-22,942.50	-3,927.00	21.00	C;P
RENN	2018-06-13, 09:37:47	-600	9.0900	9.2800	5,454.00	-3.00	-6,488.22	-1,037.22	-114.00	C;P
RENN	2018-06-13, 09:42:03	-700	9.0900	9.2800	6,363.00	-3.50	-7,423.26	-1,063.76	-133.00	C;P
RENN	2018-06-13, 09:44:41	-400	9.0800	9.2800	3,632.00	-2.00	-4,242.00	-612.00	-80.00	C;P
RENN	2018-06-13, 09:44:54	-400	9.0800	9.2800	3,632.00	-2.00	-4,242.00	-612.00	-80.00	C;P
RENN	2018-06-13, 09:45:07	-500	9.0800	9.2800	4,540.00	-2.50	-5,302.50	-765.00	-100.00	C;P
RENN	2018-06-13, 09:45:25	-500	9.0800	9.2800	4,540.00	-2.50	-5,302.50	-765.00	-100.00	C
RENN	2018-06-13, 09:46:05	-500	9.0800	9.2800	4,540.00	-2.50	-5,301.50	-764.00	-100.00	C
RENN	2018-06-13, 09:46:17	-800	9.081125	9.2800	7,264.90	-4.00	-8,481.79	-1,220.89	-159.10	C;P
RENN	2018-06-13, 09:46:26	-900	9.0800	9.2800	8,172.00	-4.50	-9,531.08	-1,363.58	-180.00	C;P
RENN	2018-06-13, 09:47:12	-400	9.0800	9.2800	3,632.00	-2.00	-4,230.00	-600.00	-80.00	C;P
RENN	2018-06-13, 09:47:48	-400	9.0800	9.2800	3,632.00	-2.00	-4,228.79	-598.79	-80.00	C;P
RENN	2018-06-13, 09:47:53	-400	9.0800	9.2800	3,632.00	-2.00	-4,225.79	-595.79	-80.00	C;P
RENN	2018-06-13, 09:49:51	-400	9.0800	9.2800	3,632.00	-2.00	-4,226.05	-596.05	-80.00	C
RENN	2018-06-13, 09:49:55	-400	9.0800	9.2800	3,632.00	-2.00	-4,242.00	-612.00	-80.00	C;P
RENN	2018-06-13, 09:54:27	-300	9.0700	9.2800	2,721.00	-1.50	-3,179.29	-459.79	-63.00	C
RENN	2018-06-13, 09:55:18	-400	9.0800	9.2800	3,632.00	-2.00	-4,238.00	-608.00	-80.00	C;P
RENN	2018-06-13, 09:55:37	-400	9.0800	9.2800	3,632.00	-2.00	-4,236.00	-606.00	-80.00	C;P
RENN	2018-06-13, 09:57:10	-400	9.0800	9.2800	3,632.00	-2.00	-4,238.00	-608.00	-80.00	C;P
RENN	2018-06-13, 10:00:53	-400	9.0800	9.2800	3,632.00	-2.00	-4,239.45	-609.45	-80.00	C;P
RENN	2018-06-13, 10:01:06	-400	9.08155	9.2800	3,632.62	-2.00	-4,242.00	-611.38	-79.38	C;P
RENN	2018-06-13, 10:01:15	-400	9.0800	9.2800	3,632.00	-2.00	-4,228.00	-598.00	-80.00	C;P
RENN	2018-06-13, 10:06:16	-400	9.0400	9.2800	3,616.00	-2.00	-4,242.00	-628.00	-96.00	C;P
RENN	2018-06-13, 10:06:32	-400	9.0400	9.2800	3,616.00	-2.00	-4,227.44	-613.44	-96.00	C;P

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
RENN	2018-06-13, 10:17:29	-600	9.0400	9.2800	5,424.00	-3.00	-6,321.00	-900.00	-144.00	C;P
RENN	2018-06-13, 10:17:44	-500	9.0410	9.2800	4,520.50	-2.50	-5,267.50	-749.50	-119.50	C;P
RENN	2018-06-13, 10:19:26	-500	9.0700	9.2800	4,535.00	-2.50	-5,267.50	-735.00	-105.00	C;P
RENN	2018-06-13, 10:19:47	-300	9.0800	9.2800	2,724.00	-1.50	-3,160.50	-438.00	-60.00	C;P
RENN	2018-06-13, 10:20:10	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:20:28	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:20:38	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:20:49	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:21:49	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:24:43	-400	9.0900	9.2800	3,636.00	-2.00	-4,214.00	-580.00	-76.00	C;P
RENN	2018-06-13, 10:26:18	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:29:22	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:30:54	-400	9.0800	9.2800	3,632.00	-2.00	-4,214.00	-584.00	-80.00	C;P
RENN	2018-06-13, 10:49:11	-500	9.0900	9.2800	4,545.00	-2.50	-5,112.46	-569.96	-95.00	C;P
RENN	2018-06-13, 10:55:48	-400	9.0725	9.2800	3,629.00	-2.00	-4,062.00	-435.00	-83.00	C;P
RENN	2018-06-13, 10:56:31	-400	9.0800	9.2800	3,632.00	-2.00	-4,062.00	-432.00	-80.00	C;P
RENN	2018-06-13, 10:56:47	-400	9.0800	9.2800	3,632.00	-2.00	-4,061.52	-431.52	-80.00	C;P
RENN	2018-06-13, 10:56:57	-400	9.0800	9.2800	3,632.00	-2.00	-4,060.48	-430.48	-80.00	C;P
RENN	2018-06-13, 10:57:25	-800	9.0800	9.2800	7,264.00	-4.00	-8,124.00	-864.00	-160.00	C;P
RENN	2018-06-13, 11:01:18	-400	9.0600	9.2800	3,624.00	-2.00	-4,062.00	-440.00	-88.00	C
RENN	2018-06-13, 11:01:37	-400	9.0700	9.2800	3,628.00	-2.00	-4,062.00	-436.00	-84.00	C
RENN	2018-06-13, 11:01:49	-400	9.0700	9.2800	3,628.00	-2.00	-4,062.00	-436.00	-84.00	C;P
RENN	2018-06-13, 11:05:18	-400	9.0700	9.2800	3,628.00	-2.00	-4,062.00	-436.00	-84.00	C
RENN	2018-06-13, 11:07:52	-400	9.0700	9.2800	3,628.00	-2.00	-4,062.00	-436.00	-84.00	C;P
RENN	2018-06-13, 11:08:04	-400	9.0700	9.2800	3,628.00	-2.00	-4,062.00	-436.00	-84.00	C;P
RENN	2018-06-13, 11:10:21	-400	9.0700	9.2800	3,628.00	-2.00	-4,060.92	-434.92	-84.00	C;P
RENN	2018-06-13, 11:11:47	-400	9.0700	9.2800	3,628.00	-2.00	-4,058.00	-432.00	-84.00	C;P
RENN	2018-06-13, 11:13:42	-1,700	9.0600	9.2800	15,402.00	-8.50	-17,252.58	-1,859.08	-374.00	C;P
RENN	2018-06-13, 11:24:00	-400	9.0700	9.2800	3,628.00	-2.00	-4,062.00	-436.00	-84.00	C;P
RENN	2018-06-13, 11:34:03	-3,000	9.0900	9.2800	27,270.00	-15.00	-30,024.60	-2,769.60	-570.00	C;P
RENN	2018-06-13, 11:38:55	-400	9.0900	9.2800	3,636.00	-2.00	-4,062.00	-428.00	-76.00	C
RENN	2018-06-13, 11:54:51	-3,000	9.1100	9.2800	27,330.00	-15.00	-29,658.24	-2,343.24	-510.00	C;P
RENN	2018-06-13, 12:06:28	-5,100	9.1511765	9.2800	46,671.00	-25.50	-51,674.50	-5,029.00	-657.00	C;P
RENN	2018-06-14, 09:58:30	-300	9.2800	9.7800	2,784.00	-1.50	-3,038.42	-255.92	-150.00	C
RENN	2018-06-14, 09:58:42	-300	9.2800	9.7800	2,784.00	-1.50	-3,037.50	-255.00	-150.00	C;P
RENN	2018-06-14, 09:58:55	-400	9.2800	9.7800	3,712.00	-2.00	-4,050.00	-340.00	-200.00	C;P
RENN	2018-06-14, 10:00:40	-400	9.2800	9.7800	3,712.00	-2.00	-4,050.00	-340.00	-200.00	C
RENN	2018-06-14, 10:00:50	-600	9.2809	9.7800	5,568.54	-3.00	-6,079.52	-513.98	-299.46	C;P
RENN	2018-06-14, 10:00:58	-400	9.2800	9.7800	3,712.00	-2.00	-4,053.56	-343.56	-200.00	C;P
RENN	2018-06-14, 10:01:06	-400	9.2800	9.7800	3,712.00	-2.00	-4,053.90	-343.90	-200.00	C;P
RENN	2018-06-14, 10:03:51	-200	9.2700	9.7800	1,854.00	-1.00	-2,027.00	-174.00	-102.00	C
RENN	2018-06-14, 10:04:56	-400	9.2800	9.7800	3,712.00	-2.00	-4,054.00	-344.00	-200.00	C;P
RENN	2018-06-14, 10:14:29	-300	9.3266667	9.7800	2,798.00	-1.50	-3,040.50	-244.00	-136.00	C;P
RENN	2018-06-14, 10:14:39	-300	9.3300	9.7800	2,799.00	-1.50	-3,040.50	-243.00	-135.00	C;P
RENN	2018-06-14, 10:14:52	-300	9.3300	9.7800	2,799.00	-1.50	-3,040.50	-243.00	-135.00	C;P
RENN	2018-06-14, 10:15:03	-300	9.3300	9.7800	2,799.00	-1.50	-3,036.74	-239.24	-135.00	C;P
RENN	2018-06-14, 10:15:11	-300	9.3300	9.7800	2,799.00	-1.50	-2,899.46	-101.96	-135.00	C;P
RENN	2018-06-14, 10:15:19	-300	9.3350	9.7800	2,800.50	-1.50	-2,896.47	-97.47	-133.50	C;P
RENN	2018-06-14, 10:15:27	-500	9.33408	9.7800	4,667.04	-2.50	-4,831.57	-167.03	-222.96	C;P
RENN	2018-06-14, 10:15:36	-500	9.3340	9.7800	4,667.00	-2.50	-4,832.50	-168.00	-223.00	C;P
RENN	2018-06-14, 10:15:36	-300	9.3400	9.7800	2,802.00	-1.50	-2,899.50	-99.00	-132.00	C
RENN	2018-06-14, 10:16:41	-200	9.3400	9.7800	1,868.00	-1.00	-1,933.00	-66.00	-88.00	C
RENN	2018-06-14, 10:16:41	-200	9.3400	9.7800	1,868.00	-1.00	-1,933.00	-66.00	-88.00	C
RENN	2018-06-14, 10:19:20	-400	9.3600	9.7800	3,744.00	-2.00	-3,866.00	-124.00	-168.00	C;P
RENN	2018-06-14, 10:19:45	-400	9.3600	9.7800	3,744.00	-2.00	-3,866.00	-124.00	-168.00	C;P
RENN	2018-06-14, 10:21:17	-400	9.3600	9.7800	3,744.00	-2.00	-3,866.00	-124.00	-168.00	C;P
RENN	2018-06-14, 10:21:33	-300	9.3600	9.7800	2,808.00	-1.50	-2,899.50	-93.00	-126.00	C
RENN	2018-06-14, 10:22:44	-300	9.4000	9.7800	2,820.00	-1.50	-2,899.50	-81.00	-114.00	C;P
RENN	2018-06-14, 10:22:55	-400	9.4000	9.7800	3,760.00	-2.00	-3,866.00	-108.00	-152.00	C
RENN	2018-06-14, 10:23:45	-400	9.4000	9.7800	3,760.00	-2.00	-3,866.00	-108.00	-152.00	C;P
RENN	2018-06-14, 10:24:12	-400	9.4000	9.7800	3,760.00	-2.00	-3,866.00	-108.00	-152.00	C;P
RENN	2018-06-14, 10:25:18	-300	9.4100	9.7800	2,823.00	-1.50	-2,899.50	-78.00	-111.00	C;P
RENN	2018-06-14, 10:25:31	-300	9.4009	9.7800	2,820.27	-1.50	-2,899.50	-80.73	-113.73	C;P
RENN	2018-06-14, 10:29:12	-300	9.3700	9.7800	2,811.00	-1.50	-2,899.50	-90.00	-123.00	C;P
RENN	2018-06-14, 10:33:14	-300	9.3900	9.7800	2,817.00	-1.50	-2,899.50	-84.00	-117.00	C;P
RENN	2018-06-14, 10:33:14	-300	9.3900	9.7800	2,817.00	-1.50	-2,899.50	-84.00	-117.00	C;P
RENN	2018-06-14, 10:34:16	-400	9.4000	9.7800	3,760.00	-2.00	-3,866.00	-108.00	-152.00	C;P
RENN	2018-06-14, 10:35:05	-300	9.4100	9.7800	2,823.00	-1.50	-2,899.50	-78.00	-111.00	C;P
RENN	2018-06-14, 10:35:14	-300	9.4100	9.7800	2,823.00	-1.50	-2,899.50	-78.00	-111.00	C;P

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
RENN	2018-06-14, 10:36:43	-300	9.3900	9.7800	2,817.00	-1.50	-2,864.62	-49.12	-117.00	C;P
RENN	2018-06-14, 10:41:42	-300	9.3300	9.7800	2,799.00	-1.50	-2,899.50	-102.00	-135.00	C
RENN	2018-06-14, 10:44:00	-300	9.3300	9.7800	2,799.00	-1.50	-2,899.50	-102.00	-135.00	C;P
RENN	2018-06-14, 10:44:13	-300	9.3317333	9.7800	2,799.52	-1.50	-2,899.50	-101.48	-134.48	C;P
RENN	2018-06-14, 10:44:29	-900	9.3316	9.7800	8,398.44	-4.50	-8,698.50	-304.56	-403.56	C;P
RENN	2018-06-14, 10:45:20	-300	9.3400	9.7800	2,802.00	-1.50	-2,883.50	-83.00	-132.00	C;P
RENN	2018-06-14, 10:45:20	-200	9.3400	9.7800	1,868.00	-1.00	-1,918.60	-51.60	-88.00	C
RENN	2018-06-14, 10:45:31	-300	9.3400	9.7800	2,802.00	-1.50	-2,850.15	-49.65	-132.00	C
RENN	2018-06-14, 10:46:01	-300	9.3400	9.7800	2,802.00	-1.50	-2,846.85	-46.35	-132.00	C
RENN	2018-06-14, 10:46:37	-300	9.3500	9.7800	2,805.00	-1.50	-2,851.50	-48.00	-129.00	C;P
RENN	2018-06-14, 10:46:47	-300	9.3500	9.7800	2,805.00	-1.50	-2,851.50	-48.00	-129.00	C
RENN	2018-06-14, 10:46:57	-300	9.3500	9.7800	2,805.00	-1.50	-2,851.50	-48.00	-129.00	C;P
RENN	2018-06-14, 10:47:17	-300	9.3409	9.7800	2,802.27	-1.50	-2,851.50	-50.73	-131.73	C;P
RENN	2018-06-14, 10:48:50	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.50	-54.00	-135.00	C;P
RENN	2018-06-14, 10:49:36	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.50	-54.00	-135.00	C;P
RENN	2018-06-14, 10:49:52	-400	9.3300	9.7800	3,732.00	-2.00	-3,802.00	-72.00	-180.00	C;P
RENN	2018-06-14, 10:50:07	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.50	-54.00	-135.00	C;P
RENN	2018-06-14, 10:50:23	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.50	-54.00	-135.00	C;P
RENN	2018-06-14, 10:50:41	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.50	-54.00	-135.00	C;P
RENN	2018-06-14, 10:51:02	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.50	-54.00	-135.00	C
RENN	2018-06-14, 10:51:18	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.50	-54.00	-135.00	C
RENN	2018-06-14, 10:51:29	-1,000	9.33082	9.7800	9,330.82	-5.00	-9,505.00	-179.18	-449.18	C;P
RENN	2018-06-14, 10:51:39	-1,000	9.33108	9.7800	9,331.08	-5.00	-9,505.00	-178.92	-448.92	C;P
RENN	2018-06-14, 10:54:50	-300	9.3300	9.7800	2,799.00	-1.50	-2,850.70	-53.20	-135.00	C
RENN	2018-06-14, 10:55:15	-300	9.3300	9.7800	2,799.00	-1.50	-2,848.50	-51.00	-135.00	C;P
RENN	2018-06-14, 10:57:57	-200	9.3200	9.7800	1,864.00	-1.00	-1,899.00	-36.00	-92.00	C
RENN	2018-06-14, 11:00:10	-200	9.3200	9.7800	1,864.00	-1.00	-1,900.80	-37.80	-92.00	C;P
RENN	2018-06-14, 11:01:28	-300	9.3300	9.7800	2,799.00	-1.50	-2,851.41	-53.91	-135.00	C;P
RENN	2018-06-14, 11:04:01	-300	9.3200	9.7800	2,796.00	-1.50	-2,851.26	-56.76	-138.00	C
RENN	2018-06-14, 11:04:24	-300	9.3200	9.7800	2,796.00	-1.50	-2,851.50	-57.00	-138.00	C
RENN	2018-06-14, 11:04:46	-300	9.3200	9.7800	2,796.00	-1.50	-2,851.19	-56.69	-138.00	C;P
RENN	2018-06-14, 11:08:38	-200	9.3200	9.7800	1,864.00	-1.00	-1,900.87	-37.87	-92.00	C
RENN	2018-06-14, 11:08:55	-300	9.3600	9.7800	2,808.00	-1.50	-2,851.50	-45.00	-126.00	C
RENN	2018-06-14, 11:09:06	-300	9.3800	9.7800	2,814.00	-1.50	-2,851.50	-39.00	-120.00	C;P
RENN	2018-06-14, 11:09:14	-400	9.3800	9.7800	3,752.00	-2.00	-3,750.88	-0.88	-160.00	C;P
RENN	2018-06-14, 11:09:38	-800	9.37125	9.7800	7,497.00	-4.00	-7,455.28	37.72	-327.00	C;P
RENN	2018-06-14, 11:16:11	-300	9.3600	9.7800	2,808.00	-1.50	-2,785.50	21.00	-126.00	C
RENN	2018-06-14, 11:17:22	-900	9.3709	9.7800	8,433.81	-4.50	-8,355.26	74.05	-368.19	C;P
RENN	2018-06-14, 11:27:49	-300	9.3900	9.7800	2,817.00	-1.50	-2,773.50	42.00	-117.00	C
RENN	2018-06-14, 11:37:12	-400	9.4200	9.7800	3,768.00	-2.00	-3,700.08	65.92	-144.00	C;P
RENN	2018-06-14, 11:59:05	-2,300	9.5800	9.7800	22,034.00	-11.50	-21,255.10	767.40	-460.00	C;P
RENN	2018-06-14, 12:00:31	-400	9.5400	9.7800	3,816.00	-2.00	-3,682.00	132.00	-96.00	C;P
RENN	2018-06-14, 12:04:02	-300	9.5200	9.7800	2,856.00	-1.50	-2,761.50	93.00	-78.00	C
RENN	2018-06-14, 12:05:24	-300	9.5200	9.7800	2,856.00	-1.50	-2,761.50	93.00	-78.00	C;P
RENN	2018-06-14, 12:05:34	-500	9.5200	9.7800	4,760.00	-2.50	-4,602.50	155.00	-130.00	C
RENN	2018-06-14, 12:05:36	-400	9.5300	9.7800	3,812.00	-2.00	-3,682.00	128.00	-100.00	C
RENN	2018-06-14, 12:05:38	-300	9.5400	9.7800	2,862.00	-1.50	-2,761.50	99.00	-72.00	C
RENN	2018-06-14, 12:06:01	-1,500	9.5345533	9.7800	14,301.83	-7.50	-13,807.50	486.83	-368.17	C;P
RENN	2018-06-14, 12:09:35	-1,100	9.4912509	9.7800	10,440.38	-5.50	-10,123.34	311.54	-317.62	C;P
RENN	2018-06-14, 12:09:45	-1,000	9.4950144	9.7800	9,495.01	-5.00	-9,173.92	316.09	-284.99	C;P
RENN	2018-06-14, 12:10:06	-300	9.5073333	9.7800	2,852.20	-1.50	-2,752.50	98.20	-81.80	C;P
RENN	2018-06-14, 12:10:14	-300	9.5200	9.7800	2,856.00	-1.50	-2,752.50	102.00	-78.00	C
RENN	2018-06-14, 12:10:16	-300	9.5300	9.7800	2,859.00	-1.50	-2,749.52	107.98	-75.00	C
RENN	2018-06-14, 12:10:24	-600	9.5331833	9.7800	5,719.91	-3.00	-5,499.00	217.91	-148.09	C;P
RENN	2018-06-14, 12:10:33	-600	9.5331833	9.7800	5,719.91	-3.00	-5,499.00	217.91	-148.09	C;P
RENN	2018-06-14, 12:10:40	-600	9.5324417	9.7800	5,719.46	-3.00	-5,514.64	201.82	-148.54	C;P
RENN	2018-06-14, 12:10:47	-500	9.53209	9.7800	4,766.04	-2.50	-4,479.14	284.41	-123.96	C;P
RENN	2018-06-14, 12:11:42	-1,000	9.51158	9.7800	9,511.58	-5.00	-8,205.00	1,301.58	-268.42	C;P
RENN	2018-06-14, 12:11:50	-1,000	9.5105	9.7800	9,510.50	-5.00	-8,202.12	1,303.38	-269.50	C;P
RENN	2018-06-14, 12:12:05	-300	9.5100	9.7800	2,853.00	-1.50	-2,443.50	408.00	-81.00	C
RENN	2018-06-14, 12:12:25	-400	9.5100	9.7800	3,804.00	-2.00	-3,258.00	544.00	-108.00	C;P
RENN	2018-06-14, 12:12:30	-300	9.5200	9.7800	2,856.00	-1.50	-2,443.50	411.00	-78.00	C;P
RENN	2018-06-14, 12:12:48	-400	9.5400	9.7800	3,816.00	-2.00	-3,258.00	556.00	-96.00	C;P
RENN	2018-06-14, 12:12:55	-500	9.54286	9.7800	4,771.43	-2.50	-4,072.50	696.43	-118.57	C;P
RENN	2018-06-14, 12:13:06	-300	9.5400	9.7800	2,862.00	-1.50	-2,441.20	419.30	-72.00	C;P
RENN	2018-06-14, 12:13:16	-300	9.5300	9.7800	2,859.00	-1.50	-2,443.50	414.00	-75.00	C;P
RENN	2018-06-14, 12:13:30	-300	9.5329833	9.7800	2,859.90	-1.50	-2,443.11	415.28	-74.10	C;P
RENN	2018-06-14, 12:13:38	-300	9.5300	9.7800	2,859.00	-1.50	-2,442.83	414.67	-75.00	C;P
RENN	2018-06-14, 12:15:04	-300	9.5300	9.7800	2,859.00	-1.50	-2,443.08	414.42	-75.00	C

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
RENN	2018-06-14, 12:15:04	-200	9.5200	9.7800	1,904.00	-1.00	-1,627.44	275.56	-52.00	C
RENN	2018-06-14, 12:15:20	-300	9.5427	9.7800	2,862.81	-1.50	-2,440.58	420.73	-71.19	C;P
RENN	2018-06-14, 12:15:21	-300	9.5500	9.7800	2,865.00	-1.50	-2,443.50	420.00	-69.00	C;P
RENN	2018-06-14, 12:15:27	-300	9.5400	9.7800	2,862.00	-1.50	-2,443.50	417.00	-72.00	C;P
RENN	2018-06-14, 12:15:40	-900	9.5309	9.7800	8,577.81	-4.50	-7,330.50	1,242.81	-224.19	C;P
RENN	2018-06-14, 12:16:10	-300	9.5203333	9.7800	2,856.10	-1.50	-2,443.50	411.10	-77.90	C;P
RENN	2018-06-14, 12:16:23	-200	9.5200	9.7800	1,904.00	-1.00	-1,629.00	274.00	-52.00	C
RENN	2018-06-14, 12:16:39	-400	9.5300	9.7800	3,812.00	-2.00	-3,258.00	552.00	-100.00	C
RENN	2018-06-14, 12:17:02	-200	9.5400	9.7800	1,908.00	-1.00	-1,629.00	278.00	-48.00	C;P
RENN	2018-06-14, 12:17:13	-300	9.5500	9.7800	2,865.00	-1.50	-2,489.83	373.67	-69.00	C;P
RENN	2018-06-14, 12:17:25	-300	9.5400	9.7800	2,862.00	-1.50	-2,442.50	418.00	-72.00	C;P
RENN	2018-06-14, 12:18:42	-300	9.5200	9.7800	2,856.00	-1.50	-2,442.55	411.95	-78.00	C;P
RENN	2018-06-14, 12:18:53	-300	9.5300	9.7800	2,859.00	-1.50	-2,442.45	415.05	-75.00	C
RENN	2018-06-14, 12:19:10	-300	9.5300	9.7800	2,859.00	-1.50	-2,440.55	416.95	-75.00	C
RENN	2018-06-14, 12:19:25	-300	9.5300	9.7800	2,859.00	-1.50	-2,440.50	417.00	-75.00	C
RENN	2018-06-14, 12:19:47	-300	9.5300	9.7800	2,859.00	-1.50	-2,440.50	417.00	-75.00	C
RENN	2018-06-14, 12:19:55	-300	9.5400	9.7800	2,862.00	-1.50	-2,440.50	420.00	-72.00	C;P
RENN	2018-06-14, 12:20:00	-1,600	9.5400	9.7800	15,264.00	-8.00	-13,279.90	1,976.10	-384.00	C;P
RENN	2018-06-14, 12:20:27	-1,000	9.5700	9.7800	9,570.00	-5.00	-8,555.00	1,010.00	-210.00	C
RENN	2018-06-14, 12:20:39	-300	9.5700	9.7800	2,871.00	-1.50	-2,566.50	303.00	-63.00	C
RENN	2018-06-14, 12:20:47	-300	9.5700	9.7800	2,871.00	-1.50	-2,566.50	303.00	-63.00	C
RENN	2018-06-14, 12:20:56	-300	9.5700	9.7800	2,871.00	-1.50	-2,566.50	303.00	-63.00	C;P
RENN	2018-06-14, 12:20:59	-300	9.5800	9.7800	2,874.00	-1.50	-2,566.50	306.00	-60.00	C
RENN	2018-06-14, 12:20:59	-1,000	9.5900	9.7800	9,590.00	-5.00	-8,555.00	1,030.00	-190.00	C
RENN	2018-06-14, 12:21:04	-1,000	9.6200	9.7800	9,620.00	-5.00	-8,555.00	1,060.00	-160.00	C
RENN	2018-06-14, 12:22:50	-300	9.5900	9.7800	2,877.00	-1.50	-2,566.50	309.00	-57.00	C;P
RENN	2018-06-14, 12:25:38	-1,000	9.6200	9.7800	9,620.00	-5.00	-8,555.00	1,060.00	-160.00	C;P
RENN	2018-06-14, 12:38:24	-2,000	9.6500	9.7800	19,300.00	-10.00	-17,110.00	2,180.00	-260.00	C;P
RENN	2018-06-14, 12:51:54	-1,000	9.6700	9.7800	9,670.00	-5.00	-8,555.00	1,110.00	-110.00	C
RENN	2018-06-14, 12:54:49	-2,000	9.7000	9.7800	19,400.00	-10.00	-17,025.52	2,364.48	-160.00	C
Total RENN		-144,526			1,337,868.68	-851.95	-1,480,364.50	-143,347.77	-23,212.92	
XIN	2018-06-12, 15:38:51	4,400	4.9979545	4.9900	-21,991.00	-22.00	22,013.00	0.00	-35.00	O;P
XIN	2018-06-13, 09:43:52	1,214	4.9500	4.9500	-6,009.30	-6.07	6,015.37	0.00	0.00	O;P
XIN	2018-06-13, 10:04:11	600	4.9600	4.9500	-2,976.00	-3.00	2,979.00	0.00	-6.00	O;P
XIN	2018-06-13, 10:04:43	600	4.9585	4.9500	-2,975.10	-3.00	2,978.10	0.00	-5.10	O;P
XIN	2018-06-14, 10:57:18	1,000	4.9600	4.9400	-4,960.00	-5.00	4,965.00	0.00	-20.00	O;P
XIN	2018-06-14, 10:57:57	1,000	4.9600	4.9400	-4,960.00	-5.00	4,965.00	0.00	-20.00	O;P
XIN	2018-06-14, 12:30:58	1,200	4.9600	4.9400	-5,952.00	-6.00	5,958.00	0.00	-24.00	O;P
XIN	2018-06-15, 09:36:41	500	4.9300	4.8100	-2,465.00	-2.50	2,467.50	0.00	-60.00	O
XIN	2018-06-15, 09:37:04	500	4.9300	4.8100	-2,465.00	-2.50	2,467.50	0.00	-60.00	O
XIN	2018-06-15, 09:37:06	400	4.9285	4.8100	-1,971.40	-2.00	1,973.40	0.00	-47.40	O
XIN	2018-06-15, 09:37:17	900	4.9286667	4.8100	-4,435.80	-4.50	4,440.30	0.00	-106.80	O;P
XIN	2018-06-15, 09:40:50	1,700	4.9100	4.8100	-8,347.00	-8.50	8,355.50	0.00	-170.00	O;P
Total XIN		14,014			-69,507.60	-70.07	69,577.67	0.00	-554.30	
Total					1,339,279.49	-1,027.52	-1,490,120.28	-151,868.31	-36,965.81	
Total in SGD					1,796,735.75	-1,378.02	-1,998,594.44	-203,236.71	-49,656.86	
SYMBOL	DATE/TIME	QUANTITY	T. PRICE		PROCEEDS	COMM IN SGD			MTM IN SGD	CODE
Forex										
HKD										
USD.HKD	2018-04-29, 23:20:04	305,000	7.84833		-2,393,740.65	-8.08			0.95	
USD.HKD	2018-05-04, 04:02:24	100,600	7.84974		-789,683.84	-2.68			-7.13	
USD.HKD	2018-05-13, 21:50:31	525,000	7.8499		-4,121,197.50	-14.03			-39.10	
USD.HKD	2018-05-17, 03:32:48	114,000	7.84979		-894,876.06	-3.06			4.89	
USD.HKD	2018-05-20, 22:52:14	102,000	7.84969		-800,668.38	-2.74			-26.12	
USD.HKD	2018-05-21, 06:09:37	271,000	7.8494		-2,127,187.40	-7.28			-55.98	
USD.HKD	2018-05-27, 22:33:22	-110,000	7.84431		862,874.10	-2.95			-16.10	
USD.HKD	2018-05-29, 23:26:17	-100,000	7.84537		784,537.00	-2.69			-36.61	
USD.HKD	2018-05-30, 02:53:09	-51,000	7.84517		400,103.67	-2.69			-20.41	
USD.HKD	2018-06-11, 04:26:26	-725,000	7.8465		5,688,712.50	-19.37			-13.07	
USD.HKD	2018-06-11, 04:27:18	1,000,000	7.8465		-7,846,500.00	-26.71			18.02	
USD.HKD	2018-06-11, 04:28:35	700,000	7.8465		-5,492,550.00	-18.70			12.62	
USD.HKD	2018-06-12, 11:23:02	-145,000	7.84666		1,137,765.70	-3.87			-20.74	
USD.HKD	2018-06-12, 22:01:19	-88,000	7.84722		690,555.36	-2.67			-17.21	
USD.HKD	2018-06-13, 11:23:09	-216,000	7.8483587		1,695,245.49	-5.78			-0.38	P
Total USD.HKD		1,682,600			-13,206,610.02	-123.28			-216.34	
Total					-13,206,610.02	-123.28			-216.34	
Total in SGD					-2,244,963.60	-123.28			-216.34	
SGD										
USD.SGD	2018-04-29, 23:19:24	-305,000	1.3233		403,606.50	-8.07			-793.00	

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
USD.SGD	2018-05-13, 21:51:29	-515,000	1.33329		686,644.35	-13.73			-1,344.15	
USD.SGD	2018-06-11, 04:31:11	-982,000	1.33456		1,310,537.92	-26.21			-530.28	
USD.SGD	2018-06-14, 04:32:32	-125,000	1.33447		166,808.75	-3.34			-1,303.75	
USD.SGD	2018-06-14, 04:33:27	-93,000	1.33448		124,106.64	-2.67			-969.06	
Total USD.SGD		-2,020,000			2,691,704.16	-54.02			-4,940.24	
Total					2,691,704.16	-54.02			-4,940.24	

[Transaction Fees](#)

[Transfers](#)

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[Fees](#)

[Dividends](#)

[Interest](#)

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[IBKR Managed Securities Lent Activity \(Stock Yield Enhancement Program\)](#)

[IBKR Managed Securities Lent Interest Details \(Stock Yield Enhancement Program\)](#)

[Financial Instrument Information](#)

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Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to Tiger Brokers (NZ) Limited, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

Tiger Brokers (NZ) Limited, www.tigerbrokers.com, 400-058-8588

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed

by Customer through IB are not regulated or overseen by the SEC or the CFTC.

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Tiger Brokers (NZ) Limited of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Tiger Brokers (NZ) Limited. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

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Activity Statement
April 30, 2018

Account Information

Name	Song Lin and Li Lian Gillian Lee
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Joint
Account Capabilities	Portfolio Margin
Base Currency	SGD

Net Asset Value

	APRIL 27, 2018		APRIL 30, 2018		TOTAL	CHANGE
	TOTAL		LONG	SHORT		
Cash	460,208.00		8,314.64	0.00	8,314.64	-451,893.36
Stock	12,134,616.84		12,071,809.00	0.00	12,071,809.00	-62,807.84
Interest Accruals	-32.46		112.31	-126.83	-14.52	17.94
Total	12,594,792.37		12,080,235.95	-126.83	12,080,109.12	-514,683.25
Time Weighted Rate of Return						-0.94%

 Analyze this data with PortfolioAnalyst.

CHANGE IN NAV	TOTAL
Starting Value	12,594,792.37
Mark-to-Market	-114,580.81
Deposits & Withdrawals	-400,000.00
Change in Interest Accruals	17.86
Other Fees	-15.00
Commissions	-51.11
Transaction Fees	-54.27
Other FX Translations	0.09
Ending Value	12,080,109.12

Realized & Unrealized Performance Summary

SYMBOL	COST ADJ.	REALIZED					UNREALIZED					TOTAL	CODE
		S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL	S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL		
Warrants													
13598	0.00	0.00	0.00	0.00	0.00	0.00	17,228.66	0.00	0.00	0.00	17,228.66	17,228.66	
13797	0.00	0.00	0.00	0.00	0.00	0.00	116,169.82	0.00	0.00	0.00	116,169.82	116,169.82	
14023	0.00	0.00	0.00	0.00	0.00	0.00	52,853.70	0.00	0.00	0.00	52,853.70	52,853.70	
26120	0.00	0.00	0.00	0.00	0.00	0.00	193,964.89	0.00	0.00	0.00	193,964.89	193,964.89	
26777	0.00	0.00	0.00	0.00	0.00	0.00	2,330.16	0.00	0.00	0.00	2,330.16	2,330.16	
Total Warrants	0.00	0.00	0.00	0.00	0.00	0.00	382,547.22	0.00	0.00	0.00	382,547.22	382,547.22	
Stocks													
1029	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-364.05	0.00	0.00	-364.05	-364.05	
1107	0.00	0.00	0.00	0.00	0.00	0.00	39,491.33	-3,799.71	137,979.35	0.00	173,670.97	173,670.97	
119	0.00	0.00	0.00	0.00	0.00	0.00	18,651.54	-50,378.06	0.00	0.00	-31,726.52	-31,726.52	
1292	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,783.30	0.00	0.00	-2,783.30	-2,783.30	
133	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,155.67	0.00	0.00	-4,155.67	-4,155.67	
1771	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,201.11	0.00	0.00	-4,201.11	-4,201.11	
1777	0.00	0.00	0.00	0.00	0.00	0.00	29,113.39	0.00	0.00	0.00	29,113.39	29,113.39	
1918	0.00	0.00	0.00	0.00	0.00	0.00	333,281.60	-33,182.34	0.00	0.00	300,099.26	300,099.26	
1938	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,879.04	0.00	-8,999.24	-10,878.28	-10,878.28	
2002	0.00	0.00	0.00	0.00	0.00	0.00	9,250.37	-68,976.08	0.00	0.00	-59,725.71	-59,725.71	
2307	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5.12	0.00	0.00	-5.12	-5.12	
2380	0.00	0.00	0.00	0.00	0.00	0.00	686.93	0.00	0.00	0.00	686.93	686.93	
2698	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-104,797.02	0.00	0.00	-104,797.02	-104,797.02	

SYMBOL	COST ADJ.	REALIZED					UNREALIZED					TOTAL	CODE
		S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL	S/T PROFIT	S/T LOSS	L/T PROFIT	L/T LOSS	TOTAL		
296	0.00	0.00	0.00	0.00	0.00	0.00	639.32	-1,984.29	0.00	0.00	-1,344.97	-1,344.97	
581	0.00	0.00	0.00	0.00	0.00	0.00	3,197.10	-15,130.56	0.00	0.00	-11,933.46	-11,933.46	
610	0.00	0.00	0.00	0.00	0.00	0.00	31,504.47	0.00	0.00	0.00	31,504.47	31,504.47	
6865	0.00	0.00	0.00	0.00	0.00	0.00	3,080.26	0.00	0.00	0.00	3,080.26	3,080.26	
743	0.00	0.00	0.00	0.00	0.00	0.00	497,570.29	0.00	0.00	0.00	497,570.29	497,570.29	
817	0.00	0.00	0.00	0.00	0.00	0.00	13,408.91	0.00	0.00	0.00	13,408.91	13,408.91	
848	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-31,763.31	0.00	0.00	-31,763.31	-31,763.31	
FENG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-14,613.34	0.00	0.00	-14,613.34	-14,613.34	
JKS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-59,333.51	0.00	0.00	-59,333.51	-59,333.51	
NTP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-121.05	0.00	0.00	-121.05	-121.05	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	12,773.40	-255,984.74	0.00	0.00	-243,211.34	-243,211.34	
XIN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-24,535.24	0.00	0.00	-24,535.24	-24,535.24	
Total Stocks	0.00	0.00	0.00	0.00	0.00	0.00	992,648.92	-677,987.54	137,979.35	-8,999.24	443,641.48	443,641.48	
Forex													
HKD	0.00	295.67	-161.31	0.00	0.00	134.37	4.72	0.00	0.00	0.00	4.72	139.09	
JPY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-27.81	0.00	0.00	-27.81	-27.81	
USD	0.00	0.00	-792.00	0.00	0.00	-792.00	0.00	0.00	0.00	0.00	0.00	-792.00	
Total Forex	0.00	295.67	-953.30	0.00	0.00	-657.63	4.72	-27.81	0.00	0.00	-23.09	-680.72	
Total (All Assets)	0.00	295.67	-953.30	0.00	0.00	-657.63	1,375,200.86	-678,015.35	137,979.35	-8,999.24	826,165.61	825,507.98	

Month & Year to Date Performance Summary

SYMBOL	DESCRIPTION	MARK-TO-MARKET		REALIZED S/T		REALIZED L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
Warrants							
13598	1918 16NOV18 7.58 C	3,006.16	2,681.89	0.00	0.00	0.00	0.00
13797	1918 18OCT18 15.08 C	19,619.26	14,568.73	0.00	0.00	0.00	0.00
14023	1918 03OCT18 13.0 C	11,591.81	4,035.76	0.00	0.00	0.00	0.00
16807	1918 17APR18 19.88 C	31,514.13	12,560.07	117,574.66	117,574.66	0.00	0.00
26120	1918 02APR19 7.7 C	50,216.10	27,045.00	0.00	0.00	0.00	0.00
26777	700 03JAN20 288.88 C	-8,757.52	-923.98	0.00	0.00	0.00	0.00
Total Warrants		107,189.94	59,967.47	117,574.66	117,574.66	0.00	0.00
Stocks, Equity and Index Options, and SSFs							
1029	IRC LTD	-60.19	-350.30	0.00	0.00	0.00	0.00
1107	MODERN LAND CHINA CO LTD	-40,120.11	-83,716.43	0.00	0.00	0.00	22,543.84
119	POLY PROPERTY GROUP CO LTD	-55,674.75	-93,859.83	0.00	136,373.26	0.00	0.00
1266	XIWANG SPECIAL STEEL CO LTD	0.00	29,894.81	0.00	29,786.96	0.00	0.00
1292	CHANGAN MINSHENG APLL LOGI-H	-271.87	-85.35	0.00	0.00	0.00	0.00
133	CHINA MERCHANTS CHINA DIRECT	-4,112.25	-4,112.25	0.00	0.00	0.00	0.00
1378	CHINA HONGQIAO GROUP LTD	0.00	76,131.74	0.00	45,244.75	0.00	0.00
1771	SUNFONDA GROUP HOLDINGS LTD	-4,166.10	-4,166.10	0.00	0.00	0.00	0.00
1777	FANTASIA HOLDINGS GROUP CO	4,054.87	28,493.91	0.00	0.00	0.00	0.00
1893	CHINA NATIONAL MATERIALS - H	0.00	-209.16	0.00	-177.31	0.00	0.00
1918	SUNAC CHINA HOLDINGS LTD	332,315.79	151,679.19	-46,886.90	-140,113.76	0.00	0.00
1938	CHU KONG PETROLEUM & NATURAL	-1,974.31	-1,572.37	0.00	0.00	0.00	0.00
2002	CHINA SUNSHINE PAPER HLDGS	-26,120.36	54,944.56	0.00	0.00	0.00	0.00
2100	BAIOO FAMILY INTERACTIVE LTD	0.00	-9,212.85	0.00	-38,222.58	0.00	0.00
2136	LIFESTYLE CHINA GROUP LTD	0.00	21,792.26	0.00	21,930.98	0.00	0.00
2307	KAM HING INTERNATIONAL HOLDI	80.90	-79.67	0.00	0.00	0.00	0.00
2380	CHINA POWER INTERNATIONAL	213.06	140.31	0.00	0.00	0.00	0.00
2698	WEIQIAO TEXTILE CO LTD-H	-41,725.69	-134,141.97	0.00	0.00	0.00	0.00
296	EMPEROR ENTERTAINMENT HOTEL	27,519.48	-9,405.97	-756.64	-7,982.40	0.00	0.00
3323	CHINA NATIONAL BUILDING MA-H	0.00	6,196.31	0.00	6,669.26	0.00	0.00
3399	GUANGDONG YUEYUN TRANSPORT-H	0.00	-24,687.90	0.00	-24,749.10	0.00	0.00
450	HUNG HING PRINT GROUP LTD	0.00	5,817.76	0.00	5,835.45	0.00	0.00
581	CHINA ORIENTAL GROUP CO LTD	14,289.91	-12,054.33	0.00	0.00	0.00	0.00
610	WAI KEE HOLDINGS LTD	-4,032.21	37,202.69	7,397.60	7,397.60	0.00	0.00
656	FOSUN INTERNATIONAL LTD	0.00	6,995.83	0.00	7,021.90	0.00	0.00
6865	FLAT GLASS GROUP CO LTD-H	2,779.73	87,081.48	3,019.23	92,952.05	0.00	0.00
700	TENCENT HOLDINGS LTD	0.00	13,819.42	0.00	13,807.43	0.00	0.00
743	ASIA CEMENT CHINA HOLDINGS	280,113.68	492,794.86	0.00	0.00	0.00	0.00
817	CHINA JINMAO HOLDINGS GROUP	13,307.17	36,879.61	0.00	26,188.18	0.00	0.00
848	MAOYE INTERNATIONAL HLDGS	-8,020.68	-31,703.65	0.00	0.00	0.00	0.00

SYMBOL	DESCRIPTION	MARK-TO-MARKET		REALIZED S/T		REALIZED LT	
		MTD	YTD	MTD	YTD	MTD	YTD
926	BESUNYEN HOLDINGS CO LTD	0.00	-13,763.00	0.00	-29,928.71	0.00	0.00
956	CHINA SUNTIEN GREEN ENERGY-H	10,644.52	55,700.61	56,236.91	56,236.91	0.00	0.00
998	CHINA CITIC BANK CORP LTD-H	0.00	114,484.86	0.00	131,369.80	0.00	0.00
FENG	PHOENIX NEW MEDIA LTD -ADR	-7,412.30	-54,452.68	0.00	69,424.08	0.00	0.00
JKS	JINKOSOLAR HOLDING CO-ADR	2,056.98	-49,820.87	0.00	0.00	0.00	0.00
JMEI	JUMEI INTERNATIONAL-ADR	0.00	31,374.87	0.00	24,687.34	0.00	0.00
NTP	NAM TAI PROPERTY INC	-467.42	-534.31	0.00	0.00	0.00	0.00
RENN	RENN	107,017.71	2,090,758.64	0.00	3,280,919.05	0.00	0.00
XIN	XINYUAN REAL ESTATE CO L-ADR	-21,384.96	-140,903.26	0.00	0.00	0.00	0.00
Total Stocks, Equity and Index Options, and SSFs		578,850.59	2,673,351.48	19,010.20	3,714,671.15	0.00	22,543.84
Total (All Assets)		686,040.53	2,733,318.95	136,584.86	3,832,245.81	0.00	22,543.84

Cash Report [Convert Your Cash Balances to SGD](#)

	TOTAL	SECURITIES	FUTURES	MONTH TO DATE	YEAR TO DATE
Base Currency Summary					
Cash Detail					
Starting Cash	460,208.00	460,208.00	0.00		
Commissions	-51.11	-51.11	0.00	-1,614.95	-16,259.09
Deposits	0.00	0.00	0.00	0.00	2,834,815.81
Withdrawals	-400,000.00	-400,000.00	0.00	-1,913,300.00	-5,237,600.00
Dividends	0.00	0.00	0.00	138.96	17,976.06
Broker Interest Paid and Received	0.00	0.00	0.00	-417.54	-4,344.70
Cash Settling MTM	0.00	0.00	0.00	144,945.94	144,945.94
Trades (Sales)	808,006.00	808,006.00	0.00	5,615,772.06	31,243,589.29
Trades (Purchase)	-860,512.27	-860,512.27	0.00	-4,605,738.33	-28,756,423.15
Other Fees	-15.00	-15.00	0.00	-4,066.52	-4,946.60
Transaction Fees	-54.27	-54.27	0.00	-2,408.82	-18,855.37
Cash FX Translation Gain/Loss	733.30	733.30	0.00		
Ending Cash	8,314.64	8,314.64	0.00		
Ending Settled Cash	-62,071.46	-62,071.46	0.00		
HKD					
Cash Detail					
Starting Cash	2,717,860.65	2,717,860.65	0.00		
Commissions	-206.97	-206.97	0.00	-9,160.54	-71,340.12
Deposits	0.00	0.00	0.00	0.00	8,188,093.21
Dividends	0.00	0.00	0.00	0.00	55,170.00
Broker Interest Paid and Received	0.00	0.00	0.00	0.00	-15,635.69
Cash Settling MTM	0.00	0.00	0.00	867,680.00	867,680.00
Trades (Sales)	0.00	0.00	0.00	10,166,560.00	80,762,490.53
Trades (Purchase)	-2,699,850.65	-2,699,850.65	0.00	-15,567,303.55	-88,802,624.79
Transaction Fees	-321.26	-321.26	0.00	-14,379.95	-111,687.11
Ending Cash	17,481.76	17,481.76	0.00		
Ending Settled Cash	1,989,900.35	1,989,900.35	0.00		
JPY					
Cash Detail					
Starting Cash	64,332.7	64,332.7	0		
Broker Interest Paid and Received	0	0	0	-628	-4,408
Trades (Sales)	0	0	0	0	135,834,500
Trades (Purchase)	0	0	0	0	-135,300,362
Ending Cash	64,332.7	64,332.7	0		
Ending Settled Cash	64,332.7	64,332.7	0		
SGD					
Cash Detail					
Starting Cash	893.58	893.58	0.00		
Commissions	-16.15	-16.15	0.00	-79.11	-313.78
Deposits	0.00	0.00	0.00	0.00	700,000.00
Withdrawals	-400,000.00	-400,000.00	0.00	-1,913,300.00	-5,237,600.00
Broker Interest Paid and Received	0.00	0.00	0.00	-331.44	-433.20
Trades (Sales)	403,606.50	403,606.50	0.00	1,917,946.72	4,843,678.53
Trades (Purchase)	0.00	0.00	0.00	0.00	-300,001.00
Other Fees	-15.00	-15.00	0.00	-234.31	-906.50
Ending Cash	4,468.93	4,468.93	0.00		

	TOTAL	SECURITIES	FUTURES	MONTH TO DATE	YEAR TO DATE
Ending Settled Cash	-399,137.57	-399,137.57	0.00		
USD					
Cash Detail					
Starting Cash	84.61	84.61	0.00		
Commissions	0.00	0.00	0.00	-1.00	-2,944.96
Deposits	0.00	0.00	0.00	0.00	574,205.67
Dividends	0.00	0.00	0.00	105.56	6,540.37
Broker Interest Paid and Received	0.00	0.00	0.00	-59.74	-909.54
Trades (Sales)	305,000.00	305,000.00	0.00	1,510,000.00	8,347,945.72
Trades (Purchase)	-305,000.00	-305,000.00	0.00	-1,507,334.40	-8,921,675.39
Other Fees	0.00	0.00	0.00	-2,920.00	-3,078.25
Ending Cash	84.61	84.61	0.00		
Ending Settled Cash	84.61	84.61	0.00		

Change in Position Value

Warrants

Base Currency Summary

Prior Period Value	634,182.33
Positions FX Translation P/L	1,015.17
End Of Period Value	635,197.51

HKD

Prior Period Value	3,759,900.00
End Of Period Value	3,759,900.00

Stocks

Base Currency Summary

Prior Period Value	11,500,434.50
Transactions	51,714.22
MTM P/L On Prior Period	-134,009.08
MTM P/L On Transactions	-113.19
Positions FX Translation P/L	18,585.03
End Of Period Value	11,436,611.49

HKD

Prior Period Value	51,184,484.26
Transactions	306,110.00
MTM P/L On Prior Period	698,646.05
MTM P/L On Transactions	-670.00
End Of Period Value	52,188,570.31

USD

Prior Period Value	2,166,010.08
MTM P/L On Prior Period	-190,088.50
End Of Period Value	1,975,921.58

Open Positions

SYMBOL	OPEN	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Warrants									
HKD									
13598	-	60,000	1	0.9503181	57,019.09	2.6500	159,000.00	101,980.91	
13797	-	490,000	1	0.4766541	233,560.50	1.8800	921,200.00	687,639.50	
14023	-	210,000	1	0.580215	121,845.15	2.0700	434,700.00	312,854.85	
26120	-	750,000	1	1.0891615	816,871.15	2.6200	1,965,000.00	1,148,128.85	
26777	2017-11-09, 01:26:46	200,000	1	1.3310359	266,207.18	1.4000	280,000.00	13,792.82	
Total					1,495,503.07		3,759,900.00	2,264,396.93	
Total in SGD					252,650.29		635,197.51	382,547.22	
SYMBOL	OPEN	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Stocks									
HKD									
1029	-	194,000	1	0.1791079	34,746.94	0.1680	32,592.00	-2,154.94	
1107	-	2,693,800	1	1.0983815	2,958,820.15	1.4800	3,986,824.00	1,028,003.85	
119	-	1,923,000	1	3.7776586	7,264,437.55	3.6800	7,076,640.00	-187,797.55	
1292	-	23,000	1	5.7163068	131,475.06	5.0000	115,000.00	-16,475.06	
133	-	44,000	1	12.8790566	566,678.49	12.3200	542,080.00	-24,598.49	

SYMBOL	OPEN	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
1771	-	513,000	1	1.2884746	660,987.48	1.2400	636,120.00	-24,867.48	
1777	-	630,000	1	1.2864607	810,470.26	1.5600	982,800.00	172,329.74	
1918	-	407,000	1	29.5354645	12,020,934.06	33.9000	13,797,300.00	1,776,365.94	
1938	-	236,000	1	1.1028449	260,271.40	0.8300	195,880.00	-64,391.40	
2002	-	1,415,500	1	2.5197577	3,566,717.07	2.2700	3,213,185.00	-353,532.07	
2307	-	16,000	1	0.6718931	10,750.29	0.6700	10,720.00	-30.29	
2380	2017-12-07, 19:45:00	14,021	1	1.8200	25,518.22	2.1100	29,584.31	4,066.09	
2698	-	1,303,500	1	4.2858887	5,586,655.96	3.8100	4,966,335.00	-620,320.96	
296	-	1,180,000	1	1.7767468	2,096,561.21	1.7700	2,088,600.00	-7,961.21	
581	-	248,000	1	5.9648277	1,479,277.28	5.6800	1,408,640.00	-70,637.28	
610	-	208,000	1	3.6534462	759,916.80	4.5500	946,400.00	186,483.20	
6865	-	94,000	1	2.2560332	212,067.12	2.4500	230,300.00	18,232.88	
743	-	2,193,500	1	2.8772835	6,311,321.46	4.2200	9,256,570.00	2,945,248.54	
817	-	370,000	1	4.2854842	1,585,629.15	4.5000	1,665,000.00	79,370.85	
848	-	1,200,000	1	0.9966794	1,196,015.34	0.8400	1,008,000.00	-188,015.34	
Total					47,539,251.29		52,188,570.31	4,649,319.02	
Total in SGD					8,031,281.11		8,816,737.07	785,455.95	
USD									
FENG	-	20,100	1	4.6183308	92,828.45	4.0700	81,807.00	-11,021.45	
JKS	-	13,200	1	21.770122	287,365.61	18.3800	242,616.00	-44,749.61	
NTP	-	1,516	1	12.2102243	18,510.70	12.1500	18,419.40	-91.30	
RENN	-	146,000	1	10.2263776	1,493,051.13	8.9700	1,309,620.00	-183,431.13	
XIN	-	64,306	1	5.3177584	341,963.77	5.0300	323,459.18	-18,504.59	
Total					2,233,719.66		1,975,921.58	-257,798.08	
Total in SGD					2,961,688.90		2,619,874.42	-341,814.47	
Total Stocks in SGD					10,992,970.01		11,436,611.49	443,641.48	

Transaction Fees

DATE/TIME	SYMBOL	DESCRIPTION	QUANTITY	TRADE PRICE	AMOUNT	CODE
Stocks						
HKD						
2018-04-30, 03:53:11	119	POLY PROPERTY GROUP CO LTD	6,000	3.6900	-23.60	
2018-04-30, 03:53:46	119	POLY PROPERTY GROUP CO LTD	6,000	3.6900	-23.60	
2018-04-30, 03:53:46	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:53:56	119	POLY PROPERTY GROUP CO LTD	8,000	3.6900	-30.80	
2018-04-30, 03:54:57	119	POLY PROPERTY GROUP CO LTD	4,000	3.6900	-15.40	
2018-04-30, 03:54:57	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:55:15	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:55:15	119	POLY PROPERTY GROUP CO LTD	13,000	3.6900	-49.30	
2018-04-30, 03:55:26	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:55:29	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:16	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:27	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:41	119	POLY PROPERTY GROUP CO LTD	1,000	3.6900	-4.10	
2018-04-30, 03:58:50	119	POLY PROPERTY GROUP CO LTD	16,000	3.6900	-61.59	
2018-04-30, 03:58:50	119	POLY PROPERTY GROUP CO LTD	6,000	3.6900	-23.60	
2018-04-30, 04:08:06	119	POLY PROPERTY GROUP CO LTD	16,000	3.6800	-60.59	
Total					-321.26	
Total in SGD					-54.27	

Deposits & Withdrawals

SETTLE DATE	DESCRIPTION	AMOUNT	CODE
SGD			
2018-04-30	Disbursement Initiated by Song Lin	-400,000.00	
Total		-400,000.00	



Trade Confirmation Report

June 22, 2018

Account Information

Name	zhongqiang fan
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	HKD

Trades

Acct ID	Symbol	Trade Date/Time	Settle Date	Exchange	Type	Quantity	Price	Proceeds	Comm	Fee	Code
Stocks											
USD											
[REDACTED]	RENN	2018-06-22, 12:55:57	2018-06-26	-	SELL	-50,000	2.3800	119,000.00	-250.00	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:55:57	2018-06-26	NYSE	SELL	-548	2.3800	1,304.24	-2.74	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:55:59	2018-06-26	NYSE	SELL	-1,300	2.3800	3,094.00	-6.50	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:57:10	2018-06-26	NYSE	SELL	-28,800	2.3800	68,544.00	-144.00	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:57:10	2018-06-26	NYSE	SELL	-9,500	2.3800	22,610.00	-47.50	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:57:11	2018-06-26	NYSE	SELL	-9,852	2.3800	23,447.76	-49.26	0.00	C;P
Total RENN (Sold)						-50,000	2.3800	119,000.00	-250.00	0.00	
Total								119,000.00	-250.00	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.
AEx	Automatic exercise for dividend-related recommendation.	L	Ordered by Broker (Margin Violation)
B	Automatic Buy-in	M	Entered manually by Broker
C	Closing Trade	MEx	Manual exercise for dividend-related recommendation.
Ca	Cancelled	O	Opening Trade

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
D	Broker acted as dual agent for both the buyer and seller in this transaction. Information regarding the counterparty to this trade will be furnished to you upon your request	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
Ex	Exercise	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	SI	This order was solicited by Interactive Brokers
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	SO	This order was marked as solicited by your Introducing Broker
G	Trade in Guaranteed Account Segment	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IA	This transaction was executed against an IB affiliate	T	Transfer

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled. Execution time will be furnished upon request.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker, if applicable.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.
- In the case of any transaction in a debt security subject to redemption before maturity, such debt security may be redeemed in whole or in part before maturity and such redemption could affect the yield presented. Additional information is available upon request.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to Tiger Brokers (NZ) Limited, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

Tiger Brokers (NZ) Limited, www.tigerbrokers.com, 400-058-8588

Notes/Legal Notes

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com. Your introducing broker may receive remuneration for orders routed to particular broker dealers or market centers for execution. The source and amount of any compensation received in connection with your transaction will be disclosed upon your request. Please review your introducing broker's disclosure on payment for order flow and order routing policies.
7. For security trades, if not already indicated on this statement, information about the time of any transaction and the identity of the counterparty to the transaction will be available upon written request of the customer.
8. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
9. Customer is requested to promptly advise Tiger Brokers (NZ) Limited of any material change in Customer's investment objectives, financial situation, or contact information.
10. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Tiger Brokers (NZ) Limited. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
11. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
12. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
13. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
14. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

From: [Eric](#)
To: [info_RenrenSettlement](#)
Subject: Statement of Fan Zhongqiang
Date: Wednesday, November 24, 2021 2:12:58 AM
Attachments: [Certificate of Zhongqiang Fan_20180622.pdf](#)
[Statement of Fan Zhongqiang.pdf](#)
[Certificate of Zhongqiang Fan_2021.pdf](#)

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Good morning,

Attached is my statement and shareholding certificate. I want to attend the Settlement Hearing.

May justice be with all of us. If our demands are not met, we will ask the U.S. Securities and Exchange Commission, New York Attorney General's Office (NYAG) and other institutions to investigate:

(1) Are oasis and other shareholders suspected of insider trading? There was no increase in holdings in 2018 and 2019, but there was a significant increase in holdings of Renren in 2020. However, Renren suffered serious losses and may be delisted in 2020.

(2) As the defendant, is it legal for DCM to sell shares? If it is legal, can other defendants Joseph Chen and Softbank also sell all shares of Renren? (about \$26 per share lately) If the defendants sell all Renren shares at \$26 per share, they can get about \$500 million, more than \$300 million in compensation. Is it a big joke?

Best Wishes!



Eric
eric6586@qq.com

Dear Sir/Madam:

Case No. 653594/2018

Ref: *In re Renren Inc. Derivative Litigation*

Objections to the Proposed Settlement of Shareholder Derivative Actions

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal *and* by the Defendant or the Company in an opaque way. This arrangement, we believe, implies that this important date may be set in a way that maximises the benefit of the Defendants and to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who would have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation.

Our reasons are:

- **The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;**

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, was public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the shareholders' investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out (worth \$31 or more per ADS). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is what brought us to this Settlement amount of roughly \$300m.

One can establish that:

- The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;
- The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
- The Proposed Settlement is to provide a remedy to these losses;

Hence,

- The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement that seeks a remedy. This is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.
- **Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

New shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants controlled the company, new shareholders should have no reason to doubt the credibility of the Defendants' plans. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments.

As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

- **Setting a Record date in the future may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who abuses their fiduciary duty.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that "priced in" the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved. All they have to do is to sell their shares to somebody

else they know or sell in the rally, and at the same time price out victims even if they want to return.

We suspect this might already have happened. Renren's listed share price jumped over 280% since the Court placed a junction on OPI's assets, and rallied 44% in a day when the Settlement was announced because the proposal, as it stands, framed *current or future shareholders*, not *victimised* Renren shareholders as beneficiaries. The current market price of Renren's ADS is roughly priced in the full amount of the compensation, making Defendants the biggest beneficiaries of their own payout, despite the painstaking efforts in the Proposal that was designed to prevent it.

The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement.

	Ordinary Shares Beneficially Owned	
	Number	% of Pow
Directors and Executive Officers:		
Joseph Chen ⁽³⁾	378,688,756	32.5
James Jian Liu ⁽⁴⁾	56,710,665	5.2
Hui Huang ⁽⁵⁾	*	*
Chuanfu Wang ⁽⁶⁾	*	*
Tianruo Pu ⁽⁷⁾	*	*
Yi Yang ⁽⁸⁾	*	*
Rita Yi ⁽⁸⁾	*	*
He Li ⁽⁸⁾	*	*
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4
Principal Shareholders:		
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned	
	Number	% of Vot Pow
Directors and Executive Officers:		
Joseph Chen ⁽³⁾	393,861,016	33.0
James Jian Liu ⁽⁴⁾	59,477,400	5.4
Hui Huang ⁽⁵⁾	*	*
Chuanfu Wang ⁽⁶⁾	*	*
Cong Lin ⁽⁷⁾	*	*
Yi Yang ⁽⁸⁾	*	*
Rita Yi ⁽⁸⁾	*	*
He Li ⁽⁸⁾	*	*
Michael McGowan ⁽⁹⁾	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1
Principal Shareholders:		
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6

Notes: From 2020 Annual Report of Renren (Form 20-F)

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a part of this claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren’s insiders while “Renren itself is a nominal Defendant in the Lawsuit”, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff’s Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff’s Counsel states. Nonetheless, the words of Plaintiff’s Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

- **It’s logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn’t provide a means to it.**

It’s important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants’ actions for no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene, after being hurt, for fear of safety. It would be ridiculous to say that those who left were not victims, even more so if the court decides, three years later, only those who stay in the crime scene *after the date of the judgement* are eligible for compensation—including the thefts who dress up and return. Wouldn’t it be absurd that the actual victims are unable to get compensation but any passing-bys who happen to be around on the lucky date—again, including the theft themselves—are?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders. It was logical to quit being business partners with thefts, hence a lot of shareholders sold.

The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren’s market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren’s ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn’t mean they weren’t victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

- **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the Counsels of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

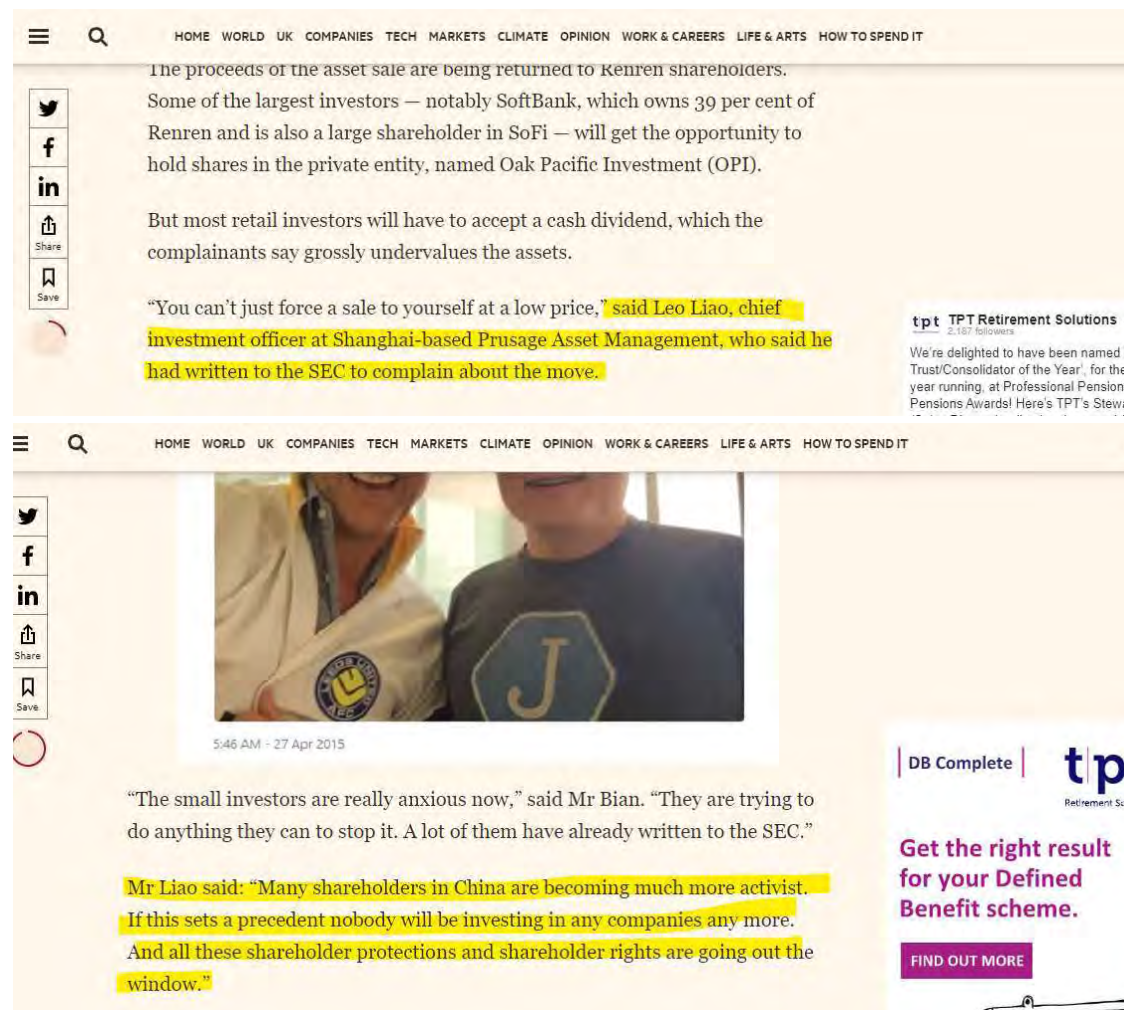


Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US's capital market. It is simply wrong to exclude them from the compensation.

- **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and

protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We ' ve come a long way. We won ' t give up now we are so close to justice, and we don ' t believe the court should approve this Proposal for expedience when it ' s clearly not in the interests of those who it is supposed to protect. We are looking forward to working with you to bring this to a satisfactory end—not just for entitled compensation, but also for sending a strong signal to all unscrupulous Chinese managers who thought they could abuse the US capital market and steal at will.

May justice be with all of us. If our demands are not met, we will ask the U.S. Securities and Exchange Commission, New York Attorney General's Office(NYAG) and other institutions to investigate:

(1) Are oasis and other shareholders suspected of insider trading? There was no increase in holdings in 2018 and 2019, but there was a significant increase in holdings of Renren in 2020. However, Renren suffered serious losses and may be delisted in 2020.

(2) As the defendant, is it legal for DCM to sell shares? If it is legal, can other defendants Joseph Chen and Softbank also sell all shares of Renren? (about \$26 per share lately) If the defendants sell all Renren shares at \$26 per share, they can get about \$500 million, more than \$300 million in compensation. Is it a big joke?

On April 29, 2018, I held 50,000 ADSs of Renren. I traded Renren shares several times, but I will hold Renren stock until December 31, 2021 . **I want to attend the Settlement Hearing.**

Yours sincerely

Name: Zhongqiang Fan

Address: Room 10#1913,1641 Huashan Rd., Shanghai, China,200030

Email: ericfan681@qq.com

Tel:86 17191766586

Signature:



Date: 23 NOV 2021



Trade Confirmation Report

November 1, 2021 - November 9, 2021

Account Information

Name	zhongqiang fan
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	HKD

Trades

Acct ID	Symbol	Trade Date/Time	Settle Date	Exchange	Type	Quantity	Price	Proceeds	Comm	Fee	Code
Stocks											
USD											
[REDACTED]	RENN	2021-11-03, 10:34:15	2021-11-05	-	BUY	1	26.8700	-26.87	-1.00	0.00	D;O
[REDACTED]	RENN	2021-11-03, 10:34:15	2021-11-05	IBKRATS	BUY	1	26.8700	-26.87	-1.00	0.00	D;O
Total RENN (Bought)						1	26.8700	-26.87	-1.00	0.00	
Total								-26.87	-1.00	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
RENN	RENREN INC-ADR	395889376	US7598923008	NYSE	1	ADR	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
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AEx	Automatic exercise for dividend-related recommendation.	L	Ordered by Broker (Margin Violation)
B	Automatic Buy-in	M	Entered manually by Broker
C	Closing Trade	MEEx	Manual exercise for dividend-related recommendation.
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
D	Broker acted as dual agent for both the buyer and seller in this transaction. Information regarding the counterparty to this trade will be furnished to you upon your request	R	Dividend Reinvestment

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Ep	Resulted from an Expired Position	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
Ex	Exercise	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
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FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	SO	This order was marked as solicited by your Introducing Broker
G	Trade in Guaranteed Account Segment	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
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Notes/Legal Notes

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- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker, if applicable.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.
- In the case of any transaction in a debt security subject to redemption before maturity, such debt security may be redeemed in whole or in part before maturity and such redemption could affect the yield presented. Additional information is available upon request.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to Tiger Brokers (NZ) Limited, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

Tiger Brokers (NZ) Limited, www.tigerbrokers.com, 400-058-8588

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com. Your introducing broker may receive remuneration for orders routed to particular broker dealers or market centers for execution. The source and amount of any compensation received in connection with your transaction will be disclosed upon your request. Please review your introducing broker's disclosure on payment for order flow and order routing policies.
7. For security trades, if not already indicated on this statement, information about the time of any transaction and the identity of the counterparty to the transaction will be available upon written request of the customer.
8. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
9. Customer is requested to promptly advise Tiger Brokers (NZ) Limited of any material change in Customer's investment objectives, financial situation, or contact information.
10. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Tiger Brokers (NZ) Limited. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
11. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
12. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
13. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
14. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Trade Confirmation Report

June 22, 2018

Account Information

Name	zhongqiang fan
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	HKD

Trades

Acct ID	Symbol	Trade Date/Time	Settle Date	Exchange	Type	Quantity	Price	Proceeds	Comm	Fee	Code
Stocks											
USD											
[REDACTED]	RENN	2018-06-22, 12:55:57	2018-06-26	-	SELL	-50,000	2.3800	119,000.00	-250.00	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:55:57	2018-06-26	NYSE	SELL	-548	2.3800	1,304.24	-2.74	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:55:59	2018-06-26	NYSE	SELL	-1,300	2.3800	3,094.00	-6.50	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:57:10	2018-06-26	NYSE	SELL	-28,800	2.3800	68,544.00	-144.00	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:57:10	2018-06-26	NYSE	SELL	-9,500	2.3800	22,610.00	-47.50	0.00	C;P
[REDACTED]	RENN	2018-06-22, 12:57:11	2018-06-26	NYSE	SELL	-9,852	2.3800	23,447.76	-49.26	0.00	C;P
Total RENN (Sold)						-50,000	2.3800	119,000.00	-250.00	0.00	
Total								119,000.00	-250.00	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.
AEx	Automatic exercise for dividend-related recommendation.	L	Ordered by Broker (Margin Violation)
B	Automatic Buy-in	M	Entered manually by Broker
C	Closing Trade	MEx	Manual exercise for dividend-related recommendation.
Ca	Cancelled	O	Opening Trade

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
D	Broker acted as dual agent for both the buyer and seller in this transaction. Information regarding the counterparty to this trade will be furnished to you upon your request	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
Ex	Exercise	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	SI	This order was solicited by Interactive Brokers
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	SO	This order was marked as solicited by your Introducing Broker
G	Trade in Guaranteed Account Segment	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IA	This transaction was executed against an IB affiliate	T	Transfer

Notes/Legal Notes

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11. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
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14. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Certificate of shareholding

This letter is intended to verify the accuracy and validity of the following account and shareholding information:

Account Holder Ming Zhu, from February 2, 2018 to Jun 21, 2018, the number of RENN positions in the account was 3200 shares.

TITLE	NATIONAL ID	ACCOUNT	OPEN DATE	CLOSE DATE	ACCOUNT TYPE
MING ZHU	[REDACTED]	[REDACTED]	2016-07-18	--	INDIVIDUAL



雪盈证券
SNB Finance Holdings Limited
2021年11月17日

SFC C.E number: AAC298



账户月结单

仇斌
中国上海市黄浦区
徐家汇路515弄
16号703室

结单日期: 2018年04月30日
客户编号: 8143872-1000
户口类别: 信托户
经纪编号: AE027
页数: 1/4

帐户名称: 仇斌

交易总览						
交易日期	交收日期	参考编号	事项细节	金额	账面结余	结算日结余
港币 (HKD)						
31/03	31/03		承前结余:-		402,812.38	826,110.87
28/03	03/04	1615823051	买入 00853 微创医疗科学有限公司 股数 50,000 平均价 8.4400	(423,298.49)	402,812.38	402,812.38
23/04	23/04	1638628191	提款PAYT TO BANK OF CHINA HK	(400,000.00)	1,055,562.07	2,812.38
20/04	24/04	1637376041	卖出 02333 长城汽车股份有限公司 - H股 股数 50,000 平均价 7.6008	378,869.66	381,682.04	381,682.04
20/04	24/04	1637383331	卖出 00976 齐合环保集团有限公司 股数 172,000 平均价 3.9300	673,880.03	1,055,562.07	1,055,562.07
24/04	24/04	1639549741	提款PAYT TO BANK OF CHINA HK	(1,000,000.00)	55,562.07	55,562.07
27/04	27/04	167300	进/支: 00029 达力集团有限公司 代收股息费用 - (20.00) 中期息 18 - 港币0.025/股 - 2,750.00	2,730.00	58,292.07	58,292.07
30/04	30/04	1619114831	应收利息	2.80	58,294.87	58,294.87
30/04	30/04		转后结余:-		58,294.87	58,294.87
美元 (USD)						
31/03	31/03		承前结余:-		3,833.13	3,833.13
30/04	30/04		转后结余:-		3,833.13	3,833.13

证券持仓摘要							
证券代号/名称	月初持股量	本月股份进支	待结算股数	暂不可用股数	月终持股量	收市价	总市值 (孖展比率%) 孖展价值
香港股票市场 (HKD)							
00029	达力集团有限公司 110,000	0	0	0	110,000	7.570000	832,700.00 (0) N/A
00081	中国海外宏洋集团有限公司 100,000	0	0	0	100,000	3.450000	345,000.00 (20) N/A

香港花園道1號中銀大廈20樓

20th Floor, Bank of China Tower, 1 Garden Road, Hong Kong.

如有任何垂詢, 私人客戶請聯絡閣下的客戶經理, 或請致電 (852) 3988 3988

For PB clients with any enquiry, please contact your Relationship Manager or please call (852) 3988 3988

其他客戶, 請聯絡閣下的客戶服務經理, 或請致電:

For other clients, please contact your account manager or please call

電話 Tel: (852) 2121 0088 傳真 Fax: (852) 2185 6060 網址 website: www.bocigroup.com / www.bocionline.com

SFC C.E number: AAC298



客戶编号: 8143872-1000

結单日期: 2018年04月30日

页数: 2/4

证券持仓摘要							
证券代号/ 名称	月初持股量	本月股份进支	待结算股数	暂不可用股数	月終持股量	收市价	总市值 (孖展比率%) 孖展价值
00853	微创医疗科学有限公司 100,000	50,000	0	0	150,000	9.180000	1,377,000.00 (20) N/A
00976	齐合环保集团有限公司 288,000	(172,000)	0	0	116,000	3.850000	446,600.00 (0) N/A
00980	联华超市股份有限公司 - H股 10,000	0	0	0	10,000	2.130000	21,300.00 (0) N/A
01265	天津津燃公用事业股份有限公司 - H股 800,000	0	0	0	800,000	0.600000	480,000.00 (0) N/A
01349	上海复旦张江生物医药股份有限公司 - H股 516,000	0	0	0	516,000	3.850000	1,986,600.00 (0) N/A
01515	华润凤凰医疗控股有限公司 500	0	0	0	500	10.300000	5,150.00 (0) N/A
01700	华地国际控股有限公司 100,000	0	0	0	100,000	2.220000	222,000.00 (0) N/A
02333	长城汽车股份有限公司 - H股 50,000	(50,000)	0	0	0	8.230000	0.00 (50) N/A
03368	百盛商业集团有限公司 130,000	0	0	0	130,000	0.970000	126,100.00 (0) N/A
美国股票市场 (USD)							
KMPH	KEMPHARM INC 5,500	0	0	0	5,500	5.150000	28,325.00 (0) N/A
RENN	RENREN INC-ADR 8,000	0	0	0	8,000	8.970000	71,760.00 (0) N/A
总额 (相当于港元)						6,627,917.08	N/A

户口总览	
港币 (HKD)	
现金结余 (包转後结余及待交收之交易净额)	58,294.87
证券市值	5,842,450.00
帐户净值	5,900,744.87
美元 (USD)	
现金结余 (包转後结余及待交收之交易净额)	3,833.13
证券市值	100,085.00
帐户净值	103,918.13
兑换率 : 相当于港元 7.848000	
815,549.48	
综合户口概况: 港元等值	
现金结余 (包转後结余及待交收之交易净额)	88,377.27
证券市值	6,627,917.08
帐户净值	6,716,294.35

备注
#本金因素(P.F), 本金因素是債券本金未偿还的余额部分, 其市场价值会被特定的本金因素所折算。请注意, 本結单中列明帶有本金因素的債券, 其总市值已被折算。

香港花園道1號中銀大廈20樓

20th Floor, Bank of China Tower, 1 Garden Road, Hong Kong.

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For other clients, please contact your account manager or please call

電話 Tel : (852) 2121 0088 傳真 Fax: (852) 2185 6060 網址 website: www.bocigroup.com / www.bocionline.com

SFC C.E number: AAC298



客戶編號: 8143872-1000

結單日期: 2018年04月30日

頁數: 3/4

备注

01. 閣下可于本公司网页(www.bocionline.com)查询最新服务收费及市场收费资料。市场收费均以四舍五入计算及保留两位小数显示。计算后为零的市场收费则不会于结单上显示。如阁下未能成功进入本公司网页查阅最新收费表, 请与阁下的客户经理联络。
02. 此单据所载之货币兑换率只作参考用途及不应视作最终结单之真实持有价值。
03. 为了提供更好的服务水平, 阁下现可以透过中银国际证券有限公司网站www.bocionline.com的服务下载日结单或月结单。关于下载指示, 请到http://www.bocionline.com/ch/home/products_and_services.htm 选取“客户服务平台”。
04. 阁下如欲查询本账单或下载账单事项, 请与 阁下的客户经理联络或致电24小时服务专线(852) 2121-0088或发电邮到cs@bocigroup.com。
05. 公司行动 - 零碎股处理 就某些美国, 加拿大及欧洲上市股票的公司行动, 获派零碎股的股东可能会以收取现金(即: 出售零碎股所得款项的净额)代替收取零碎股份。请注意, 相应现金金额将由我以市价出售零碎股后记入在相关股东的账户上, 有关的支付可能迟于实际公司行动的付款日。
06. 因应市场变化, 由2015年2月1日起, 本公司之瑞士法郎存款年利率将调整为-1%。
如阁下有任何疑问, 请与客户经理联络或致电本公司客户服务热线(852)2718-9666查询。
07. 沪股通A股交易之过户费将于2016年2月15日起作出调整。详情请浏览我司网页www.bocionline.com的最新消息。如有任何疑问, 请与客户经理联络或致电客户服务热线(852) 2718 9666查询。
08. “全国中小企”是指全国中小企业股份转让系统(俗称“新三板”), 详情请参阅网页<http://www.neeq.cc>
09. 请参阅另外的「每月场外股票衍生产品交易概览」以知累计股票期权、累沽股票期权及股票期权交易详情。在「证券持仓摘要」一栏, 除了债券、股票、结构性票据及基金等之交易, 亦会列出累计股票期权、累沽股票期权及股票期权之已交收证券数目。累计股票期权、累沽股票期权及股票期权之现金流, 则在「款项进支及结余」一栏列出。而在「户口总览」中之「账户净值」只包括已交收之证券市值, 场外股票衍生产品如累计股票期权、累沽股票期权及股票期权之资产净值并不计算在内。
10. 「暂不可用股数」一栏中列出已抵押之认可金融资产的抵押品要求的金额。为表清楚, 任何于此栏所列出的金额及对应于同一行之产品可用作其他产品之抵押品, 或用以清偿其他清算及/或结算责任之用(如适用)。
11. 关于「可用结余/(追收孳展金额)」, 请注意这一项之数目并不包括阁下与我在场外股票衍生产品合同存在期间为保证阁下履行责任, 而阁下须要维持之抵押品要求。另外, 这一项之数目亦不包括户口内之外币(即港元以外之货币)价值按我司不时制定之比率进行的折扣(如适用)。请注意抵押品要求会因应我司之要求而有所不同, 并在我司要求下有需要于短时间内存入额外抵押品。
12. 因应全球市场环境变化, 本公司的欧元存款年利率将于2016年12月1日起调整为 -0.4%。
如有任何疑问, 请与客户经理、投资服务代表联络或致电我司的客户服务热线(852)2718-9666查询。
13. 备注: (1)沪港股票交易机制及深港股票交易机制中的北向交易将按照上交所及深交所A股市场的交收周期, 股票交收将于T日进行而款项交收将于T+1日完成。于「交易总览」部份所标之北向交易的交收日, 会以款项交收日显示, 然而股票的交收已于交易日发生及反映于证券持仓摘要中。
(2)在此文件所列与有关北向交易的费用及税款(如有)已经/将会透过香港交易所缴交中国证券登记结算有限责任公司再处理。
14. 客户在交易或买卖中港通包括但不限于沪港通和深港通股票前, 请先细阅我司网页(www.bocionline.com)上的中港通补充条款。在任何时间您向中银国际证券有限公司通过中港通下单或以其他方式从事有关中港通交易, 中港通补充条款被视为适用于该等指示或交易。
15. 为配合证监会《打击洗钱及恐怖分子资金筹集指引》及完善内部监控, 由2017年6月25日起, 本公司将不再接受透缴费用及易办事存入之款项。
如有任何疑问, 请与客户经理、投资服务代表联络或致电我司的客户服务热线(852)2718-9666查询。
16. 网上提款申请截止时间为星期一至五(非香港公众假期)中午十二时三十分。阁下于截止时间前所发出的指示将当天处理。由2017年8月19日起的港元提款, 如收款银行为中国银行(香港)或渣打银行(香港)之账户, 款项会于同日晚上到账, 且没有收费。一般情况下, 其他收款银行(包括汇丰银行和恒生银行)的款项最快可于两个工作日到账, 如需同日晚上到账, 阁下需支付55港元本地银行提款费用。

- 完 -
关于阁下之结单

香港花園道1號中銀大廈20樓
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SFC C.E number: AAC298



客戶编号: 8143872-1000

結单日期: 2018年04月30日

页数: 4/4

如本行在此結单發出日期之48小時內沒有收到閣下之任何書面反對通知，本結单內之所有紀錄將被視為正確無誤。
此單據由計算機編印，毋須簽署。
此單據所載之收市價及市值只作參考用途及不應視作最終結单之真實持有量。
此單據亦作為存貨及/或提貨收據。
就有关閣下與中銀國際證券有限公司進行之證券借貸交易(如有)，請參照另外寄上之證券借貸結单。

債券交易之風險披露聲明

以下風險披露聲明不能披露所有涉及之風險。您需在交易或投資之前負責自身的資料收集和研究。您應按個人自身的財政狀況及投資目標謹慎考慮是否適宜進行交易或投資。我們建議您於進行交易或投資前尋求獨立的財務及專業意見。
投資涉及風險。債券價格可能及確實會波動。任何一只債券的價格可能會上升及下跌，甚至變成毫無價值。買賣債券有其內在風險，因此未必一定能獲取利潤，反而可能導致損失。同理，買賣債務性證券也有其內在風險，因此未必一定能獲取利潤，反而可能導致損失。債券持有人需承受發行者的信貸風險，及不能向中銀國際證券有限公司追索任何賠償。

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未平仓头寸

代码	数量	多个	成本价格	成本基础	收盘价格	价值	未实现的损益	代码
股票								
HKD								
总数 USD								
USD								

活动账单 - 五月 1, 2018 - 五月 31, 2018

页面: 3

未平仓头寸

		1						
		1						
		1				108.64		
QU		1	108.250	108,250.00	8.250	82,500.00	-20,350.00	
RENN	10,000	1	10.8250	108,250.00	8.2500	82,500.00	-20,350.00	
XNET	1,500	1	13.4746667	20,212.00	12.3700	18,555.00	-1,657.00	



活动账单

四月 1, 2018 - 四月 30, 2018

帮助

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

账户信息

名称	Xiaofang Tang
账户	
账户类型	个人
客户类型	个人
账户能力	投资组合保证金
基本货币	HKD

净资产值

	March 31, 2018		April 30, 2018			变更	净资产值变更	
	总数	多头	空头	总数	总数		总数	
现金	-197,581.46	19,071.61	-228,896.81	-209,825.20	-12,243.73	开始价值	2,863,943.22	
股票	3,066,663.38	3,198,115.03	0.00	3,198,115.03	131,451.65	按市值计价	124,960.30	
应计利息	-851.84	0.00	-614.45	-614.45	237.39	应计股息的变化	1,149.91	
应计股息	-4,286.86	0.00	-3,139.36	-3,139.36	1,147.50	利息	-915.69	
总数	2,863,943.22	3,217,186.64	-232,650.62	2,984,536.02	120,592.81	应计利息变更	237.46	
时间加权的收益率					4.21%	其他费用	-4,287.51	
						佣金	-356.41	
						交易费用	-192.77	
						其他外汇换算	-2.49	
						结束价值	2,984,536.02	

按市值计算的表现总结

代码	数量		价格		头寸	交易	按市值计盈亏			总数	代码
	先前	当前	先前	当前			佣金	其他			
股票											
119	30,000	30,000	3.8600	3.6800	-5,400.00	0.00	0.00	0.00	-5,400.00		
1265	40,000	40,000	0.6300	0.6000	-1,200.00	0.00	0.00	0.00	-1,200.00		
1359	10,000	10,000	2.8500	2.8200	-300.00	0.00	0.00	0.00	-300.00		
165	2,000	2,000	16.4400	17.4000	1,920.00	0.00	0.00	0.00	1,920.00		
1816	30,000	30,000	2.0300	2.1500	3,600.00	0.00	0.00	0.00	3,600.00		
2380	22,680	22,680	2.0200	2.1100	2,041.20	0.00	0.00	0.00	2,041.20		
392	2,000	2,000	41.0000	39.5000	-3,000.00	0.00	0.00	0.00	-3,000.00		
3999	70,000	70,000	0.5100	0.5000	-700.00	0.00	0.00	0.00	-700.00		

按市值计算的表现总结

代码	数量		价格		按市值计盈亏					代码
	先前	当前	先前	当前	头寸	交易	佣金	其他	总数	
486	0	0	--	--	2,400.00	-2,400.00	-158.81	0.00	-158.81	
926	200,000	0	0.4000	--	26,000.00	-12,500.00	-178.52	0.00	13,321.48	
934	22,000	22,000	3.8700	3.7400	-2,860.00	0.00	0.00	0.00	-2,860.00	
JMEI	20,000	25,000	2.9000	2.7300	-19,220.70	-392.32	-196.16	0.00	-19,809.17	
RENN	30,631	30,631	8.4000	8.9700	137,051.82	0.00	0.00	0.00	137,051.82	
总数					140,332.33	-15,292.32	-533.49	0.00	124,506.53	
外汇										
HKD	43,612.11	19,071.61	1.0000	1.0000	0.00	31.05	-15.69	0.00	15.36	
USD	-30,729.99	-29,164.77	7.8488	7.8484	-110.77	0.00	0.00	0.00	-110.77	
总数					-110.77	31.05	-15.69	0.00	-95.41	
总计 (全部资产)					140,221.56	-15,261.26	-549.18	0.00	124,411.12	
支付和收到的经纪商利息										-915.69
其他费用										-4,287.51
账单期间的总损益										119,207.92

已实现和未实现的表现总结

代码	费用调整	已实现的					未实现的					总数	总数	代码		
		短期利润	短期损失	长期利润	长期损失	总数	短期利润	短期损失	长期利润	长期损失	总数					
股票																
119	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-11,024.23	0.00	0.00	-11,024.23	-11,024.23				
1265	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,250.49	0.00	0.00	-3,250.49	-3,250.49				
1359	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-852.98	0.00	0.00	-852.98	-852.98				
165	0.00	0.00	0.00	0.00	0.00	0.00	817.95	0.00	0.00	0.00	817.95	817.95				
1816	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,625.32	0.00	0.00	-3,625.32	-3,625.32				
2380	0.00	0.00	0.00	0.00	0.00	0.00	6,577.20	0.00	0.00	0.00	6,577.20	6,577.20				
392	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-10,066.52	0.00	0.00	-10,066.52	-10,066.52				
3999	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-8,182.04	0.00	0.00	-8,182.04	-8,182.04				
486	0.00	0.00	-158.81	0.00	0.00	-158.81	0.00	0.00	0.00	0.00	0.00	-158.81				
926	0.00	11,166.09	-2,399.93	0.00	0.00	8,766.16	0.00	0.00	0.00	0.00	0.00	8,766.16				
934	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-27,542.66	0.00	0.00	-27,542.66	-27,542.66				
JMEI	0.00	0.00	0.00	0.00	0.00	0.00	13,930.91	-14,911.96	0.00	0.00	-981.05	-981.05				
RENN	0.00	0.00	0.00	0.00	0.00	0.00	501,757.87	0.00	0.00	0.00	501,757.87	501,757.87				
总数	0.00	11,166.09	-2,558.74	0.00	0.00	8,607.35	523,083.92	-79,456.21	0.00	0.00	443,627.72	452,235.07				
外汇																
USD	0.00	0.00	-472.22	0.00	0.00	-472.22	0.73	-503.48	0.00	0.00	-502.75	-974.97				
总数	0.00	0.00	-472.22	0.00	0.00	-472.22	0.73	-503.48	0.00	0.00	-502.75	-974.97				
总计 (全部资产)					0.00	11,166.09	-3,030.96	0.00	0.00	8,135.13	523,084.66	-79,959.69	0.00	0.00	443,124.97	451,260.10

现金报告

基本货币总结	总数	证券	期货
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现金报告

	总数	证券	期货
期初现金	-197,581.46	-197,581.46	0.00
佣金	-356.41	-356.41	0.00
支付和收到的经纪商利息	-915.69	-915.69	0.00
净交易 (售出)	252,794.50	252,794.50	0.00
净交易 (购入)	-259,303.78	-259,303.78	0.00
其他费用	-4,287.51	-4,287.51	0.00
交易费用	-192.77	-192.77	0.00
现金外汇换算收益/损失	17.92	17.92	0.00
期末现金	-209,825.20	-209,825.20	0.00
期末已结算现金	-209,825.20	-209,825.20	0.00
HKD			
期初现金	43,612.11	43,612.11	0.00
佣金	-160.25	-160.25	0.00
支付和收到的经纪商利息	-24.03	-24.03	0.00
净交易 (售出)	135,100.00	135,100.00	0.00
净交易 (购入)	-159,263.45	-159,263.45	0.00
交易费用	-192.77	-192.77	0.00
期末现金	19,071.61	19,071.61	0.00
期末已结算现金	19,071.61	19,071.61	0.00
USD			
期初现金	-30,729.99	-30,729.99	0.00
佣金	-25.00	-25.00	0.00
支付和收到的经纪商利息	-113.60	-113.60	0.00
净交易 (售出)	15,000.00	15,000.00	0.00
净交易 (购入)	-12,750.00	-12,750.00	0.00
其他费用	-546.18	-546.18	0.00
期末现金	-29,164.77	-29,164.77	0.00
期末已结算现金	-29,164.77	-29,164.77	0.00

未平仓头寸

代码	数量	多个	成本价格	成本基础	收盘价格	价值	未实现的损益	代码
股票								
HKD								
119	30,000	1	4.0474744	121,424.23	3.6800	110,400.00	-11,024.23	
1265	40,000	1	0.6812624	27,250.49	0.6000	24,000.00	-3,250.49	
1359	10,000	1	2.9052983	29,052.98	2.8200	28,200.00	-852.98	
165	2,000	1	16.9910259	33,982.05	17.4000	34,800.00	817.95	
1816	30,000	1	2.2708439	68,125.32	2.1500	64,500.00	-3,625.32	
2380	22,680	1	1.8200	41,277.60	2.1100	47,854.80	6,577.20	
392	2,000	1	44.5332602	89,066.52	39.5000	79,000.00	-10,066.52	
3999	70,000	1	0.6168863	43,182.04	0.5000	35,000.00	-8,182.04	
934	22,000	1	4.9919389	109,822.66	3.7400	82,280.00	-27,542.66	
总数				563,183.90		506,034.80	-57,149.10	

USD

未平仓头寸

JMEI	25,000	1	2.7350	68,375.00	2.7300	68,250.00	-125.00	SY
RENN	30,631	1	6.8828585	210,828.84	8.9700	274,760.07	63,931.23	SY
总数				279,203.84		343,010.07	63,806.23	
总数 HKD				2,191,303.42		2,692,080.23	500,776.82	
总数 股票 在 HKD				2,754,487.32		3,198,115.03	443,627.72	

外汇结余

描述	数量	成本价格	成本基础 HKD	收盘价格	价值 HKD	未实现盈亏 HKD	代码
外汇							
HKD							
HKD	19,071.61	1.0000	-19,071.61	1.0000	19,071.61	0.00	
USD	-29,164.77	7.8311618	228,394.06	7.8484	-228,896.81	-502.75	
总数			209,322.45		-209,825.20	-502.75	

交易

代码	日期/时间	数量	交易价格	收盘价格	收益	佣金/税	基础	已实现的损益	按市值计算的损益	代码
股票										
HKD										
486	2018-04-10, 23:45:59	20,000	2.0800	2.0600	-41,600.00	-77.40	41,677.40	0.00	-400.00	O;P
486	2018-04-23, 22:39:45	-20,000	2.0800	2.1800	41,600.00	-81.40	-41,677.40	-158.81	-2,000.00	C;P
总数 486		0			0.00	-158.81	0.00	-158.81	-2,400.00	
926	2018-04-23, 01:08:14	-60,000	0.4250	0.5300	25,500.00	-48.09	-27,851.84	-2,399.93	-6,300.00	C;P
926	2018-04-23, 02:10:53	-60,000	0.4500	0.5300	27,000.00	-51.33	-24,645.01	2,303.66	-4,800.00	C;P
926	2018-04-23, 03:44:07	-40,000	0.4950	0.5300	19,800.00	-38.53	-16,029.23	3,732.23	-1,400.00	C
926	2018-04-23, 03:59:18	-40,000	0.5300	0.5300	21,200.00	-40.57	-16,029.23	5,130.20	0.00	C
总数 926		-200,000			93,500.00	-178.52	-84,555.32	8,766.16	-12,500.00	
总数					93,500.00	-337.33	-84,555.32	8,607.35	-14,900.00	
USD										
JMEI	2018-04-25, 09:32:43	5,000	2.5500	2.5400	-12,750.00	-25.00	12,775.00	0.00	-50.00	O;P
总数 JMEI		5,000			-12,750.00	-25.00	12,775.00	0.00	-50.00	
总数					-12,750.00	-25.00	12,775.00	0.00	-50.00	
总数 HKD					-100,040.33	-196.16	100,236.48	0.00	-392.32	

代码	日期/时间	数量	交易价格	收盘价格	收益	佣金 HKD	以市值计 (MTM) HKD	代码
外汇								
HKD								

交易											
USD.HKD	2018-04-23, 22:53:09		15,000	7.84423	0.0000	-117,663.45	-15.69			31.05	
总数 USD.HKD			15,000			-117,663.45	-15.69			31.05	
总数						-117,663.45	-15.69			31.05	

交易费用										
日期/时间	代码	描述	数量	交易价格	总额	代码				
股票										
HKD										
2018-04-10, 23:45:59	486	UNITED CO RUSAL PLC	7,000	2.0800	-15.39					
2018-04-10, 23:46:32	486	UNITED CO RUSAL PLC	13,000	2.0800	-28.73					
2018-04-23, 01:08:14	926	BESUNYEN HOLDINGS CO LTD	-10,000	0.4250	-5.11					
2018-04-23, 01:42:35	926	BESUNYEN HOLDINGS CO LTD	-50,000	0.4250	-22.57					
2018-04-23, 02:10:53	926	BESUNYEN HOLDINGS CO LTD	-5,000	0.4500	-3.06					
2018-04-23, 02:35:03	926	BESUNYEN HOLDINGS CO LTD	-4,000	0.4500	-2.05					
2018-04-23, 02:38:32	926	BESUNYEN HOLDINGS CO LTD	-30,000	0.4500	-14.36					
2018-04-23, 02:38:41	926	BESUNYEN HOLDINGS CO LTD	-21,000	0.4500	-10.26					
2018-04-23, 03:44:07	926	BESUNYEN HOLDINGS CO LTD	-40,000	0.4950	-20.53					
2018-04-23, 03:59:18	926	BESUNYEN HOLDINGS CO LTD	-40,000	0.5300	-22.57					
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06					
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-4,000	2.0800	-9.22					
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06					
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06					
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06					
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-11,000	2.0800	-23.62					
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06					
总数					-192.77					

应计利息

基本货币总结	
期初应计余额	-851.84
应计利息	-678.22
应计转回	915.69
外汇换算	-0.07
期末应计余额	-614.45
HKD	
期初应计余额	-24.03
应计利息	0.00
应计转回	24.03
期末应计余额	0.00
USD	
期初应计余额	-105.47
应计利息	-86.42
应计转回	113.60
期末应计余额	-78.29
期末应计余额HKD	-614.45

利息

日期	描述	金额
HKD		
2018-04-04	HKD 借方利息 三月-2018	-24.03
总数		-24.03
USD		
2018-04-04	USD 借方利息 三月-2018	-113.60
总数		-113.60
总数 HKD		-891.66
总数 利息 在 HKD		-915.69

费用

日期	描述	金额
其他费用		
USD		
2018-04-10	RENN(263026679) 美国存托凭证 (ADR) 费用 0.02000000 USD 每股	-546.18
总数		-546.18
总数 HKD		-4,287.51

应计股息的变化

代码	日期	除息日	支付日期	数量	税	费用	总股息率	总额	净额	代码
期初应计股息HKD									-4,286.86	
股票										
USD										
JMEI	2018-04-04	2018-04-05	2018-05-08	20,000	0.00	400.00	0.02	0.00	-400.00	Po
RENN	2018-04-10	2018-03-01	2018-04-10	30,631	0.00	-546.18	0.02	0.00	546.18	Re
总数					0.00	-146.18		0.00	146.18	
总数 HKD					0.00	-1,149.91		0.00	1,149.91	
期末应计股息HKD									-3,139.36	

金融产品信息

代码	描述	合约编号	证券号码	乘数	代码
股票					
119	POLY PROPERTY GROUP CO LTD	42446018	HK0119000674	1	
1265	TIANJIN JINRAN PUBLIC UTIL-H	46639588	CNE1000001C4	1	
1359	CHINA CINDA ASSET MANAGEME-H	139831918	CNE100001QS1	1	
165	CHINA EVERBRIGHT LIMITED	3884559	HK0165000859	1	
1816	CGN POWER CO LTD-H	176235550	CNE100001T80	1	
2380	CHINA POWER INTERNATIONAL	42446342	HK2380027329	1	
392	BEIJING ENTERPRISES HLDGS	49371375	HK0392044647	1	

金融产品信息

3999	DACHAN FOOD ASIA LTD	46477560	KYG261441086	1	
486	UNITED CO RUSAL PLC	72153043	JE00B5BCW814	1	
926	BESUNYEN HOLDINGS CO LTD	79509349	KYG107051040	1	
934	SINOPEC KANTONS HOLDINGS	42451522	BMG8165U1009	1	
JMEI	JUMEI INTERNATIONAL-ADR	151265300		1	
RENN	RENREN INC-ADR	263026679		1	

代码

代码	意思	代码 (继续)	意思 (继续)
A	转让	LI	后进先出 (LIFO) 报税基础选择
AEx	股息相关期货自动行权推荐。	LT	长期盈亏
Adj	调整	Lo	直接贷款
Al	分配	M	由IB手动地输入
Aw	外部交易	MEx	股息相关期货手动行权推荐。
B	自动买入	ML	最大化损失报税基础选项
Bo	直接借入	MLG	最大化长期收益课税基础选择
C	平仓交易	MLL	最大化长期亏损课税基础选择
CD	现金交割	MSG	最大化短期盈利课税基础选择
CP	复合头寸	MSL	最大化短期亏损课税基础选择
Ca	取消的	O	建仓交易
Co	被更正的交易	P	部分执行
Cx	此项交易的部分或全部是作为双重代理的盈透为两名盈透客户进行的撮合执行	PI	价格改善
ETF	创建/赎回交易所指数基金	Po	应计利息或股息过账
Ep	由到期的头寸导致	Pr	此项交易的部分或全部是交易所对盈透和盈透分支机构进行的撮合执行，因此归类为首要交易，而不是代理交易
Ex	执行	R	股息再投资
G	担保账户部分中的交易	RED	投资人赎回
HC	最高成本课税基础选择	Re	应计利息或股息转回
HFI	转账至对冲基金的投资	Ri	退款
HFR	从对冲基金处赎回	SI	此定单为盈透证券请求的定单
I	内部转账	SL	特定计税单位课税基础选择
IA	该交易通过IB附属机构执行	SO	此定单为您的介绍经纪商请求的定单
INV	从投资者处转账的投资	SS	客户指定该笔交易缩短结算周期，因此需以高于现行市场价的价格执行
IPO	本交易作为IPO的一部分被执行且被归为自营交易 (Principal trade)，IB是该IPO承销团的一员。	ST	短期盈亏
L	由IB制定规则 (保证金违背)	SY	可能合资格有股票收益的头寸。可能获取更多年度收入 14,127.12 HKD
LD	以“虚售无效亏损”调整	T	转账

注释/法律注释

注释

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- 初始和维持保证金要求可在交易平台的账户窗口中查看。
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注释/法律注释

- 数量前有“-”号的表示卖出交易。其他交易为买入交易。
- 在部分执行的情况下，佣金以原始定单执行的总量收取。仅在第一个部分执行处显示佣金。
- 以上带有参考注释(6)的每笔平仓批次是在每个交易日末选出来针对该行显示的特定开仓买入交易卖出的。
- 交易执行时间以东部时间显示。
- 可在盈透网站中查看适用的商品监管费用，地址：www.interactivebrokers.com/cn/accounts/fees/exchangeAndRegulatoryFees.php。
- 借入费率代表的是借入股票的成本以年百分比表示。它用作股票借入合约的现金抵押金额，且独立于任何在贷方现金余额上赚取的利率。同样，贷出费率代表的是借出股票的利润。正费率表示借入者成本/贷出者受益，负费率表示借入者受益/借出者成本。一般来说，难借入股票比一般可用性股票的费率更高。
- 客户抵押品利率指由于出借股票、存入客户账户的抵押品的利率。正利率代表出借人将获益。
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- 如果客户的投资目标或财务状况有任何实质性变化，客户需要立即通知盈透证券。
- 现有盈透证券有限公司财务报表可供您查阅，可以通过登录网站www.interactivebrokers.com或访问盈透公司，或在您的书面申请后邮寄给您。
- 在澳大利亚股票交易所(ASX)或澳大利亚Chi-X证券交易所(Chi-X)执行的交易将根据下列情况颁布交易确认：(i)市场运营商的方向，决定和要求、相关的市场整合条例、相关的市场运营条例、清算条例以及结算条例；(ii)相关市场的惯例；以及(iii)对错误和失误的修正。盈透证券有限公司(ARBN: 091191141, AFSL: 245574, 澳大利亚Chi-X证券交易所的参与者)并非ASX的参与者，需通过其执行定单的附属机构-澳大利亚Timber Hill有限公司(ABN: 25079993534, AFSL: 244380, ASX参与者)将定单传递到ASX。您在ASX和Chi-X的交易将通过自动清算中心(ACH)的参与者-荷兰银行悉尼清算有限公司(ABN Amro Clearing Sydney Pty Ltd)进行清算。如果您的交易属于交叉盘买卖，盈透证券有限公司可以作为(i)该交易的买家和卖家，或(ii)作为交易单边的买家或卖家，并作为另一边的首要执行机构。根据2001年公司法案，当盈透证券有限公司代表客户进入交易衍生品的交易所，盈透证券被认为向客户发行了衍生品。
- 所有在香港联交所("SEHK")执行的期权交易：(a)期权涉及高风险，并不是所有的投资者都适合交易。投资者在参与期权市场前必须确了解风险。(b)所有在香港联交所执行的期权合约都是由Timber Hill Securities Hong Kong代表盈透证券有限公司执行的。(c)在因盈透证券的失误导致客户受到经济损失的情况下，客户有权利在香港证券期货条例建立的投资者补偿基金索赔，且随时受投资者补偿基金条款的限制。(d)所有为客户或代表客户进行的交易所交易期权的业务应受到法规相关条款、香港联交所规则("SEHK规则")、规管条例、章程细则、香港联交所惯例与应用、期权交易规则、SECH清算规则、CCASS规则及香港法律的约束，且应用于盈透证券有限公司及客户双方。
- 在盈透证券有限公司以外持有的存款不受证券投资者保护公司(SIPC)保护。此外，期货和期货期权不受SIPC保护。

SIPC成员

From: [Tang Xiaofang](#)
To: [info_RenrenSettlement](#)
Subject: The letter and Certificate of Shareholding from Xiaofang Tang
Date: Monday, November 15, 2021 10:07:46 PM
Attachments: [The letter and certificate of shareholding from Xiaofang Tang.pdf](#)

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1, My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable. Otherwise, we will continue with our lawsuits against the defendants in Renren Case to protect our rights.

2, The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 30631 ADSs of Renren and sold them out on June of 2018, after receiving the special dividends of USD 9.1875 per ADS.

4, Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. The fact speaks for itself that Renren suffered a great loss before tax for the consecutive past 3 years with a bottom line of USD -60.89m, -36.48m and -16.87m for the year 2018, 2019, and 2020. Moreover, Renren's market cap had been under USD 12m in March 2020 with share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021

(part of current stockholders) may take the compensation for something they were not harmed with? So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

(1)The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS(worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2)The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

(3)According to the settlement , as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may has sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court, the judge and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May the justice be with all of us.

Thank you very much!

Xiaofang Tang

November 15, 2021

Name: Xiaofang Tang
Address: Room 302, Unit 2, Building 8, Area 2,
Faculty Residence of Peking University,
Tianxiu South Second Road,
Malianwa Street, Haidian District, Beijing
Email: Xiaofang_Tang_Peking@hotmail.com
Tel: 86_18601351296

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I want to attend the Settlement Hearing.

Name: Xiaofang Tang

Signature: 

Date: November 15, 2021



活动账单

四月 1, 2018 - 四月 30, 2018

帮助

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

账户信息

名称	Xiaofang Tang
账户	██████████
账户类型	个人
客户类型	个人
账户能力	投资组合保证金
基本货币	HKD

净资产值

	March 31, 2018		April 30, 2018			变更	净资产值变更	
	总数	多头	空头	总数	开始价值		总数	
现金	-197,581.46	19,071.61	-228,896.81	-209,825.20	-12,243.73	2,863,943.22		
股票	3,066,663.38	3,198,115.03	0.00	3,198,115.03	131,451.65	124,960.30		
应计利息	-851.84	0.00	-614.45	-614.45	237.39	1,149.91		
应计股息	-4,286.86	0.00	-3,139.36	-3,139.36	1,147.50	-915.69		
总数	2,863,943.22	3,217,186.64	-232,650.62	2,984,536.02	120,592.81	237.46		
时间加权的收益率						4.21%	其他费用	-4,287.51
							佣金	-356.41
							交易费用	-192.77
							其他外汇换算	-2.49
							结束价值	2,984,536.02

按市值计算的表现总结

代码	数量		价格		头寸	交易	按市值计盈亏			总数	代码
	先前	当前	先前	当前			佣金	其他	其他		
股票											
119	30,000	30,000	3.8600	3.6800	-5,400.00	0.00	0.00	0.00	0.00	-5,400.00	
1265	40,000	40,000	0.6300	0.6000	-1,200.00	0.00	0.00	0.00	0.00	-1,200.00	
1359	10,000	10,000	2.8500	2.8200	-300.00	0.00	0.00	0.00	0.00	-300.00	
165	2,000	2,000	16.4400	17.4000	1,920.00	0.00	0.00	0.00	0.00	1,920.00	
1816	30,000	30,000	2.0300	2.1500	3,600.00	0.00	0.00	0.00	0.00	3,600.00	
2380	22,680	22,680	2.0200	2.1100	2,041.20	0.00	0.00	0.00	0.00	2,041.20	
392	2,000	2,000	41.0000	39.5000	-3,000.00	0.00	0.00	0.00	0.00	-3,000.00	
3999	70,000	70,000	0.5100	0.5000	-700.00	0.00	0.00	0.00	0.00	-700.00	

按市值计算的表现总结

代码	数量		价格		按市值计盈亏					代码
	先前	当前	先前	当前	头寸	交易	佣金	其他	总数	
486	0	0	--	--	2,400.00	-2,400.00	-158.81	0.00	-158.81	
926	200,000	0	0.4000	--	26,000.00	-12,500.00	-178.52	0.00	13,321.48	
934	22,000	22,000	3.8700	3.7400	-2,860.00	0.00	0.00	0.00	-2,860.00	
JMEI	20,000	25,000	2.9000	2.7300	-19,220.70	-392.32	-196.16	0.00	-19,809.17	
RENN	30,631	30,631	8.4000	8.9700	137,051.82	0.00	0.00	0.00	137,051.82	
总数					140,332.33	-15,292.32	-533.49	0.00	124,506.53	
外汇										
HKD	43,612.11	19,071.61	1.0000	1.0000	0.00	31.05	-15.69	0.00	15.36	
USD	-30,729.99	-29,164.77	7.8488	7.8484	-110.77	0.00	0.00	0.00	-110.77	
总数					-110.77	31.05	-15.69	0.00	-95.41	
总计 (全部资产)					140,221.56	-15,261.26	-549.18	0.00	124,411.12	
支付和收到的经纪商利息										-915.69
其他费用										-4,287.51
账单期间的总损益										119,207.92

已实现和未实现的表现总结

代码	费用调整	已实现的					未实现的					总数	总数	代码		
		短期利润	短期损失	长期利润	长期损失	总数	短期利润	短期损失	长期利润	长期损失	总数					
股票																
119	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-11,024.23	0.00	0.00	-11,024.23	-11,024.23				
1265	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,250.49	0.00	0.00	-3,250.49	-3,250.49				
1359	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-852.98	0.00	0.00	-852.98	-852.98				
165	0.00	0.00	0.00	0.00	0.00	0.00	817.95	0.00	0.00	0.00	817.95	817.95				
1816	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,625.32	0.00	0.00	-3,625.32	-3,625.32				
2380	0.00	0.00	0.00	0.00	0.00	0.00	6,577.20	0.00	0.00	0.00	6,577.20	6,577.20				
392	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-10,066.52	0.00	0.00	-10,066.52	-10,066.52				
3999	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-8,182.04	0.00	0.00	-8,182.04	-8,182.04				
486	0.00	0.00	-158.81	0.00	0.00	-158.81	0.00	0.00	0.00	0.00	0.00	-158.81				
926	0.00	11,166.09	-2,399.93	0.00	0.00	8,766.16	0.00	0.00	0.00	0.00	0.00	8,766.16				
934	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-27,542.66	0.00	0.00	-27,542.66	-27,542.66				
JMEI	0.00	0.00	0.00	0.00	0.00	0.00	13,930.91	-14,911.96	0.00	0.00	-981.05	-981.05				
RENN	0.00	0.00	0.00	0.00	0.00	0.00	501,757.87	0.00	0.00	0.00	501,757.87	501,757.87				
总数	0.00	11,166.09	-2,558.74	0.00	0.00	8,607.35	523,083.92	-79,456.21	0.00	0.00	443,627.72	452,235.07				
外汇																
USD	0.00	0.00	-472.22	0.00	0.00	-472.22	0.73	-503.48	0.00	0.00	-502.75	-974.97				
总数	0.00	0.00	-472.22	0.00	0.00	-472.22	0.73	-503.48	0.00	0.00	-502.75	-974.97				
总计 (全部资产)					0.00	11,166.09	-3,030.96	0.00	0.00	8,135.13	523,084.66	-79,959.69	0.00	0.00	443,124.97	451,260.10

现金报告

基本货币总结	总数	证券	期货
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现金报告

	总数	证券	期货
期初现金	-197,581.46	-197,581.46	0.00
佣金	-356.41	-356.41	0.00
支付和收到的经纪商利息	-915.69	-915.69	0.00
净交易 (售出)	252,794.50	252,794.50	0.00
净交易 (购入)	-259,303.78	-259,303.78	0.00
其他费用	-4,287.51	-4,287.51	0.00
交易费用	-192.77	-192.77	0.00
现金外汇换算收益/损失	17.92	17.92	0.00
期末现金	-209,825.20	-209,825.20	0.00
期末已结算现金	-209,825.20	-209,825.20	0.00
HKD			
期初现金	43,612.11	43,612.11	0.00
佣金	-160.25	-160.25	0.00
支付和收到的经纪商利息	-24.03	-24.03	0.00
净交易 (售出)	135,100.00	135,100.00	0.00
净交易 (购入)	-159,263.45	-159,263.45	0.00
交易费用	-192.77	-192.77	0.00
期末现金	19,071.61	19,071.61	0.00
期末已结算现金	19,071.61	19,071.61	0.00
USD			
期初现金	-30,729.99	-30,729.99	0.00
佣金	-25.00	-25.00	0.00
支付和收到的经纪商利息	-113.60	-113.60	0.00
净交易 (售出)	15,000.00	15,000.00	0.00
净交易 (购入)	-12,750.00	-12,750.00	0.00
其他费用	-546.18	-546.18	0.00
期末现金	-29,164.77	-29,164.77	0.00
期末已结算现金	-29,164.77	-29,164.77	0.00

未平仓头寸

代码	数量	多个	成本价格	成本基础	收盘价格	价值	未实现的损益	代码
股票								
HKD								
119	30,000	1	4.0474744	121,424.23	3.6800	110,400.00	-11,024.23	
1265	40,000	1	0.6812624	27,250.49	0.6000	24,000.00	-3,250.49	
1359	10,000	1	2.9052983	29,052.98	2.8200	28,200.00	-852.98	
165	2,000	1	16.9910259	33,982.05	17.4000	34,800.00	817.95	
1816	30,000	1	2.2708439	68,125.32	2.1500	64,500.00	-3,625.32	
2380	22,680	1	1.8200	41,277.60	2.1100	47,854.80	6,577.20	
392	2,000	1	44.5332602	89,066.52	39.5000	79,000.00	-10,066.52	
3999	70,000	1	0.6168863	43,182.04	0.5000	35,000.00	-8,182.04	
934	22,000	1	4.9919389	109,822.66	3.7400	82,280.00	-27,542.66	
总数				563,183.90		506,034.80	-57,149.10	

USD

未平仓头寸

JMEI	25,000	1	2.7350	68,375.00	2.7300	68,250.00	-125.00	SY
RENN	30,631	1	6.8828585	210,828.84	8.9700	274,760.07	63,931.23	SY
总数				279,203.84		343,010.07	63,806.23	
总数 HKD				2,191,303.42		2,692,080.23	500,776.82	
总数 股票 在 HKD				2,754,487.32		3,198,115.03	443,627.72	

外汇结余

描述	数量	成本价格	成本基础 HKD	收盘价格	价值 HKD	未实现盈亏 HKD	代码
外汇							
HKD							
HKD	19,071.61	1.0000	-19,071.61	1.0000	19,071.61	0.00	
USD	-29,164.77	7.8311618	228,394.06	7.8484	-228,896.81	-502.75	
总数			209,322.45		-209,825.20	-502.75	

交易

代码	日期/时间	数量	交易价格	收盘价格	收益	佣金/税	基础	已实现的损益	按市值计算的损益	代码
股票										
HKD										
486	2018-04-10, 23:45 59	20,000	2.0800	2.0600	-41,600.00	-77.40	41,677.40	0.00	-400.00	O;P
486	2018-04-23, 22:39:45	-20,000	2.0800	2.1800	41,600.00	-81.40	-41,677.40	-158.81	-2,000.00	C;P
总数 486		0			0.00	-158.81	0.00	-158.81	-2,400.00	
926	2018-04-23, 01:08:14	-60,000	0.4250	0.5300	25,500.00	-48.09	-27,851.84	-2,399.93	-6,300.00	C;P
926	2018-04-23, 02:10 53	-60,000	0.4500	0.5300	27,000.00	-51.33	-24,645.01	2,303.66	-4,800.00	C;P
926	2018-04-23, 03:44:07	-40,000	0.4950	0.5300	19,800.00	-38.53	-16,029.23	3,732.23	-1,400.00	C
926	2018-04-23, 03:59:18	-40,000	0.5300	0.5300	21,200.00	-40.57	-16,029.23	5,130.20	0.00	C
总数 926		-200,000			93,500.00	-178.52	-84,555.32	8,766.16	-12,500.00	
总数					93,500.00	-337.33	-84,555.32	8,607.35	-14,900.00	
USD										
JMEI	2018-04-25, 09:32:43	5,000	2.5500	2.5400	-12,750.00	-25.00	12,775.00	0.00	-50.00	O;P
总数 JMEI		5,000			-12,750.00	-25.00	12,775.00	0.00	-50.00	
总数					-12,750.00	-25.00	12,775.00	0.00	-50.00	
总数 HKD					-100,040.33	-196.16	100,236.48	0.00	-392.32	

代码	日期/时间	数量	交易价格	收盘价格	收益	佣金 HKD	以市值计 (MTM) HKD	代码
外汇								
HKD								

交易										
USD.HKD	2018-04-23, 22:53:09		15,000	7.84423	0.0000	-117,663.45	-15.69			31.05
总数 USD.HKD			15,000			-117,663.45	-15.69			31.05
总数						-117,663.45	-15.69			31.05

交易费用							
日期/时间	代码	描述	数量	交易价格	总额	代码	
股票							
HKD							
2018-04-10, 23:45:59	486	UNITED CO RUSAL PLC	7,000	2.0800	-15.39		
2018-04-10, 23:46:32	486	UNITED CO RUSAL PLC	13,000	2.0800	-28.73		
2018-04-23, 01:08:14	926	BESUNYEN HOLDINGS CO LTD	-10,000	0.4250	-5.11		
2018-04-23, 01:42:35	926	BESUNYEN HOLDINGS CO LTD	-50,000	0.4250	-22.57		
2018-04-23, 02:10:53	926	BESUNYEN HOLDINGS CO LTD	-5,000	0.4500	-3.06		
2018-04-23, 02:35:03	926	BESUNYEN HOLDINGS CO LTD	-4,000	0.4500	-2.05		
2018-04-23, 02:38:32	926	BESUNYEN HOLDINGS CO LTD	-30,000	0.4500	-14.36		
2018-04-23, 02:38:41	926	BESUNYEN HOLDINGS CO LTD	-21,000	0.4500	-10.26		
2018-04-23, 03:44:07	926	BESUNYEN HOLDINGS CO LTD	-40,000	0.4950	-20.53		
2018-04-23, 03:59:18	926	BESUNYEN HOLDINGS CO LTD	-40,000	0.5300	-22.57		
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06		
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-4,000	2.0800	-9.22		
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06		
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06		
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06		
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-11,000	2.0800	-23.62		
2018-04-23, 22:39:45	486	UNITED CO RUSAL PLC	-1,000	2.0800	-3.06		
总数					-192.77		

应计利息	
基本货币总结	
期初应计余额	-851.84
应计利息	-678.22
应计转回	915.69
外汇换算	-0.07
期末应计余额	-614.45
HKD	
期初应计余额	-24.03
应计利息	0.00
应计转回	24.03
期末应计余额	0.00
USD	
期初应计余额	-105.47
应计利息	-86.42
应计转回	113.60
期末应计余额	-78.29
期末应计余额HKD	-614.45

利息		
日期	描述	金额
HKD		
2018-04-04	HKD 借方利息 三月-2018	-24.03
总数		-24.03
USD		
2018-04-04	USD 借方利息 三月-2018	-113.60
总数		-113.60
总数 HKD		-891.66
总数 利息 在 HKD		-915.69

费用		
日期	描述	金额
其他费用		
USD		
2018-04-10	RENN(263026679) 美国存托凭证 (ADR) 费用 0.02000000 USD 每股	-546.18
总数		-546.18
总数 HKD		-4,287.51

应计股息的变化

代码	日期	除息日	支付日期	数量	税	费用	总股息率	总额	净额	代码
期初应计股息HKD									-4,286.86	
股票										
USD										
JMEI	2018-04-04	2018-04-05	2018-05-08	20,000	0.00	400.00	0.02	0.00	-400.00	Po
RENN	2018-04-10	2018-03-01	2018-04-10	30,631	0.00	-546.18	0.02	0.00	546.18	Re
总数					0.00	-146.18		0.00	146.18	
总数 HKD					0.00	-1,149.91		0.00	1,149.91	
期末应计股息HKD									-3,139.36	

金融产品信息

代码	描述	合约编号	证券号码	乘数	代码
股票					
119	POLY PROPERTY GROUP CO LTD	42446018	HK0119000674	1	
1265	TIANJIN JINRAN PUBLIC UTIL-H	46639588	CNE1000001C4	1	
1359	CHINA CINDA ASSET MANAGEME-H	139831918	CNE100001QS1	1	
165	CHINA EVERBRIGHT LIMITED	3884559	HK0165000859	1	
1816	CGN POWER CO LTD-H	176235550	CNE100001T80	1	
2380	CHINA POWER INTERNATIONAL	42446342	HK2380027329	1	
392	BEIJING ENTERPRISES HLDGS	49371375	HK0392044647	1	

金融产品信息

3999	DACHAN FOOD ASIA LTD	46477560	KYG261441086	1	
486	UNITED CO RUSAL PLC	72153043	JE00B5BCW814	1	
926	BESUNYEN HOLDINGS CO LTD	79509349	KYG107051040	1	
934	SINOPEC KANTONS HOLDINGS	42451522	BMG8165U1009	1	
JMEI	JUMEI INTERNATIONAL-ADR	151265300		1	
RENN	RENREN INC-ADR	263026679		1	

代码

代码	意思	代码 (继续)	意思 (继续)
A	转让	LI	后进先出 (LIFO) 报税基础选择
AEx	股息相关期货自动行权推荐。	LT	长期盈亏
Adj	调整	Lo	直接贷款
Al	分配	M	由IB手动地输入
Aw	外部交易	MEx	股息相关期货手动行权推荐。
B	自动买入	ML	最大化损失报税基础选项
Bo	直接借入	MLG	最大化长期收益课税基础选择
C	平仓交易	MLL	最大化长期亏损课税基础选择
CD	现金交割	MSG	最大化短期盈利课税基础选择
CP	复合头寸	MSL	最大化短期亏损课税基础选择
Ca	取消的	O	建仓交易
Co	被更正的交易	P	部分执行
Cx	此项交易的部分或全部是作为双重代理的盈透为两名盈透客户进行的撮合执行	PI	价格改善
ETF	创建/赎回交易所指数基金	Po	应计利息或股息过账
Ep	由到期的头寸导致	Pr	此项交易的部分或全部是交易所对盈透和盈透分支机构进行的撮合执行，因此归类为首要交易，而不是代理交易
Ex	执行	R	股息再投资
G	担保账户部分中的交易	RED	投资人赎回
HC	最高成本课税基础选择	Re	应计利息或股息转回
HFI	转账至对冲基金的投资	Ri	退款
HFR	从对冲基金处赎回	SI	此定单为盈透证券请求的定单
I	内部转账	SL	特定计税单位课税基础选择
IA	该交易通过IB附属机构执行	SO	此定单为您的介绍经纪商请求的定单
INV	从投资者处转账的投资	SS	客户指定该笔交易缩短结算周期，因此需以高于现行市场价的价格执行
IPO	本交易作为IPO的一部分被执行且被归为自营交易 (Principal trade)，IB是该IPO承销团的一员。	ST	短期盈亏
L	由IB制定规则 (保证金违背)	SY	可能合资格有股票收益的头寸。可能获取更多年度收入 14,127.12 HKD
LD	以“虚售无效亏损”调整	T	转账

注释/法律注释

注释

- 大部分证券交易所的交易是在交易日期后的两天进行结算。期权、期货和美国开放式共同基金交易是在交易日期后的一天进行结算。(某些交易所和其他交易类型的结算时间可能会更长或更短。)期末已结算现金反映的是实际结算的现金。
- 初始和维持保证金要求可在交易平台的账户窗口中查看。
- 盈透证券有限责任公司接受来自基金公司的与由盈透证券有限责任公司客户买入和持有的基金份额有关的赔偿。该赔偿包括，但不限于基金资产以外支付的法规12b-1费用。任何由盈透证券有限责任公司接收的有关交易的赔偿资金来源和金额将根据客户的书面申请来提供。IB可能会与您的财务顾问或介绍经纪商分享自基金公司的部分赔偿。

注释/法律注释

- 数量前有“-”号的表示卖出交易。其他交易为买入交易。
- 在部分执行的情况下，佣金以原始定单执行的总量收取。仅在第一个部分执行处显示佣金。
- 以上带有参考注释(6)的每笔平仓批次是在每个交易日末选出来针对该行显示的特定开仓买入交易卖出的。
- 交易执行时间以东部时间显示。
- 可在盈透网站中查看适用的商品监管费用，地址：www.interactivebrokers.com/cn/accounts/fees/exchangeAndRegulatoryFees.php。
- 借入费率代表的是借入股票的成本以年百分比表示。它用作股票借入合约的现金抵押金额，且独立于任何在贷方现金余额上赚取的利率。同样，贷出费率代表的是借出股票的利润。正费率表示借入者成本/贷出者受益，负费率表示借入者受益/借出者成本。一般来说，难借入股票比一般可用性股票的费率更高。
- 客户抵押品利率指由于出借股票、存入客户账户的抵押品的利率。正利率代表出借人将获益。
- 活动报表中的收盘价为指示价格，可能来自第三方数据。盈透证券不承担第三方提供的价格的准确性。由于存在时差，某些非美国共同基金可能在活动报表生成之后才发布收盘价，而报表上此类基金的收盘价反映的则是前一天的价格。

固定收益注释

- 债券或首选股票的提前赎回特征可能影响收益率。对于零息、复利和乘数证券，无定期付款且证券可能在到期价值以下被赎回而不通知未经登记的持有人。对于资产抵押的证券，实际收益率可能很大程度上取决于底层证券预付的速度。要获取有关债券收益率的其他信息，请与盈透服务台联系：help@interactivebrokers.com。如果该债券未被国内认可的统计评级机构定级，则可能造成很高的违约风险。您应该咨询财务顾问根据您的目标及财务状况决定未评价债券是否适合您的投资组合。

法律注释

- 请及时报告该报表中或您账户中的任何不准确或不符之处。使用盈透网站上提供的表格与盈透客户服务部门进行书面联系。您也可以通过电话联系盈透，但是如果您通过电话报告了一处错误，您应该将该口头信息以书面的形式进行重新确认以维护您的权利，包括证券投资者保护法(SIPA)中规定的权利。

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Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (加拿大) 盈透证券加拿大公司，www.interactivebrokers.ca, 877-745-4222 (加拿大)

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- 盈透在外币兑换交易中充当代理机构或无风险委托人。这种交易将盈透分公司或第三方作为交易对手来执行，其在该交易中充当委托人，可能有多头或空头头寸，并且可能造成交易相关的盈利或亏损。客户通过盈透执行的外币兑换交易不受美国证券交易委员会(SEC)或美国商品期货交易委员会(CFTC)的管理和监督。
- 标记有交易所指示码TMBR的交易由盈透作为代理机构通过做市分公司达成，该做市商在交易中充当委托人，能够持有证券的多头或空头头寸，并且可能获得与交易相关的盈利或亏损。
- 所有交易量加权平均价格(VWAP)交易都受到一个第三方数据提供方提供的参考价格基础上的平均价格公式的影响。其他信息及参考价格只要申请都可获得。盈透作为代理，通过一家做市分公司进行交易量加权平均价格(VWAP)交易，该做市商在此类交易中作为委托人，可能有证券的多头或空头仓位并且可能获得与交易有关的盈利或亏损。
- 盈透接受来自另类交易系统、做市商和交易所的某些定单的流动性回扣或其他定单流支付。盈透依据交易所制定的市场收费项目或其他安排接收部分期权定单的付款。在交易所规则允许的范围内，盈透可将客户期权定单转给其做市分公司Timber Hill LLC，Timber Hill LLC充当交易对手方且可能获得交易盈利或遭受损失。客户通过书面申请便可了解盈透收到的任何交易相关报酬的来源及性质。要获取更多信息，请查询盈透网站以及定单传递和定单流付款披露(该等信息在您开户之处就已提供，且会按年提供)，或发送电子邮件至help@interactivebrokers.com。
- 对证券期货交易而言，如果此报表上没有提及有关交易时间，交易对手身份，盈透是否作为代理或委托人，作为交易对手的代理，作为合约双方的代理或委托人，且如果是作为委托人的身份，交易是否是大宗交易或期货转现货交易等此类信息，只要客户做出书面申请便可以获得。
- 如果客户的投资目标或财务状况有任何实质性变化，客户需要立即通知盈透证券。
- 现有盈透证券有限公司财务报表可供您查阅，可以通过登录网站www.interactivebrokers.com或访问盈透公司，或在您的书面申请后邮寄给您。
- 在澳大利亚股票交易所(ASX)或澳大利亚Chi-X证券交易所(Chi-X)执行的交易将根据下列情况颁布交易确认：(i)市场运营商的方向，决定和要求、相关的市场整合条例、相关的市场运营条例、清算条例以及结算条例；(ii)相关市场的惯例；以及(iii)对错误和失误的修正。盈透证券有限公司(ARBN: 091191141, AFSL: 245574, 澳大利亚Chi-X证券交易所的参与者)并非ASX的参与者，需通过其执行定单的附属机构-澳大利亚Timber Hill有限公司(ABN: 25079993534, AFSL: 244380, ASX参与者)将定单传递到ASX。您在ASX和Chi-X的交易将通过自动清算中心(ACH)的参与者-荷兰银行悉尼清算有限公司(ABN Amro Clearing Sydney Pty Ltd)进行清算。如果您的交易属于交叉盘买卖，盈透证券有限公司可以作为(i)该交易的买家和卖家，或(ii)作为交易单边的买家或卖家，并作为另一边的首要执行机构。根据2001年公司法案，当盈透证券有限公司代表客户进入交易衍生品的交易所，盈透证券被认为向客户发行了衍生品。
- 所有在香港联交所("SEHK")执行的期权交易：(a)期权涉及高风险，并不是所有的投资者都适合交易。投资者在参与期权市场前必须确了解风险。(b)所有在香港联交所执行的期权合约都是由Timber Hill Securities Hong Kong代表盈透证券有限公司执行的。(c)在因盈透证券的失误导致客户受到经济损失的情况下，客户有权利在香港证券期货条例建立的投资者补偿基金索赔，且随时受投资者补偿基金条款的限制。(d)所有为客户或代表客户进行的交易所交易期权的业务应受到法规相关条款、香港联交所规则("SEHK规则")、规管条例、章程细则、香港联交所惯例与应用、期权交易规则、SECH清算规则、CCASS规则及香港法律的约束，且应用于盈透证券有限公司及客户双方。
- 在盈透证券有限公司以外持有的存款不受证券投资者保护公司(SIPC)保护。此外，期货和期货期权不受SIPC保护。

SIPC成员

账户总结

四月 30, 2018

名称	TINGPENG WU
主账户	██████████
基本货币	USD

名称	账户	账户类型	开始资产净值	结束资产净值	时间加权的收益率
USD					
TINGPENG WU	██████████	Individual	709,172.88	685,318.48	-3.36%
总数			709,172.88	685,318.48	

名称	账户	按市价计值(MTM)	已实现的	未实现的
USD				
TINGPENG WU	██████████	-23,839.33	0.00	-90,860.17
总数		-23,839.33	0.00	-90,860.17

代码	账户	数量	成本基础	收盘价格	价值	未实现的损益
股票						
HKD						
1988		80,000	614,022.87	7.4000	592,000.00	-22,022.87
总数			614,022.87		592,000.00	-22,022.87
总数 USD			78,232.65		75,426.72	-2,805.93

USD						
JMEI		120,057	352,109.69	2.7300	327,755.61	-24,354.08
RENN		37,969	393,210.74	8.9700	340,581.93	-52,628.81
SOHU		1,000	33,938.76	30.9800	30,980.00	-2,958.76
总数			779,259.19		699,317.54	-79,941.65

代码	账户	数量	成本基础	收盘价格	价值	未实现的损益
股票和指数期权						
USD						
CTRP 18MAY18 41.5 P		-20	-3,499.12	1.6528	-3,305.60	193.52
JMEI 18MAY18 3.0 P		-800	-28,609.94	0.3815	-30,520.00	-1,910.06
JMEI 18MAY18 3.5 P		-30	-2,239.75	0.8254	-2,476.20	-236.45

代码	账户	数量	成本基础	收盘价格	价值	未实现的损益
JMEI 15JUN18 2.5 P		-70	-1,132.19	0.1452	-1,016.40	115.79
JMEI 15JUN18 3.0 P		-150	-6,206.39	0.4111	-6,166.50	39.89
JMEI 20JUL18 5.0 C		-156	-3,732.46	0.0572	-892.32	2,840.14
RENN 18MAY18 10.0 P		-150	-6,733.37	1.0300	-15,450.00	-8,716.63
SOHU 18MAY18 32.5 P		-10	-1,699.56	2.0259	-2,025.90	-326.34
SOHU 15JUN18 30.0 P		-10	-1,200.57	1.3249	-1,324.90	-124.33
总数			-55,053.36		-63,177.82	-8,124.46

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代码	账户	买入			卖出		
		数量	平均价	收益	数量	平均价	收益
股票和指数期权							
USD							
JMEI 180518P00003000		0	0.0000	0.00	-100	0.3000	3,000.00
JMEI 180615P00003000		0	0.0000	0.00	-100	0.3750	3,750.00
总数				0.00			6,750.00

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名称	账户	股息	利息	费用	存款	取款	头寸转入	头寸转出
USD								
TINGPENG WU	U8895769	0.00	0.00	0.00	0.00	0.00	0.00	0.00
总数		0.00	0.00	0.00	0.00	0.00	0.00	0.00

生成: 2018-06-05, 23:02:37 EDT











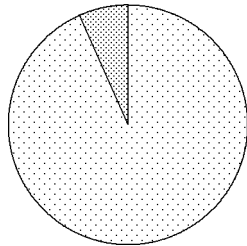


Statement period April 1, 2018 to April 30, 2018

Your USD Margin Account

Account # [REDACTED]

Asset Mix



- Equities - 93.3 %
- Options/Rights/Warrants - 6.7 %

Your statement of earnings and expenses

Expenses

	This statement period	Year to date
Interest		\$(370.75)

Details of holdings in your account

Description	Quantity	Location Custody or Segregated	Market price as of Apr 30 '18	Book cost (\$)	Market values as of Apr 30 '18 (USD)	%
Cash					(43.39)	0.0
Options/Rights/Warrants						
CALL-100 BIDU'18 JN@210	10		41.350	34,069.38	41,350.00	6.7
Total Options/Rights/Warrants				\$34,069.38	41,350.00	
Equities						
CHINA MERCHANTS BK-H CNY1 (3968)	22,000	S	5.655 CAD	Book Cost not determinable	97,039.80	15.6
CHINA MINSHENG BKG CORP-H (1988)	183,404	S	0.920	180,861.28**	168,731.68	27.1
FINISAR CORPORATION-NEW (FNSR)	4,253	S	15.570	65,381.38	66,219.21	10.7
FINISAR CORPORATION-NEW (FNSR)	207		15.570	3,182.21	3,222.99	0.5
INTL BUSINESS MACHINES (IBM)	260	S	144.960	39,429.46	37,689.60	6.1
LUMENTUM HOLDINGS INC (LITE)	999	S	50.450	59,342.48	50,399.55	8.1
LUMENTUM HOLDINGS INC (LITE)	1		50.450	59.40	50.45	0.0
RENREN INC-A SPON ADR-NEW (RENN)	17,480	S	8.950	128,709.05	156,446.00	25.2
Total Equities				\$476,965.26	579,799.28	
Total Holdings in your account					621,105.89	100%

From: [Ning Liu](#)
To: [info_RenrenSettlement](#)
Subject: Ref: In re Renren Inc. Derivative Litigation, Index No. 653594/2018
Date: Monday, November 15, 2021 9:28:10 PM
Attachments: [6YDR79-2018.4-6.pdf](#)
[6YC6B0-2018.04.pdf](#)
[U3577016_20211115.pdf](#)
[Renren-case 653594-Ning Liu and Yan Wang.pdf](#)

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Dear Sir/Madam,

We are writing to raise our objections to the court with regards to the Proposed Settlement of Shareholder Derivative Actions (Ref: In re Renren Inc. Derivative Litigation, Index No. 653594/2018).

Please find attached our letter and supporting documents that proof of my holdings of Renren shares.

I'm looking forward to hearing from you.

Regards,

Ning Liu and Yan Wang
647-808-0118

Name: Ning Liu and Yan Wang
Address: 6 Hampshire Ct.
Thornhill, ON L3T 1J9 Canada
Email: liuningok@gmail.com
Tel: 1-647-808-0118

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation
Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

We are writing to raise our objections to the court with regards to the Proposed Settlement of Shareholder Derivative Actions (Ref: In re Renren Inc. Derivative Litigation, Index No. 653594/2018).

While we agree with the principles of compensation as manifested in the Proposal of Settlement, we are opposed to the part of the proposal that leaves the Record Date which determines the list shareholders due for compensation, implying that this important date may be determined outside the Proposal in an opaque way to the detriment of many shareholders who have been victims of the actions of the Defendant and should be compensated.

1. Our demand

We urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable.

2. The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3. Our personal information

On April 29, 2018, we held 49,593 ADSs of Renren and sold them out in May and June, 2018, just before the special dividends of USD 9.1875 per ADS issued. We currently hold 1 ADS of Renren as of now.

4. Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. The fact speaks for itself that Renren suffered a great loss before tax for the consecutive past 3 years with a bottom line of USD -60.89m, -36.48m and -16.87m for the year 2018, 2019, and 2020. Moreover, Renren's market cap had been under USD 12m in March 2020 with share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5. Why should we receive a partial compensation of USD 300m

(1) The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS (worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2) The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

(3) According to the settlement, as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may have sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the

compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6. Gratefulness to the court, the judge and the lawyers representing the plaintiff
We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.
We are looking forward to our entitled compensation, as well as true justice in the financial market. May the justice be with all of us.

I would like to attend the Settlement Hearing.

On April 29, 2018, I held 49,593 ADSs of Renren.

Name: Ning Liu and Yan Wang

Signature:  

Date: November 15, 2021

Address: 6 Hampshire Ct. Thornhill, ON L3T 1J9 Canada

Email: liuningok@gmail.com

Tel: 1-647-808-0118



Trade Confirmation Report

November 15, 2021

[? Help](#)

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

Account Information

Name	Ning Liu and Yan Wang
Account	██████████
Account Type	Individual
Customer Type	Joint
Account Capabilities	Margin
Base Currency	CAD

Trades

Acct ID	Symbol	Trade Date/Time	Settle Date	Exchange	Type	Quantity	Price	Proceeds	Comm	Fee	Code
Stocks											
USD											
██████████	RENN	2021-11-15, 15:37:36	2021-11-17	-	BUY	1	27.8200	-27.82	-0.28	0.00	D;O
██████████	RENN	2021-11-15, 15:37:36	2021-11-17	IBKRATS	BUY	1	27.8200	-27.82	-0.28	0.00	D;O
Total RENN (Bought)						1	27.8200	-27.82	-0.28	0.00	
Total								-27.82	-0.28	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
RENN	RENREN INC-ADR	395889376	US7598923008	NYSE	1	ADR	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.
AEx	Automatic exercise for dividend-related recommendation.	L	Ordered by IB (Margin Violation)
B	Automatic Buy-in	M	Entered manually by IB (Please refer to legal note #2)
C	Closing Trade	MEx	Manual exercise for dividend-related recommendation.
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
D	IB acted as dual agent for both the buyer and seller in this transaction. Information regarding the counterparty to this trade will be furnished to you upon your request	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
Ex	Exercise	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	SI	This order was solicited by Interactive Brokers
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	SO	This order was marked as solicited by your Introducing Broker
G	Trade in Guaranteed Account Segment	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IA	This transaction was executed against an IB affiliate	T	Transfer

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled. Execution time will be furnished upon request.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.
- In the case of any transaction in a debt security subject to redemption before maturity, such debt security may be redeemed in whole or in part before maturity and such redemption could affect the yield presented. Additional information is available upon request.

Legal Notes

- THIS STATEMENT IS BEING ISSUED TO YOU BY INTERACTIVE BROKERS CANADA INC. WHO HAS AGREED TO ACT AS THE CUSTODIAN FOR THE ASSETS DISCLOSED ON THIS STATEMENT. THE ASSETS THAT MAY BE ELIGIBLE FOR THE CANADIAN INVESTOR PROTECTION FUND (CIPF) COVERAGE, WITHIN SPECIFIED LIMITS, ARE LIMITED TO THOSE DISCLOSED IN THIS ACCOUNT STATEMENT.**

Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Canadian Investor Protection Fund (CIPF).

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

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- Trades marked with the exchange indicator of TMBR were effected by Interactive Brokers Canada Inc ("IB" or "IB Canada") as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
- All Volume Weighted Average Price (VWAP) trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information about reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

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6. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
7. Interactive Brokers Canada Inc. offers "Order-Execution Only Accounts." This is an order execution only account. In addition, Customer is requested to promptly advise IB Canada of any material change in Customer's investment objectives or financial situation.
8. Any free credit balances represent funds payable on demand which, although properly recorded in our books, are not segregated and may be used in the conduct of our business.
9. The audited financial summary statements of Interactive Brokers Canada Inc. and a list of the Partners/Directors/Officers (PDOs) of Interactive Brokers Canada Inc. are available upon request.
10. We are required to disclose to the Canada Revenue Agency all transactions involving the disposition of securities. Therefore we recommend that you keep your statements for tax purposes.
11. Customers' accounts are protected by the Canadian Investor Protection Fund within specified limits. A brochure describing the nature and limits of coverage is available upon request.
12. In accordance with IIROC Rule 3217, Interactive Brokers Canada Inc. is required to provide you with the following Leverage Risk Disclosure Statement: Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.
13. **If this portfolio statement differs from your records, please report the differences directly to our external auditors. If no differences are reported, they will assume this portfolio statement is correct.** Deloitte s.e.n.c.r.l., Assurance & Advisory Services, IBCaudit@deloitte.ca, Telephone: 514-393-5400, Fax: 514-390-4113
14. Interactive Brokers LLC provides execution, clearing and custody services to Interactive Brokers Canada Inc.
15. Interactive Brokers Canada Inc. is a member of the Investment Industry Regulatory Organization of Canada (IIROC) and Member - Canadian Investor Protection Fund. Trading of securities and derivatives may involve a high degree of risk and investors should be prepared for the risk of losing their entire investment and losing further amounts. Interactive Brokers Canada Inc. is an execution-only dealer and does not provide investment advice or recommendations regarding the purchase or sale of any securities or derivatives. Our registered office is located at 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, H3A 3J6, Canada.



Regulated by
Investment Industry Regulatory
Organization of Canada



Canadian Investor Protection Fund
MEMBER



Réglementée par
Organisme canadien de réglementation
du commerce des valeurs mobilières



Fonds canadien de protection des investisseurs
MEMBRE











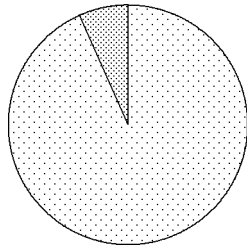


Statement period April 1, 2018 to April 30, 2018

Your USD Margin Account

Account # [REDACTED]

Asset Mix



- Equities - 93.3 %
- Options/Rights/Warrants - 6.7 %

Your statement of earnings and expenses

Expenses

	This statement period	Year to date
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Details of holdings in your account

Description	Quantity	Location Custody or Segregated	Market price as of Apr 30 '18	Book cost (\$)	Market values as of Apr 30 '18 (USD)	%
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Options/Rights/Warrants						
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Total Equities				\$476,965.26	579,799.28	
Total Holdings in your account					621,105.89	100%



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OMAHA NE 68103-2577

YUNSHAN LI
RM 204 MEN 2 BLDG 915
ZHONGGUANCUN HAIDIAN QU
BEIJING,
CHINA (PEOPLE'S REPUBLIC OF)

Terms and Conditions

TD Ameritrade provides monthly statements for accounts with activity and quarterly statements for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

Activity Summary: The opening and closing cash balances are reconciled here for quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current account value including Alternative Investments as of December 31 of the previous year and will be furnished to the IRS.

Performance Summary: Monitor annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income² and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period). This statement represents a statement of account assets and account activity of your account only.

Account Activity: All account activity is listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Online Cash Services Transaction Detail: Cash management transactions that cleared during this period, including check, debit card and ATM activity, are listed in date order.

Online Cash Services Summary: Credit and debit transaction totals for the current period are summarized. Individual transactions are not listed.

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Accuracy of Reports: Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please contact us at 800-669-3900 within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

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Additionally, TD Ameritrade provides each client \$149.5 million worth of protection for securities and \$2 million of protection for cash through supplemental coverage provided by London insurers. In the event of brokerage insolvency, a client may receive amounts due from the trustee in bankruptcy and then SIPC. Supplemental coverage is paid out after the trustee and SIPC payouts and under such coverage each client is limited to a combined return of \$152 million from a trustee, SIPC and London insurers. The TD Ameritrade supplemental coverage has an aggregate limit of \$500 million over all customers. This policy provides coverage for lowering brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency. Although certain money market funds may seek to preserve their value of your investment at \$1 per share, it is possible to lose money by investing in such a fund. Mutual fund purchases may be subject to eligibility and other restrictions, as well as charges and expenses. Certain money market funds may impose liquidity fees and redemption gates in certain circumstances.

¹Due to rounding adjustments, the statement details may not equal the statement totals.

²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by CCH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information. TD Ameritrade and its information providers do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

Alternative Investments ("AI"), also called Non Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T) : If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



Statement Reporting Period:
06/01/18 - 06/30/18

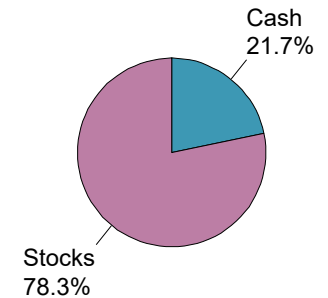
800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209
TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # [REDACTED]

YUNSHAN LI
RM 204 MEN 2 BLDG 915
ZHONGGUANCUN HAIDIAN QU
BEIJING,
CHINA (PEOPLE'S REPUBLIC OF)

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$17,013.14	(\$23,757.32)	\$40,770.46	-	\$ -	-	
Insr Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	61,350.00	93,095.00	(31,745.00)	(34.1)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
Total	\$78,363.14	\$69,337.68	\$9,025.46	13.0%	\$ 0.00	0.0%	
Margin Equity	96.0%						



Cash Activity Summary

	Current	YTD
Opening Balance	(\$23,757.32)	\$ -
Securities Purchased	-	(54,240.85)
Securities Sold	8,812.87	36,816.07
Funds Deposited	-	3,030.94
Funds Disbursed	-	-
Income	32,156.28	32,156.29
Expense	(198.69)	(749.31)
Other	-	-
Closing Balance	\$17,013.14	\$17,013.14

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	\$32,156.25	\$ -	\$32,156.25
Interest	0.03	-	0.04
Other	-	-	-
Expense			
Interest	(128.69)	-	(309.31)
Fees	(70.00)	-	(440.00)
Other	-	-	-
Net	\$31,957.59	\$0.00	\$31,406.98

Performance Summary

Cost Basis As Of - 06/30/18 **	\$64,649.75
Unrealized Gains	-
Unrealized Losses	(3,299.75)
Funds Deposited/(Disbursed) ^{YTD}	3,030.94
Income/(Expense) ^{YTD}	31,406.98
Securities Received/(Delivered) ^{YTD}	71,960.00

**To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.

Statement for Account # [REDACTED]
06/01/18 - 06/30/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ -	\$ 50.00
Subtotal	0.00	50.00
TOTAL	0.00	50.00

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	\$ 0.00	\$ (370.00)
Interest Income Credit Balance	0.03	0.04
Margin Interest Charged	(128.69)	(309.31)
Qualified Dividends	32,156.25	32,156.25

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
JUMEI INTL HLDG LTD ADR	JMEI	22,000	\$ 2.58	\$ 56,760.00	02/06/18	\$ 57,420.85	\$ 2.61	\$ (660.85)	\$ -	-
YINGLI GREEN ENERGY HLDG CO ADR	YGE	3,000	1.53	4,590.00	04/05/17	7,228.90	2.41	(2,638.90)	-	-
Total Stocks				\$61,350.00		\$64,649.75		\$(3,299.75)	\$0.00	0.0%
Total Margin Account				\$61,350.00		\$64,649.75		\$(3,299.75)	\$0.00	0.0%

Statement for Account # [REDACTED]

06/01/18 - 06/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									(\$23,757.32)
06/22/18	06/22/18	Margin	Div/Int - Income	RENREN INC ADR Payable: 06/21/2018 QUALIFIED DIVIDENDS32156.25	RENN	-	\$ 0.00	\$ 32,156.25	8,398.93
06/22/18	06/22/18	Margin	Div/Int - Expense	RENREN INC ADR ADR Fee Payable: 06/21/2018	RENN	-	0.00	(70.00)	8,328.93
06/22/18	06/26/18	Margin	Sell - Securities Sold	RENREN INC ADR Commission/Fee 6.95 Regulatory Fee 0.53	RENN	3,500-	2.5201	8,812.87	17,141.80
06/29/18	06/29/18	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 06/29/2018	-	-	0.00	(128.69)	17,013.11
06/29/18	06/29/18	Margin	Div/Int - Income	INTEREST CREDIT Payable: 06/29/2018	-	-	0.00	0.03	17,013.14
Closing Balance									\$17,013.14

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
06/01/18	\$ (23,757.32)	\$ -	18	9.25	\$ 109.88	\$ -
06/19/18	(23,757.32)	-	3	9.50	18.81	-
06/22/18	-	8,328.93	4	0.01	-	0.01
06/26/18	-	17,141.80	5	0.01	-	0.02
Total Interest Income/(Expense)					\$128.69	\$0.03

Trades Pending Settlement

Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY CHEETAH MOBILE INC ADR	Margin	CMCM	2,000	\$ 10.00	06/28/18	07/02/18	\$ (20,006.95)

Statement for Account # [REDACTED]

06/01/18 - 06/30/18

Important Information**STATEMENT OF FINANCIAL CONDITION**

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2018, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$2.2 billion and \$4.85 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

CHANGE TO BANK SWEEP PROGRAM

TD Ameritrade is adding additional Program Banks to the bank sweep program. As a result, you may hold checking or savings accounts at the Program Banks. The bank sweep program is designed to provide up to \$500,000 FDIC insurance per depositor in each recognized legal capacity; but to the extent your cash is deposited into more than two Program Banks, it is possible for you to obtain total FDIC insurance in excess of \$500,000 per depositor in each recognized legal capacity. The revised terms and conditions are located in the "Sweep Program" subsection of the Client Agreement.

From: [lys123](#)
To: [info_RenrenSettlement](#)
Subject: Statement of Yunshan Li
Date: Tuesday, November 16, 2021 8:02:05 AM
Attachments: [Yunshan Li shareholding report 2018 6 RenRen 3500ADR.pdf](#)
[Yunshan Li shareholding report 2018 5 RenRen 3500ADR.pdf](#)
[Statement of Yunshan Li.pdf](#)

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Dear Sir/Madam,
Case Ref: In re Renren Inc. Derivative Litigation
Index No. 653594/2018

Attachments are my Statement and certificate of shareholding for RenRen.

Thanks very much!

Yunshan Li
16/11/2021

Statement of Yunshan Li

Name: YUNSHAN LI

Address: RM 301, unit 3, building yi 803, Huangzhuang community, Haidian District, Beijing, China

Email:6582353@qq.com

Tel:8613810171926

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative

Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1,My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable.

2,The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 3500 ADSs of Renren and sold them out on , after receiving the special dividends of USD 9.1875 per ADS. I hold 0 ADS of Renren as of the date of this letter.

4, Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. According to 2020 Annual Report of Renren (Form 20-F), Losses from operations of \$79.3m, \$57.4m and \$27.2m in 2018, 2019 and 2020 respectively. Moreover, Renren's market cap had been under USD 12m in March 2020 with share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

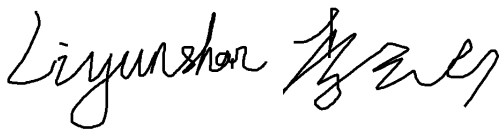
(1)The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS(worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2)The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

(3)According to the settlement , as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may has sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court, the judge and the lawyers representing the plaintiff
We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May the justice be with all of us.

I want to attend the Settlement Hearing.

Name: Yunshan Li Signature: 

Date: 16/11/2021

Attachment

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	48.9
James Jian Liu ⁽⁴⁾	59,477,400	5.4	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Cong Lin ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
Michael McGowan ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	50.3
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	42.3
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	3.3

Notes: From 2020 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	48.8
James Jian Liu ⁽⁴⁾	56,710,665	5.2	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Tianruo Pu ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	42.6
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	2.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	1.7
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	1.5

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	368,526,211	32.4	48.9
James Jian Liu ⁽⁴⁾	55,963,575	5.2	1.4
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Stephen Tappin ⁽⁷⁾	*	*	*
Tianruo Pu ⁽⁸⁾	*	*	*
Thomas Jintao Ren ⁽⁹⁾	*	*	*
Rita Yi ⁽⁹⁾	*	*	*
He Li ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	434,992,353	37.2	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	38.8	42.7
DCM and affiliates ⁽¹²⁾	87,929,865	8.4	2.3
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,802,315	5.7	1.6

From 2018 Annual Report of Renren (Form 20-F)

CONSOLIDATED STATEMENTS OF OPERATIONS FOR THE YEARS ENDED DECEMBER 31, 2018, 2019 and 2020
(In thousands of US dollars, except share data and per share data)

From 2020 Annual Report of Renren (Form 20-F)

	Years ended December 31,		
	2018	2019	2020
Revenues:			
Automobile sales	\$ 47,226	\$ -	\$ -
SaaS revenue	5,748	8,261	17,519
Other services	13,820	6,824	587
Total revenues	66,794	15,085	18,106
Cost of revenues:			
Automobile sales	50,531	-	-
SaaS business	2,331	1,743	3,353
Other services	9,635	4,975	98
Total cost of revenues	62,497	6,718	3,451
Gross profit	4,297	8,367	14,655
Operating expenses (income):			
Selling and marketing	10,485	10,780	8,293
Research and development	21,930	22,791	11,347
General and administrative	48,082	10,089	22,242
Bad debt provision of prepaid expenses and other current assets	-	418	-
Provision of amount due from a related party	-	12,408	-
Impairment of goodwill	29,055	9,288	-
Gain on disposal of property and equipment	(25,928)	-	-
Total operating expenses	83,624	65,774	41,882
Loss from operations	(79,327)	(57,407)	(27,227)
Other (expenses) income	(1,202)	1,523	3,543
Fair value change gain (loss) of contingent consideration	19,899	20,662	(1,027)
Impairment of long-term investments	-	(2,000)	-
Interest income (including interest income from a related party of \$3,880, \$7,878 and \$9,176 for the years ended December 31, 2018, 2019 and 2020, respectively)	5,185	8,776	8,079
Interest expenses	(842)	(566)	(303)
Realized loss on disposal of long-term investments	(2,141)	-	-
Loss before provision of income tax and earnings (loss) in equity method investments and noncontrolling interest, net of tax	(58,428)	(29,012)	(16,935)



PO BOX 2577
OMAHA NE 68103-2577

YUNSHAN LI
RM 204 MEN 2 BLDG 915
ZHONGGUANCUN HAIDIAN QU
BEIJING,
CHINA (PEOPLE'S REPUBLIC OF)

Terms and Conditions

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Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

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Account Protection: TD Ameritrade FDIC Insured Deposit Account ("IDA") deposits are held at one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. IDA deposits are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD Ameritrade is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure is available on request at www.sipc.org.

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The balance in your bank deposit account or shares of your money market mutual fund can be liquidated on your order, and the proceeds returned to your securities account or remitted to you.

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Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. Commission/Fee represents base commission and any options contract, exercise, and assignment fees.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. If no market value is available from a quotation service or other independent source, the security is subject to being reflected as Not Available ("NA"). The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds. The secondary market for Certificates of Deposits ("CDs") is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Bonds and/or Fixed Income Securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over the counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of bonds prior to maturity may result in a loss of principal.

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Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T) : If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



Statement Reporting Period:

05/01/18 - 05/31/18

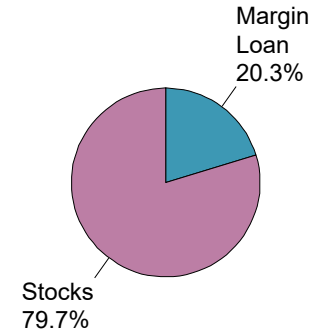
800-669-3900
 TD AMERITRADE
 DIVISION OF TD AMERITRADE INC
 PO BOX 2209
 OMAHA, NE 68103-2209
 TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # [REDACTED]

YUNSHAN LI
 RM 204 MEN 2 BLDG 915
 ZHONGGUANCUN HAIDIAN QU
 BEIJING,
 CHINA (PEOPLE'S REPUBLIC OF)

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Margin Loan	(\$23,757.32)	(\$25,368.85)	\$1,611.53	-	\$ -	-	
Insr Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	93,095.00	96,945.00	(3,850.00)	(4.0)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
Total	\$69,337.68	\$71,576.15	(\$2,238.47)	(3.1)%	\$ 0.00	0.0%	
Margin Equity	74.0%						



Cash Activity Summary

	Cash Activity Summary		Income & Expense Summary			Performance Summary	
	Current	YTD	Reportable	Non Reportable	YTD		
Opening Balance	(\$25,368.85)	\$ -	Income			Cost Basis As Of - 05/31/18 **	\$101,509.26
Securities Purchased	(26,006.95)	(54,240.85)	Dividends	\$ -	\$ -	Unrealized Gains	-
Securities Sold	28,003.20	28,003.20	Interest	0.01	-	Unrealized Losses	(8,414.26)
Funds Deposited	-	3,030.94	Other	-	-	Funds Deposited/(Disbursed) ^{YTD}	3,030.94
Funds Disbursed	-	-	Expense			Income/(Expense) ^{YTD}	(550.61)
Income	0.01	0.01	Interest	(144.73)	-	Securities Received/(Delivered) ^{YTD}	71,960.00
Expense	(384.73)	(550.62)	Fees	(240.00)	-		
Other	-	-	Other	-	-		
Closing Balance	(\$23,757.32)	(\$23,757.32)	Net	(\$384.72)	\$0.00	(\$550.61)	

**To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.

Statement for Account # [REDACTED]

05/01/18 - 05/31/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ -	\$ 50.00
Subtotal	0.00	50.00
TOTAL	0.00	50.00

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	\$ (240.00)	\$ (370.00)
Interest Income Credit Balance	0.01	0.01
Margin Interest Charged	(144.73)	(180.62)

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
JUMEI INTL HLDG LTD ADR	JMEI	22,000	\$ 2.60	\$ 57,200.00	02/06/18	\$ 57,420.85	\$ 2.61	\$ (220.85)	\$ -	-
RENREN INC ADR	RENN	3,500	8.79	30,765.00	11/27/15	36,859.51	10.53	(6,094.51)	-	-
YINGLI GREEN ENERGY HLDG CO ADR	YGE	3,000	1.71	5,130.00	04/05/17	7,228.90	2.41	(2,098.90)	-	-
Total Stocks				\$93,095.00		\$101,509.26		\$(8,414.26)	\$0.00	0.0%
Total Margin Account				\$93,095.00		\$101,509.26		\$(8,414.26)	\$0.00	0.0%

Statement for Account # [REDACTED]

05/01/18 - 05/31/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									(\$25,368.85)
04/30/18	05/02/18	Margin	Sell - Securities Sold	JUMEI INTL HLDG LTD ADR Commission/Fee 6.95 Regulatory Fee 0.01	JMEI	200-	\$ 2.805	\$ 554.04	(24,814.81)
04/30/18	05/02/18	Margin	Sell - Securities Sold	JUMEI INTL HLDG LTD ADR Regulatory Fee 0.64	JMEI	9,800-	2.801	27,449.16	2,634.35
05/07/18	05/09/18	Margin	Buy - Securities Purchased	JUMEI INTL HLDG LTD ADR Commission/Fee 6.95	JMEI	10,000	2.60	(26,006.95)	(23,372.60)
05/22/18	05/22/18	Margin	Div/Int - Expense	JUMEI INTL HLDG LTD ADR ADR FEE Payable: 05/22/2018 Ordinary Dividends -240.00	JMEI	-	0.00	(240.00)	(23,612.60)
05/31/18	05/31/18	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 05/31/2018	-	-	0.00	(144.73)	(23,757.33)
05/31/18	05/31/18	Margin	Div/Int - Income	INTEREST CREDIT Payable: 05/31/2018	-	-	0.00	0.01	(23,757.32)
Closing Balance									(\$23,757.32)

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
05/01/18	\$ (25,368.85)	\$ -	1	8.50	\$ 5.99	\$ -
05/02/18	-	2,634.35	7	0.01	-	0.01
05/09/18	(23,372.60)	-	13	9.25	78.07	-
05/22/18	(23,612.60)	-	10	9.25	60.67	-
Total Interest Income/(Expense)					\$144.73	\$0.01

Statement for Account # [REDACTED]

05/01/18 - 05/31/18

Important Information

STATEMENT OF FINANCIAL CONDITION

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2018, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$2.2 billion and \$4.85 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.



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Alternative Investments ("AI"), also called Non-Standard Assets ("NSA"), are typically investments in direct participation program securities (partnerships, limited liability companies, or real estate investment trusts), commodity pools, private equity, private debt or hedge funds. AI are typically illiquid investments and do not trade on a national securities exchange. The values shown for these investments are estimated values derived from various methods, including, but not limited to, unconfirmed management estimates, independent appraisals, the program's net assets, and/or third party tender offers that have been provided by the management, administrator, and/or sponsor of each program, or by a third-party vendor without independent verification by TD Ameritrade. Values may not reflect the original purchase price, actual market value or be realized upon liquidation. If an estimated value cannot be established through these methods, the valuation may be reflected as Not Available ("NA"). For additional detail regarding valuation for Alternative Investments, please contact Client Services. These investments are not covered under the SIPC. AI transaction fees are applicable to purchases, capital call processing, and redemptions.

Δ Distributions on Direct Participation Programs and/or REITs are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS may lack liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market and its clearinghouse, if any, on which such transactions are executed; (ii) federal and state laws, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

MSRB RULE G-10: TD Ameritrade, Inc. is registered with the Municipal Securities Rulemaking Board and the U.S. Securities and Exchange Commission. The website address for the Municipal Securities Rulemaking Board is <http://www.msrb.org>. An investor brochure is available on the website of the Municipal Securities Rulemaking Board which describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

Financial Statement Notification: The statement of financial condition for TD Ameritrade Clearing, Inc. is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Option Assignment: All short equity option positions and some index option positions are available for assignment. Exercise assignment notices for equity or index options are randomly allocated among all clients' short positions.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T) : If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow Disclosure (Rules 606 and 607): The firm receives compensation for directing listed and OTC securities, and options order flow to selected market centers (e.g., broker-dealers, exchanges and alternative trading systems (including electronic communications networks)) for execution. Compensation generally is in the form of a per share or per contract cash payment. TD Ameritrade also may receive compensation related to the foreign currency exchange component of transactions in foreign securities from market centers executing such trades. TD Ameritrade posts SEC Rule 606 quarterly reports that include order routing disclosures at www.tdameritrade.com. A written copy is available upon request. In addition, on request, TD Ameritrade will provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any, that resulted from such orders.

TD Ameritrade regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price disimprovement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date. If you participate in the Dividend Reinvestment Plan (DRIP) details regarding the reinvestment of dividends will be included on your monthly statements. TD Ameritrade will act as agent in having your DRIP purchases executed.

Taxes: Transactions in foreign securities (including foreign company ADRs that trade in the U.S.) may include taxes and fees charged by the foreign markets or governments, which may be reflected in the price of the security or charged as an independent line item.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com

Callable Securities: The allocation of partial redemptions or calls is done using a pro-rata lottery system. A description of the procedures for callable securities is available on the website or hard copies are available upon request.

In case of errors or questions about your Electronic Fund Transfers, please contact us at 1-800-669-3900, or in writing to P.O. Box 2209, Omaha, NE 68103, or by email at clientservices@tdameritrade.com. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.



Statement Reporting Period:

06/01/18 - 06/30/18

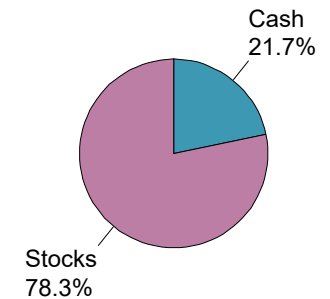
800-669-3900
 TD AMERITRADE
 DIVISION OF TD AMERITRADE INC
 PO BOX 2209
 OMAHA, NE 68103-2209
 TD Ameritrade Clearing, Inc., Member SIPC

Statement for Account # [REDACTED]

YUNSHAN LI
 RM 204 MEN 2 BLDG 915
 ZHONGGUANCUN HAIDIAN QU
 BEIJING,
 CHINA (PEOPLE'S REPUBLIC OF)

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$17,013.14	(\$23,757.32)	\$40,770.46	-	\$ -	-	
Insr Dep Acct (IDA)	-	-	-	-	-	-	
Money Market	-	-	-	-	-	-	
Short Balance	-	-	-	-	-	-	
Stocks	61,350.00	93,095.00	(31,745.00)	(34.1)%	-	-	
Short Stocks	-	-	-	-	-	-	
Fixed Income	-	-	-	-	-	-	
Options	-	-	-	-	-	-	
Short Options	-	-	-	-	-	-	
Mutual Funds	-	-	-	-	-	-	
Other	-	-	-	-	-	-	
Total	\$78,363.14	\$69,337.68	\$9,025.46	13.0%	\$ 0.00	0.0%	
Margin Equity	96.0%						



Cash Activity Summary

	Current	YTD
Opening Balance	(\$23,757.32)	\$ -
Securities Purchased	-	(54,240.85)
Securities Sold	8,812.87	36,816.07
Funds Deposited	-	3,030.94
Funds Disbursed	-	-
Income	32,156.28	32,156.29
Expense	(198.69)	(749.31)
Other	-	-
Closing Balance	\$17,013.14	\$17,013.14

Income & Expense Summary

	Reportable	Non Reportable	YTD
Income			
Dividends	\$32,156.25	\$ -	\$32,156.25
Interest	0.03	-	0.04
Other	-	-	-
Expense			
Interest	(128.69)	-	(309.31)
Fees	(70.00)	-	(440.00)
Other	-	-	-
Net	\$31,957.59	\$0.00	\$31,406.98

Performance Summary

Cost Basis As Of - 06/30/18 **	\$64,649.75
Unrealized Gains	-
Unrealized Losses	(3,299.75)
Funds Deposited/(Disbursed) ^{YTD}	3,030.94
Income/(Expense) ^{YTD}	31,406.98
Securities Received/(Delivered) ^{YTD}	71,960.00

**To view realized gains and losses for your account, login at www.tdameritrade.com and visit My Account > Gain/Loss.

Statement for Account # [REDACTED]
06/01/18 - 06/30/18

Online Cash Services Summary

Description	Current	Year To Date
CREDITS		
Electronic Transfer	\$ -	\$ 50.00
Subtotal	0.00	50.00
TOTAL	0.00	50.00

Income Summary Detail*

Description	Current	Year to Date
Ordinary Dividends	\$ 0.00	\$ (370.00)
Interest Income Credit Balance	0.03	0.04
Margin Interest Charged	(128.69)	(309.31)
Qualified Dividends	32,156.25	32,156.25

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions

Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
JUMEI INTL HLDG LTD ADR	JMEI	22,000	\$ 2.58	\$ 56,760.00	02/06/18	\$ 57,420.85	\$ 2.61	\$ (660.85)	\$ -	-
YINGLI GREEN ENERGY HLDG CO ADR	YGE	3,000	1.53	4,590.00	04/05/17	7,228.90	2.41	(2,638.90)	-	-
Total Stocks				\$61,350.00		\$64,649.75		\$(3,299.75)	\$0.00	0.0%
Total Margin Account				\$61,350.00		\$64,649.75		\$(3,299.75)	\$0.00	0.0%

Statement for Account # [REDACTED]

06/01/18 - 06/30/18

Account Activity

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									(\$23,757.32)
06/22/18	06/22/18	Margin	Div/Int - Income	RENREN INC ADR Payable: 06/21/2018 QUALIFIED DIVIDENDS32156.25	RENN	-	\$ 0.00	\$ 32,156.25	8,398.93
06/22/18	06/22/18	Margin	Div/Int - Expense	RENREN INC ADR ADR Fee Payable: 06/21/2018	RENN	-	0.00	(70.00)	8,328.93
06/22/18	06/26/18	Margin	Sell - Securities Sold	RENREN INC ADR Commission/Fee 6.95 Regulatory Fee 0.53	RENN	3,500-	2.5201	8,812.87	17,141.80
06/29/18	06/29/18	Margin	Div/Int - Expense	MARGIN INTEREST CHARGE Payable: 06/29/2018	-	-	0.00	(128.69)	17,013.11
06/29/18	06/29/18	Margin	Div/Int - Income	INTEREST CREDIT Payable: 06/29/2018	-	-	0.00	0.03	17,013.14
Closing Balance									\$17,013.14

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

TD Ameritrade Cash Interest Credit/Expense

Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
06/01/18	\$ (23,757.32)	\$ -	18	9.25	\$ 109.88	\$ -
06/19/18	(23,757.32)	-	3	9.50	18.81	-
06/22/18	-	8,328.93	4	0.01	-	0.01
06/26/18	-	17,141.80	5	0.01	-	0.02
Total Interest Income/(Expense)					\$128.69	\$0.03

Trades Pending Settlement

Investment Description	Account Type	Symbol/ CUSIP	Quantity	Price	Trade Date	Settle Date	Amount
BUY CHEETAH MOBILE INC ADR	Margin	CMCM	2,000	\$ 10.00	06/28/18	07/02/18	\$ (20,006.95)

Statement for Account # [REDACTED]

06/01/18 - 06/30/18

Important Information**STATEMENT OF FINANCIAL CONDITION**

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2018, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$2.2 billion and \$4.85 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck.finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

CHANGE TO BANK SWEEP PROGRAM

TD Ameritrade is adding additional Program Banks to the bank sweep program. As a result, you may hold checking or savings accounts at the Program Banks. The bank sweep program is designed to provide up to \$500,000 FDIC insurance per depositor in each recognized legal capacity; but to the extent your cash is deposited into more than two Program Banks, it is possible for you to obtain total FDIC insurance in excess of \$500,000 per depositor in each recognized legal capacity. The revised terms and conditions are located in the "Sweep Program" subsection of the Client Agreement.

From: daweies@qq.com on behalf of [郑大佳](#)
To: info_RenrenSettlement
Subject: About Renren.com Objections to the Proposed Settlement of Shareholder Derivative Actions -Dawei Zheng
Date: Tuesday, November 16, 2021 2:32:56 AM
Attachments: [29392E0A@B6D3986F.965F9361.png.jpg](#)
[0AC26492@9B90A65D.965F9361.png.jpg](#)
[9A626468@FC085E58.965F9361.png.jpg](#)
[33085F39@9EEC6B51.965F9361.png.jpg](#)
[Screenshot 1 of Renren shares1.png](#)
[Screenshot 1 of Renren shares2.png](#)
[Screenshot of Renren share grant.png](#)
[Screenshot of Renren share sale.png](#)

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Name: Dawei Zheng
Address: GuangZhou HaiZhu Qu East XinGang Road 77# XieLv Centre
Email:daweies@gmail.com
Tel:86 13480203251

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation
Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1,My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable. Otherwise, we will continue with our lawsuits against the defendants in Renren Case to protect our rights.

2,The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

I used to be an employee of renren.com, On April 29, 2018, I held 180 ADSs of Renren and sold them out on Sept 29, 2021, after receiving the special dividends of USD 9.1875 per ADS.

4, Why we sold Renren ADSs but should still be part of the case

The reason we stock owned the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. According to 2020 Annual Report of Renren (Form 20-F), Losses from operations of \$79.3m, \$57.4m and \$27.2m in 2018, 2019 and 2020 respectively. Moreover, Renren's market cap had been under USD 12m in March 2020 with share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why

we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

(1)The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS(worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2)The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

(3)According to the settlement , as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may has sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court, the judge and the lawyers representing the plaintiff

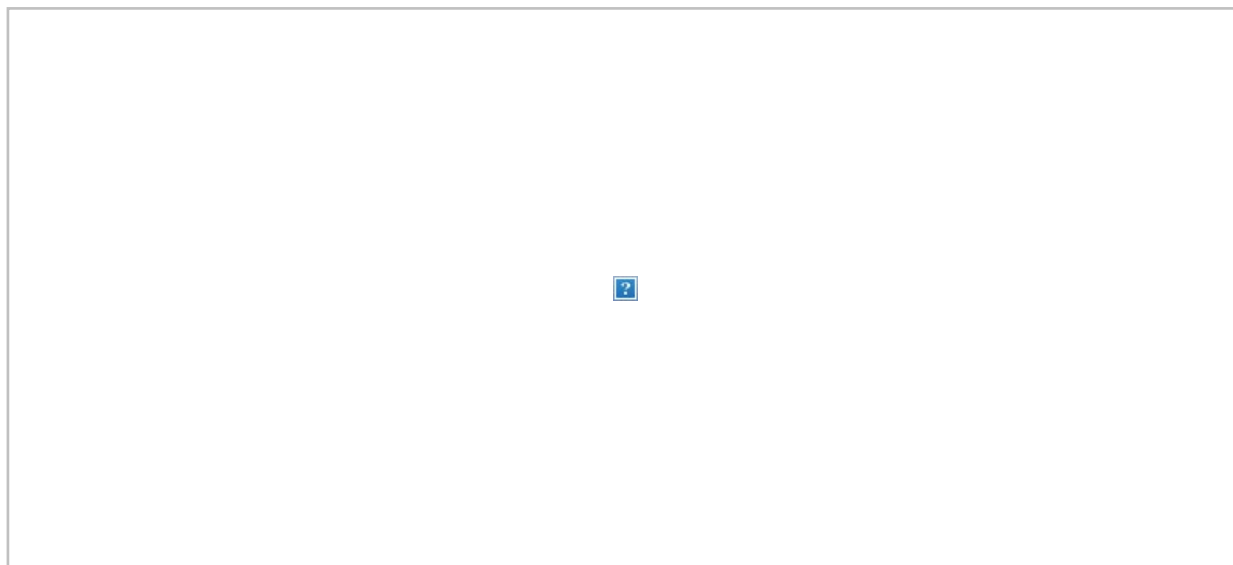
We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May the justice be with all of us.

I want to attend the Settlement Hearing.

Name: Dawei Zheng

Date: 2021.11.16

Attachment



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FILED: NEW YORK COUNTY CLERK 12/02/2021 03:52 PM

NYSCEF DOC. NO. 834

INDEX NO. 653594/2018

RECEIVED NYSCEF: 12/02/2021

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Option RSU/RES

Summary 1 Grant(s)

Total Options Granted

200



- Vested (Active) 200
- Unvested (Active) 0
- Cancelled Shares 0

Estimated Gross Proceeds - Unvested Options (Active)

USD 0.00



All Grant Date

RES/201300351

Grant Date	2013-03-22	Total Granted	200
Expiration Date	2023-03-21	Unvested (Active)	0
Exercise Price	USD 0.00	Vested (Active)	200
Estimated Gross Proceeds - Unvested Options (Active)	USD 0.00		

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Vesting Schedule ^

Date	Shares	Vested	Vesting Status			Cancelled	Unvested (Active)
			Withholding	Net	Credited		
2014-03-22	50	50	2	48	48	0	0
2014-04-21	4	4	-	4	4	0	0
2014-05-21	4	4	1	3	3	0	0
2014-06-21	4	4	-	4	4	0	0
2014-07-21	4	4	-	4	4	0	0
2014-08-21	4	4	-	4	4	0	0
2014-09-21	4	4	1	3	3	0	0
2014-10-21	4	4	-	4	4	0	0
2014-10-28	117	117	15	102	102	0	0
2014-11-21	5	5	1	4	4	0	0
Total	200	200	20	180	180	0	0



Transaction History

Option **RSU/RES** Stock

All Vesting Date All Status

Currency: USD

Vesting Date	Vesting ID	Tax Payment Choice	Withholding Shares	Net Shares	Status
2014-11-21	OD2014000000000002338	By Shares	1	4	Credited
2014-10-28	OD2014000000000002426	By Shares	15	102	Credited
2014-10-21	OD2014000000000002038	By Shares	-	4	Credited
2014-09-21	OD2014000000000001788	By Shares	1	3	Credited
2014-08-21	OD2014000000000001350	By Shares	-	4	Credited
2014-07-21	OD2014000000000001070	By Shares	-	4	Credited
2014-06-21	OD2014000000000000589	By Shares	-	4	Credited
2014-05-21	OD2014000000000000465	By Shares	1	3	Credited
2014-04-21	OD2014000000000000395	By Shares	-	4	Credited
2014-03-22	OD2014000000000000319	By Shares	2	48	Credited

[-] indicates not applicable or no data

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Transaction History

Option RSU/RES **Stock**

All Order Date All Status Currency:USD

Order Date	Order ID	Quantity	Price	Duration	Filled Shares	Status	Action
2021-09-29	ODM15024EA0	180	14.00	GTD 2021-10-27	180	Completed	
2021-08-24	ODM15021D16	180	13.00	GTD 2021-09-21	0	Expired	
2014-11-25	OD201400000000000002370	74	49.95	Day	0	Expired	

[-] indicates not applicable or no data

Customer Service Hotline: +852 3653-8899 Monday To Friday (Except Public Holidays)

Mail: support@mysmartadvisor.com



Activity Statement

April 27, 2018

[? Help](#)

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

Account Information

Name	Keyou Yang and Guomei Chen
Account	██████████
Account Type	Individual
Customer Type	Joint
Account Capabilities	Margin
Base Currency	USD

Net Asset Value

	April 26, 2018		April 27, 2018		Change	Change in NAV	
	Total	Long	Short	Total			Total
Cash	1,985.74	36,410.55	0.00	36,410.55	34,424.81	Starting Value	307,376.29
Cash Collateral	50,564.00	47,359.00	0.00	47,359.00	-3,205.00	Mark-to-Market	-142.51
Stock	305,179.00	270,773.01	-15.37	270,757.64	-34,421.36	Dividends	178.20
Securities Lent	-50,564.00	0.00	-47,359.00	-47,359.00	3,205.00	Withholding Tax	-26.73
Interest Accruals	90.04	110.90	-2.04	108.86	18.82	Change in Dividend Accruals	-151.47
Dividend Accruals	121.51	0.04	-30.00	-29.96	-151.47	Change in Interest Accruals	18.82
Total	307,376.29	354,653.49	-47,406.41	307,247.08	-129.20	Commissions	-5.51
Time Weighted Rate of Return					-0.04%	Ending Value	307,247.08

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
AAOI	1,500	500	33.8400	33.7600	-120.00	518.60	-5.51	0.00	393.09	
AMCN	6,800	6,800	0.6690	0.6700	6.80	0.00	0.00	0.00	6.80	
CTRP	100	100	40.5000	40.6300	13.00	0.00	0.00	0.00	13.00	
FCX	1	1	15.6300	15.3200	-0.31	0.00	0.00	0.00	-0.31	
GLD	50	50	124.9700	125.5000	26.50	0.00	0.00	0.00	26.50	
HSE	1,102	1,102	17.5400	17.6300	77.32	0.00	0.00	0.00	77.32	
JMEI	1,500	1,500	2.5400	2.5700	45.00	0.00	0.00	0.00	45.00	
MINT	400	400	101.5600	101.5800	8.00	0.00	0.00	0.00	8.00	
MO	300	300	54.7700	56.1300	408.00	0.00	0.00	0.00	408.00	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
MON	280	280	124.9900	125.0700	22.40	0.00	0.00	151.47	173.87	
NPTN	600	600	5.5700	5.3200	-150.00	0.00	0.00	0.00	-150.00	
NTR	900	900	46.6300	46.2600	-333.00	0.00	0.00	0.00	-333.00	
NXPI	100	100	102.4900	105.4000	291.00	0.00	0.00	0.00	291.00	
QCOM	50	50	50.4700	51.1100	32.00	0.00	0.00	0.00	32.00	
RAD	8,000	8,000	1.6700	1.6200	-400.00	0.00	0.00	0.00	-400.00	
RENN	3,000	3,000	10.1400	10.2300	270.00	0.00	0.00	0.00	270.00	
SINA	10	10	93.2100	94.2900	10.80	0.00	0.00	0.00	10.80	
VIPS	-1	-1	14.5100	15.3700	-0.86	0.00	0.00	0.00	-0.86	
VNET	4,600	4,600	5.6300	5.4300	-920.00	0.00	0.00	0.00	-920.00	
Total					-713.35	518.60	-5.51	151.47	-48.79	
Forex										
CAD	91.45	91.45	0.77688	0.77957	52.24	0.00	0.00	0.00	52.24	
USD	1,914.69	36,339.25	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					52.24	0.00	0.00	0.00	52.24	
Total (All Assets)					-661.11	518.60	-5.51	151.47	3.45	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
AAOI	0.00	4,968.36	0.00	0.00	0.00	4,968.36	2,549.50	0.00	0.00	0.00	2,549.50	7,517.86	
AMCN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,346.90	0.00	-9,468.50	-13,815.40	-13,815.40	
CTRP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-385.50	0.00	0.00	-385.50	-385.50	
FCX	0.00	0.00	0.00	0.00	0.00	0.00	3.32	0.00	0.00	0.00	3.32	3.32	
GLD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	516.50	0.00	516.50	516.50	
HSE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,420.56	-364.45	2,056.12	2,056.12	
JMEI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-431.33	0.00	-800.00	-1,231.33	-1,231.33	
MINT	0.00	0.00	0.00	0.00	0.00	0.00	1.00	-15.00	0.00	0.00	-14.00	-14.00	
MO	0.00	0.00	0.00	0.00	0.00	0.00	53.00	-1,223.62	0.00	0.00	-1,170.62	-1,170.62	
MON	0.00	0.00	0.00	0.00	0.00	0.00	2,339.52	0.00	713.40	0.00	3,052.92	3,052.92	
NPTN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-531.00	0.00	0.00	-531.00	-531.00	
NTR	0.00	0.00	0.00	0.00	0.00	0.00	2,621.95	-557.18	0.00	0.00	2,064.77	2,064.77	
NXPI	0.00	0.00	0.00	0.00	0.00	0.00	242.50	0.00	0.00	0.00	242.50	242.50	
QCOM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-30.50	0.00	0.00	-30.50	-30.50	
RAD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-6,841.00	0.00	0.00	-6,841.00	-6,841.00	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	1,163.00	-1,977.50	0.00	0.00	-814.50	-814.50	
SINA	0.00	0.00	0.00	0.00	0.00	0.00	7.30	0.00	0.00	0.00	7.30	7.30	
VIPS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.03	0.00	0.00	-0.03	-0.03	
VNET	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	59.00	-9,363.98	-9,304.98	-9,304.98	
Total	0.00	4,968.36	0.00	0.00	0.00	4,968.36	8,981.09	-16,339.56	3,709.46	-19,996.92	-23,645.92	-18,677.57	
Forex													
CAD	0.00	0.00	0.00	0.00	0.00	0.00	0.42	0.00	0.00	0.00	0.42	0.42	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Total	0.00	0.00	0.00	0.00	0.00	0.00	0.42	0.00	0.00	0.00	0.42	0.42	
Total (All Assets)	0.00	4,968.36	0.00	0.00	0.00	4,968.36	8,981.51	-16,339.56	3,709.46	-19,996.92	-23,645.51	-18,677.15	

Cash Report

Total

Base Currency Summary

Cash Detail		Total
Starting Cash		1,985.74
Commissions		-5.51
Dividends		178.20
Net Trades (Sales)		34,278.60
Withholding Tax		-26.73
Cash FX Translation Gain/Loss		0.25
Ending Cash		36,410.55
Ending Settled Cash		-1,240.05

Cash Collateral Detail

Starting Cash Collateral		50,564.00
Net Securities Lent Activity		-3,205.00
Ending Cash Collateral		47,359.00

Net Cash Detail

Net Cash Balance		83,769.55
Net Settled Cash Balance		46,118.95

CAD

Cash Detail		Total
Starting Cash		91.45
Ending Cash		91.45
Ending Settled Cash		91.45

USD

Cash Detail		Total
Starting Cash		1,914.69
Commissions		-5.51
Dividends		178.20
Net Trades (Sales)		34,278.60
Withholding Tax		-26.73
Ending Cash		36,339.25
Ending Settled Cash		-1,311.35

Cash Collateral Detail

Starting Cash Collateral		50,564.00
Net Securities Lent Activity		-3,205.00
Ending Cash Collateral		47,359.00

Net Cash Detail

Net Cash Balance		83,698.25
Net Settled Cash Balance		46,047.65

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
CAD								
HSE	1,102	1	15.236623	16,790.76	17.6300	19,428.26	2,637.50	
Total				16,790.76		19,428.26	2,637.50	
Total in USD				13,089.57		15,145.69	2,056.12	
USD								
AAOI	500	1	28.6610	14,330.50	33.7600	16,880.00	2,549.50	
AMCN	6,800	1	2.7016757	18,371.40	0.6700	4,556.00	-13,815.40	
CTRP	100	1	44.4850	4,448.50	40.6300	4,063.00	-385.50	
FCX	1	1	12.0000	12.00	15.3200	15.32	3.32	
GLD	50	1	115.1700	5,758.50	125.5000	6,275.00	516.50	
JMEI	1,500	1	3.3908867	5,086.33	2.5700	3,855.00	-1,231.33	
MINT	400	1	101.6150	40,646.00	101.5800	40,632.00	-14.00	
MO	300	1	60.03205	18,009.61	56.1300	16,839.00	-1,170.62	
MON	280	1	114.1667143	31,966.68	125.0700	35,019.60	3,052.92	
NPTN	600	1	6.2050	3,723.00	5.3200	3,192.00	-531.00	
NTR	900	1	43.9658111	39,569.23	46.2600	41,634.00	2,064.77	
NXPI	100	1	102.9750	10,297.50	105.4000	10,540.00	242.50	
QCOM	50	1	51.7200	2,586.00	51.1100	2,555.50	-30.50	
RAD	8,000	1	2.475125	19,801.00	1.6200	12,960.00	-6,841.00	
RENN	3,000	1	10.5015	31,504.50	10.2300	30,690.00	-814.50	
SINA	10	1	93.5600	935.60	94.2900	942.90	7.30	
VIPS	-1	1	15.339665	-15.34	15.3700	-15.37	-0.03	
VNET	4,600	1	7.4528217	34,282.98	5.4300	24,978.00	-9,304.98	
Total				281,313.99		255,611.95	-25,702.04	
Total Stocks in USD				294,403.56		270,757.64	-23,645.92	

Forex Balances

Description	Quantity	Cost Price	Cost Basis in USD	Close Price	Value in USD	Unrealized P/L in USD	Code
Forex							
USD							
CAD	91.45	0.7750028	-70.88	0.77957	71.29	0.42	
USD	36,339.25	1.0000	-36,339.25	1.0000	36,339.25	0.00	
Total			-36,410.13		36,410.55	0.42	

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
Stocks					
CAD					
HSE	HUSKY ENERGY INC.	1,102	0	0	1,102
USD					
AAOI	APPLIED OPTOELECTRONICS INC	500	0	-1,273	-773

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
AMCN	AIRMEDIA GROUP INC-ADR	6,800	0	0	6,800
CTRP	CTRIP.COM INTERNATIONAL-ADR	100	0	0	100
FCX	FREEMPORT-MCMORAN INC	1	0	0	1
GLD	SPDR GOLD SHARES	50	0	0	50
JMEI	JUMEI INTERNATIONAL-ADR	1,500	0	0	1,500
MINT	PIMCO ENHANCED SHORT MATURIT	400	0	0	400
MO	ALTRIA GROUP INC	300	0	0	300
MON	MONSANTO CO	280	0	0	280
NPTN	NEOPHOTONICS CORP	600	0	0	600
NTR	NUTRIEN LTD	900	0	0	900
NXPI	NXP SEMICONDUCTORS NV	100	0	0	100
QCOM	QUALCOMM INC	50	0	0	50
RAD	RITE AID CORP	8,000	0	-1,402	6,598
RENN	RENREN INC-ADR	3,000	0	0	3,000
SINA	SINA CORP	10	0	0	10
VIPS	VIPSHOP HOLDINGS LTD - ADR	-1	0	0	-1
VNET	21VIANET GROUP INC-ADR	4,600	0	0	4,600

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks										
USD										
AAOI	2018-04-27, 10:05:19	-400	34.3065	33.7600	13,722.60	-1.96	-11,793.73	1,926.90	218.60	C
AAOI	2018-04-27, 10:07:30	-400	34.4900	33.7600	13,796.00	-2.37	-11,698.62	2,095.01	292.00	C;P
AAOI	2018-04-27, 15:54:49	-200	33.8000	33.7600	6,760.00	-1.18	-5,812.38	946.44	8.00	C;P
Total AAOI		-1,000			34,278.60	-5.51	-29,304.73	4,968.36	518.60	
Total					34,278.60	-5.51	-29,304.73	4,968.36	518.60	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	90.04
Interest Accrued	18.82
Accrual Reversal	0.00
Ending Accrual Balance	108.86

Withholding Tax

Date	Description	Amount	Code
USD			
2018-04-27	MON(US61166W1018) Cash Dividend 0.54000000 USD per Share - US Tax	-26.73	
Total		-26.73	

Dividends

Date	Description	Amount
USD		
2018-04-27	MON(US61166W1018) Cash Dividend 0.54000000 USD per Share (Ordinary Dividend)	178.20
Total		178.20

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									121.51	
Stocks										
USD										
MON	2018-04-27	2018-04-05	2018-04-27	330	-26.73	0.00	0.54	-178.20	-151.47	Re
Total					-26.73	0.00		-178.20	-151.47	
Ending Dividend Accruals in USD									-29.96	

Non-Direct Hard to Borrow Details

Value Date	Symbol	Quantity	Price	Value	Fee Rate (%)	Borrow Fee	Code
USD							
2018-04-26	VIPS	1	16.0000	16.00	0.46	0.00	Po
Total						0.00	

IB Managed Securities Lent

Symbol	Transaction ID	Quantity	Interest Rate on Customer Collateral (%)	Collateral Amount
Stocks				
USD				
AAOI		-1,273		44,555.00
RAD		-1,402		2,804.00
Total				47,359.00

Notes

- Important Notice re: CIPF Protection for Loans of Fully Paid and Excess Margin Securities: Please be aware that if you execute loans of your fully paid or excess margin securities, the Canadian Investor Protection Fund will not protect you with respect to the securities loan transaction. Therefore, the cash collateral credited to your account by Interactive Brokers (see above) will constitute the only source of satisfaction in the event that Interactive Brokers cannot return the securities.

IB Managed Securities Lent Activity

Symbol	Date	Description	Transaction ID	Quantity	Interest Rate on Customer Collateral (%)	Collateral Amount
Stocks						
USD						
AAOI	2018-04-27	Loan Return Allocation	SLB.48722668	1,403	14.18	-47,702.00
AAOI	2018-04-27	New Loan Allocation	SLB.48734401	-1,273	14.11	44,555.00
RAD	2018-04-27	Loan Return Allocation	SLB.48724518	1,431	0.29	-2,862.00
RAD	2018-04-27	New Loan Allocation	SLB.48736167	-1,402	0.29	2,804.00
Total						-3,205.00

IB Managed Securities Lent Interest Details

Value Date	Symbol	Start Date	Quantity	Collateral Amount	Interest Rate Earned by IB (%)	Interest Paid to IB	Interest Rate on Customer Collateral (%)	Interest Paid to Customer	Interest	Code
USD										
2018-04-26	AAOI	2018-04-26	-1,403	47,702.00	28.36	37.58	14.18	18.79		Po
2018-04-26	RAD	2018-04-26	-1,431	2,862.00	0.57	0.05	0.29	0.03		Po
Total						37.63		18.82		

Financial Instrument Information

Symbol	Description	Conid	Security ID	Multiplier	Code
Stocks					
AAOI	APPLIED OPTOELECTRONICS INC	135423662		1	
AMCN	AIRMEDIA GROUP INC-ADR	46986483		1	
CTRP	CTRP.COM INTERNATIONAL-ADR	27008785		1	
FCX	FREEMPORT-MCMORAN INC	7089		1	
GLD	SPDR GOLD SHARES	51529211		1	
HSE	HUSKY ENERGY INC.	10112472	CA4480551031	1	
JMEI	JUMEI INTERNATIONAL-ADR	151265300		1	
MINT	PIMCO ENHANCED SHORT MATURIT	70282872		1	
MO	ALTRIA GROUP INC	9769		1	
MON	MONSANTO CO	10523297		1	
NPTN	NEOPHOTONICS CORP	83635906		1	
NTR	NUTRIEN LTD	301035662		1	
NXPI	NXP SEMICONDUCTORS NV	77791077	NL0009538784	1	
QCOM	QUALCOMM INC	273544		1	
RAD	RITE AID CORP	11481		1	
RENN	RENREN INC-ADR	263026679		1	
SINA	SINA CORP	8885958	KYG814771047	1	
VIPS	VIPSHOP HOLDINGS LTD - ADR	104863799		1	
VNET	21VIANET GROUP INC-ADR	87356334		1	

Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AUD	0.758230	INR	0.015009
BRL	0.289230	JPY	0.009170
CAD	0.779570	KRW	0.000936
CHF	1.012200	MXN	0.053714
CNH	0.158230	NOK	0.125620
CNY	0.157900	NZD	0.708550
CZK	0.047639	PLN	0.288280
DKK	0.162810	RUB	0.016089
EUR	1.213000	SEK	0.115530
GBP	1.378300	SGD	0.755480
HKD	0.127430	THB	0.031731
HUF	0.003878	TRY	0.247380
ILS	0.278700	ZAR	0.081112

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by IB
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	SO	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	ST	Short Term P/L
L	Ordered by IB (Margin Violation)	T	Transfer
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

1. Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
3. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
4. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
5. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
6. Trade execution times are displayed in Eastern Time.
7. Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
8. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
9. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
10. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
11. Please note that the "cost" of a security position refers to its "book cost" which means, in the case of a long security position, the total amount paid for the security, including any transaction charges related to the purchase and in the case of a short security position, the total amount received for the security, net of any transaction charges related to the sale, adjusted for distributions (other than dividends for short security position), returns of capital and corporate actions. In the case of securities transferred in, "cost" may in some instances refer to the market value of the security position as at the date of transfer and in such cases, market value information will be used to estimate part or all of the book cost of the relevant security position unless IBC reasonably believes it cannot determine the book cost of such security position. Accordingly, the stated cost may not be appropriate for account performance or tax reporting purposes.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Canadian Investor Protection Fund (CIPF).**
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2. **Interactive Brokers Group, Inc. (IBKR-NASDAQ) is a related or connected issuer of Interactive Brokers Canada Inc.**
3. Trades marked with the exchange indicator of TMBR were effected by Interactive Brokers Canada Inc ("IB" or "IB Canada") as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
4. All Volume Weighted Average Price (VWAP) trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information about reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. To the extent permissible under exchange rules, IB may direct customer option orders for execution against its market making affiliate Timber Hill LLC, which acts as principal and may earn a profit or incur a loss. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
6. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
7. Interactive Brokers Canada Inc. offers "Order-Execution Only Accounts." This is an order execution only account. In addition, Customer is requested to promptly advise IB Canada of any material change in Customer's investment objectives or financial situation.
8. Any free credit balances represent funds payable on demand which, although properly recorded in our books, are not segregated and may be used in the conduct of our business.
9. The audited financial summary statements of Interactive Brokers Canada Inc. and a list of the Partners/Directors/Officers (PDOs) of Interactive Brokers Canada Inc. are available upon request.
10. We are required to disclose to the Canada Revenue Agency all transactions involving the disposition of securities. Therefore we recommend that you keep your statements for tax purposes.
11. Customers' accounts are protected by the Canadian Investor Protection Fund within specified limits. A brochure describing the nature and limits of coverage is available upon request.
12. In accordance with IIROC Rule 29.26, Interactive Brokers Canada Inc. is required to provide you with the following Leverage Risk Disclosure Statement: Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.
13. ****If this portfolio statement differs from your records, please report the differences directly to our external auditors. If no differences are reported, they will assume this portfolio statement is correct.**** Deloitte s.e.n.c.r.l., Assurance & Advisory Services, IBCAudit@deloitte.ca, Telephone: 514-393-6503, Fax: 514-390-4113
14. Interactive Brokers LLC provides execution, clearing and custody services to Interactive Brokers Canada Inc.

Notes/Legal Notes

15. Interactive Brokers Canada Inc. is a member of the Investment Industry Regulatory Organization of Canada (IIROC) and Member - Canadian Investor Protection Fund. Trading of securities and derivatives may involve a high degree of risk and investors should be prepared for the risk of losing their entire investment and losing further amounts. Interactive Brokers Canada Inc. is an execution-only dealer and does not provide investment advice or recommendations regarding the purchase or sale of any securities or derivatives. Our registered office is located at 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, H3A 3J6, Canada.



Regulated by
Investment Industry Regulatory
Organization of Canada



Réglementée par
Organisme canadien de réglementation
du commerce des valeurs mobilières





Activity Statement

May 1, 2018

[? Help](#)

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

Account Information

Name	Keyou Yang and Guomei Chen
Account	██████████
Account Type	Individual
Customer Type	Joint
Account Capabilities	Margin
Base Currency	USD

Net Asset Value

	April 30, 2018		May 1, 2018			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	52,897.53	48,685.03	0.00	48,685.03	-4,212.49	Starting Value	302,589.72	
Cash Collateral	31,068.00	9,735.00	0.00	9,735.00	-21,333.00	Mark-to-Market	-1,872.84	
Stock	249,560.82	251,913.48	-15.47	251,898.01	2,337.19	Dividends	0.05	
Securities Lent	-31,068.00	0.00	-9,735.00	-9,735.00	21,333.00	Withholding Tax	-0.01	
Interest Accruals	161.33	173.81	-2.40	171.41	10.08	Change in Dividend Accruals	70.36	
Dividend Accruals	-29.96	70.40	-30.00	40.40	70.36	Change in Interest Accruals	10.08	
Total	302,589.72	310,577.72	-9,782.87	300,794.85	-1,794.86	Commissions	-2.50	
Time Weighted Rate of Return					-0.59%	Ending Value	300,794.85	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
AMCN	6,800	6,800	0.6749	0.6700	-33.32	0.00	0.00	0.00	-33.32	
CTRP	100	100	40.9000	41.4600	56.00	0.00	0.00	0.00	56.00	
FCX	1	1	15.2100	14.9500	-0.26	0.00	0.00	0.04	-0.22	
GLD	50	50	124.5900	123.7100	-44.00	0.00	0.00	0.00	-44.00	
HSE	1,102	1,102	17.9600	18.0100	42.88	0.00	0.00	0.00	42.88	
JMEI	1,500	1,500	2.7300	2.7300	0.00	0.00	0.00	0.00	0.00	
MINT	400	400	101.6000	101.4200	-72.00	0.00	0.00	0.00	-72.00	
MO	300	300	56.1100	56.0300	-24.00	0.00	0.00	0.00	-24.00	
MON	280	280	125.3700	125.6100	67.20	0.00	0.00	0.00	67.20	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
NPTN	600	600	5.1400	5.4500	186.00	0.00	0.00	0.00	186.00	
NTR	900	900	45.5300	45.8200	261.00	0.00	0.00	0.00	261.00	
NXPI	100	100	104.9000	103.9900	-91.00	0.00	0.00	0.00	-91.00	
QCOM	50	50	51.0100	50.8200	-9.50	0.00	0.00	0.00	-9.50	
RAD	8,000	8,000	1.6700	1.6400	-240.00	0.00	0.00	0.00	-240.00	
RENN	3,000	3,500	8.9700	8.4500	-1,560.00	15.00	-2.50	0.00	-1,547.50	
SINA	10	10	95.5400	95.0100	-5.30	0.00	0.00	0.00	-5.30	
VIPS	-1	-1	15.4800	15.4700	0.01	0.00	0.00	0.00	0.01	
VNET	4,600	4,600	5.2700	5.1800	-414.00	0.00	0.00	0.00	-414.00	
Total					-1,880.29	15.00	-2.50	0.04	-1,867.75	
Forex										
CAD	91.45	91.45	0.77869	0.77831	-7.56	0.00	0.00	0.00	-7.56	
USD	52,826.31	48,613.85	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					-7.56	0.00	0.00	0.00	-7.56	
Total (All Assets)					-1,887.84	15.00	-2.50	0.04	-1,875.30	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
AMCN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,346.90	0.00	-9,468.50	-13,815.40	-13,815.40	
CTRP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-302.50	0.00	0.00	-302.50	-302.50	
FCX	0.00	0.00	0.00	0.00	0.00	0.00	2.95	0.00	0.00	0.00	2.95	2.95	
GLD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	427.00	0.00	427.00	427.00	
HSE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,712.41	-333.69	2,378.72	2,378.72	
JMEI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-319.33	0.00	-672.00	-991.33	-991.33	
MINT	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-78.00	0.00	0.00	-78.00	-78.00	
MO	0.00	0.00	0.00	0.00	0.00	0.00	48.00	-1,248.62	0.00	0.00	-1,200.62	-1,200.62	
MON	0.00	0.00	0.00	0.00	0.00	0.00	2,474.52	0.00	729.60	0.00	3,204.12	3,204.12	
NPTN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-453.00	0.00	0.00	-453.00	-453.00	
NTR	0.00	0.00	0.00	0.00	0.00	0.00	2,349.15	-680.38	0.00	0.00	1,668.77	1,668.77	
NXPI	0.00	0.00	0.00	0.00	0.00	0.00	171.00	-69.50	0.00	0.00	101.50	101.50	
QCOM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-45.00	0.00	0.00	-45.00	-45.00	
RAD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-6,681.00	0.00	0.00	-6,681.00	-6,681.00	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	59.50	-6,201.50	0.00	0.00	-6,142.00	-6,142.00	
SINA	0.00	0.00	0.00	0.00	0.00	0.00	14.50	0.00	0.00	0.00	14.50	14.50	
VIPS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.13	0.00	0.00	-0.13	-0.13	
VNET	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-10,454.98	-10,454.98	-10,454.98	
Total	0.00	0.00	0.00	0.00	0.00	0.00	5,119.62	-20,425.86	3,869.01	-20,929.17	-32,366.39	-32,366.39	
Forex													
CAD	0.00	0.00	0.00	0.00	0.00	0.00	0.32	-0.02	0.00	0.00	0.30	0.30	
Total	0.00	0.00	0.00	0.00	0.00	0.00	0.32	-0.02	0.00	0.00	0.30	0.30	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	5,119.94	-20,425.87	3,869.01	-20,929.17	-32,366.09	-32,366.09	

Cash Report

	Total
Base Currency Summary	
Cash Detail	
Starting Cash	52,897.53
Commissions	-2.50
Dividends	0.05
Net Trades (Purchase)	-4,210.00
Withholding Tax	-0.01
Cash FX Translation Gain/Loss	-0.03
Ending Cash	48,685.03
Ending Settled Cash	36,405.03
Cash Collateral Detail	
Starting Cash Collateral	31,068.00
Net Securities Lent Activity	-21,333.00
Ending Cash Collateral	9,735.00
Net Cash Detail	
Net Cash Balance	58,420.03
Net Settled Cash Balance	46,140.03
CAD	
Cash Detail	
Starting Cash	91.45
Ending Cash	91.45
Ending Settled Cash	91.45
USD	
Cash Detail	
Starting Cash	52,826.31
Commissions	-2.50
Dividends	0.05
Net Trades (Purchase)	-4,210.00
Withholding Tax	-0.01
Ending Cash	48,613.85
Ending Settled Cash	36,333.85
Cash Collateral Detail	
Starting Cash Collateral	31,068.00
Net Securities Lent Activity	-21,333.00
Ending Cash Collateral	9,735.00
Net Cash Detail	
Net Cash Balance	58,348.85
Net Settled Cash Balance	46,068.85

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
CAD								
HSE	1,102	1	15.236623	16,790.76	18.0100	19,847.02	3,056.26	

Open Positions

Open Positions								
Total				16,790.76		19,847.02		3,056.26
Total in USD				13,068.42		15,447.13		2,378.72
USD								
AMCN	6,800	1	2.7016757	18,371.40	0.6700	4,556.00		-13,815.40
CTRP	100	1	44.4850	4,448.50	41.4600	4,146.00		-302.50
FCX	1	1	12.0000	12.00	14.9500	14.95		2.95
GLD	50	1	115.1700	5,758.50	123.7100	6,185.50		427.00
JMEI	1,500	1	3.3908867	5,086.33	2.7300	4,095.00		-991.33
MINT	400	1	101.6150	40,646.00	101.4200	40,568.00		-78.00
MO	300	1	60.03205	18,009.61	56.0300	16,809.00		-1,200.62
MON	280	1	114.1667143	31,966.68	125.6100	35,170.80		3,204.12
NPTN	600	1	6.2050	3,723.00	5.4500	3,270.00		-453.00
NTR	900	1	43.9658111	39,569.23	45.8200	41,238.00		1,668.77
NXPI	100	1	102.9750	10,297.50	103.9900	10,399.00		101.50
QCOM	50	1	51.7200	2,586.00	50.8200	2,541.00		-45.00
RAD	8,000	1	2.475125	19,801.00	1.6400	13,120.00		-6,681.00
RENN	3,500	1	10.2048571	35,717.00	8.4500	29,575.00		-6,142.00
SINA	10	1	93.5600	935.60	95.0100	950.10		14.50
VIPS	-1	1	15.339665	-15.34	15.4700	-15.47		-0.13
VNET	4,600	1	7.4528217	34,282.98	5.1800	23,828.00		-10,454.98
Total				271,195.99		236,450.88		-34,745.11
Total Stocks in USD				284,264.41		251,898.01		-32,366.39

Forex Balances

Description	Quantity	Cost Price	Cost Basis in USD	Close Price	Value in USD	Unrealized P/L in USD	Code
Forex							
USD							
CAD	91.45	0.7750028	-70.88	0.77831	71.18	0.30	
USD	48,613.85	1.0000	-48,613.85	1.0000	48,613.85	0.00	
Total			-48,684.73		48,685.03	0.30	

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
Stocks					
CAD					
HSE	HUSKY ENERGY INC.	1,102	0	0	1,102
USD					
AAOI	APPLIED OPTOELECTRONICS INC	0	0	-295	-295
AMCN	AIRMEDIA GROUP INC-ADR	6,800	0	0	6,800
CTRP	CTRIIP.COM INTERNATIONAL-ADR	100	0	0	100
FCX	FREEMPORT-MCMORAN INC	1	0	0	1
GLD	SPDR GOLD SHARES	50	0	0	50
JMEI	JUMEI INTERNATIONAL-ADR	1,500	0	0	1,500

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
MINT	PIMCO ENHANCED SHORT MATURIT	400	0	0	400
MO	ALTRIA GROUP INC	300	0	0	300
MON	MONSANTO CO	280	0	0	280
NPTN	NEOPHOTONICS CORP	600	0	0	600
NTR	NUTRIEN LTD	900	0	0	900
NXPI	NXP SEMICONDUCTORS NV	100	0	0	100
QCOM	QUALCOMM INC	50	0	0	50
RAD	RITE AID CORP	8,000	0	0	8,000
RENN	RENREN INC-ADR	3,500	0	0	3,500
SINA	SINA CORP	10	0	0	10
VIPS	VIPSHOP HOLDINGS LTD - ADR	-1	0	0	-1
VNET	21VIANET GROUP INC-ADR	4,600	0	0	4,600

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks										
USD										
RENN	2018-05-01, 12:09:48	500	8.4200	8.4500	-4,210.00	-2.50	4,212.50	0.00	15.00	O;P
Total RENN		500			-4,210.00	-2.50	4,212.50	0.00	15.00	
Total					-4,210.00	-2.50	4,212.50	0.00	15.00	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	161.33
Interest Accrued	10.08
Accrual Reversal	0.00
Ending Accrual Balance	171.41

Withholding Tax

Date	Description	Amount	Code
USD			
2018-05-01	FCX(US35671D8570) Cash Dividend 0.05000000 USD per Share - US Tax	-0.01	
Total		-0.01	

Dividends

Date	Description	Amount
USD		
2018-05-01	FCX(US35671D8570) Cash Dividend 0.05000000 USD per Share (Ordinary Dividend)	0.05
Total		0.05

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									-29.96	

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Stocks										
USD										
FCX	2018-05-01	2018-04-12	2018-05-01	1	-0.01	0.00	0.05	-0.05	-0.04	Re
MINT	2018-04-30	2018-05-01	2018-05-04	400	0.00	0.00	0.18	70.40	70.40	Po
Total					-0.01	0.00		70.35	70.36	
Ending Dividend Accruals in USD									40.40	

Non-Direct Hard to Borrow Details

Value Date	Symbol	Quantity	Price	Value	Fee Rate (%)	Borrow Fee	Code
USD							
2018-04-30	VIPS	1	16.0000	16.00	0.42	0.00	Po
Total						0.00	

IB Managed Securities Lent

Symbol	Transaction ID	Quantity	Interest Rate on Customer Collateral (%)	Collateral Amount
Stocks				
USD				
AAOI		-295		9,735.00
Total				9,735.00

Notes

- Important Notice re: CIPF Protection for Loans of Fully Paid and Excess Margin Securities: Please be aware that if you execute loans of your fully paid or excess margin securities, the Canadian Investor Protection Fund will not protect you with respect to the securities loan transaction. Therefore, the cash collateral credited to your account by Interactive Brokers (see above) will constitute the only source of satisfaction in the event that Interactive Brokers cannot return the securities.

IB Managed Securities Lent Activity

Symbol	Date	Description	Transaction ID	Quantity	Interest Rate on Customer Collateral (%)	Collateral Amount
Stocks						
USD						
AAOI	2018-05-01	Loan Return Allocation	SLB.48745911	758	14.11	-26,530.00
AAOI	2018-05-01	New Loan Allocation	SLB.48756540	-295	14.00	9,735.00
RAD	2018-05-01	Loan Return Allocation	SLB.48747652	2,269	0.29	-4,538.00
Total						-21,333.00

IB Managed Securities Lent Interest Details

Value Date	Symbol	Start Date	Quantity	Collateral Amount	Interest Rate Earned by IB (%)	Interest Paid to IB	Interest Rate on Customer Collateral (%)	Interest Paid to Customer	Code
USD									
2018-04-30	AAOI	2018-04-30	-758	26,530.00	28.22	20.80	14.11	10.40	Po

IB Managed Securities Lent Interest Details

Value Date	Symbol	Start Date	Quantity	Collateral Amount	Interest Rate Earned by IB (%)	Interest Paid to IB	Interest Rate on Customer Collateral (%)	Interest Paid to Customer	Code
2018-04-30	RAD	2018-04-30	-2,269	4,538.00	0.57	0.07	0.29	0.04	Po
Total						20.87		10.44	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Multiplier	Code
Stocks					
AMCN	AIRMEDIA GROUP INC-ADR	46986483		1	
CTRP	CTRIP.COM INTERNATIONAL-ADR	27008785		1	
FCX	FREEMPORT-MCMORAN INC	7089		1	
GLD	SPDR GOLD SHARES	51529211		1	
HSE	HUSKY ENERGY INC.	10112472	CA4480551031	1	
JMEI	JUMEI INTERNATIONAL-ADR	151265300		1	
MINT	PIMCO ENHANCED SHORT MATURIT	70282872		1	
MO	ALTRIA GROUP INC	9769		1	
MON	MONSANTO CO	10523297		1	
NPTN	NEOPHOTONICS CORP	83635906		1	
NTR	NUTRIEN LTD	301035662		1	
NXPI	NXP SEMICONDUCTORS NV	77791077	NL0009538784	1	
QCOM	QUALCOMM INC	273544		1	
RAD	RITE AID CORP	11481		1	
RENN	RENREN INC-ADR	263026679		1	
SINA	SINA CORP	8885958	KYG814771047	1	
VIPS	VIPSHOP HOLDINGS LTD - ADR	104863799		1	
VNET	21VIANET GROUP INC-ADR	87356334		1	

Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AUD	0.749080	INR	0.014970
BRL	0.285100	JPY	0.009102
CAD	0.778310	KRW	0.000932
CHF	1.003500	MXN	0.052766
CNH	0.157780	NOK	0.123630
CNY	0.157900	NZD	0.700420
CZK	0.046703	PLN	0.280490
DKK	0.160950	RUB	0.015682
EUR	1.199200	SEK	0.113020
GBP	1.361500	SGD	0.749820
HKD	0.127400	THB	0.031556
HUF	0.003820	TRY	0.243750
ILS	0.277140	ZAR	0.078978

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by IB
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	SO	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	ST	Short Term P/L
L	Ordered by IB (Margin Violation)	T	Transfer
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.

Notes/Legal Notes

- Please note that the "cost" of a security position refers to its "book cost" which means, in the case of a long security position, the total amount paid for the security, including any transaction charges related to the purchase and in the case of a short security position, the total amount received for the security, net of any transaction charges related to the sale, adjusted for distributions (other than dividends for short security position), returns of capital and corporate actions. In the case of securities transferred in, "cost" may in some instances refer to the market value of the security position as at the date of transfer and in such cases, market value information will be used to estimate part or all of the book cost of the relevant security position unless IBC reasonably believes it cannot determine the book cost of such security position. Accordingly, the stated cost may not be appropriate for account performance or tax reporting purposes.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Canadian Investor Protection Fund (CIPF).

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- For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
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- Customers' accounts are protected by the Canadian Investor Protection Fund within specified limits. A brochure describing the nature and limits of coverage is available upon request.
- In accordance with IROC Rule 29.26, Interactive Brokers Canada Inc. is required to provide you with the following Leverage Risk Disclosure Statement: Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.
- **If this portfolio statement differs from your records, please report the differences directly to our external auditors. If no differences are reported, they will assume this portfolio statement is correct.** Deloitte s.e.n.c.r.l., Assurance & Advisory Services, IBCaudit@deloitte.ca, Telephone: 514-393-6503, Fax: 514-390-4113
- Interactive Brokers LLC provides execution, clearing and custody services to Interactive Brokers Canada Inc.
- Interactive Brokers Canada Inc. is a member of the Investment Industry Regulatory Organization of Canada (IIROC) and Member - Canadian Investor Protection Fund. Trading of securities and derivatives may involve a high degree of risk and investors should be prepared for the risk of losing their entire investment and losing further amounts. Interactive Brokers Canada Inc. is an execution-only dealer and does not provide investment advice or recommendations regarding the purchase or sale of any securities or derivatives. Our registered office is located at 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, H3A 3J6, Canada.



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Organization of Canada



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Réglementée par
Organisme canadien de réglementation
du commerce des valeurs mobilières



MEMBER



Accounts Summary

January 1, 2018 - June 4, 2018

Account Information

Name	LINGYUN WU
Master Account	[REDACTED]
Base Currency	CNH

NAV Summary

Name	Account	Account Type	Starting Net Asset Value	Ending Net Asset Value	Time Weighted Rate of Return
CNH					
LINGYUN WU	[REDACTED]	Broker Client	4,739,429.08	4,526,927.69	-3.98%
Total			4,739,429.08	4,526,927.69	

Profit and Loss Summary

Name	Account	MTM	Realized	Unrealized
CNH				
LINGYUN WU	[REDACTED]	-204,386.82	224,465.71	1,319,062.47
Total		-204,386.82	224,465.71	1,319,062.47

Open Positions

Symbol	Account	Quantity	Cost Basis	Close Price	Value	Unrealized P/L
Stocks						
CNH						
600036	[REDACTED]	22	411.68	29.2500	643.50	231.82
600036	[REDACTED]	22	411.68	29.2500	643.50	231.82
601166	[REDACTED]	54,000	853,570.40	16.0100	864,540.00	10,969.60
601166	[REDACTED]	54,000	853,570.40	16.0100	864,540.00	10,969.60
Total			853,982.08		865,183.50	11,201.42
HKD						
1038	[REDACTED]	14,000	906,485.15	59.5500	833,700.00	-72,785.15
1038	[REDACTED]	14,000	906,485.15	59.5500	833,700.00	-72,785.15
1138	[REDACTED]	10,000	41,470.53	4.4700	44,700.00	3,229.47
1138	[REDACTED]	10,000	41,470.53	4.4700	44,700.00	3,229.47
1816	[REDACTED]	300,000	642,486.73	2.2500	675,000.00	32,513.27
1816	[REDACTED]	300,000	642,486.73	2.2500	675,000.00	32,513.27
1988	[REDACTED]	240,000	1,837,285.75	7.5600	1,814,400.00	-22,885.75
1988	[REDACTED]	240,000	1,837,285.75	7.5600	1,814,400.00	-22,885.75

Open Positions						
Symbol	Quantity	Cost Basis	Close Price	Value	Unrealized P/L	
2039	101,400	1,081,313.85	12.1400	1,230,996.00	149,682.15	
2039	101,400	1,081,313.85	12.1400	1,230,996.00	149,682.15	
3900	20,000	157,571.99	12.5600	251,200.00	93,628.01	
3900	20,000	157,571.99	12.5600	251,200.00	93,628.01	
392	10,000	456,765.21	43.0500	430,500.00	-26,265.21	
392	10,000	456,765.21	43.0500	430,500.00	-26,265.21	
3968	80,262	1,477,324.07	33.0000	2,648,646.00	1,171,321.93	
3968	80,262	1,477,324.07	33.0000	2,648,646.00	1,171,321.93	
Total		6,600,703.28		7,929,142.00	1,328,438.72	
Total in CNH		5,388,814.16		6,473,351.53	1,084,537.37	
USD						
BP	7	238.00	45.9600	321.72	83.72	
BP	7	238.00	45.9600	321.72	83.72	
JD	707	20,326.73	38.1600	26,979.12	6,652.39	
JD	707	20,326.73	38.1600	26,979.12	6,652.39	
JMEI	24,000	65,939.67	2.6500	63,600.00	-2,339.67	
JMEI	24,000	65,939.67	2.6500	63,600.00	-2,339.67	
RENN	4,438	48,076.04	8.7100	38,654.98	-9,421.06	
RENN	4,438	48,076.04	8.7100	38,654.98	-9,421.06	
TSLA	40	11,738.90	296.7400	11,869.60	130.70	
TSLA	40	11,738.90	296.7400	11,869.60	130.70	
Total		146,319.34		141,425.42	-4,893.92	
Total in CNH		937,204.60		905,858.10	-31,346.50	

Trades						
Symbol	Quantity	Buys		Sells		
		Avg. Price	Proceeds	Quantity	Avg. Price	Proceeds
Stocks						
CNH						
600016	0	0.0000	0.00	-22,000	8.1800	179,960.00
600016	0	0.0000	0.00	-22,000	8.1800	179,960.00
601166	0	0.0000	0.00	-36,000	16.9561111	610,420.00
601166	0	0.0000	0.00	-36,000	16.9561111	610,420.00
Total			0.00			790,380.00
HKD						
1038	2,000	62.8000	-125,600.00	0	0.0000	0.00
1038	2,000	62.8000	-125,600.00	0	0.0000	0.00
1816	300,000	2.1380	-641,400.00	0	0.0000	0.00
1816	300,000	2.1380	-641,400.00	0	0.0000	0.00
1988	40,000	7.9800	-319,200.00	0	0.0000	0.00
1988	40,000	7.9800	-319,200.00	0	0.0000	0.00
2039	10,000	13.2800	-132,800.00	-13,600	14.8744118	202,292.00
2039	10,000	13.2800	-132,800.00	-13,600	14.8744118	202,292.00
392	10,000	45.6000	-456,000.00	0	0.0000	0.00

Trades

Symbol	Quantity	Buys			Sells		
		Avg. Price	Proceeds	Quantity	Avg. Price	Proceeds	
392	10,000	45.6000	-456,000.00	0	0.0000	0.00	
3968	0	0.0000	0.00	-10,000	38.5000	385,000.00	
3968	0	0.0000	0.00	-10,000	38.5000	385,000.00	
Total			-1,675,000.00			587,292.00	
Total in CNH			-1,368,586.14			474,411.19	
USD							
BP	0	0.0000	0.00	-1,493	41.0318151	61,260.50	
BP	0	0.0000	0.00	-1,493	41.0318151	61,260.50	
JD	0	0.0000	0.00	-400	43.9700	17,588.00	
JD	0	0.0000	0.00	-400	43.9700	17,588.00	
JMEI	35,108	2.90566	-102,011.91	-16,828	3.0631947	51,547.44	
JMEI	35,108	2.90566	-102,011.91	-16,828	3.0631947	51,547.44	
TSLA	40	293.3975	-11,735.90	-20	328.3900	6,567.80	
TSLA	40	293.3975	-11,735.90	-20	328.3900	6,567.80	
Total			-113,747.81			136,963.74	
Total in CNH			-721,092.31			865,669.14	
Symbol	Quantity	Buys			Sells		
		Avg. Price	Proceeds	Quantity	Avg. Price	Proceeds	
Forex							
CNH							
USD.CNH	115,000	6.2907449	-723,435.66	0	0.0000	0.00	
USD.CNH	115,000	6.2907449	-723,435.66	0	0.0000	0.00	
Total			-723,435.66			0.00	
HKD							
USD.HKD	0	0.0000	0.00	-100,000	7.82235	782,235.00	
USD.HKD	0	0.0000	0.00	-100,000	7.82235	782,235.00	
Total			0.00			782,235.00	
Total in CNH			0.00			653,745.08	
USD							
EUR.USD	200,000	1.1923038	-238,460.75	-100,000	1.2243425	122,434.25	
EUR.USD	200,000	1.1923038	-238,460.75	-100,000	1.2243425	122,434.25	
Total			-238,460.75			122,434.25	
Total in CNH			-1,516,026.94			780,296.37	

Cash and Position Activity

Name	Account	Dividends	Interest	Fees	Deposits	Withdrawals	Position Transfer In	Position Transfer Out
CNH								
LINGYUN WU		23,777.40	-25,014.08	-2,623.46	0.00	-23,247.97	0.00	0.00
Total		23,777.40	-25,014.08	-2,623.46	0.00	-23,247.97	0.00	0.00



Holdings in your account

on April 30, 2018

Description	Quantity or par value (\$)	Price (\$)	Book value (\$)	Market value (\$)	Unrealized gain or loss (\$)	% of your holdings
Cash			9.50	9.50	0.00	0.09%
COMMON SHARES						
RENREN INC-A SPON ADR-NEW (RENN)	1,200 SEG	8.970	11,659.98	10,764.00	-895.98	99.91%
Total Portfolio			\$11,669.48	\$10,773.50		100.00%

Definitions

An explanation of terms shown in the tables above

Book value is the position cost, which is the total amount paid to purchase a security including any transaction charges related to the purchase, adjusted for reinvested distributions, returns of capital and corporate reorganizations for long positions and the total amount received for the security, net of any transaction charges related to the sale, adjusted for any distributions (other than dividends), returns of capital and corporate actions for short positions.

Market value is the price of the security or fund multiplied by the quantity held.

Unrealized Gain or Loss is the gain or loss that would be incurred, if your holdings were liquidated as at the end of the statement period. It is calculated by subtracting book value from market value.

Deposits or contributions can include any deposits, contributions, transfers into your account, adjustments, and any reversals of transfers-out of your account.

Withdrawals can include any withdrawals, payments, transfers out of your account, and any reversals of transfers into your account.

Details of investment income

Earnings/Expenses	This period (Apr 1 - Apr 30, 2018)	Year to date (Jan 1 - Apr 30, 2018)
Dividends	0.00	1.72
Total	\$0.00	\$1.72

Important information about your account

Please note – beginning this year, the "Details of fees and charges" summary will be available annually on your December account statement.



Activity in your account this period

Date	Activity	Description	Quantity	Price (\$)	Amount (\$)	Cash balance (\$)
	Beginning cash balance					9.50
Jun 21	Admin Fee Charged	RENREN INC-A SPON ADR-NEW	1,200		-24.00	-14.50
Jun 21	Dividends	RENREN INC-A SPON ADR-NEW	1,200		11,025.00	11,010.50
Jun 26	Sell	RENREN INC-A SPON ADR-NEW OE-105041	-1,200	2.790	3,337.96	14,348.46
Jun 30	Ending cash balance					\$14,348.46

Details of investment income

Earnings/Expenses	This period (May 1 - Jun 30, 2018)	Year to date (Jan 1 - Jun 30, 2018)
Dividends	11,025.00	11,026.72
Total	\$11,025.00	\$11,026.72

Important information about your account

We have made further changes to your monthly statement that will allow you to access information about your account sooner. Moving forward, you will receive a separate account performance report, and fees and charges report annually with your December statement. However, performance data will continue to be available online anytime through WebBroker. For details about these and other changes, please see the insert included with this statement, or in the notice posted in eServices in the **Important Information** section.



Trade Confirmation Report

November 11, 2021

[? Help](#)

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

Account Information

Name	Qian Yang
Account	[REDACTED]
Account Type	Individual
Customer Type	Individual
Account Capabilities	Margin
Base Currency	CAD

Trades

Acct ID	Symbol	Trade Date/Time	Settle Date	Exchange	Type	Quantity	Price	Proceeds	Comm	Fee	Code
Stocks											
USD											
[REDACTED]	RENN	2021-11-11, 10:20:22	2021-11-15	-	BUY	1	27.5200	-27.52	-0.28	0.00	O
[REDACTED]	RENN	2021-11-11, 10:20:22	2021-11-15	NYSE	BUY	1	27.5200	-27.52	-0.28	0.00	O
Total RENN (Bought)						1	27.5200	-27.52	-0.28	0.00	
Total								-27.52	-0.28	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
RENN	RENREN INC-ADR	395889376	US7598923008	NYSE	1	ADR	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.
AEx	Automatic exercise for dividend-related recommendation.	L	Ordered by IB (Margin Violation)
B	Automatic Buy-in	M	Entered manually by IB (Please refer to legal note #2)
C	Closing Trade	MEx	Manual exercise for dividend-related recommendation.
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
D	IB acted as dual agent for both the buyer and seller in this transaction. Information regarding the counterparty to this trade will be furnished to you upon your request	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
Ex	Exercise	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	SI	This order was solicited by Interactive Brokers
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	SO	This order was marked as solicited by your Introducing Broker
G	Trade in Guaranteed Account Segment	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IA	This transaction was executed against an IB affiliate	T	Transfer

Notes/Legal Notes

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- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Trade execution times are displayed in Eastern Time.
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- In the case of any transaction in a debt security subject to redemption before maturity, such debt security may be redeemed in whole or in part before maturity and such redemption could affect the yield presented. Additional information is available upon request.

Legal Notes

- THIS STATEMENT IS BEING ISSUED TO YOU BY INTERACTIVE BROKERS CANADA INC. WHO HAS AGREED TO ACT AS THE CUSTODIAN FOR THE ASSETS DISCLOSED ON THIS STATEMENT. THE ASSETS THAT MAY BE ELIGIBLE FOR THE CANADIAN INVESTOR PROTECTION FUND (CIPF) COVERAGE, WITHIN SPECIFIED LIMITS, ARE LIMITED TO THOSE DISCLOSED IN THIS ACCOUNT STATEMENT.**

Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Canadian Investor Protection Fund (CIPF).

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Notes/Legal Notes

5. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
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9. The audited financial summary statements of Interactive Brokers Canada Inc. and a list of the Partners/Directors/Officers (PDOs) of Interactive Brokers Canada Inc. are available upon request.
10. We are required to disclose to the Canada Revenue Agency all transactions involving the disposition of securities. Therefore we recommend that you keep your statements for tax purposes.
11. Customers' accounts are protected by the Canadian Investor Protection Fund within specified limits. A brochure describing the nature and limits of coverage is available upon request.
12. In accordance with IROC Rule 3217, Interactive Brokers Canada Inc. is required to provide you with the following Leverage Risk Disclosure Statement: Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.
13. **If this portfolio statement differs from your records, please report the differences directly to our external auditors. If no differences are reported, they will assume this portfolio statement is correct.** Deloitte s.e.n.c.r.l., Assurance & Advisory Services, IBCaudit@deloitte.ca, Telephone: 514-393-5400, Fax: 514-390-4113
14. Interactive Brokers LLC provides execution, clearing and custody services to Interactive Brokers Canada Inc.
15. Interactive Brokers Canada Inc. is a member of the Investment Industry Regulatory Organization of Canada (IIROC) and Member - Canadian Investor Protection Fund. Trading of securities and derivatives may involve a high degree of risk and investors should be prepared for the risk of losing their entire investment and losing further amounts. Interactive Brokers Canada Inc. is an execution-only dealer and does not provide investment advice or recommendations regarding the purchase or sale of any securities or derivatives. Our registered office is located at 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, H3A 3J6, Canada.



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Organization of Canada



Canadian Investor Protection Fund
MEMBER



Réglementée par
Organisme canadien de réglementation
du commerce des valeurs mobilières



Fonds canadien de protection des investisseurs
MEMBRE

From: [Elise Wang](#)
To: [info_RenrenSettlement](#)
Subject: Objection Letter to the Proposed Settlement of Shareholder Derivative Actions
Date: Tuesday, November 16, 2021 8:33:39 PM
Attachments: [Objection Letter to the Proposed Settlement of Shareholder Derivative Actions In re Renren Inc. Derivative Litigation Qian Yang.pdf](#)

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Dear Sir/Madam,

This is a copy of my objection letter to the proposed settlement of shareholder derivative actions of the *Renren Inc. Derivative Litigation Case* (Index No. 653594/2018), and I am filing as a non-party to this case. I have also mailed a paper copy of this letter to the Clerk of the Court, as instructed by the notice sent by the court. Please do inform if any further information is needed. Thank you for your time!

Sincerely,
Qian Yang

Addr: 4302 – 21 Carlton St., Toronto, ON, Canada, M5B 1L3

Email: elisew1174@outlook.com

Tel: +1 (647) 978-2390

Name: Qian Yang

Address: 4302 – 21 Carlton St., Toronto, ON, Canada, M5B 1L3

Email: elisew1174@outlook.com

Tel: +1 (647) 978-2390

Objections to the Proposed Settlement of Shareholder Derivative Actions

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Dear Sir/Madam,

I'm writing to object to the Proposed Settlement of Shareholder Derivative Actions of the Renren Inc. Derivative Litigation Case and to request for permission to attend the Settlement Hearing.

While I agree with the principles of compensation as manifested in current settlement proposal, I am firmly against it as the Record Date is not set reasonably. I will explain my situation briefly and give the reasons for my objection in this following letter.

1. My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable.

2. My personal information

On April 29, 2018, I held 1,200 ADSs of Renren and sold them out on June 26, 2018, after receiving the special dividends of USD 9.1875 per ADS. I hold 1 ADS of Renren as of the date of this letter. I have attached relevant documents that demonstrate my past and current ownership of Renren ADSs at the end of this letter.

3. Why shareholders who sold Renren ADSs should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that the OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. The fact speaks for itself that Renren

suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020 (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020, with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal would pose a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they could have suffered further attacks and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, only to safeguard the evidence for potential charges until the year 2021; and this is why we already sold our shareholdings of Renren. The criminal was forced to compensate the victims 3 years later in 2021. Wouldn't it be absurd that the actual victims are unable to have the compensation just because they did not stay at the crime scene for 3 years long, and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

This is why we deem the current record date set by the proposed settlement as unreasonable, and why we believe we should still be part of the case even if we have sold out our shareholdings of Renren.

4. Why should we receive a partial compensation of USD 300m

- (1) The core reason for the lawsuit in 2018 is that the cash dividends of \$9.1875 per ADS was far less than the fair value of those assets being carved out per ADS (worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends was far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m, hence the settlement under negotiation with an amount of roughly \$300m. Since we are the actual victims in 2018, we should be entitled to the settlement of compensation regardless of whether we are still holding Renren ADSs or not.
- (2) The cash settlement of this lawsuit is not intended as a compensation for the listed company of Renren, nor a compensation for all of the company's current shareholders. Rather, it is a compensation for some of its current or past shareholders, excluding the defendants, for the reasons given above. Therefore, once again, as the actual victims, we should have the right to take part in the split of the compensation, and rightfully so.
- (3) According to the Proposal of Settlement, as one of the defendants and as an insider, DCM is not entitled to any compensation out of the USD 300m payment. However, also in accordance with the proposal, DCM is able to sell its shareholdings in Renren to its related parties, who in turn is then allowed to receive the compensation; DCM along with other defendants may also sell their shareholdings in the latest rally that "priced in" the compensation payment *after* the proposal was approved to recoup

losses. Therefore, such terms under the proposal are self-contradictory and absurd in the sense that it defeats the initial purpose of this settlement.

5. Gratefulness to the court, the judge and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We look forward to a just resolution as well as true justice in the financial market, and we thank you for your time and consideration.

Sincerely,



Qian Yang

Nov 14, 2021

Attachments:

Form 20-F:

CONSOLIDATED STATEMENTS OF OPERATIONS FOR THE YEARS ENDED DECEMBER 31, 2018, 2019 and 2020
(In thousands of US dollars, except share data and per share data)

From 2020 Annual Report of Renren (Form 20-F)

	Years ended December 31,		
	2018	2019	2020
Revenues:			
Automobile sales	\$ 47,226	\$ -	\$ -
SaaS revenue	5,748	8,261	17,519
Other services	13,820	6,824	587
Total revenues	66,794	15,085	18,106
Cost of revenues:			
Automobile sales	50,531	-	-
SaaS business	2,331	1,743	3,353
Other services	9,635	4,975	98
Total cost of revenues	62,497	6,718	3,451
Gross profit	4,297	8,367	14,655
Operating expenses (income):			
Selling and marketing	10,485	10,780	8,293
Research and development	21,930	22,791	11,347
General and administrative	48,082	10,089	22,242
Bad debt provision of prepaid expenses and other current assets	-	418	-
Provision of amount due from a related party	-	12,408	-
Impairment of goodwill	29,055	9,288	-
Gain on disposal of property and equipment	(25,928)	-	-
Total operating expenses	83,624	65,774	41,882
Loss from operations	(79,327)	(57,407)	(27,227)
Other (expenses) income	(1,202)	1,523	3,543
Fair value change gain (loss) of contingent consideration	19,899	20,662	(1,027)
Impairment of long-term investments	-	(2,000)	-
Interest income (including interest income from a related party of \$3,880, \$7,878 and \$9,176 for the years ended December 31, 2018, 2019 and 2020, respectively)	5,185	8,776	8,079
Interest expenses	(842)	(566)	(303)
Realized loss on disposal of long-term investments	(2,141)	-	-
Loss before provision of income tax and earnings (loss) in equity method investments and noncontrolling interest, net of tax	(58,428)	(29,012)	(16,935)

Bank statements showing my holdings of Renren ADSs as of April 29, 2018 and subsequent selling of said holdings on June 26, 2018:



Account number: [REDACTED]
 Account type: Direct Trading - US
 Your investment account statement: Apr 30, 2018

Holdings in your account

on April 30, 2018

Description	Quantity or par value (\$)	Price (\$)	Book value (\$)	Market value (\$)	Unrealized gain or loss (\$)	% of your holdings
Cash			9.50	9.50	0.00	0.09%
COMMON SHARES						
RENREN INC-A SPON ADR-NEW (RENN)	1,200 SEG	8.970	11,659.98	10,764.00	-895.98	99.91%
Total Portfolio			\$11,669.48	\$10,773.50		100.00%

Definitions

An explanation of terms shown in the tables above

Book value is the position cost, which is the total amount paid to purchase a security including any transaction charges related to the purchase, adjusted for reinvested distributions, returns of capital and corporate reorganizations for long positions and the total amount received for the security, net of any transaction charges related to the sale, adjusted for any distributions (other than dividends), returns of capital and corporate actions for short positions.

Market value is the price of the security or fund multiplied by the quantity held.

Unrealized Gain or Loss is the gain or loss that would be incurred, if your holdings were liquidated as at the end of the statement period. It is calculated by subtracting book value from market value.

Deposits or contributions can include any deposits, contributions, transfers into your account, adjustments, and any reversals of transfers-out of your account.

Withdrawals can include any withdrawals, payments, transfers out of your account, and any reversals of transfers into your account.

Details of investment income

Earnings/Expenses	This period (Apr 1 - Apr 30, 2018)	Year to date (Jan 1 - Apr 30, 2018)
Dividends	0.00	1.72
Total	\$0.00	\$1.72

Important information about your account

Please note – beginning this year, the "Details of fees and charges" summary will be available annually on your December account statement.



Account number [REDACTED]
 Account type: Direct Trading - US
 Your investment account statement: Jun 30, 2018

Activity in your account this period

Date	Activity	Description	Quantity	Price (\$)	Amount (\$)	Cash balance (\$)
Beginning cash balance						9.50
Jun 21	Admin Fee Charged	RENREN INC-A SPON ADR-NEW	1,200		-24.00	-14.50
Jun 21	Dividends	RENREN INC-A SPON ADR-NEW	1,200		11,025.00	11,010.50
Jun 26	Sell	RENREN INC-A SPON ADR-NEW OE-105041	-1,200	2.790	3,337.96	14,348.46
Jun 30	Ending cash balance					\$14,348.46

Details of investment income

Earnings/Expenses	This period (May 1 - Jun 30, 2018)	Year to date (Jan 1 - Jun 30, 2018)
Dividends	11,025.00	11,026.72
Total	\$11,025.00	\$11,026.72

① Important information about your account

We have made further changes to your monthly statement that will allow you to access information about your account sooner. Moving forward, you will receive a separate account performance report, and fees and charges report annually with your December statement. However, performance data will continue to be available online anytime through WebBroker. For details about these and other changes, please see the insert included with this statement, or in the notice posted in eServices in the **Important Information** section.

Trade confirmation report showing my current holdings of 1 Renren ADS as of Nov 14, 2021:



Trade Confirmation Report

November 11, 2021

[Help](#)

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

Account Information

Name	Dian Yang
Account	[REDACTED]
Account Type	Individual
Customer Type	Individual
Account Capabilities	Margin
Base Currency	CAD

Trades

Acct ID	Symbol	Trade Date/Time	Settle Date	Exchange	Type	Quantity	Price	Proceeds	Comm	Fee	Code
[REDACTED]	RENN	2021-11-11, 10:20:22	2021-11-15	-	BUY	1	27.5200	-27.52	-0.28	0.00	O
[REDACTED]	RENN	2021-11-11, 10:20:22	2021-11-15	NYSE	BUY	1	27.5200	-27.52	-0.28	0.00	O
Total RENN (Bought)						1	27.5200	-27.52	-0.28	0.00	
Total								-27.52	-0.28	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
RENN	RENREN INC-ADR	395889376	US7598923008	NYSE	1	ADR	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.
AEx	Automatic exercise for dividend-related recommendation.	L	Ordered by IB (Margin Violation)
B	Automatic Buy-in	M	Entered manually by IB (Please refer to legal note #2)
C	Closing Trade	MEx	Manual exercise for dividend-related recommendation.
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution

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男子竟在网吧修仙

收藏 手机收藏夹 淘宝购物 系统下载 114La我 2345我的 系统·之 百度·搜 淘京东·商 聚 淘宝·聚 淘 淘宝·旅

简体中文 陈敬桥

账户管理

净资产值

已实现和未实现的表现总结

未平仓头寸

代码	数量	多个	成本价格	成本基础	收盘价格	价值	未实现的损益	代码
股票								
HKD								
1988	12,500	1	8.0145353	100,181.69	7.4800	93,500.00	-6,681.69	
2002	15,000	1	1.9637523	29,456.28	2.2600	33,900.00	4,443.72	
6839	10,000	1	3.3364204	33,364.20	3.1500	31,500.00	-1,864.20	
总数				163,002.18		158,900.00	-4,102.18	
总数 USD				20,782.78		20,259.75	-523.03	
USD								
RENN	1,400	1	10.0513214	14,071.85	8.7900	12,306.00	-1,765.85	
TSLA	30	1	287.9400	8,638.20	284.7300	8,541.90	-96.30	
总数				22,710.05		20,847.90	-1,862.15	
总数 股票 在 USD				43,492.83		41,107.65	-2,385.18	

外汇结余

交易

外汇盈亏详情

利息

应计利息

63% 0 M/s 0.1 M/s

账户管理 个人银行账户分类管理左右的问题 支付清算业务 银行代理业务 银行账户管理系统 现金管理类产品设计

From: [孤独浪子](#)
To: [info_RenrenSettlement](#)
Date: Tuesday, November 16, 2021 7:59:29 AM

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Statement of 英文名

Name: CHEN JINGQIAO

Address: Yangxin County Huangshi City Hubei province

Email:759803719@qq.com

Tel:86 -13113288377

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1,My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable.

2,The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 1400 ADSs of Renren and sold them out on July 2, 2018, after receiving the special dividends of USD 9.1875 per ADS. I hold 0 ADSs of Renren as of the date of this letter.

4, Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. According to 2020 Annual Report of Renren (Form 20-F), Losses from operations of \$79.3m, \$57.4m and \$27.2m in 2018, 2019 and 2020 respectively. Moreover, Renren's market cap had been under USD 12m in March 2020 with share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with? So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

(1) The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS (worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2) The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

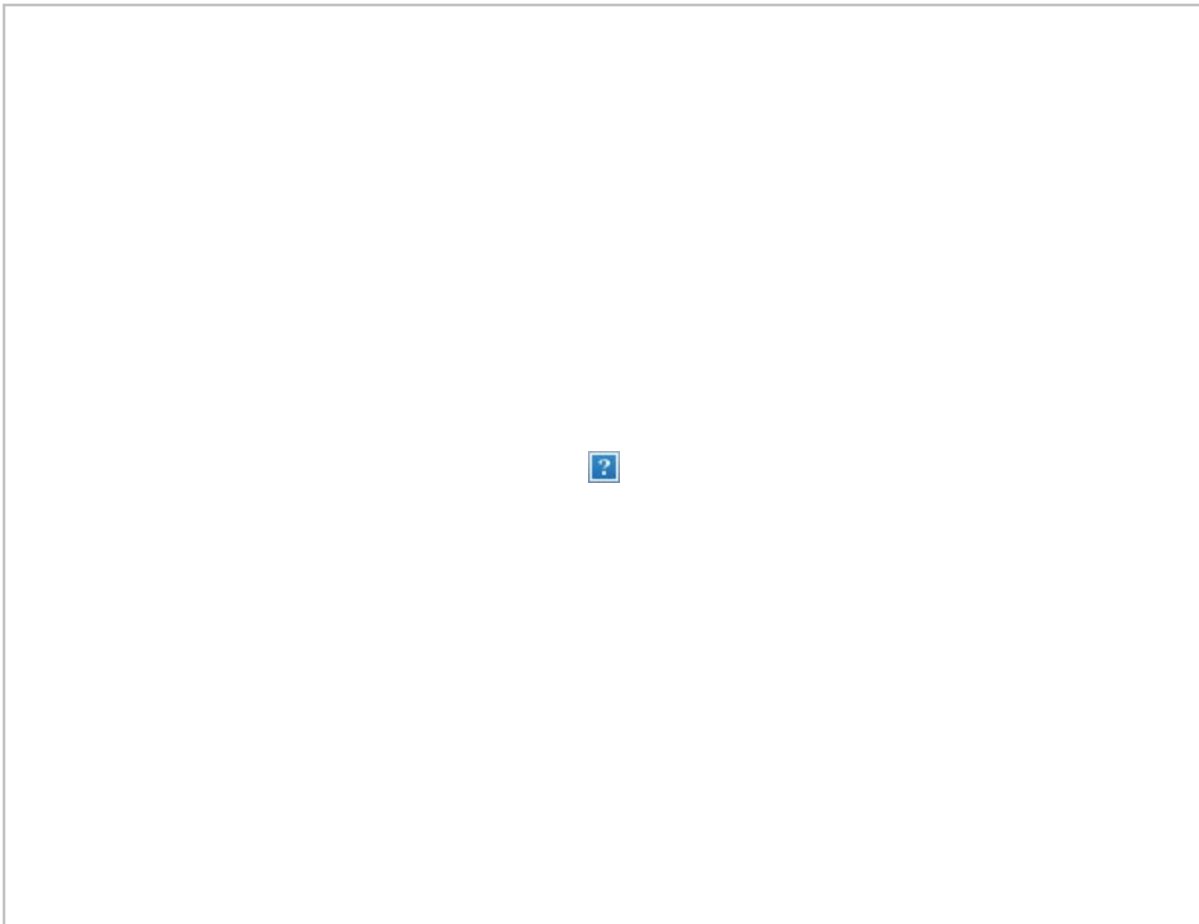
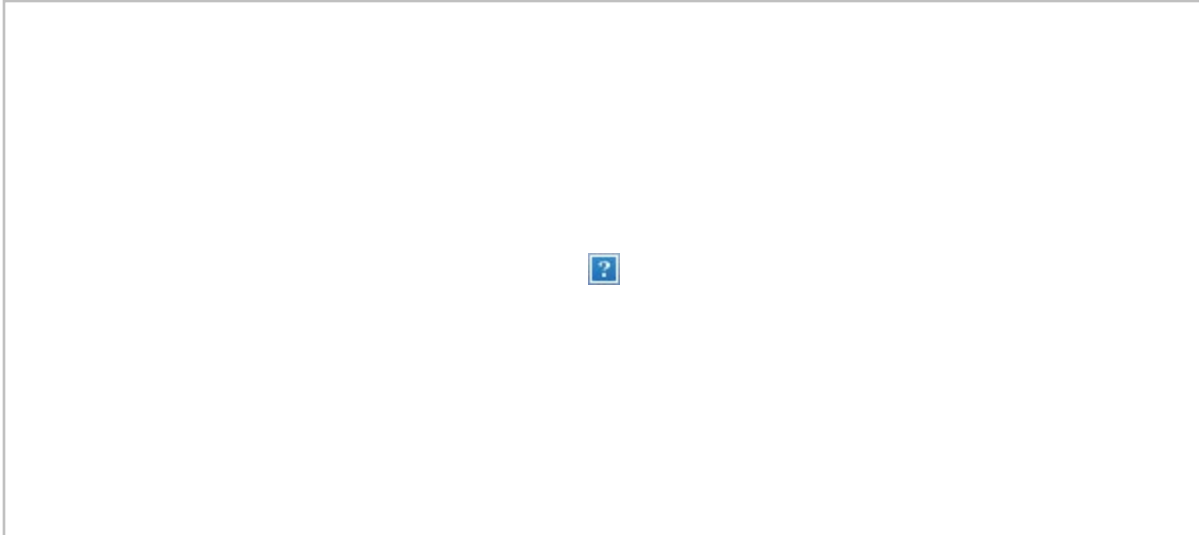
(3) According to the settlement, as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may have sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court, the judge and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May the justice be with all of us.

Name: Chen Jingqiao

Attachment





Activity Statement

April 1, 2018 - April 30, 2018

Account Information

Name	Shoujing Zhu
Account Alias	zsj
Account	
Master Name	SNB Finance Holdings Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Margin
Base Currency	USD

Net Asset Value

	March 31, 2018		April 30, 2018			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-83,505.89	43.44	-98,715.86	-98,672.42	-15,166.54	Starting Value	208,611.39	
Stock	292,449.47	297,275.04	0.00	297,275.04	4,825.58	Mark-to-Market	-10,000.53	
Interest Accruals	-201.27	0.00	-218.94	-218.94	-17.67	Dividends	38.23	
Dividend Accruals	-130.92	0.00	-200.00	-200.00	-69.08	Interest	-226.73	
Total	208,611.39	297,318.48	-99,134.80	198,183.68	-10,427.71	Change in Interest Accruals	-17.67	
Time Weighted Rate of Return					-5.00%	Other Fees	-130.92	
						Commissions	-21.01	
						Other FX Translations	-69.08	
						Ending Value	198,183.68	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
106	16,000	16,000	0.9900	1.0400	101.91	0.00	0.00	0.00	101.91	
1157	25,000	25,000	3.0000	3.3900	1,242.08	0.00	0.00	0.00	1,242.08	
1349	20,000	20,000	3.7500	3.8500	254.58	0.00	0.00	0.00	254.58	
1432	8,000	8,000	1.0700	0.9200	-152.91	0.00	0.00	0.00	-152.91	
1918	6,000	6,000	30.3000	33.9000	2,751.52	0.00	0.00	0.00	2,751.52	
2186	6,000	6,000	7.5100	8.3800	665.01	0.00	0.00	0.00	665.01	
2333	1,000	1,000	7.8700	8.2300	45.89	0.00	0.00	0.00	45.89	
29	12,000	12,000	7.7300	7.5700	-244.79	0.00	0.00	38.23	-206.56	
3333	4,000	4,000	24.7000	25.2500	280.12	0.00	0.00	0.00	280.12	
656	8,000	8,000	17.0000	16.8600	-142.93	0.00	0.00	0.00	-142.93	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
713	80,000	80,000	0.6300	0.6100	-204.02	0.00	0.00	0.00	-204.02	
AMCN	41,500	44,000	0.8721	0.6749	-8,527.30	28.25	-10.01	0.00	-8,509.06	
CMCM	2,400	2,400	13.3700	13.4100	96.00	0.00	0.00	0.00	96.00	
GSUM	0	600	--	5.0200	-660.00	792.00	-3.00	0.00	129.00	
JMEI	10,000	10,000	2.9000	2.7300	-1,700.00	0.00	0.00	0.00	-1,700.00	
LC	1,502	1,502	3.5000	2.6900	-1,216.62	0.00	0.00	0.00	-1,216.62	
NBGGY	866	0	0.2763	--	0.00	21.13	0.00	0.00	21.12	
QCOM	100	400	55.4100	51.0100	-559.00	68.00	-3.00	0.00	-494.00	
RENN	4,500	4,500	8.4000	8.9700	2,565.00	0.00	0.00	0.00	2,565.00	
SFUN	1,000	0	5.1400	--	10.00	0.00	-5.00	0.00	5.00	
SOGO	400	400	8.2500	8.6000	140.00	0.00	0.00	0.00	140.00	
STVY	52,105	52,105	0.1800	0.1400	-2,084.20	0.00	0.00	0.00	-2,084.20	
VNET	3,200	3,200	6.9300	5.2700	-5,312.00	0.00	0.00	0.00	-5,312.00	
XNET	600	600	10.0900	12.9900	1,740.00	0.00	0.00	0.00	1,740.00	
Total Stocks					-10,911.67	909.38	-21.01	38.23	-9,985.08	
Forex										
HKD	55.21	340.92	0.12741	0.12741	1.77	0.00	0.00	0.00	1.77	
USD	-83,512.92	-98,715.86	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total Forex					1.77	0.00	0.00	0.00	1.77	
Total (All Assets)					-10,909.90	909.38	-21.01	38.23	-9,983.31	
Broker Interest Paid and Received										-226.73
Other Fees										-130.92
Total P/L for Statement Period										-10,340.96

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
106	0.00	0.00	0.00	0.00	0.00	0.00	219.99	0.00	0.00	0.00	219.99	219.99	
1157	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,747.08	0.00	1,747.08	1,747.08	
1349	0.00	0.00	0.00	0.00	0.00	0.00	32.68	-2,204.16	0.00	-551.73	-2,723.20	-2,723.20	
1432	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-635.95	0.00	0.00	-635.95	-635.95	
1918	0.00	0.00	0.00	0.00	0.00	0.00	4,480.38	-161.46	7,290.36	0.00	11,609.28	11,609.28	
2186	0.00	0.00	0.00	0.00	0.00	0.00	2,984.74	0.00	0.00	0.00	2,984.74	2,984.74	
2333	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	66.74	0.00	66.74	66.74	
29	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-12.24	3,425.81	0.00	3,413.57	3,413.57	
3333	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-127.47	7,706.02	0.00	7,578.55	7,578.55	
656	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5,262.89	0.00	5,262.89	5,262.89	
713	0.00	0.00	0.00	0.00	0.00	0.00	33.57	-442.36	0.00	0.00	-408.78	-408.78	
AMCN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-50,573.10	0.00	-19,602.80	-70,175.91	-70,175.91	
CMCM	0.00	0.00	0.00	0.00	0.00	0.00	5,801.00	-82.50	0.00	-1,157.50	4,561.00	4,561.00	
GSUM	0.00	0.00	0.00	0.00	0.00	0.00	129.00	0.00	0.00	0.00	129.00	129.00	
JMEI	0.00	0.00	0.00	0.00	0.00	0.00	1,777.50	-749.50	0.00	-4,684.00	-3,656.00	-3,656.00	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
LC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,895.29	0.00	0.00	-2,895.29	-2,895.29	
NBGGY	0.00	0.00	0.00	0.00	-4,541.69	-4,541.69	0.00	0.00	0.00	0.00	0.00	-4,541.69	
QCOM	0.00	36.50	0.00	0.00	0.00	36.50	185.00	-855.00	0.00	0.00	-670.00	-633.50	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	2,866.50	-1,630.50	207.00	-524.00	919.00	919.00	
SFUN	0.00	460.00	0.00	0.00	0.00	460.00	0.00	0.00	0.00	0.00	0.00	460.00	
SOGO	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-82.00	0.00	0.00	-82.00	-82.00	
STVY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-9,394.50	0.00	-3,695.00	-13,089.50	-13,089.50	
VNET	0.00	0.00	0.00	0.00	0.00	0.00	1,001.00	0.00	0.00	-3,954.00	-2,953.00	-2,953.00	
XNET	0.00	0.00	0.00	0.00	0.00	0.00	1,083.00	0.00	0.00	0.00	1,083.00	1,083.00	
Total Stocks	0.00	496.50	0.00	0.00	-4,541.69	-4,045.19	20,594.37	-69,846.03	25,705.91	-34,169.03	-57,714.78	-61,759.97	
Forex													
HKD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.01	0.00	0.00	-0.01	-0.02	
Total Forex	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.01	0.00	0.00	-0.01	-0.02	
Total (All Assets)	0.00	496.50	0.00	0.00	-4,541.69	-4,045.19	20,594.37	-69,846.04	25,705.91	-34,169.03	-57,714.79	-61,759.98	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Cash Detail			
Starting Cash	-83,505.89	-83,505.89	0.00
Commissions	-21.01	-21.01	0.00
Dividends	38.23	38.23	0.00
Broker Interest Paid and Received	-226.73	-226.73	0.00
Trades (Sales)	11,128.40	11,128.40	0.00
Trades (Purchase)	-25,954.50	-25,954.50	0.00
Other Fees	-130.92	-130.92	0.00
Cash FX Translation Gain/Loss	0.00	0.00	0.00
Ending Cash	-98,672.42	-98,672.42	0.00
Ending Settled Cash	-98,672.42	-98,672.42	0.00
HKD			
Cash Detail			
Starting Cash	55.21	55.21	0.00
Dividends	300.00	300.00	0.00
Broker Interest Paid and Received	-14.29	-14.29	0.00
Ending Cash	340.92	340.92	0.00
Ending Settled Cash	340.92	340.92	0.00
USD			
Cash Detail			
Starting Cash	-83,512.92	-83,512.92	0.00
Commissions	-21.01	-21.01	0.00
Broker Interest Paid and Received	-224.91	-224.91	0.00
Trades (Sales)	11,128.40	11,128.40	0.00
Trades (Purchase)	-25,954.50	-25,954.50	0.00

Cash Report

	Total	Securities	Futures
Other Fees	-130.92	-130.92	0.00
Ending Cash	-98,715.86	-98,715.86	0.00
Ending Settled Cash	-98,715.86	-98,715.86	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
HKD								
106	16,000	1	0.9320876	14,913.40	1.0400	16,640.00	1,726.60	
1157	25,000	1	2.8415085	71,037.71	3.3900	84,750.00	13,712.29	
1349	20,000	1	4.9186765	98,373.53	3.8500	77,000.00	-21,373.53	
1432	8,000	1	1.5439166	12,351.33	0.9200	7,360.00	-4,991.33	
1918	6,000	1	18.7137486	112,282.49	33.9000	203,400.00	91,117.51	
2186	6,000	1	4.4756206	26,853.72	8.3800	50,280.00	23,426.28	
2333	1,000	1	7.7062074	7,706.21	8.2300	8,230.00	523.79	
29	12,000	1	5.3373318	64,047.98	7.5700	90,840.00	26,792.02	
3333	4,000	1	10.3795952	41,518.38	25.2500	101,000.00	59,481.62	
656	8,000	1	11.6966552	93,573.24	16.8600	134,880.00	41,306.76	
713	80,000	1	0.650105	52,008.40	0.6100	48,800.00	-3,208.40	
Total				594,666.41		823,180.00	228,513.59	
Total in USD				75,766.45		104,881.36	29,114.92	
USD								
AMCN	44,000	1	2.269807	99,871.51	0.6749	29,695.60	-70,175.91	
CMCM	2,400	1	11.5095833	27,623.00	13.4100	32,184.00	4,561.00	
GSUM	600	1	4.8050	2,883.00	5.0200	3,012.00	129.00	
JMEI	10,000	1	3.0956	30,956.00	2.7300	27,300.00	-3,656.00	
LC	1,502	1	4.6176232	6,935.67	2.6900	4,040.38	-2,895.29	
QCOM	400	1	52.6850	21,074.00	51.0100	20,404.00	-670.00	
RENN	4,500	1	8.7657778	39,446.00	8.9700	40,365.00	919.00	
SOGO	400	1	8.8050	3,522.00	8.6000	3,440.00	-82.00	
STVY	52,105	1	0.3912139	20,384.20	0.1400	7,294.70	-13,089.50	
VNET	3,200	1	6.1928125	19,817.00	5.2700	16,864.00	-2,953.00	
XNET	600	1	11.1850	6,711.00	12.9900	7,794.00	1,083.00	
Total				279,223.38		192,393.68	-86,829.70	
Total Stocks in USD				354,989.82		297,275.04	-57,714.78	

Forex Balances

Description	Quantity	Cost Price	Cost Basis in USD	Close Price	Value in USD	Unrealized P/L in USD	Code
Forex							
USD							
HKD	340.92	0.1274512	-43.45	0.12741	43.44	-0.01	

Forex Balances

USD	-98,715.86	1.0000	98,715.86	1.0000	-98,715.86	0.00
Total			98,672.41		-98,672.42	-0.01

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
Stocks					
HKD					
106	LANDSEA GREEN GROUP CO LTD	16,000	0	0	16,000
1157	ZOOMLION HEAVY INDUSTRY - H	25,000	0	0	25,000
1349	SHANGHAI FUDAN-ZHANGJIANG-H	20,000	0	0	20,000
1432	CHINA SHENGMU ORGANIC MILK	8,000	0	0	8,000
1918	SUNAC CHINA HOLDINGS LTD	6,000	0	0	6,000
2186	LUYE PHARMA GROUP LTD	6,000	0	0	6,000
2333	GREAT WALL MOTOR COMPANY-H	1,000	0	0	1,000
29	DYNAMIC HOLDINGS LTD.	12,000	0	0	12,000
3333	CHINA EVERGRANDE GROUP	4,000	0	0	4,000
656	FOSUN INTERNATIONAL LTD	8,000	0	0	8,000
713	WORLD HOUSEWARE HOLDINGS LTD	80,000	0	0	80,000
USD					
AMCN	AIRMEDIA GROUP INC-ADR	44,000	0	0	44,000
CMCM	CHEETAH MOBILE INC - ADR	2,400	0	0	2,400
GSUM	GRIDSUM HOLDING INC-ADR	600	0	0	600
JMEI	JUMEI INTERNATIONAL-ADR	10,000	0	0	10,000
LC	LENDINGCLUB CORP	1,502	0	0	1,502
QCOM	QUALCOMM INC	400	0	0	400
RENN	RENREN INC-ADR	4,500	0	0	4,500
SOGO	SOGO INC-ADR	400	0	0	400
STVYY	CHINA DIGITAL TV HOLDING-ADR	52,105	0	0	52,105
VNET	21VIANET GROUP INC-ADR	3,200	0	0	3,200
XNET	XUNLEI LTD-ADR	600	0	0	600

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks										
USD										
AMCN	2018-04-02, 14:44:21	2,500	0.8010	0.8123	-2,002.50	-10.01	2,012.51	0.00	28.25	O
Total AMCN		2,500			-2,002.50	-10.01	2,012.51	0.00	28.25	
GSUM	2018-04-23, 09:53:37	600	4.8000	6.1200	-2,880.00	-3.00	2,883.00	0.00	792.00	O
Total GSUM		600			-2,880.00	-3.00	2,883.00	0.00	792.00	
QCOM	2018-04-12, 17:49:03	-100	57.1800	55.2000	5,718.00	-1.00	-5,680.50	36.50	198.00	C
QCOM	2018-04-16, 09:50:04	200	55.2800	54.7700	-11,056.00	-1.00	11,057.00	0.00	-102.00	O;P

Trades

QCOM	2018-04-24, 10:35:09	200	50.0800	49.9400	-10,016.00	-1.00	10,017.00	0.00	-28.00	O
Total QCOM		300			-15,354.00	-3.00	15,393.50	36.50	68.00	
SFUN	2018-04-02, 16:02:02	-1,000	5.1500	5.1500	5,150.00	-5.00	-4,685.00	460.00	0.00	C
Total SFUN		-1,000			5,150.00	-5.00	-4,685.00	460.00	0.00	
Total					-15,086.50	-21.01	15,604.01	496.50	888.25	

Corporate Actions

Report Date	Date/Time	Description	Quantity	Proceeds	Value	Realized P/L	Code
Stocks							
USD							
2018-04-27	2018-04-26, 20:25:00	NBGGY(US6336438048) Merged(Liquidation) FOR USD 0.30069700 PER SHARE (NBGGY, NATIONAL BANK OF GREECE-ADR, 633643804)	-866	260.40	-239.28	-4,541.69	
Total				260.40	-239.28	-4,541.69	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-201.27
Interest Accrued	-244.40
Accrual Reversal	226.73
FX Translation	0.00
Ending Accrual Balance	-218.94
HKD	
Starting Accrual Balance	-8.17
Interest Accrued	-6.12
Accrual Reversal	14.29
Ending Accrual Balance	0.00
Ending Accrual Balance in USD	0.00
USD	
Starting Accrual Balance	-200.23
Interest Accrued	-243.62
Accrual Reversal	224.91
Ending Accrual Balance	-218.94

Interest

Date	Description	Amount
HKD		
2018-04-04	HKD Debit Interest for Mar-2018	-14.29
Total		-14.29
Total in USD		
		-1.82
USD		
2018-04-04	USD Debit Interest for Mar-2018	-224.92
2018-04-04	USD Net Short Stock Interest for Mar-2018	0.01
Total		-224.91
Total Interest in USD		
		-226.73

Fees

Date	Description	Amount
Other Fees		
USD		
2018-04-10	RENN(263026679) ADR Fee 0.02000000 USD per Share	-66.92
2018-04-10	VNET(87356334) ADR Fee 0.02000000 USD per Share	-64.00
Total		-130.92

Dividends

Date	Description	Amount
HKD		
2018-04-27	29(BMG2889K1678) Cash Dividend 0.02500000 HKD per Share (Ordinary Dividend)	300.00
Total		300.00
Total in USD		
		38.23

IBKR Managed Securities Lent Interest Details (Stock Yield Enhancement Program)

Value Date	Symbol	Start Date	Quantity	Collateral Amount	Interest Rate Earned by IB (%)	Interest Paid to IB	Interest Rate on Customer Collateral (%)	Interest Paid to Customer	Code
USD									
2018-03-26	LC	2018-03-26	-895	3,356.25	0.20	0.02	0.10	0.01	Po
Total						0.02		0.01	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
106	LANDSEA GREEN GROUP CO LTD	133760739	BMG5391T1053	SEHK	1	COMMON	
1157	ZOOMLION HEAVY INDUSTRY - H	82363899	CNE100000X85	SEHK	1	COMMON	
1349	SHANGHAI FUDAN-ZHANGJIANG-H	46639530	CNE1000000W4	SEHK	1	COMMON	
1432	CHINA SHENGMU ORGANIC MILK	160427446	KYG2117U1022	SEHK	1	COMMON	
1918	SUNAC CHINA HOLDINGS LTD	79726944	KYG8569A1067	SEHK	1	COMMON	
2186	LUYE PHARMA GROUP LTD	160038429	BMG570071099	SEHK	1	COMMON	
2333	GREAT WALL MOTOR COMPANY-H	46652381	CNE100000338	SEHK	1	COMMON	
29	DYNAMIC HOLDINGS LTD.	42446394	BMG2889K1678	SEHK	1	COMMON	
3333	CHINA EVERGRANDE GROUP	241964067	KYG2119W1069	SEHK	1	COMMON	
656	FOSUN INTERNATIONAL LTD	45089073	HK0656038673	SEHK	1	COMMON	
713	WORLD HOUSEWARE HOLDINGS LTD	42451308	KYG9768F1028	SEHK	1	COMMON	
AMCN	AIRMEDIA GROUP INC-ADR	46986483	009411109	NASDAQ	1	ADR	
CMCM	CHEETAH MOBILE INC - ADR	150632108	163075104	NYSE	1	ADR	
GSUM	GRIDSUM HOLDING INC-ADR	249028364	398132100	NASDAQ	1	ADR	
JMEI	JUMEI INTERNATIONAL-ADR	151265300	48138L107	NYSE	1	ADR	
LC	LENDINGCLUB CORP	176369319	52603A109	NYSE	1	COMMON	
NBGY	NATIONAL BANK OF GREECE-ADR	213864024	633643804	VALUE	1	ADR	
QCOM	QUALCOMM INC	273544	747525103	NASDAQ	1	COMMON	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	
SFUN	FANG HOLDINGS LTD - ADR	247763004	30711Y102	NYSE	1	ADR	
SOGO	SOGO INC-ADR	294648828	83409V104	NYSE	1	ADR	
STVVY	CHINA DIGITAL TV HOLDING-ADR	46516371	16938G107	PINK	1	ADR	
VNET	21VIANET GROUP INC-ADR	87356334	90138A103	NASDAQ	1	ADR	
XNET	XUNLEI LTD-ADR	157874416	98419E108	NASDAQ	1	ADR	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LD	Adjusted by Loss Disallowed from Wash Sale
ADR	ADR Fee Accrual	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by Broker
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by Broker for two Broker customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by Broker against a Broker affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	RED	Redemption to Investor
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Broker
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against a Broker affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by Broker (Margin Violation)	Un	Unvested shares from stock grant

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).

Notes/Legal Notes

14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
15. Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to SNB Finance Holdings Limited, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

SNB Finance Holdings Limited, <http://www.snbfinance.co.nz>, 86400600880

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
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10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
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SIPC Member

From: [zhu.shoujing](#)
To: [info.RenrenSettlement](#)
Subject: Statement of Shoujing Zhu
Date: Sunday, November 21, 2021 11:04:55 PM
Attachments: [Statement of Shoujing Zhu.docx](#)

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Dear Sir,

Please view the attached file, Thanks very much!

Dear Sir/Madam:

Case No. 653594/2018

Ref: *In re Renren Inc. Derivative Litigation*

Objections to the Proposed Settlement of Shareholder Derivative Actions

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal and *by the Defendant or the Company*. This arrangement, we believe, implies that this important date may be set to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who should have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation. Other approaches that can deliver the same results are also deemed acceptable.

Our reasons are:

- **The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;**

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, is public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS (worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is the very reason we are having this negotiated Settlement amount of roughly \$300m.

Thus, one can establish that these facts;

- The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;
- The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
- The Proposed Settlement is to provide a remedy to these losses;

Hence,

- The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement. This logical conclusion is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.
- **Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

One can also reasonably conclude that new shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants control the company, shareholders who bought the share on or after April 30th, 2018, should have no reason to doubt the credibility of the threat. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments.

As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

- **Setting a Record date post-April 29th may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who bears fiduciary duty but abused it.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or

indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that “priced in” the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved to recoup their losses. We suspect this might already have happened. The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement as the villain, not victims, are compensated.

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	48.8
James Jian Liu ⁽⁴⁾	56,710,665	5.2	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Tianruo Pu ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	42.6
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	2.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	1.7
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	1.5

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	48.9
James Jian Liu ⁽⁴⁾	59,477,400	5.4	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Cong Lin ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
Michael McGowan ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	50.3
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	42.3
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	3.3

Notes: From 2020 Annual Report of Renren (Form 20-F)

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the

Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders). ”

I am sure a big part of the claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren’s insiders while “Renren itself is a nominal Defendant in the Lawsuit” only, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff’s Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff’s Counsel states. Nonetheless, the words of Plaintiff’s Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

- **It’s logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn’t provide a means to it.**

It’s important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants’ actions with no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene for fear of safety. It would be ridiculous to assume those who left ceased to become victims, even more so if the court decides in a civil case only those who stay in the crime scene *after the date of the judgement* should be compensated—including the thefts who return. Now, the criminals were to compensate the victims 3 years later in 2021. Wouldn’t it be absurd that the actual victims are unable to have the compensation just because they did not stay at the crime scene for three years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for no harm?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on or shortly after April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders for themselves. It was logical to quit being business partners with thefts, hence a lot of shareholders sold. The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren’s market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren’s ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn’t mean they weren’t victims. They have already been. So we believe we are entitled to this USD 300m compensation even though

we have sold out our shareholdings of Renren.

- **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

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The proceeds of the asset sale are being returned to Renren shareholders.

Some of the largest investors — notably SoftBank, which owns 39 per cent of Renren and is also a large shareholder in SoFi — will get the opportunity to hold shares in the private entity, named Oak Pacific Investment (OPI).

But most retail investors will have to accept a cash dividend, which the complainants say grossly undervalues the assets.

“You can’t just force a sale to yourself at a low price,” said Leo Liao, chief investment officer at Shanghai-based Prusage Asset Management, who said he had written to the SEC to complain about the move.

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5:46 AM - 27 Apr 2015

“The small investors are really anxious now,” said Mr Bian. “They are trying to do anything they can to stop it. A lot of them have already written to the SEC.”

Mr Liao said: “Many shareholders in China are becoming much more activist. If this sets a precedent nobody will be investing in any companies any more. And all these shareholder protections and shareholder rights are going out the window.”

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Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US' s capital market. It is simply wrong to exclude them from the compensation.

- **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We are looking forward to our entitled compensation, as well as true justice in the financial market. May justice be with all of us.

On April 29, 2018, I held 4500 ADSs of Renren. I want to attend the Settlement Hearing.

Yours sincerely

Name: Shoujing Zhu

Address: Room 201, Building 3, No. 2999, Caobao Rd., Shanghai, China ,201101

Email: zhushoujing@hotmail.com

Tel: 8613482191841

Signature: Shoujing Zhu

Date: Nov 18, 2021



MTM Summary

April 1, 2018 - April 30, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Hailin Peng
Account	[REDACTED]
Account Type	Individual
Customer Type	Individual
Account Capabilities	Margin
Base Currency	USD

Net Asset Value

	March 31, 2018		April 30, 2018			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-35,483.54	0.00	-55,854.16	-55,854.16	-20,370.62	Starting Value	82,396.84	
Stock	129,247.00	134,398.00	0.00	134,398.00	5,151.00	Mark-to-Market	-7,304.66	
Options	-11,183.50	0.00	-3,486.00	-3,486.00	7,697.50	Interest	-92.19	
Interest Accruals	-82.80	0.00	-88.57	-88.57	-5.77	Change in Interest Accruals	-5.77	
Dividend Accruals	-100.32	0.00	-80.00	-80.00	20.32	Other Fees	-105.07	
Total	82,396.84	134,398.00	-59,508.73	74,889.27	-7,507.57	Commissions	-20.19	
						Other FX Translations	20.32	
						Ending Value	74,889.27	
Time Weighted Rate of Return					-9.11%			

Positions and Mark-to-Market Profit and Loss

Symbol	Description	Quantity		Price		Market Value		Position	Mark-to-Market Profit and Loss			
		Prior	Current	Prior	Current	Prior	Current		Trading	Comm.	Other	Total
Stocks												
USD												
JMEI	JUMEI INTERNATIONAL-ADR	4,000	4,000	2.90	2.73	11,600.00	10,920.00	-680.00	0.00	0.00	0.00	-680.00
MTG	MGIC INVESTMENT CORP	4,000	0	13.00	-	52,000.00	0.00	-8,640.16	-441.64	-12.37	0.00	-9,094.17
MTG	2018-04-09, 13:19:29 Sell		-41		11.00		-451.00		15.58	-0.32	0.00	15.26
MTG	2018-04-09, 13:23:25 Sell		-218		11.00		-2,398.00		82.84	-0.42	0.00	82.42
MTG	2018-04-09, 13:24:13 Sell		-400		11.00		-4,400.00		152.00	-1.15	0.00	150.85
MTG	2018-04-10, 10:07:44 Sell		-2,000		11.00		-22,000.00		280.00	-5.75	0.00	274.25
MTG	2018-04-10, 10:16:22 Sell		-216		11.20		-2,419.20		73.44	-0.62	0.00	72.82
MTG	2018-04-10, 10:16:22 Sell		-1,125		11.20		-12,600.00		382.50	-3.24	0.00	379.26

Positions and Mark-to-Market Profit and Loss

Symbol	Description		Quantity		Price		Market Value		Mark-to-Market Profit and Loss						
			Prior	Current	Prior	Current	Prior	Current	Position	Trading	Comm.	Other	Total		
MTG	2018-04-18, 16:20:00	Assigned		300		15.00		4,500.00		-1,395.00	0.00	0.00	0.00	-1,395.00	
MTG	2018-04-20, 10:37:33	Sell		-241		10.50		-2,530.50		-26.51	-0.69	0.00	0.00	-27.20	
MTG	2018-04-20, 10:37:33	Sell		-36		10.50		-378.00		-3.96	-0.10	0.00	0.00	-4.06	
MTG	2018-04-20, 10:37:34	Sell		-23		10.50		-241.50		-2.53	-0.07	0.00	0.00	-2.60	
PNGAY	PING AN INSURANCE-ADR		0	2,000	-	19.58	0.00	39,160.00	-3,480.00	-140.00	-7.41	0.00	0.00	-3,627.41	
PNGAY	2018-04-13, 10:27:37	Buy		2,000		21.39		42,780.00		-140.00	-7.41	0.00	0.00	-147.41	
PTR	PETROCHINA CO LTD -ADR		50	0	69.74	-	3,487.00	0.00	193.50	28.14	-0.42	0.00	0.00	221.22	
PTR	2018-04-30, 13:25:13	Sell		-50		74.17		-3,708.64		28.14	-0.42	0.00	0.00	27.72	
RENN	RENREN INC-ADR		7,400	9,400	8.40	8.97	62,160.00	84,318.00	1,778.00	-3,620.00	0.00	0.00	0.00	-1,842.00	
RENN	2018-04-20, 16:20:00	Assigned		2,000		12.00		24,000.00		-3,620.00	0.00	0.00	0.00	-3,620.00	
Total Stocks								129,247.00	134,398.00	-10,828.66	-4,173.50	-20.19	0.00	0.00	-15,022.36
Equity and Index Options															
USD															
MTG	180615P000150	00	MTG 15JUN18 15.0 P		-10	-7	2.19	4.98	-2,189.00	-3,486.00	-2,705.86	1,408.86	0.00	0.00	-1,297.00
MTG	180615P000150	00	2018-04-18, 16:20:00	Assigned		3		-	0.00		1,408.86	0.00	0.00	0.00	1,408.86
RENN	RENN 20APR18 10.0 P				-10	0	1.71	-	-1,711.70	0.00	1,711.70	0.00	0.00	0.00	1,711.70
RENN	2018-04-20, 16:20:00	Expired				10		-	0.00		0.00	0.00	0.00	0.00	0.00
RENN	RENN 20APR18 12.0 P				-20	0	3.64	-	-7,282.80	0.00	3,662.80	3,620.00	0.00	0.00	7,282.80
RENN	2018-04-20, 16:20:00	Assigned				20		-	0.00		3,620.00	0.00	0.00	0.00	3,620.00
Total Equity and Index Options								-11,183.50	-3,486.00	2,668.64	5,028.86	0.00	0.00	0.00	7,697.50
Forex															
USD															
USD					-35,483.54	-55,854.16	1.00	1.00	-35,483.54	-55,854.16	0.00	0.00	0.00	0.00	0.00
Total Forex								-35,483.54	-55,854.16	0.00	0.00	0.00	0.00	0.00	0.00
Total (Combined Assets)									-8,160.02	855.35	-20.19	0.00	0.00	-7,324.86	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-82.80
Interest Accrued	-97.96
Accrual Reversal	92.19
FX Translation	0.00
Ending Accrual Balance	-88.57

Fees

Date	Description	Amount
Other Fees		
USD		
2018-04-04	Balance of Monthly Minimum Fee for Mar 2018	-4.75
2018-04-10	RENN(263026679) ADR Fee 0.02000000 USD per Share	-100.32
Total		-105.07

Interest

Date	Description	Amount
USD		
2018-04-04	USD Debit Interest for Mar-2018	-92.19
Total		-92.19

From: [彭海林 1](#)
To: [info_RenrenSettlement](#)
Cc: [彭海林 1](#)
Subject: Objections to the Proposed Settlement of Shareholder Derivative Actions
Date: Monday, November 22, 2021 10:31:04 PM

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Dear Sir/Madam:

Case No. 653594/2018

Ref: *In re Renren Inc. Derivative Litigation*

Objections to the Proposed Settlement of Shareholder Derivative Actions

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal *and* by the Defendant or the Company in an opaque way. This arrangement, we believe, implies that this important date may be set in a way that maximises the benefit of the Defendants and to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who would have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation. Our reasons are:

1. **The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;**

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, was public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the shareholders' investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out (worth \$31 or more per ADS). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those

investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is what brought us to this Settlement amount of roughly \$300m.

One can establish that:

1. The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;
1. The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
1. The Proposed Settlement is to provide a remedy to these losses;

Hence,

1. The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement that seeks a remedy. This is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.
1. **Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

New shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants controlled the company, new shareholders should have no reason to doubt the credibility of the Defendants' plans. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include

these investments.

As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

1. **Setting a Record date in the future may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who abuses their fiduciary duty.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that "priced in" the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved. All they have to do is to sell their shares to somebody else they know or sell in the rally, and at the same time price out victims even if they want to return.

We suspect this might already have happened. Renren' s listed share price jumped over 280% since the Court placed a junction on OPI' s assets, and rallied 44% in a day when the Settlement was announced because the proposal, as it stands, framed *current or future shareholders*, not *victimised* Renren shareholders as beneficiaries. The current market price of

Renren's ADS is roughly priced in the full amount of the compensation, making Defendants the biggest beneficiaries of their own payout, despite the painstaking efforts in the Proposal that was designed to prevent it.

The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement.

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a part of this claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren's insiders while “Renren itself is a nominal Defendant in the Lawsuit”, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff's Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff's Counsel states. Nonetheless, the words of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

1. **It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.**

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions for no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene, after being hurt, for fear of safety. It would be ridiculous to say that those who left were not victims, even more so if the court decides, three years later, only those who stay in the crime scene *after the date of the judgement* are eligible for compensation—including the thefts who dress up and return. Wouldn't it be absurd that the actual victims are unable to get compensation but any passing-bys who happen to be around on the lucky date—again, including the theft themselves—are?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders. It was logical to quit being business partners with thefts, hence a lot of shareholders sold.

The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m

compensation even though we have sold out our shareholdings of Renren.

1. **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the Counsels of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US' capital market. It is simply wrong to exclude them from the compensation.

1. **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We've come a long way. We won't give up now we are so close to justice, and we don't believe the court should approve this Proposal for expedience when it's clearly not in the

interests of those who it is supposed to protect. We are looking forward to working with you to bring this to a satisfactory end—not just for entitled compensation, but also for sending a strong signal to all unscrupulous Chinese managers who thought they could abuse the US capital market and steal at will.

May justice be with all of us.

On April 29, 2018, I held 9400 ADSs of Renren. I traded Renren shares several times, but I will hold Renren stock until December 31, 2021 . **I want to attend the Settlement Hearing.**

Yours sincerely

Name: Hailin Peng

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Activity Statement
April 30, 2018

Account Information
Net Asset Value
Mark-to-Market Performance Summary
Realized & Unrealized Performance Summary
Month & Year to Date Performance Summary
Cash Report Convert Your Cash Balances to USD
Open Positions

SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Stocks								
HKD								
2858	10,500	1	7.4591363	78,320.93	4.2400	44,520.00	-33,800.93	
3888	3,000	1	22.2539511	66,761.85	23.6000	70,800.00	4,038.15	
392	1,000	1	48.2821314	48,282.13	39.5000	39,500.00	-8,782.13	
Total				193,364.92		154,820.00	-38,544.92	
Total in USD				24,636.62		19,725.62	-4,911.01	
USD								
AMCN	18,000	1	2.5571411	46,028.54	0.6749	12,148.20	-33,880.34	
BITA	500	1	23.5069326	11,753.47	21.1700	10,585.00	-1,168.47	
CCM	2,500	1	4.4330	11,082.50	4.1000	10,250.00	-832.50	
COE	2,000	1	15.7315	31,463.00	11.2900	22,580.00	-8,883.00	
LFUS	60	1	190.5166667	11,431.00	186.9200	11,215.20	-215.80	
RENN	5,063	1	9.8126615	49,681.50	8.9700	45,415.11	-4,266.40	
XNET	3,100	1	14.1979009	44,013.49	12.9900	40,269.00	-3,744.49	
Total				205,453.50		152,462.51	-52,990.99	
Total Stocks in USD				230,090.13		172,188.13	-57,902.00	
SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Equity and Index Options								
USD								
BITA 15JUN18 20.0 P	-5	100	1.5480	-774.00	1.2003	-600.15	173.85	
Total				-774.00		-600.15	173.85	

Forex Balances
Net Stock Position Summary
Trades
Interest Accruals
Financial Instrument Information
Base Currency Exchange Rate
Codes
Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.

8. Trade execution times are displayed in Eastern Time.
9. Applicable commodity Regulatory Fees for your transactions are available on the B website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
15. Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to Tiger Brokers (NZ) Limited, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

Tiger Brokers (NZ) Limited, www.tigerbrokers.com, 400-058-8588

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Tiger Brokers (NZ) Limited of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Tiger Brokers (NZ) Limited. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("B") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, B may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

Statement of GengBiao Liao

Name: GengBiao Liao

Address: Room 302, 3F, Y1 Building, Bantian Creative Park, Yayuan Road, Longgang
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Tel: 86-18033444983

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1, My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable.

2, The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 5063 ADSs of Renren and sold them out on Feb 19, 2021, after receiving the special dividends of USD 9.1875 per ADS. I hold 1 ADS of Renren as of the date of this letter.

4, Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. According to 2020 Annual Report of Renren (Form 20-F), Losses from operations of \$79.3m, \$57.4m and \$27.2m in 2018, 2019 and 2020 respectively. Moreover, Renren's market cap had been under USD 12m in March 2020 with share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders. As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

(1)The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS(worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2)The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as

the true victim, we definitely have the right to take part in the split of the compensation.

(3)According to the settlement , as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may has sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court; the judge and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May the justice be with all of us.

I want to attend the Settlement Hearing.

Name: GengBiao Liao

Signature: GengBiao Liao

Date: 11-20-2021

Attachment

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	48.9
James Jian Liu ⁽⁴⁾	59,477,400	5.4	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Cong Liu ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
Michael McGowan ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	50.3
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	42.3
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	3.3

Notes: From 2020 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	48.8
James Jian Liu ⁽⁴⁾	56,710,665	5.2	1.5
Hui Huang ⁽⁵⁾	"	"	"
Chuanfu Wang ⁽⁶⁾	"	"	"
Tianruo Pu ⁽⁷⁾	"	"	"
Yi Yang ⁽⁸⁾	"	"	"
Rita Yi ⁽⁸⁾	"	"	"
He Li ⁽⁸⁾	"	"	"
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	42.6
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	2.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	1.7
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	1.5

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	368,526,211	32.4	48.9
James Jian Liu ⁽⁴⁾	55,963,575	5.2	1.4
Hui Huang ⁽⁵⁾	"	"	"
Chuanfu Wang ⁽⁶⁾	"	"	"
Stephen Tappin ⁽⁷⁾	"	"	"
Tianruo Pu ⁽⁸⁾	"	"	"
Thomas Jintao Ren ⁽⁹⁾	"	"	"
Rita Yi ⁽⁹⁾	"	"	"
He Li ⁽⁹⁾	"	"	"
All directors and executive officers as a group ⁽¹⁰⁾	434,992,353	37.2	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	38.8	42.7
DCM and affiliates ⁽¹²⁾	87,929,865	8.4	2.3
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,802,315	5.7	1.6

From 2018 Annual Report of Renren (Form 20-F)

CONSOLIDATED STATEMENTS OF OPERATIONS FOR THE YEARS ENDED DECEMBER 31, 2018, 2019 and 2020
(In thousands of US dollars, except share data and per share data)

From 2020 Annual Report of Renren (Form 20-F)

	Years ended December 31,		
	2018	2019	2020
Revenues:			
Automobile sales	\$ 47,226	\$ -	\$ -
SaaS revenue	5,748	8,261	17,519
Other services	13,820	6,824	587
Total revenues	66,794	15,085	18,106
Cost of revenues:			
Automobile sales	50,531	-	-
SaaS business	2,331	1,743	3,353
Other services	9,635	4,975	98
Total cost of revenues	62,497	6,718	3,451
Gross profit	4,297	8,367	14,655
Operating expenses (income):			
Selling and marketing	10,485	10,780	8,293
Research and development	21,930	22,791	11,347
General and administrative	48,082	10,089	22,242
Bad debt provision of prepaid expenses and other current assets	-	418	-
Provision of amount due from a related party	-	12,408	-
Impairment of goodwill	29,055	9,288	-
Gain on disposal of property and equipment	(25,928)	-	-
Total operating expenses	83,624	65,774	41,882
Loss from operations	(79,327)	(57,407)	(27,227)
Other (expenses) income	(1,202)	1,523	3,543
Fair value change gain (loss) of contingent consideration	19,899	20,662	(1,027)
Impairment of long-term investments	-	(2,000)	-
Interest income (including interest income from a related party of \$3,880, \$7,878 and \$9,176 for the years ended December 31, 2018, 2019 and 2020, respectively)	5,185	8,776	8,079
Interest expenses	(842)	(566)	(303)
Realized loss on disposal of long-term investments	(2,141)	-	-
Loss before provision of income tax and earnings (loss) in equity method investments and noncontrolling interest, net of tax	(58,428)	(29,012)	(16,935)



Activity Statement
April 30, 2018

Account Information
Net Asset Value
Mark-to-Market Performance Summary
Realized & Unrealized Performance Summary
Month & Year to Date Performance Summary
Cash Report Convert Your Cash Balances to USD
Open Positions

SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Stocks								
HKD								
2858	10,500	1	7.4591363	78,320.93	4.2400	44,520.00	-33,800.93	
3888	3,000	1	22.2539511	66,761.85	23.6000	70,800.00	4,038.15	
392	1,000	1	48.2821314	48,282.13	39.5000	39,500.00	-8,782.13	
Total				193,364.92		154,820.00	-38,544.92	
Total in USD				24,636.62		19,725.62	-4,911.01	
USD								
AMCN	18,000	1	2.5571411	46,028.54	0.6749	12,148.20	-33,880.34	
BITA	500	1	23.5069326	11,753.47	21.1700	10,585.00	-1,168.47	
CCM	2,500	1	4.4330	11,082.50	4.1000	10,250.00	-832.50	
COE	2,000	1	15.7315	31,463.00	11.2900	22,580.00	-8,883.00	
LFUS	60	1	190.5166667	11,431.00	186.9200	11,215.20	-215.80	
RENN	5,063	1	9.8126615	49,681.50	8.9700	45,415.11	-4,266.40	
XNET	3,100	1	14.1979009	44,013.49	12.9900	40,269.00	-3,744.49	
Total				205,453.50		152,462.51	-52,990.99	
Total Stocks in USD				230,090.13		172,188.13	-57,902.00	
SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Equity and Index Options								
USD								
BITA 15JUN18 20.0 P	-5	100	1.5480	-774.00	1.2003	-600.15	173.85	
Total				-774.00		-600.15	173.85	

Forex Balances
Net Stock Position Summary
Trades
Interest Accruals
Financial Instrument Information
Base Currency Exchange Rate
Codes
Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.

8. Trade execution times are displayed in Eastern Time.
9. Applicable commodity Regulatory Fees for your transactions are available on the B website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
15. Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to Tiger Brokers (NZ) Limited, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

Tiger Brokers (NZ) Limited, www.tigerbrokers.com, 400-058-8588

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Tiger Brokers (NZ) Limited of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Tiger Brokers (NZ) Limited. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("B") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, B may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



账户总结

四月 1, 2018 - 四月 30, 2018

账户信息

名称	yuanzhi chen
主账户	[REDACTED]
基本货币	HKD

资产净值(NAV)总结

名称	账户	账户类型	开始资产净值	结束资产净值	时间加权的收益率
yuanzhi chen	[REDACTED]	Broker Client	6,041,572.38	7,397,351.27	22.44%
总数			6,041,572.38	7,397,351.27	

盈亏总结

名称	账户	按市价计值(MTM)	已实现的	未实现的
yuanzhi chen	[REDACTED]	1,400,175.43	-35,484.57	597,988.00
总数		1,400,175.43	-35,484.57	597,988.00

未平仓头寸

代码	账户	数量	成本基础	收盘价格	价值	未实现的损益
2628		160,000	3,763,836.83	22.5000	3,600,000.00	-163,836.83
2858		100,000	565,959.00	4.2400	424,000.00	-141,959.00
2858		100,000	565,959.00	4.2400	424,000.00	-141,959.00
656		300,000	3,448,842.19	16.8600	5,058,000.00	1,609,157.81
656		300,000	3,448,842.19	16.8600	5,058,000.00	1,609,157.81
总数			9,204,059.65		10,540,026.24	1,335,966.59
USD						
BIDU		2,405	563,571.47	250.9000	603,414.50	39,843.03
BIDU		2,405	563,571.47	250.9000	603,414.50	39,843.03
FB		3,000	519,377.00	172.0000	516,000.00	-3,377.00
FB		3,000	519,377.00	172.0000	516,000.00	-3,377.00
RENN		109,990	1,111,731.59	8.9700	986,610.30	-125,121.29
RENN		109,990	1,111,731.59	8.9700	986,610.30	-125,121.29
总数			2,194,680.06		2,106,024.80	-88,655.26
总数 HKD			17,224,726.96		16,528,925.04	-695,801.92



交易确认报告

January 2, 2018 - July 4, 2018

? 帮助

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

账户信息

名称	Yuanfang Du
账户	██████████
账户类型	个人
客户类型	注册退休储蓄计划
账户能力	现金
基本货币	CAD

交易

账户号码	代码	交易日期/时间	结算日期	交易所	类型	数量	价格	收益	佣金	费用	代码	
股票												
CAD												
██████████	PEY	2018-02-02, 09:51:49	2018-02-06	-	BUY	500	10.7500	-5,375.00	-4.37	0.00	O;P	
	PEY	2018-02-02, 09:51:49	2018-02-06	OMEGA	BUY	100	10.7500	-1,075.00	-1.87	0.00	O;P	
	PEY	2018-02-02, 09:51:49	2018-02-06	AEQNEO	BUY	100	10.7500	-1,075.00	-0.48	0.00	O;P	
	PEY	2018-02-02, 09:51:49	2018-02-06	OMEGA	BUY	100	10.7500	-1,075.00	-0.67	0.00	O;P	
	PEY	2018-02-02, 09:51:49	2018-02-06	PURE	BUY	100	10.7500	-1,075.00	-0.69	0.00	O;P	
	PEY	2018-02-02, 09:51:49	2018-02-06	OMEGA	BUY	100	10.7500	-1,075.00	-0.67	0.00	O;P	
	PEY	2018-02-02, 10:15:56	2018-02-06	-	BUY	500	10.7000	-5,350.00	-4.39	0.00	O;P	
	PEY	2018-02-02, 10:15:56	2018-02-06	AEQNEO	BUY	200	10.7000	-2,140.00	-2.36	0.00	O;P	
	PEY	2018-02-02, 10:15:56	2018-02-06	OMEGA	BUY	100	10.7000	-1,070.00	-0.67	0.00	O;P	
	PEY	2018-02-02, 10:15:56	2018-02-06	PURE	BUY	100	10.7000	-1,070.00	-0.69	0.00	O;P	
	PEY	2018-02-02, 10:15:56	2018-02-06	AEQNEO	BUY	100	10.7000	-1,070.00	-0.68	0.00	O;P	
)						1,000	10.7250	-10,725.00	-8.76	0.00	
									-10,725.00	-8.76	0.00	
	██████████	BSTI	2018-03-26, 14:38:14	2018-03-28	-	SELL	-300	10.7900	3,237.00	-1.77	0.00	C
BSTI		2018-03-26, 14:38:14	2018-03-28	BYX	SELL	-300	10.7900	3,237.00	-1.77	0.00	C	
出)						-300	10.7900	3,237.00	-1.77	0.00		
KW		2018-01-19, 12:05:55	2018-01-23	-	BUY	100	17.0500	-1,705.00	-1.17	0.00	O	
KW		2018-01-19, 12:05:55	2018-01-23	BATS	BUY	100	17.0500	-1,705.00	-1.17	0.00	O	
KW		2018-02-06, 13:48:12	2018-02-08	-	BUY	200	16.3000	-3,260.00	-1.34	0.00	O;P	
KW		2018-02-06, 13:48:12	2018-02-08	BATS	BUY	100	16.3000	-1,630.00	-1.17	0.00	O;P	
KW		2018-02-06, 13:48:31	2018-02-08	BATS	BUY	100	16.3000	-1,630.00	-0.17	0.00	O;P	
)						300	16.5500	-4,965.00	-2.51	0.00		

	KW	2018-03-07, 11:25:20	2018-03-09	-	SELL	-200	16.6000	3,320.00	-1.60	0.00	C
	KW	2018-03-07, 11:25:20	2018-03-09	NYSE	SELL	-200	16.6000	3,320.00	-1.60	0.00	C
	KW	2018-04-27, 10:48:38	2018-05-01	-	SELL	-100	18.8500	1,885.00	-1.22	0.00	C;P
	KW	2018-04-27, 10:48:38	2018-05-01	ISLAND	SELL	-13	18.8500	245.05	-1.33	0.00	C;P
	KW	2018-04-27, 10:48:38	2018-05-01	ISLAND	SELL	-87	18.8500	1,639.95	0.12	0.00	C;P
						-300	17.3500	5,205.00	-2.82	0.00	
						0		240.00	-5.33	0.00	
	LILAK	2018-02-01, 13:24:40	2018-02-05	-	SELL	-200	22.4200	4,484.00	-1.45	0.00	C;P
	LILAK	2018-02-01, 13:24:40	2018-02-05	ISLAND	SELL	-100	22.4200	2,242.00	-1.22	0.00	C;P
	LILAK	2018-02-01, 13:24:40	2018-02-05	ISLAND	SELL	-100	22.4200	2,242.00	-0.22	0.00	C;P
	LILAK	2018-02-15, 14:40:55	2018-02-20	-	SELL	-200	23.4114	4,682.28	-1.75	0.00	C;D;P
	LILAK	2018-02-15, 14:40:55	2018-02-20	IBKRATS	SELL	-100	23.4128	2,341.28	-1.33	0.00	C;D;P
	LILAK	2018-02-15, 14:40:55	2018-02-20	BEX	SELL	-100	23.4100	2,341.00	-0.43	0.00	C;P
出)						-400	22.9157	9,166.28	-3.20	0.00	
	LTRPA	2018-01-12, 11:25:02	2018-01-17	-	BUY	200	9.9000	-1,980.00	-1.44	0.00	O;P
	LTRPA	2018-01-12, 11:25:02	2018-01-17	BYX	BUY	100	9.9000	-990.00	-1.22	0.00	O;P
	LTRPA	2018-01-12, 11:25:02	2018-01-17	BYX	BUY	100	9.9000	-990.00	-0.22	0.00	O;P
	LTRPA	2018-01-30, 15:24:02	2018-02-01	-	BUY	500	9.0500	-4,525.00	-1.80	0.00	O
	LTRPA	2018-01-30, 15:24:02	2018-02-01	ISLAND	BUY	500	9.0500	-4,525.00	-1.80	0.00	O
	LTRPA	2018-04-27, 10:42:39	2018-05-01	-	BUY	200	9.0500	-1,810.00	-1.34	0.00	O
	LTRPA	2018-04-27, 10:42:39	2018-05-01	DRCTEDGE	BUY	200	9.0500	-1,810.00	-1.34	0.00	O
	LTRPA	2018-04-27, 10:54:56	2018-05-01	-	BUY	200	9.0500	-1,810.00	-1.32	0.00	O;P
	LTRPA	2018-04-27, 10:54:56	2018-05-01	ISLAND	BUY	116	9.0500	-1,049.80	-1.19	0.00	O;P
	LTRPA	2018-04-27, 10:54:56	2018-05-01	ISLAND	BUY	84	9.0500	-760.20	-0.13	0.00	O;P
	LTRPA	2018-05-08, 09:59:27	2018-05-10	-	BUY	200	9.1000	-1,820.00	-1.58	0.00	O;P
	LTRPA	2018-05-08, 09:59:27	2018-05-10	ISLAND	BUY	50	9.1000	-455.00	-1.51	0.00	O;P
	LTRPA	2018-05-08, 09:59:35	2018-05-10	ISLAND	BUY	150	9.1000	-1,365.00	-0.07	0.00	O;P
入)						1,300	9.1884615	-11,945.00	-7.48	0.00	
	LTRPA	2018-02-06, 13:45:43	2018-02-08	-	SELL	-400	10.9000	4,360.00	-1.79	0.00	C;P
	LTRPA	2018-02-06, 13:45:43	2018-02-08	ISLAND	SELL	-43	10.9000	468.70	-1.28	0.00	C;P
	LTRPA	2018-02-06, 13:45:47	2018-02-08	ISLAND	SELL	-100	10.9000	1,090.00	0.00	0.00	C;P
	LTRPA	2018-02-06, 13:45:47	2018-02-08	ISLAND	SELL	-42	10.9000	457.80	-0.08	0.00	C;P
	LTRPA	2018-02-06, 13:45:54	2018-02-08	ISLAND	SELL	-100	10.9000	1,090.00	-0.20	0.00	C;P
	LTRPA	2018-02-06, 13:45:55	2018-02-08	ISLAND	SELL	-100	10.9000	1,090.00	-0.20	0.00	C;P
	LTRPA	2018-02-06, 13:45:58	2018-02-08	ISLAND	SELL	-15	10.9000	163.50	-0.03	0.00	C;P
	LTRPA	2018-02-09, 09:46:23	2018-02-13	-	SELL	-300	10.6000	3,180.00	-1.59	0.00	C;P
	LTRPA	2018-02-09, 09:46:23	2018-02-13	ISLAND	SELL	-188	10.6000	1,992.80	-1.37	0.00	C;P
	LTRPA	2018-02-09, 09:51:28	2018-02-13	ISLAND	SELL	-112	10.6000	1,187.20	-0.22	0.00	C;P
出)						-700	10.7714286	7,540.00	-3.38	0.00	
						600		-4,405.00	-10.86	0.00	
	MYL	2018-05-07, 11:50:59	2018-05-09	-	BUY	80	35.7600	-2,860.80	-1.20	0.00	O
	MYL	2018-05-07, 11:50:59	2018-05-09	ISLAND	BUY	80	35.7600	-2,860.80	-1.20	0.00	O
	MYL	2018-05-08, 10:00:39	2018-05-10	-	BUY	60	35.9200	-2,155.20	-1.36	0.00	O
	MYL	2018-05-08, 10:00:39	2018-05-10	BEX	BUY	60	35.9200	-2,155.20	-1.36	0.00	O
入)						140	35.8285714	-5,016.00	-2.55	0.00	
	RENN	2018-01-02, 10:33:45	2018-01-04	-	SELL	-500	12.0900	6,045.00	-2.45	0.00	C;P
	RENN	2018-01-02, 10:33:45	2018-01-04	NYSE	SELL	-3	12.0900	36.27	-1.35	0.00	C;P

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	RENN	2018-01-02, 10:33:45	2018-01-04	NYSE	SELL	-497	12.0900	6,008.73	-1.10	0.00	C;P
	RENN	2018-03-08, 11:26:25	2018-03-12	-	SELL	-400	9.5800	3,832.00	-2.14	0.00	C
	RENN	2018-03-08, 11:26:25	2018-03-12	NYSE	SELL	-400	9.5800	3,832.00	-2.14	0.00	C
	RENN	2018-05-03, 14:11:15	2018-05-07	-	SELL	-100	8.6700	867.00	-1.28	0.00	C;P
	RENN	2018-05-03, 14:11:15	2018-05-07	NYSE	SELL	-33	8.6700	286.11	-1.33	0.00	C;P
	RENN	2018-05-03, 14:11:26	2018-05-07	NYSE	SELL	-18	8.6700	156.06	0.01	0.00	C;P
	RENN	2018-05-03, 14:36:26	2018-05-07	NYSE	SELL	-49	8.6700	424.83	0.03	0.00	C;P
卖出)						-1,000	10.7440	10,744.00	-5.87	0.00	
	SAVE	2018-04-26, 14:55:59	2018-04-30	-	BUY	150	35.4900	-5,323.50	-1.24	0.00	O;P
	SAVE	2018-04-26, 14:55:59	2018-04-30	ISLAND	BUY	100	35.4900	-3,549.00	-1.16	0.00	O;P
	SAVE	2018-04-26, 14:55:59	2018-04-30	ISLAND	BUY	50	35.4900	-1,774.50	-0.08	0.00	O;P
买入)						150	35.4900	-5,323.50	-1.24	0.00	
	TEVA	2018-02-01, 13:16:00	2018-02-05	-	SELL	-200	21.5600	4,312.00	-1.63	0.00	C;D;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-5	21.5600	107.80	-1.35	0.00	C;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	IBKRATS	SELL	-22	21.5600	474.32	0.00	0.00	C;D;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-70	21.5600	1,509.20	0.03	0.00	C;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-100	21.5600	2,156.00	-0.30	0.00	C;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-3	21.5600	64.68	0.00	0.00	C;P
	TEVA	2018-03-28, 13:56:29	2018-04-02	-	SELL	-200	17.1900	3,438.00	-1.54	0.00	C
	TEVA	2018-03-28, 13:56:29	2018-04-02	BYX	SELL	-200	17.1900	3,438.00	-1.54	0.00	C
	TEVA	2018-05-07, 11:49:23	2018-05-09	-	SELL	-100	18.7300	1,873.00	-1.23	0.00	C
	TEVA	2018-05-07, 11:49:23	2018-05-09	BATS	SELL	-100	18.7300	1,873.00	-1.23	0.00	C
卖出)						-500	19.2460	9,623.00	-4.40	0.00	
	VNET	2018-01-05, 15:22:03	2018-01-09	-	BUY	400	9.199475	-3,679.79	-2.14	0.00	D;O;P
	VNET	2018-01-05, 15:22:03	2018-01-09	BEX	BUY	100	9.2000	-920.00	-1.36	0.00	O;P
	VNET	2018-01-05, 15:22:03	2018-01-09	IBKRATS	BUY	300	9.1993	-2,759.79	-0.78	0.00	D;O;P
买入)						400	9.199475	-3,679.79	-2.14	0.00	
	VNET	2018-01-26, 13:24:53	2018-01-30	-	SELL	-400	9.38055	3,752.22	-2.59	0.00	C;P
	VNET	2018-01-26, 13:24:53	2018-01-30	DARK	SELL	-200	9.3811	1,876.22	-1.59	0.00	C;P
	VNET	2018-01-26, 13:24:53	2018-01-30	ISLAND	SELL	-100	9.3800	938.00	-0.70	0.00	C;P
	VNET	2018-01-26, 13:24:53	2018-01-30	NITEEXST	SELL	-100	9.3800	938.00	-0.29	0.00	C;P
卖出)						-400	9.38055	3,752.22	-2.59	0.00	
						0		72.43	-4.73	0.00	
	VRX	2018-04-26, 14:55:29	2018-04-30	-	SELL	-300	17.8300	5,349.00	-1.64	0.00	C
	VRX	2018-04-26, 14:55:29	2018-04-30	ISLAND	SELL	-300	17.8300	5,349.00	-1.64	0.00	C
	VRX	2018-04-27, 10:41:52	2018-05-01	-	SELL	-100	18.0900	1,809.00	-1.22	0.00	C
	VRX	2018-04-27, 10:41:52	2018-05-01	DRCTEDGE	SELL	-100	18.0900	1,809.00	-1.22	0.00	C
	VRX	2018-05-08, 09:56:34	2018-05-10	-	SELL	-200	20.8400	4,168.00	-1.62	0.00	C;P
	VRX	2018-05-08, 09:56:34	2018-05-10	NYSE	SELL	-50	20.8400	1,042.00	-1.33	0.00	C;P
	VRX	2018-05-08, 09:56:35	2018-05-10	NYSE	SELL	-150	20.8400	3,126.00	-0.29	0.00	C;P
出)						-600	18.876667	11,326.00	-4.48	0.00	
	YTRA	2018-02-09, 13:24:27	2018-02-13	-	BUY	600	6.9300	-4,158.00	-4.00	0.00	O;P
	YTRA	2018-02-09, 13:24:27	2018-02-13	ISLAND	BUY	100	6.9300	-693.00	-1.16	0.00	O;P
	YTRA	2018-02-09, 13:24:27	2018-02-13	ISLAND	BUY	400	6.9300	-2,772.00	-2.68	0.00	O;P
	YTRA	2018-02-09, 13:24:27	2018-02-13	ISLAND	BUY	100	6.9300	-693.00	-0.16	0.00	O;P
	YTRA	2018-02-27, 12:33:41	2018-03-01	-	BUY	700	6.8600	-4,802.00	-2.12	0.00	O;P
	YTRA	2018-02-27, 12:33:41	2018-03-01	ISLAND	BUY	100	6.8600	-686.00	-1.16	0.00	O;P

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	YTRA	2018-02-27, 12:33:46	2018-03-01	ISLAND	BUY	200	6.8600	-1,372.00	-0.32	0.00	O;P
	YTRA	2018-02-27, 12:33:46	2018-03-01	ISLAND	BUY	400	6.8600	-2,744.00	-0.64	0.00	O;P
	YTRA	2018-03-07, 13:45:00	2018-03-09	-	BUY	450	7.1100	-3,199.50	-1.72	0.00	O;P
	YTRA	2018-03-07, 13:45:00	2018-03-09	ISLAND	BUY	100	7.1100	-711.00	-1.16	0.00	O;P
	YTRA	2018-03-07, 13:50:11	2018-03-09	ISLAND	BUY	100	7.1100	-711.00	-0.16	0.00	O;P
	YTRA	2018-03-07, 13:56:28	2018-03-09	ISLAND	BUY	250	7.1100	-1,777.50	-0.40	0.00	O;P
	YTRA	2018-03-08, 12:37:47	2018-03-12	-	BUY	550	6.8800	-3,784.00	-1.88	0.00	O;P
	YTRA	2018-03-08, 12:37:47	2018-03-12	ISLAND	BUY	76	6.8800	-522.88	-1.21	0.00	O;P
	YTRA	2018-03-08, 12:58:03	2018-03-12	ISLAND	BUY	474	6.8800	-3,261.12	-0.68	0.00	O;P
	YTRA	2018-03-26, 14:38:54	2018-03-28	-	BUY	500	6.3700	-3,185.00	-1.80	0.00	O;P
	YTRA	2018-03-26, 14:38:54	2018-03-28	ISLAND	BUY	85	6.3700	-541.45	-1.19	0.00	O;P
	YTRA	2018-03-26, 14:38:54	2018-03-28	ISLAND	BUY	50	6.3700	-318.50	-0.03	0.00	O;P
	YTRA	2018-03-26, 14:38:54	2018-03-28	ISLAND	BUY	338	6.3700	-2,153.06	-0.54	0.00	O;P
	YTRA	2018-03-26, 14:39:28	2018-03-28	ISLAND	BUY	27	6.3700	-171.99	-0.04	0.00	O;P
	YTRA	2018-03-28, 13:56:53	2018-04-02	-	BUY	600	6.0500	-3,630.00	-1.96	0.00	O;P
	YTRA	2018-03-28, 13:56:53	2018-04-02	ISLAND	BUY	281	6.0500	-1,700.05	-1.45	0.00	O;P
	YTRA	2018-03-28, 13:58:09	2018-04-02	ISLAND	BUY	319	6.0500	-1,929.95	-0.51	0.00	O;P
	入)					3,400	6.6936765	-22,758.50	-13.49	0.00	
								6,905.71	-57.92	0.00	
	代码	交易日期/时间	结算日期	交易所	类型	数量	价格	收益	基本货币下的佣金	费用	代码
	USD.CAD	2018-01-08, 14:12:54	2018-01-09	-	BUY	2,500	1.24189	-3,104.72	-2.48	0.00	
	USD.CAD	2018-01-08, 14:12:54	2018-01-09	IDEALFX	BUY	2,500	1.24189	-3,104.72	-2.48	0.00	
	D (买入)					2,500	1.24189	-3,104.72	-2.48	0.00	
	USD.CAD	2018-02-02, 09:50:33	2018-02-05	-	SELL	-9,200	1.23818	11,391.26	-2.45	0.00	
	USD.CAD	2018-02-02, 09:50:33	2018-02-05	IDEALFX	SELL	-9,200	1.23818	11,391.26	-2.45	0.00	
	总数 USD.CAD (卖出)					-9,200	1.23818	11,391.26	-2.45	0.00	
	总数 USD.CAD					-6,700		8,286.53	-4.94	0.00	
	总数							8,286.53	-4.94	0.00	

金融产品信息

代码	描述	合约编号	证券号码	上市交易所	乘数	类型	代码
股票							
BSTI	BEST INC - ADR	289903519	08653C106	NYSE	1	ADR	
KW	KENNEDY - WILSON HOLDINGS INC.	70298853	489398107	NYSE	1	COMMON	
LILAK	LIBERTY LATIN AMERIC-CL C-WI	301303327	BMG9001E1286	NASDAQ	1	COMMON	
LTRPA	LIBERTY TRIPADVISOR HDG-A	165206742	531465102	NASDAQ	1	COMMON	
MYL	MYLAN NV	185731883	NL0011031208	NASDAQ	1	COMMON	
PEY	PEYTO EXPLORATION & DEV CORP	82633947	CA7170461064	TSE	1	COMMON	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	
SAVE	SPIRIT AIRLINES INC	88850795	848577102	NYSE	1	COMMON	
TEVA	TEVA PHARMACEUTICAL-SP ADR	275085	881624209	NYSE	1	ADR	
VNET	21VIANET GROUP INC-ADR	87356334	90138A103	NASDAQ	1	ADR	
VRX	VALEANT PHARMACEUTICALS INTE	79431711	91911K102	NYSE	1	COMMON	
YTRA	YATRA ONLINE INC	259025789	KYG983381099	NASDAQ	1	COMMON	
代码							
外汇							
USD.CAD	USD.CAD	15016062			1		

代码

代码	意思	代码 (继续)	意思 (继续)
A	转让	IPO	本交易作为IPO的一部分被执行且被归为自营交易 (Principal trade), IB是该IPO承销团的一员。
AEx	股息相关期货自动行权推荐。	L	由IB制定规则 (保证金违背)
B	自动买入	M	由IB手动输入 (请参阅法律说明 #2)
C	平仓交易	MEx	股息相关期货手动行权推荐。
Ca	取消的	O	建仓交易
Co	被更正的交易	P	部分执行
Cx	此项交易的部分或全部是作为双重代理的盈透为两名盈透客户进行的撮合执行	Pr	此项交易的部分或全部是交易所对盈透和盈透分支机构进行的撮合执行, 因此归类为首要交易, 而不是代理交易
D	在此次交易中, 盈透同时为买方和卖方充当双重代理。根据您的请求, 盈透将向您提供与此次交易的双方相关信息。	R	股息再投资
Ep	由到期的头寸导致	RP	IB充当本次交易少数股部分的无风险做市商
Ex	执行	RPA	IB充当本次交易少数股部分的无风险做市商、整股部分的代理商
FP	IB充当本次交易少数股部分的做市商	SI	此订单为盈透证券请求的订单
FPA	IB充当本次交易少数股部分的做市商、整股部分的代理商	SO	此订单为您的介绍经纪商请求的订单
G	担保账户部分中的交易	SS	客户指定该笔交易缩短结算周期, 因此需以高于现行市场价的价格执行
IA	该交易通过IB附属机构执行	T	转账

注释/法律注释

注释

注释/法律注释

- 大部分证券交易所的交易是在交易日期后的两天进行结算。期权、期货和美国开放式共同基金交易是在交易日期后的一天进行结算。(某些交易所和其他交易类型的结算时间可能会更长或更短。)期末已结算现金反映的是实际结算的现金。如需要执行时间,请求后可提供。
- 初始和维持保证金要求可在交易平台的账户窗口中查看。
- 数量前有“-”号的表示卖出交易。其他交易为买入交易。
- 如果IB在执行您定单的任何小数股部分时充当做市商,则定单将以执行之时您定单相反方向显示的NBBO(如您买入则为卖价;如您卖出则为买价)执行。如果IB在执行您定单的小数股部分时充当无风险做市商,则IB会以其收到的执行完整一股的价格执行您定单的小数股部分。
- 在部分执行的情况下,佣金以原始定单执行的总量收取。仅在第一个部分执行处显示佣金。
- 交易执行时间以东部时间显示。
- 可在盈透网站中查看适用的商品监管费用,地址:www.interactivebrokers.com/cn/accounts/fees/exchangeAndRegulatoryFees.php。

固定收益注释

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- 交易可在到期前赎回的债务证券,此类债务证券可能在到期前被全部或部分赎回,进而影响显示的收益率。如需更多信息,请向我们提出请求。

法律注释

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- 对证券期货交易而言,如果此报表上没有提及有关交易时间,交易对手身份,盈透是否作为代理或委托人,作为交易对手的代理,作为合约双方的代理或委托人,且如果是作为委托人的身份,交易是否是大宗交易或期货现货交易等此类信息,只要客户做出书面申请便可获得。
- 盈透证券加拿大公司提供“仅执行定单账户。”此为仅执行定单的账户。另外,要求客户在有投资目标或财务状况材料变更的情况下即刻通知盈透加拿大公司。
- 任何自由贷方余额都代表应按需支付的资金,虽然在我们的簿册中有适当记录,但并不是独立的,而可能应用在我们的商务行为中。
- 只要申请即可获取盈透证券加拿大公司的审计财务总结报表及盈透证券加拿大公司合伙人/董事/主管(PDOs)清单。
- 按 requirement 我们必须向加拿大税务局披露所有牵涉证券让与的交易。因此我们建议您保留报表用于税务目的。
- 客户账户在特定承保范围内受到加拿大投资者保障基金的保护。根据要求可提供说明承保范围及性质的说明书。
- In accordance with IIROC Rule 3217, Interactive Brokers Canada Inc. is required to provide you with the following Leverage Risk Disclosure Statement: Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.
- **如果此投资组合报表与您的记录不符,请直接向我公司的外部审计人员报告不符之处。如果并无不符信息,审计人员会认为此投资组合报表准确无误。** Deloitte s.e.n.c.r.l., 保险与咨询服务, IBCAudit@deloitte.ca, 电话: 514-393-5400, 传真: 514-390-4113
- 盈透证券有限公司向盈透证券加拿大公司提供执行、清算及托管服务。
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- 盈透证券加拿大有限公司。自主计划资产由受托人皇家信托公司(The Royal Trust Company)以信托形式持有。
- 注册账户中的现金余额由皇家信托公司托管。
- 所得税法(加拿大)会对非限制性投资注册账户的购买和持有产生影响。请咨询税务顾问和加拿大税务局以了解更多详情。



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MEMBER

From: [Jerry Du, CFA](#)
To: [info_RenrenSettlement](#)
Subject: Objections to the Proposed Settlement of Shareholder Derivative Actions
Date: Monday, November 15, 2021 11:06:11 PM
Attachments: [RENN Trade Info Yuanfang 2018.pdf](#)

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Name: Yuanfang Du

Address: 89 Holmes Ave, Toronto, ON, Canada,

Post Code: M2N 4M3

Email: yuanfang.du@gmail.com

Mobile: 647-929-9212

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1, My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable. Otherwise, we will continue with our lawsuits against the defendants in Renren Case to protect our rights.

2, The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than

100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 100 ADSs of Renren and sold them out on June 3, 2018, before receiving the special dividends of USD 9.1875 per ADS.

On January 1, 2018, I sold 500 shares;

On March 6, 2018, I sold 400 shares.

4, Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. According to the 2020 Annual Report of Renren (Form 20-F), Losses from operations of \$79.3m, \$57.4m, and \$27.2m in 2018, 2019, and 2020 respectively. Moreover, Renren's market cap had been under USD 12m in March 2020 with a share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims

after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

(1)The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS are far less than the fair value of those assets being carved out per ADS(worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2)The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

(3)According to the settlement, as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may have sold some of its shareholdings in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court, the judge, and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May justice be with all of us.

I want to attend the Settlement Hearing.

Name: Yuanfang Du Signature: Yuanfang Du

Date: November 15, 2021

Regards,

Jerry (Yuanfang) Du, CFA
E-mail: yuanfang.du@gmail.com
Mobile: 647-929-9212



交易确认报告

January 2, 2018 - July 4, 2018

帮助

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

账户信息

名称	Yuanfang Du
账户	
账户类型	个人
客户类型	注册退休储蓄计划
账户能力	现金
基本货币	CAD

交易

账户号码	代码	交易日期/时间	结算日期	交易所	类型	数量	价格	收益	佣金	费用	代码
股票											
CAD											
	PEY	2018-02-02, 09:51:49	2018-02-06	-	BUY	500	10.7500	-5,375.00	-4.37	0.00	O;P
	PEY	2018-02-02, 09:51:49	2018-02-06	OMEGA	BUY	100	10.7500	-1,075.00	-1.87	0.00	O;P
	PEY	2018-02-02, 09:51:49	2018-02-06	AEQNEO	BUY	100	10.7500	-1,075.00	-0.48	0.00	O;P
	PEY	2018-02-02, 09:51:49	2018-02-06	OMEGA	BUY	100	10.7500	-1,075.00	-0.67	0.00	O;P
	PEY	2018-02-02, 09:51:49	2018-02-06	PURE	BUY	100	10.7500	-1,075.00	-0.69	0.00	O;P
	PEY	2018-02-02, 09:51:49	2018-02-06	OMEGA	BUY	100	10.7500	-1,075.00	-0.67	0.00	O;P
	PEY	2018-02-02, 10:15:56	2018-02-06	-	BUY	500	10.7000	-5,350.00	-4.39	0.00	O;P
	PEY	2018-02-02, 10:15:56	2018-02-06	AEQNEO	BUY	200	10.7000	-2,140.00	-2.36	0.00	O;P
	PEY	2018-02-02, 10:15:56	2018-02-06	OMEGA	BUY	100	10.7000	-1,070.00	-0.67	0.00	O;P
	PEY	2018-02-02, 10:15:56	2018-02-06	PURE	BUY	100	10.7000	-1,070.00	-0.69	0.00	O;P
	PEY	2018-02-02, 10:15:56	2018-02-06	AEQNEO	BUY	100	10.7000	-1,070.00	-0.68	0.00	O;P
	入)					1,000	10.7250	-10,725.00	-8.76	0.00	
								-10,725.00	-8.76	0.00	
	BSTI	2018-03-26, 14:38:14	2018-03-28	-	SELL	-300	10.7900	3,237.00	-1.77	0.00	C
	BSTI	2018-03-26, 14:38:14	2018-03-28	BYX	SELL	-300	10.7900	3,237.00	-1.77	0.00	C
	出)					-300	10.7900	3,237.00	-1.77	0.00	
	KW	2018-01-19, 12:05:55	2018-01-23	-	BUY	100	17.0500	-1,705.00	-1.17	0.00	O
	KW	2018-01-19, 12:05:55	2018-01-23	BATS	BUY	100	17.0500	-1,705.00	-1.17	0.00	O
	KW	2018-02-06, 13:48:12	2018-02-08	-	BUY	200	16.3000	-3,260.00	-1.34	0.00	O;P
	KW	2018-02-06, 13:48:12	2018-02-08	BATS	BUY	100	16.3000	-1,630.00	-1.17	0.00	O;P
	KW	2018-02-06, 13:48:31	2018-02-08	BATS	BUY	100	16.3000	-1,630.00	-0.17	0.00	O;P
)					300	16.5500	-4,965.00	-2.51	0.00	

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	KW	2018-03-07, 11:25:20	2018-03-09	-	SELL	-200	16.6000	3,320.00	-1.60	0.00	C
	KW	2018-03-07, 11:25:20	2018-03-09	NYSE	SELL	-200	16.6000	3,320.00	-1.60	0.00	C
	KW	2018-04-27, 10:48:38	2018-05-01	-	SELL	-100	18.8500	1,885.00	-1.22	0.00	C;P
	KW	2018-04-27, 10:48:38	2018-05-01	ISLAND	SELL	-13	18.8500	245.05	-1.33	0.00	C;P
	KW	2018-04-27, 10:48:38	2018-05-01	ISLAND	SELL	-87	18.8500	1,639.95	0.12	0.00	C;P
						-300	17.3500	5,205.00	-2.82	0.00	
						0		240.00	-5.33	0.00	
	LILAK	2018-02-01, 13:24:40	2018-02-05	-	SELL	-200	22.4200	4,484.00	-1.45	0.00	C;P
	LILAK	2018-02-01, 13:24:40	2018-02-05	ISLAND	SELL	-100	22.4200	2,242.00	-1.22	0.00	C;P
	LILAK	2018-02-01, 13:24:40	2018-02-05	ISLAND	SELL	-100	22.4200	2,242.00	-0.22	0.00	C;P
	LILAK	2018-02-15, 14:40:55	2018-02-20	-	SELL	-200	23.4114	4,682.28	-1.75	0.00	C;D;P
	LILAK	2018-02-15, 14:40:55	2018-02-20	IBKRATS	SELL	-100	23.4128	2,341.28	-1.33	0.00	C;D;P
	LILAK	2018-02-15, 14:40:55	2018-02-20	BEX	SELL	-100	23.4100	2,341.00	-0.43	0.00	C;P
出)						-400	22.9157	9,166.28	-3.20	0.00	
	LTRPA	2018-01-12, 11:25:02	2018-01-17	-	BUY	200	9.9000	-1,980.00	-1.44	0.00	O;P
	LTRPA	2018-01-12, 11:25:02	2018-01-17	BYX	BUY	100	9.9000	-990.00	-1.22	0.00	O;P
	LTRPA	2018-01-12, 11:25:02	2018-01-17	BYX	BUY	100	9.9000	-990.00	-0.22	0.00	O;P
	LTRPA	2018-01-30, 15:24:02	2018-02-01	-	BUY	500	9.0500	-4,525.00	-1.80	0.00	O
	LTRPA	2018-01-30, 15:24:02	2018-02-01	ISLAND	BUY	500	9.0500	-4,525.00	-1.80	0.00	O
	LTRPA	2018-04-27, 10:42:39	2018-05-01	-	BUY	200	9.0500	-1,810.00	-1.34	0.00	O
	LTRPA	2018-04-27, 10:42:39	2018-05-01	DRCTEDGE	BUY	200	9.0500	-1,810.00	-1.34	0.00	O
	LTRPA	2018-04-27, 10:54:56	2018-05-01	-	BUY	200	9.0500	-1,810.00	-1.32	0.00	O;P
	LTRPA	2018-04-27, 10:54:56	2018-05-01	ISLAND	BUY	116	9.0500	-1,049.80	-1.19	0.00	O;P
	LTRPA	2018-04-27, 10:54:56	2018-05-01	ISLAND	BUY	84	9.0500	-760.20	-0.13	0.00	O;P
	LTRPA	2018-05-08, 09:59:27	2018-05-10	-	BUY	200	9.1000	-1,820.00	-1.58	0.00	O;P
	LTRPA	2018-05-08, 09:59:27	2018-05-10	ISLAND	BUY	50	9.1000	-455.00	-1.51	0.00	O;P
	LTRPA	2018-05-08, 09:59:35	2018-05-10	ISLAND	BUY	150	9.1000	-1,365.00	-0.07	0.00	O;P
入)						1,300	9.1884615	-11,945.00	-7.48	0.00	
	LTRPA	2018-02-06, 13:45:43	2018-02-08	-	SELL	-400	10.9000	4,360.00	-1.79	0.00	C;P
	LTRPA	2018-02-06, 13:45:43	2018-02-08	ISLAND	SELL	-43	10.9000	468.70	-1.28	0.00	C;P
	LTRPA	2018-02-06, 13:45:47	2018-02-08	ISLAND	SELL	-100	10.9000	1,090.00	0.00	0.00	C;P
	LTRPA	2018-02-06, 13:45:47	2018-02-08	ISLAND	SELL	-42	10.9000	457.80	-0.08	0.00	C;P
	LTRPA	2018-02-06, 13:45:54	2018-02-08	ISLAND	SELL	-100	10.9000	1,090.00	-0.20	0.00	C;P
	LTRPA	2018-02-06, 13:45:55	2018-02-08	ISLAND	SELL	-100	10.9000	1,090.00	-0.20	0.00	C;P
	LTRPA	2018-02-06, 13:45:58	2018-02-08	ISLAND	SELL	-15	10.9000	163.50	-0.03	0.00	C;P
	LTRPA	2018-02-09, 09:46:23	2018-02-13	-	SELL	-300	10.6000	3,180.00	-1.59	0.00	C;P
	LTRPA	2018-02-09, 09:46:23	2018-02-13	ISLAND	SELL	-188	10.6000	1,992.80	-1.37	0.00	C;P
	LTRPA	2018-02-09, 09:51:28	2018-02-13	ISLAND	SELL	-112	10.6000	1,187.20	-0.22	0.00	C;P
出)						-700	10.7714286	7,540.00	-3.38	0.00	
						600		-4,405.00	-10.86	0.00	
	MYL	2018-05-07, 11:50:59	2018-05-09	-	BUY	80	35.7600	-2,860.80	-1.20	0.00	O
	MYL	2018-05-07, 11:50:59	2018-05-09	ISLAND	BUY	80	35.7600	-2,860.80	-1.20	0.00	O
	MYL	2018-05-08, 10:00:39	2018-05-10	-	BUY	60	35.9200	-2,155.20	-1.36	0.00	O
	MYL	2018-05-08, 10:00:39	2018-05-10	BEX	BUY	60	35.9200	-2,155.20	-1.36	0.00	O
)						140	35.8285714	-5,016.00	-2.55	0.00	
	RENN	2018-01-02, 10:33:45	2018-01-04	-	SELL	-500	12.0900	6,045.00	-2.45	0.00	C;P
	RENN	2018-01-02, 10:33:45	2018-01-04	NYSE	SELL	-3	12.0900	36.27	-1.35	0.00	C;P

交易

	RENN	2018-01-02, 10:33:45	2018-01-04	NYSE	SELL	-497	12.0900	6,008.73	-1.10	0.00	C;P
	RENN	2018-03-08, 11:26:25	2018-03-12	-	SELL	-400	9.5800	3,832.00	-2.14	0.00	C
	RENN	2018-03-08, 11:26:25	2018-03-12	NYSE	SELL	-400	9.5800	3,832.00	-2.14	0.00	C
	RENN	2018-05-03, 14:11:15	2018-05-07	-	SELL	-100	8.6700	867.00	-1.28	0.00	C;P
	RENN	2018-05-03, 14:11:15	2018-05-07	NYSE	SELL	-33	8.6700	286.11	-1.33	0.00	C;P
	RENN	2018-05-03, 14:11:26	2018-05-07	NYSE	SELL	-18	8.6700	156.06	0.01	0.00	C;P
	RENN	2018-05-03, 14:36:26	2018-05-07	NYSE	SELL	-49	8.6700	424.83	0.03	0.00	C;P
出)						-1,000	10.7440	10,744.00	-5.87	0.00	
	SAVE	2018-04-26, 14:55:59	2018-04-30	-	BUY	150	35.4900	-5,323.50	-1.24	0.00	O;P
	SAVE	2018-04-26, 14:55:59	2018-04-30	ISLAND	BUY	100	35.4900	-3,549.00	-1.16	0.00	O;P
	SAVE	2018-04-26, 14:55:59	2018-04-30	ISLAND	BUY	50	35.4900	-1,774.50	-0.08	0.00	O;P
入)						150	35.4900	-5,323.50	-1.24	0.00	
	TEVA	2018-02-01, 13:16:00	2018-02-05	-	SELL	-200	21.5600	4,312.00	-1.63	0.00	C;D;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-5	21.5600	107.80	-1.35	0.00	C;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	IBKRATS	SELL	-22	21.5600	474.32	0.00	0.00	C;D;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-70	21.5600	1,509.20	0.03	0.00	C;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-100	21.5600	2,156.00	-0.30	0.00	C;P
	TEVA	2018-02-01, 13:16:00	2018-02-05	NYSE	SELL	-3	21.5600	64.68	0.00	0.00	C;P
	TEVA	2018-03-28, 13:56:29	2018-04-02	-	SELL	-200	17.1900	3,438.00	-1.54	0.00	C
	TEVA	2018-03-28, 13:56:29	2018-04-02	BYX	SELL	-200	17.1900	3,438.00	-1.54	0.00	C
	TEVA	2018-05-07, 11:49:23	2018-05-09	-	SELL	-100	18.7300	1,873.00	-1.23	0.00	C
	TEVA	2018-05-07, 11:49:23	2018-05-09	BATS	SELL	-100	18.7300	1,873.00	-1.23	0.00	C
出)						-500	19.2460	9,623.00	-4.40	0.00	
	VNET	2018-01-05, 15:22:03	2018-01-09	-	BUY	400	9.199475	-3,679.79	-2.14	0.00	D;O;P
	VNET	2018-01-05, 15:22:03	2018-01-09	BEX	BUY	100	9.2000	-920.00	-1.36	0.00	O;P
	VNET	2018-01-05, 15:22:03	2018-01-09	IBKRATS	BUY	300	9.1993	-2,759.79	-0.78	0.00	D;O;P
入)						400	9.199475	-3,679.79	-2.14	0.00	
	VNET	2018-01-26, 13:24:53	2018-01-30	-	SELL	-400	9.38055	3,752.22	-2.59	0.00	C;P
	VNET	2018-01-26, 13:24:53	2018-01-30	DARK	SELL	-200	9.3811	1,876.22	-1.59	0.00	C;P
	VNET	2018-01-26, 13:24:53	2018-01-30	ISLAND	SELL	-100	9.3800	938.00	-0.70	0.00	C;P
	VNET	2018-01-26, 13:24:53	2018-01-30	NITEEXST	SELL	-100	9.3800	938.00	-0.29	0.00	C;P
出)						-400	9.38055	3,752.22	-2.59	0.00	
						0		72.43	-4.73	0.00	
	VRX	2018-04-26, 14:55:29	2018-04-30	-	SELL	-300	17.8300	5,349.00	-1.64	0.00	C
	VRX	2018-04-26, 14:55:29	2018-04-30	ISLAND	SELL	-300	17.8300	5,349.00	-1.64	0.00	C
	VRX	2018-04-27, 10:41:52	2018-05-01	-	SELL	-100	18.0900	1,809.00	-1.22	0.00	C
	VRX	2018-04-27, 10:41:52	2018-05-01	DRCTEDGE	SELL	-100	18.0900	1,809.00	-1.22	0.00	C
	VRX	2018-05-08, 09:56:34	2018-05-10	-	SELL	-200	20.8400	4,168.00	-1.62	0.00	C;P
	VRX	2018-05-08, 09:56:34	2018-05-10	NYSE	SELL	-50	20.8400	1,042.00	-1.33	0.00	C;P
	VRX	2018-05-08, 09:56:35	2018-05-10	NYSE	SELL	-150	20.8400	3,126.00	-0.29	0.00	C;P
出)						-600	18.876667	11,326.00	-4.48	0.00	
	YTRA	2018-02-09, 13:24:27	2018-02-13	-	BUY	600	6.9300	-4,158.00	-4.00	0.00	O;P
	YTRA	2018-02-09, 13:24:27	2018-02-13	ISLAND	BUY	100	6.9300	-693.00	-1.16	0.00	O;P
	YTRA	2018-02-09, 13:24:27	2018-02-13	ISLAND	BUY	400	6.9300	-2,772.00	-2.68	0.00	O;P
	YTRA	2018-02-09, 13:24:27	2018-02-13	ISLAND	BUY	100	6.9300	-693.00	-0.16	0.00	O;P
	YTRA	2018-02-27, 12:33:41	2018-03-01	-	BUY	700	6.8600	-4,802.00	-2.12	0.00	O;P
	YTRA	2018-02-27, 12:33:41	2018-03-01	ISLAND	BUY	100	6.8600	-686.00	-1.16	0.00	O;P

交易

YTRA	2018-02-27, 12:33:46	2018-03-01	ISLAND	BUY	200	6.8600	-1,372.00	-0.32	0.00	O;P
YTRA	2018-02-27, 12:33:46	2018-03-01	ISLAND	BUY	400	6.8600	-2,744.00	-0.64	0.00	O;P
YTRA	2018-03-07, 13:45:00	2018-03-09	-	BUY	450	7.1100	-3,199.50	-1.72	0.00	O;P
YTRA	2018-03-07, 13:45:00	2018-03-09	ISLAND	BUY	100	7.1100	-711.00	-1.16	0.00	O;P
YTRA	2018-03-07, 13:50:11	2018-03-09	ISLAND	BUY	100	7.1100	-711.00	-0.16	0.00	O;P
YTRA	2018-03-07, 13:56:28	2018-03-09	ISLAND	BUY	250	7.1100	-1,777.50	-0.40	0.00	O;P
YTRA	2018-03-08, 12:37:47	2018-03-12	-	BUY	550	6.8800	-3,784.00	-1.88	0.00	O;P
YTRA	2018-03-08, 12:37:47	2018-03-12	ISLAND	BUY	76	6.8800	-522.88	-1.21	0.00	O;P
YTRA	2018-03-08, 12:58:03	2018-03-12	ISLAND	BUY	474	6.8800	-3,261.12	-0.68	0.00	O;P
YTRA	2018-03-26, 14:38:54	2018-03-28	-	BUY	500	6.3700	-3,185.00	-1.80	0.00	O;P
YTRA	2018-03-26, 14:38:54	2018-03-28	ISLAND	BUY	85	6.3700	-541.45	-1.19	0.00	O;P
YTRA	2018-03-26, 14:38:54	2018-03-28	ISLAND	BUY	50	6.3700	-318.50	-0.03	0.00	O;P
YTRA	2018-03-26, 14:38:54	2018-03-28	ISLAND	BUY	338	6.3700	-2,153.06	-0.54	0.00	O;P
YTRA	2018-03-26, 14:39:28	2018-03-28	ISLAND	BUY	27	6.3700	-171.99	-0.04	0.00	O;P
YTRA	2018-03-28, 13:56:53	2018-04-02	-	BUY	600	6.0500	-3,630.00	-1.96	0.00	O;P
YTRA	2018-03-28, 13:56:53	2018-04-02	ISLAND	BUY	281	6.0500	-1,700.05	-1.45	0.00	O;P
YTRA	2018-03-28, 13:58:09	2018-04-02	ISLAND	BUY	319	6.0500	-1,929.95	-0.51	0.00	O;P
入)					3,400	6.6936765	-22,758.50	-13.49	0.00	
							6,905.71	-57.92	0.00	
代码	交易日期/时间	结算日期	交易所	类型	数量	价格	收益	基本货币下的佣金	费用	代码
USD.CAD	2018-01-08, 14:12:54	2018-01-09	-	BUY	2,500	1.24189	-3,104.72	-2.48	0.00	
USD.CAD	2018-01-08, 14:12:54	2018-01-09	IDEALFX	BUY	2,500	1.24189	-3,104.72	-2.48	0.00	
(买入)					2,500	1.24189	-3,104.72	-2.48	0.00	
USD.CAD	2018-02-02, 09:50:33	2018-02-05	-	SELL	-9,200	1.23818	11,391.26	-2.45	0.00	
USD.CAD	2018-02-02, 09:50:33	2018-02-05	IDEALFX	SELL	-9,200	1.23818	11,391.26	-2.45	0.00	
(卖出)					-9,200	1.23818	11,391.26	-2.45	0.00	
					-6,700		8,286.53	-4.94	0.00	
							8,286.53	-4.94	0.00	

金融产品信

代码	描述	合约编号	证券号码	上市交易所	乘数	类型	代码
股票							
BSTI	BEST INC - ADR	289903519	08653C106	NYSE	1	ADR	
KW	KENNEDY - WILSON HOLDINGS INC.	70298853	489398107	NYSE	1	COMMON	
LILAK	LIBERTY LATIN AMERIC-CL C-WI	301303327	BMG9001E1286	NASDAQ	1	COMMON	
LTRPA	LIBERTY TRIPADVISOR HDG-A	165206742	531465102	NASDAQ	1	COMMON	
MYL	MYLAN NV	185731883	NL0011031208	NASDAQ	1	COMMON	
PEY	PEYTO EXPLORATION & DEV CORP	82633947	CA7170461064	TSE	1	COMMON	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	
SAVE	SPIRIT AIRLINES INC	88850795	848577102	NYSE	1	COMMON	
TEVA	TEVA PHARMACEUTICAL-SP ADR	275085	881624209	NYSE	1	ADR	
VNET	21VIANET GROUP INC-ADR	87356334	90138A103	NASDAQ	1	ADR	
VRX	VALEANT PHARMACEUTICALS INTE	79431711	91911K102	NYSE	1	COMMON	
YTRA	YATRA ONLINE INC	259025789	KYG983381099	NASDAQ	1	COMMON	
代码							
外汇							
USD.CAD	USD.CAD	15016062			1		

代码

代码	意思	代码 (继续)	意思 (继续)
A	转让	IPO	本交易作为IPO的一部分被执行且被归为自营交易 (Principal trade), IB是该IPO承销团的一员。
AEx	股息相关期货自动行权推荐。	L	由IB制定规则 (保证金违背)
B	自动买入	M	由IB手动输入 (请参阅法律说明 #2)
C	平仓交易	MEx	股息相关期货手动行权推荐。
Ca	取消的	O	建仓交易
Co	被更正的交易	P	部分执行
Cx	此项交易的部分或全部是作为双重代理的盈透为两名盈透客户进行的撮合执行	Pr	此项交易的部分或全部是交易所对盈透和盈透分支机构进行的撮合执行, 因此归类为首要交易, 而不是代理交易
D	在此次交易中, 盈透同时为买方和卖方充当双重代理。根据您的请求, 盈透将向您提供与此次交易的双方相关信息。	R	股息再投资
Ep	由到期的头寸导致	RP	IB充当本次交易少数股部分的无风险做市商
Ex	执行	RPA	IB充当本次交易少数股部分的无风险做市商、整股部分的代理商
FP	IB充当本次交易少数股部分的做市商	SI	此订单为盈透证券请求的订单
FPA	IB充当本次交易少数股部分的做市商、整股部分的代理商	SO	此订单为您的介绍经纪商请求的订单
G	担保账户部分中的交易	SS	客户指定该笔交易缩短结算周期, 因此需以高于现行市场价的价格执行
IA	该交易通过IB附属机构执行	T	转账

注释/法律注释

注释

注释/法律注释

- 大部分证券交易所的交易是在交易日期后的两天进行结算。期权、期货和美国开放式共同基金交易是在交易日期后的一天进行结算。(某些交易所和其他交易类型的结算时间可能会更长或更短。)期末已结算现金反映的是实际结算的现金。如需要执行时间,请求后可提供。
- 初始和维持保证金要求可在交易平台的账户窗口中查看。
- 数量前有“-”号的表示卖出交易。其他交易为买入交易。
- 如果IB在执行您定单的任何小数股部分时充当做市商,则定单将以执行之时您定单相反方向显示的NBBO(如您买入则为卖价;如您卖出则为买价)执行。如果IB在执行您定单的小数股部分时充当无风险做市商,则IB会以其收到的执行完整一股的价格执行您定单的小数股部分。
- 在部分执行的情况下,佣金以原始定单执行的总量收取。仅在第一个部分执行处显示佣金。
- 交易执行时间以东部时间显示。
- 可在盈透网站中查看适用的商品监管费用,地址:www.interactivebrokers.com/cn/accounts/fees/exchangeAndRegulatoryFees.php。

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Canadian Investor Protection Fund
MEMBER



Réglementée par
Organisme canadien de réglementation
du commerce des valeurs mobilières



French Canadian Investor Protection Fund
MEMBER













交易确认报告

January 2, 2018 - July 2, 2018

? 帮助

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

账户信息

名称	Xiaojie Wu
账户	██████████
账户类型	个人
客户类型	个人
账户能力	保证金
基本货币	CAD

交易

账户号码	代码	交易日期/时间	结算日期	交易所	类型	数量	价格	收益	佣金	费用	代码
股票											
██████████	PEY	2018-01-25, 15:31:16	2018-01-29	-	BUY	300	12.2700	-3,681.00	-3.00	0.00	O
	PEY	2018-01-25, 15:31:16	2018-01-29	AEQNEO	BUY	300	12.2700	-3,681.00	-3.00	0.00	O
	PEY	2018-01-29, 10:19:54	2018-01-31	-	BUY	300	12.2366667	-3,671.00	-3.00	0.00	O;P
	PEY	2018-01-29, 10:19:54	2018-01-31	TRIACT	BUY	200	12.2350	-2,447.00	-2.00	0.00	O;P
	PEY	2018-01-29, 10:19:54	2018-01-31	OMEGA	BUY	100	12.2400	-1,224.00	-1.00	0.00	O;P
)					600	12.2533333	-7,352.00	-6.00	0.00	
								-7,352.00	-6.00	0.00	
	ESV	2018-01-23, 11:02:16	2018-01-25	-	BUY	300	6.7366667	-2,021.00	-1.40	0.00	O;P
	ESV	2018-01-23, 11:02:16	2018-01-25	DARK	BUY	100	6.7400	-674.00	-1.00	0.00	O;P
	ESV	2018-01-23, 11:02:16	2018-01-25	ISLAND	BUY	100	6.7350	-673.50	0.00	0.00	O;P
	ESV	2018-01-23, 11:02:16	2018-01-25	ARCA	BUY	100	6.7350	-673.50	-0.40	0.00	O;P
)					300	6.7366667	-2,021.00	-1.40	0.00	
	ESV	2018-02-09, 13:55:27	2018-02-13	-	SELL	-300	4.7400	1,422.00	-1.57	0.00	C;P
	ESV	2018-02-09, 13:55:32	2018-02-13	NYSE	SELL	-200	4.7400	948.00	-1.05	0.00	C;P
	ESV	2018-02-09, 13:55:27	2018-02-13	NYSE	SELL	-100	4.7400	474.00	-0.52	0.00	C;P
)					-300	4.7400	1,422.00	-1.57	0.00		
					0		-599.00	-2.97	0.00		
FRGI	2018-04-27, 13:47:50	2018-05-01	-	SELL	-200	20.0500	4,010.00	-1.12	0.00	C;D;P	
FRGI	2018-04-27, 13:47:50	2018-05-01	IBKRATS	SELL	-100	20.0500	2,005.00	-1.06	0.00	C;D;P	
FRGI	2018-04-27, 13:47:50	2018-05-01	IBKRATS	SELL	-100	20.0500	2,005.00	-0.06	0.00	C;D;P	
出)					-200	20.0500	4,010.00	-1.12	0.00		
LILAK	2018-02-12, 13:23:27	2018-02-14	-	SELL	-200	22.1805	4,436.10	-1.13	0.00	C;P	

交易

LILAK	2018-02-12, 13:23:27	2018-02-14	ISLAND	SELL	-100	22.1800	2,218.00	-1.06	0.00	C;P
LILAK	2018-02-12, 13:23:27	2018-02-14	NASDAQRLP	SELL	-100	22.1810	2,218.10	-0.06	0.00	C;P
)					-200	22.1805	4,436.10	-1.13	0.00	
MYL	2018-05-03, 10:04:23	2018-05-07	-	BUY	200	35.7700	-7,154.00	-1.00	0.00	O
MYL	2018-05-03, 10:04:23	2018-05-07	ISLAND	BUY	200	35.7700	-7,154.00	-1.00	0.00	O
)					200	35.7700	-7,154.00	-1.00	0.00	
OZM	2018-04-27, 13:48:38	2018-05-01	-	SELL	-2,000	2.04101	4,082.02	-8.43	0.00	C;D;P
OZM	2018-04-27, 13:48:38	2018-05-01	NYSERLP	SELL	-100	2.0450	204.50	-1.02	0.00	C;P
OZM	2018-04-27, 13:48:38	2018-05-01	IBKRATS	SELL	-1,900	2.0408	3,877.52	-7.42	0.00	C;D;P
)					-2,000	2.04101	4,082.02	-8.43	0.00	
RENN	2018-01-02, 10:34:59	2018-01-04	-	SELL	-300	12.1400	3,642.00	-1.62	0.00	C;D;P
RENN	2018-01-02, 10:34:59	2018-01-04	IBKRATS	SELL	-200	12.1400	2,428.00	-1.08	0.00	C;D;P
RENN	2018-01-02, 10:35:11	2018-01-04	NYSE	SELL	-100	12.1400	1,214.00	-0.54	0.00	C;P
RENN	2018-05-03, 10:05:23	2018-05-07	-	SELL	-300	8.6508	2,595.24	-1.30	0.00	C
RENN	2018-05-03, 10:05:23	2018-05-07	DARK	SELL	-300	8.6508	2,595.24	-1.30	0.00	C
)					-600	10.3954	6,237.24	-2.92	0.00	
TEVA	2018-01-12, 12:56:45	2018-01-17	-	SELL	-200	22.6400	4,528.00	-1.13	0.00	C;P
TEVA	2018-01-12, 12:56:45	2018-01-17	NYSE	SELL	-87	22.6400	1,969.68	-1.06	0.00	C;P
TEVA	2018-01-12, 12:56:45	2018-01-17	NYSE	SELL	-100	22.6400	2,264.00	-0.06	0.00	C;P
TEVA	2018-01-12, 13:15:11	2018-01-17	NYSE	SELL	-13	22.6400	294.32	0.00	0.00	C;P
TEVA	2018-01-25, 15:33:34	2018-01-29	-	SELL	-200	21.1200	4,224.00	-1.12	0.00	C
TEVA	2018-01-25, 15:33:34	2018-01-29	NYSE	SELL	-200	21.1200	4,224.00	-1.12	0.00	C
TEVA	2018-02-07, 15:53:23	2018-02-09	-	SELL	-200	20.8600	4,172.00	-1.12	0.00	C;P
TEVA	2018-02-07, 15:53:23	2018-02-09	NYSE	SELL	-100	20.8600	2,086.00	-1.06	0.00	C;P
TEVA	2018-02-07, 15:53:23	2018-02-09	ISLAND	SELL	-100	20.8600	2,086.00	-0.06	0.00	C;P
TEVA	2018-05-03, 09:40:22	2018-05-07	-	SELL	-300	19.3800	5,814.00	-1.67	0.00	C
TEVA	2018-05-03, 09:40:22	2018-05-07	NYSE	SELL	-300	19.3800	5,814.00	-1.67	0.00	C
)					-900	20.8200	18,738.00	-5.04	0.00	
UA	2018-02-07, 11:44:02	2018-02-09	-	SELL	-200	12.4400	2,488.00	-1.08	0.00	C;P
UA	2018-02-07, 11:44:02	2018-02-09	NYSE	SELL	-100	12.4400	1,244.00	-1.04	0.00	C;P
UA	2018-02-07, 11:44:02	2018-02-09	NYSE	SELL	-100	12.4400	1,244.00	-0.04	0.00	C;P
)					-200	12.4400	2,488.00	-1.08	0.00	
YTRA	2018-03-07, 14:31:04	2018-03-09	-	BUY	500	7.0800	-3,540.00	-2.50	0.00	O;P
YTRA	2018-03-07, 14:31:04	2018-03-09	ISLAND	BUY	100	7.0800	-708.00	-1.00	0.00	O;P
YTRA	2018-03-07, 14:31:44	2018-03-09	ISLAND	BUY	300	7.0800	-2,124.00	-1.00	0.00	O;P
YTRA	2018-03-07, 14:31:44	2018-03-09	ISLAND	BUY	100	7.0800	-708.00	-0.50	0.00	O;P
)					500	7.0800	-3,540.00	-2.50	0.00	
总数							28,698.36	-26.18	0.00	

金融产品信息

代码	描述	合约编号	证券号码	上市交易所	乘数	类型	代码
股票							
ESV	ENSCO PLC-CL A	107375361	GB00B4VLR192	NYSE	1	COMMON	
FRGI	FIESTA RESTAURANT GROUP	106671139	31660B101	NASDAQ	1	COMMON	
LILAK	LIBERTY LATIN AMERIC-CL C-WI	301303327	BMG9001E1286	NASDAQ	1	COMMON	
MYL	MYLAN NV	185731883	NL0011031208	NASDAQ	1	COMMON	
OZM	OCH-ZIFF CAPITAL MANAGEMEN-A	47101321	67551U105	NYSE	1	LTD PART	
PEY	PEYTO EXPLORATION & DEV CORP	82633947	CA7170461064	TSE	1	COMMON	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	
TEVA	TEVA PHARMACEUTICAL-SP ADR	275085	881624209	NYSE	1	ADR	
UA	UNDER ARMOUR INC-CLASS C	225590118	904311206	NYSE	1	COMMON	
YTRA	YATRA ONLINE INC	259025789	KYG983381099	NASDAQ	1	COMMON	

代码

代码	意思	代码 (继续)	意思 (继续)
A	转让	IPO	本交易作为IPO的一部分被执行且被归为自营交易 (Principal trade), IB是该IPO承销团的一员。
AEx	股息相关期货自动行权推荐。	L	由IB制定规则 (保证金违背)
B	自动买入	M	由IB手动输入 (请参阅法律说明 #2)
C	平仓交易	MEx	股息相关期货手动行权推荐。
Ca	取消的	O	建仓交易
Co	被更正的交易	P	部分执行
Cx	此项交易的部分或全部是作为双重代理的盈透为两名盈透客户进行的撮合执行	Pr	此项交易的部分或全部是交易所对盈透和盈透分支机构进行的撮合执行, 因此归类为首要交易, 而不是代理交易
D	在此次交易中, 盈透同时为买方和卖方充当双重代理。根据您的请求, 盈透将向您提供与此次交易的双方相关之信息。	R	股息再投资
Ep	由到期的头寸导致	RP	IB充当本次交易小数股部分的无风险做市商
Ex	执行	RPA	IB充当本次交易小数股部分的无风险做市商、整股部分的代理商
FP	IB充当本次交易小数股部分的做市商	SI	此定单为盈透证券请求的定单
FPA	IB充当本次交易小数股部分的做市商、整股部分的代理商	SO	此定单为您的介绍经纪商请求的定单
G	担保账户部分中的交易	SS	客户指定该笔交易缩短结算周期, 因此需以高于现行市场价的价格执行
IA	该交易通过IB附属机构执行	T	转账

注释/法律注释

注释

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- 初始和维持保证金要求可在交易平台的账户窗口中查看。
- 数量前有“-”号的表示卖出交易。其他交易为买入交易。
- 如果IB在执行您定单的任何小数股部分时充当做市商, 则定单将以执行之时您定单相反方向显示的NBBO (如您买入则为卖价; 如您卖出则为买价) 执行。如果IB在执行您定单的小数股部分时充当无风险做市商, 则IB会以其收到的执行完整一股的价格执行您定单的小数股部分。

注释/法律注释

- 在部分执行的情况下，佣金以原始定单执行的总量收取。仅在第一个部分执行处显示佣金。
- 交易执行时间以东部时间显示。
- 可在盈透网站中查看适用的商品监管费用，地址：www.interactivebrokers.com/cn/accounts/fees/exchangeAndRegulatoryFees.php。

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- 交易可在到期前赎回的债务证券，此类债务证券可能在到期前被全部或部分赎回，进而影响显示的收益率。如需更多信息，请向我们提出请求。

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From: [yuanfang du](#)
To: [info_RenrenSettlement](#)
Subject: Objections to the Proposed Settlement of Shareholder Derivative Actions
Date: Monday, November 15, 2021 11:11:29 PM
Attachments: [RENN Trade Info Xiaojie 2018.pdf](#)

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Name: Xiaojie Wu

Address: 89 Holmes Ave, Toronto, ON, Canada,

Post Code: M2N 4M3

Email: ditto_star@hotmail.com

Mobile: 647-929-9212

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation

Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1, My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable.

Otherwise, we will continue with our lawsuits against the defendants in Renren's Case to protect our rights.

2, The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than

100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 300 ADSs of Renren and sold them out on May 3, 2018, before receiving the special dividends of USD 9.1875 per ADS.

On January 4, 2018, I sold 300 shares.

4, Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. According to the 2020 Annual Report of Renren (Form 20-F), Losses from operations of \$79.3m, \$57.4m, and \$27.2m in 2018, 2019, and 2020 respectively. Moreover, Renren's market cap had been under USD 12m in March 2020 with a share price below 1 dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to

have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

5, Why should we receive a partial compensation of USD 300m

(1)The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS are far less than the fair value of those assets being carved out per ADS(worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2)The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

(3)According to the settlement, as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may have sold some of its shareholdings in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court, the judge, and the lawyers representing the plaintiff
We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through

www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May justice be with all of us.

I want to attend the Settlement Hearing.

Name: Xiaojie Wu Signature: Xiaojie Wu

Date: November 15, 2021



交易确认报告

January 2, 2018 - July 2, 2018

[? 帮助](#)

Interactive Brokers Canada Inc. 1800 McGill College Avenue, Suite 2106, Montreal, Quebec, Canada H3A 3J6

账户信息

名称	Xiaojie Wu
账户	[REDACTED]
账户类型	个人
客户类型	个人
账户能力	保证金
基本货币	CAD

交易

账户号码	代码	交易日期/时间	结算日期	交易所	类型	数量	价格	收益	佣金	费用	代码
股票											
CAD											
[REDACTED]	PEY	2018-01-25, 15:31:16	2018-01-29	-	BUY	300	12.2700	-3,681.00	-3.00	0.00	O
[REDACTED]	PEY	2018-01-25, 15:31:16	2018-01-29	AEQNEO	BUY	300	12.2700	-3,681.00	-3.00	0.00	O
[REDACTED]	PEY	2018-01-29, 10:19:54	2018-01-31	-	BUY	300	12.2366667	-3,671.00	-3.00	0.00	O;P
[REDACTED]	PEY	2018-01-29, 10:19:54	2018-01-31	TRIACT	BUY	200	12.2350	-2,447.00	-2.00	0.00	O;P
[REDACTED]	PEY	2018-01-29, 10:19:54	2018-01-31	OMEGA	BUY	100	12.2400	-1,224.00	-1.00	0.00	O;P
[REDACTED]	入)					600	12.2533333	-7,352.00	-6.00	0.00	
[REDACTED]								-7,352.00	-6.00	0.00	
[REDACTED]	ESV	2018-01-23, 11:02:16	2018-01-25	-	BUY	300	6.7366667	-2,021.00	-1.40	0.00	O;P
[REDACTED]	ESV	2018-01-23, 11:02:16	2018-01-25	DARK	BUY	100	6.7400	-674.00	-1.00	0.00	O;P
[REDACTED]	ESV	2018-01-23, 11:02:16	2018-01-25	ISLAND	BUY	100	6.7350	-673.50	0.00	0.00	O;P
[REDACTED]	ESV	2018-01-23, 11:02:16	2018-01-25	ARCA	BUY	100	6.7350	-673.50	-0.40	0.00	O;P
[REDACTED]	入)					300	6.7366667	-2,021.00	-1.40	0.00	
[REDACTED]	ESV	2018-02-09, 13:55:27	2018-02-13	-	SELL	-300	4.7400	1,422.00	-1.57	0.00	C;P
[REDACTED]	ESV	2018-02-09, 13:55:32	2018-02-13	NYSE	SELL	-200	4.7400	948.00	-1.05	0.00	C;P
[REDACTED]	ESV	2018-02-09, 13:55:27	2018-02-13	NYSE	SELL	-100	4.7400	474.00	-0.52	0.00	C;P
[REDACTED]	出)					-300	4.7400	1,422.00	-1.57	0.00	
[REDACTED]						0		-599.00	-2.97	0.00	
[REDACTED]	FRGI	2018-04-27, 13:47:50	2018-05-01	-	SELL	-200	20.0500	4,010.00	-1.12	0.00	C;D;P
[REDACTED]	FRGI	2018-04-27, 13:47:50	2018-05-01	IBKRATS	SELL	-100	20.0500	2,005.00	-1.06	0.00	C;D;P
[REDACTED]	FRGI	2018-04-27, 13:47:50	2018-05-01	IBKRATS	SELL	-100	20.0500	2,005.00	-0.06	0.00	C;D;P
[REDACTED]	出)					-200	20.0500	4,010.00	-1.12	0.00	
[REDACTED]	LILAK	2018-02-12, 13:23:27	2018-02-14	-	SELL	-200	22.1805	4,436.10	-1.13	0.00	C;P

交易

	LILAK	2018-02-12, 13:23:27	2018-02-14	ISLAND	SELL	-100	22.1800	2,218.00	-1.06	0.00	C;P
	LILAK	2018-02-12, 13:23:27	2018-02-14	NASDAQRLP	SELL	-100	22.1810	2,218.10	-0.06	0.00	C;P
	出)					-200	22.1805	4,436.10	-1.13	0.00	
	MYL	2018-05-03, 10:04:23	2018-05-07	-	BUY	200	35.7700	-7,154.00	-1.00	0.00	O
	MYL	2018-05-03, 10:04:23	2018-05-07	ISLAND	BUY	200	35.7700	-7,154.00	-1.00	0.00	O
	入)					200	35.7700	-7,154.00	-1.00	0.00	
	OZM	2018-04-27, 13:48:38	2018-05-01	-	SELL	-2,000	2.04101	4,082.02	-8.43	0.00	C;D;P
	OZM	2018-04-27, 13:48:38	2018-05-01	NYSERLP	SELL	-100	2.0450	204.50	-1.02	0.00	C;P
	OZM	2018-04-27, 13:48:38	2018-05-01	IBKRATS	SELL	-1,900	2.0408	3,877.52	-7.42	0.00	C;D;P
	出)					-2,000	2.04101	4,082.02	-8.43	0.00	
	RENN	2018-01-02, 10:34:59	2018-01-04	-	SELL	-300	12.1400	3,642.00	-1.62	0.00	C;D;P
	RENN	2018-01-02, 10:34:59	2018-01-04	IBKRATS	SELL	-200	12.1400	2,428.00	-1.08	0.00	C;D;P
	RENN	2018-01-02, 10:35:11	2018-01-04	NYSE	SELL	-100	12.1400	1,214.00	-0.54	0.00	C;P
	RENN	2018-05-03, 10:05:23	2018-05-07	-	SELL	-300	8.6508	2,595.24	-1.30	0.00	C
	RENN	2018-05-03, 10:05:23	2018-05-07	DARK	SELL	-300	8.6508	2,595.24	-1.30	0.00	C
	出)					-600	10.3954	6,237.24	-2.92	0.00	
	TEVA	2018-01-12, 12:56:45	2018-01-17	-	SELL	-200	22.6400	4,528.00	-1.13	0.00	C;P
	TEVA	2018-01-12, 12:56:45	2018-01-17	NYSE	SELL	-87	22.6400	1,969.68	-1.06	0.00	C;P
	TEVA	2018-01-12, 12:56:45	2018-01-17	NYSE	SELL	-100	22.6400	2,264.00	-0.06	0.00	C;P
	TEVA	2018-01-12, 13:15:11	2018-01-17	NYSE	SELL	-13	22.6400	294.32	0.00	0.00	C;P
	TEVA	2018-01-25, 15:33:34	2018-01-29	-	SELL	-200	21.1200	4,224.00	-1.12	0.00	C
	TEVA	2018-01-25, 15:33:34	2018-01-29	NYSE	SELL	-200	21.1200	4,224.00	-1.12	0.00	C
	TEVA	2018-02-07, 15:53:23	2018-02-09	-	SELL	-200	20.8600	4,172.00	-1.12	0.00	C;P
	TEVA	2018-02-07, 15:53:23	2018-02-09	NYSE	SELL	-100	20.8600	2,086.00	-1.06	0.00	C;P
	TEVA	2018-02-07, 15:53:23	2018-02-09	ISLAND	SELL	-100	20.8600	2,086.00	-0.06	0.00	C;P
	TEVA	2018-05-03, 09:40:22	2018-05-07	-	SELL	-300	19.3800	5,814.00	-1.67	0.00	C
	TEVA	2018-05-03, 09:40:22	2018-05-07	NYSE	SELL	-300	19.3800	5,814.00	-1.67	0.00	C
	出)					-900	20.8200	18,738.00	-5.04	0.00	
	UA	2018-02-07, 11:44:02	2018-02-09	-	SELL	-200	12.4400	2,488.00	-1.08	0.00	C;P
	UA	2018-02-07, 11:44:02	2018-02-09	NYSE	SELL	-100	12.4400	1,244.00	-1.04	0.00	C;P
	UA	2018-02-07, 11:44:02	2018-02-09	NYSE	SELL	-100	12.4400	1,244.00	-0.04	0.00	C;P
)					-200	12.4400	2,488.00	-1.08	0.00	
	YTRA	2018-03-07, 14:31:04	2018-03-09	-	BUY	500	7.0800	-3,540.00	-2.50	0.00	O;P
	YTRA	2018-03-07, 14:31:04	2018-03-09	ISLAND	BUY	100	7.0800	-708.00	-1.00	0.00	O;P
	YTRA	2018-03-07, 14:31:44	2018-03-09	ISLAND	BUY	300	7.0800	-2,124.00	-1.00	0.00	O;P
	YTRA	2018-03-07, 14:31:44	2018-03-09	ISLAND	BUY	100	7.0800	-708.00	-0.50	0.00	O;P
	入)					500	7.0800	-3,540.00	-2.50	0.00	
	总数							28,698.36	-26.18	0.00	

金融产品信息

代码	描述	合约编号	证券号码	上市交易所	乘数	类型	代码
股票							
ESV	ENSCO PLC-CL A	107375361	GB00B4VLR192	NYSE	1	COMMON	
FRGI	FIESTA RESTAURANT GROUP	106671139	31660B101	NASDAQ	1	COMMON	
LILAK	LIBERTY LATIN AMERIC-CL C-WI	301303327	BMG9001E1286	NASDAQ	1	COMMON	
MYL	MYLAN NV	185731883	NL0011031208	NASDAQ	1	COMMON	
OZM	OCH-ZIFF CAPITAL MANAGEMEN-A	47101321	67551U105	NYSE	1	LTD PART	
PEY	PEYTO EXPLORATION & DEV CORP	82633947	CA7170461064	TSE	1	COMMON	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	
TEVA	TEVA PHARMACEUTICAL-SP ADR	275085	881624209	NYSE	1	ADR	
UA	UNDER ARMOUR INC-CLASS C	225590118	904311206	NYSE	1	COMMON	
YTRA	YATRA ONLINE INC	259025789	KYG983381099	NASDAQ	1	COMMON	

代码

代码	意思	代码 (继续)	意思 (继续)
A	转让	IPO	本交易作为IPO的一部分被执行且被归为自营交易 (Principal trade), IB是该IPO承销团的一员。
AEx	股息相关期货自动行权推荐。	L	由IB制定规则 (保证金违背)
B	自动买入	M	由IB手动输入 (请参阅法律说明 #2)
C	平仓交易	MEx	股息相关期货手动行权推荐。
Ca	取消的	O	建仓交易
Co	被更正的交易	P	部分执行
Cx	此项交易的部分或全部是作为双重代理的盈透为两名盈透客户进行的撮合执行	Pr	此项交易的部分或全部是交易所对盈透和盈透分支机构进行的撮合执行, 因此归类为首要交易, 而不是代理交易
D	在此次交易中, 盈透同时为买方和卖方充当双重代理。根据您的请求, 盈透将向您提供与此次交易的双方相关之信息。	R	股息再投资
Ep	由到期的头寸导致	RP	IB充当本次交易小数股部分的无风险做市商
Ex	执行	RPA	IB充当本次交易小数股部分的无风险做市商、整股部分的代理商
FP	IB充当本次交易小数股部分的做市商	SI	此定单为盈透证券请求的定单
FPA	IB充当本次交易小数股部分的做市商、整股部分的代理商	SO	此定单为您的介绍经纪商请求的定单
G	担保账户部分中的交易	SS	客户指定该笔交易缩短结算周期, 因此需以高于现行市场价的价格执行
IA	该交易通过IB附属机构执行	T	转账

注释/法律注释

注释

- 大部分证券交易所的交易是在交易日期后的两天进行结算。期权、期货和美国开放式共同基金交易是在交易日期后的一天进行结算。(某些交易所和其他交易类型的结算时间可能会更长或更短。)期末已结算现金反映的是实际结算的现金。如需要执行时间, 请求后可提供。
- 初始和维持保证金要求可在交易平台的账户窗口中查看。
- 数量前有“-”号的表示卖出交易。其他交易为买入交易。
- 如果IB在执行您定单的任何小数股部分时充当做市商, 则定单将以执行之时您定单相反方向显示的NBBO (如您买入则为卖价; 如您卖出则为买价) 执行。如果IB在执行您定单的小数股部分时充当无风险做市商, 则IB会以其收到的执行完整一股的价格执行您定单的小数股部分。

注释/法律注释

- 在部分执行的情况下，佣金以原始定单执行的总量收取。仅在第一个部分执行处显示佣金。
- 交易执行时间以东部时间显示。
- 可在盈透网站中查看适用的商品监管费用，地址：www.interactivebrokers.com/cn/accounts/fees/exchangeAndRegulatoryFees.php。

固定收益注释

- 债券或优先股的提前赎回特征可能影响收益率。对于零息、复利和乘数证券，无定期付款且证券可能在到期价值以下被赎回而不通知未经登记的持有人。对于资产抵押的证券，实际收益率可能很大程度上取决于底层证券支付的速度。要获取有关债券收益率的其他信息，请与盈透服务台联系：help@interactivebrokers.com。如果该债券未被国内认可的统计评级机构定级，则可能造成很高的违约风险。您应该咨询财务顾问根据您的目标及财务状况决定未评价债券是否适合您的投资组合。债券交易中心收取的费用已包含在债券交易成本中。
- 交易可在到期前赎回的债务证券，此类债务证券可能在到期前被全部或部分赎回，进而影响显示的收益率。如需更多信息，请向我们提出请求。

法律注释

- 本报表由盈透证券加拿大有限公司向您发布。盈透证券加拿大有限公司同意充当在本报表上披露的资产的托管人。可受加拿大投资者保护基金 (CIPF) 覆盖的资产，在特定的范围内，仅限于在本账户报表中披露的资产。请及时报告本报表或您的账户中的任何错误或不准确之处。请用IB网站上的表格以书面的形式联系IB的客服部门。您还可通过电话联系IB，但如您通过电话报告错误，您应以书面形式再次确认此类口头的沟通，以保护您的权利，包括在加拿大投资者保护基金 (CIPF) 下的权利。

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.) 盈透证券加拿大公司, www.interactivebrokers.ca, 877-745-4222 (加拿大)

- 盈透证券集团 (IBKR-NASDAQ) 是盈透证券印度有限公司的相关或关联发行人。
- 标记有交易所指示码TMBR的交易是由盈透证券加拿大公司("IB"或"IB Canada")作为代理通过做市分公司达成的，该分公司在交易中充当委托人，能够持有证券的多头或空头头寸，并且可能获得与交易相关的盈利或亏损。
- 所有交易量加权平均价格(VWAP)交易都是依照以第三方数据供应商提供的参考价格为基础的平均价格公式达成的。更多有关参考价格的信息可按需要提供。盈透作为代理，通过做市分公司达成交易量加权平均价格(VWAP)交易，该分公司在此类交易中充当委托人，可持有证券的多头或空头头寸，并且承受与交易相关的盈利或亏损。
- 盈透接受来自另类交易系统、做市商和交易所的某些定单的流动性回扣或其他定单流支付。盈透依据交易所制定的市场收费项目或其他安排接收部分期权定单的付款。客户通过书面申请便可了解盈透收到的任何交易相关报酬的来源及性质。要获取更多信息，请查询盈透网站以及定单传递和定单流付款披露 (该等信息在您开户之处就已提供，且会按年提供)，或发送电子邮件至help@interactivebrokers.com。
- 对证券期货交易而言，如果此报表上没有提及有关交易时间，交易对手身份，盈透是否作为代理或委托人，作为交易对手的代理，作为合约双方的代理或委托人，且如果是作为委托人的身份，交易是否是大宗交易或期货现货交易等此类信息，只要客户做出书面申请便可以获得。
- 盈透证券加拿大公司提供“仅执行定单账户。”此为仅执行定单的账户。另外，要求客户在有投资目标或财务状况材料变更的情况下即刻通知盈透加拿大公司。
- 任何自由贷方余额都代表应按揭支付的资金，虽然在我们的簿册中有适当记录，但并不是独立的，而可能应用在我们的商务行为中。
- 只要申请即可获得盈透证券加拿大公司的审计财务总结报表及盈透证券加拿大公司合伙人/董事/主管(PDOs)清单。
- 按要求我们必须向加拿大税务局披露所有牵涉证券让与的交易。因此我们建议您保留报表用于税务目的。
- 客户账户在特定承保范围内受到加拿大投资者保障基金的保护。根据要求可提供说明承保范围及性质的说明书。
- In accordance with IIROC Rule 3217, Interactive Brokers Canada Inc. is required to provide you with the following Leverage Risk Disclosure Statement: Using borrowed money to finance the purchase of securities involves greater risk than using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.
- **如果此投资组合报表与您的记录不符，请直接向我公司的外部审计人员报告不符之处。如果并无不符信息，审计人员会认为此投资组合报表准确无误。** Deloitte s.e.n.c.r.l., 保险与咨询服务, IBCaudit@deloitte.ca, 电话: 514-393-5400, 传真: 514-390-4113
- 盈透证券有限公司向盈透证券加拿大公司提供执行、清算及托管服务。
- 盈透证券加拿大公司是加拿大投资业监管组织的成员(IIROC)及加拿大投资者保护基金成员。证券及衍生品的交易涉及高风险，投资者应该做好损失全部投资、甚至受更大损失的准备。盈透证券加拿大公司是仅提供执行的交易商，不提供任何投资建议或有关任何证券或衍生品购买或销售的推荐。我们的注册公司坐落在1800 McGill College Avenue, Suite 2106, Montreal, Quebec, H3A 3J6, Canada.





Certificate of shareholding

This letter is intended to verify the accuracy and validity of the following account and shareholding information:

Account Holder Xuegong Bian, as of March 30, 2018, the number of RENN positions in the account was 277 shares.

TITLE	NATIONAL ID	ACCOUNT	OPEN DATE	CLOSE DATE	ACCOUNT TYPE
XUEGONG BIAN			2017-10- 06	--	INDIVIDUAL



雪盈证券
SNB Finance Holdings Limited
2021年11月17日



持股证明

账户所有人 边学工，截止至 2018 年 3 月 30 日，持有 RENN 头寸数量为 277 股。

账户名	账户所有人 开户证件类型	证件号码	U 账号	开户日期	关户日期	账户类型
边学工	身份证			2017 10 06		个人

如需更多信息，欢迎通过以下方式联系我们：

service@snowballsecurities.com



雪盈证券

SNB Finance Holdings Limited

2021 年 11 月 17 日

2018年05月31日

宋亚飞

賬戶號碼

賬戶類型 現金賬戶

賬戶幣種 美元

聯繫地址 北京市海淀区西三旗瑞旗家园28号楼312



證券市值 5,397.06

現金結餘 -33.12

資產淨值 5,363.94

● 期初總覽

股票	持有數量	昨收價	市值
RENN(人人網)	614	8.970	5,507.58
期初證券市值：			5,507.58
期初現金結餘：			-33.12
期初資產淨值：			5,474.46

● 期末總覽

股票	持有數量	收市價	市值
RENN(Renren Inc)	614	8.790	5,397.06
期末證券市值：			5,397.06
期末現金結餘：			-33.12
期末資產淨值：			5,363.94

2018年05月31日

★ 重要備註

- 1、除非另有說明，以上交易由本公司以代理人名義成交。
- 2、本結單亦作為存入交易之收據。
- 3、閣下有責任檢查本結單上所列的每項交易紀錄並須立即書面通知本公司任何閣下認為錯誤、不妥當及/或未授權的紀錄。除非本公司在結單日期起7天內收到該通知，否則本結單上交易紀錄對閣下均具有終局性約束力。而閣下並不可以任何理由對本結單上的任何交易紀錄提出爭辯。
- 4、請保存本結單以供參考。閣下地址或個人資料如有變更請立即以書面通知本公司。
- 5、美國及香港市場之資金不能立即互換。美國市場的資金由其中一個市場交易平臺轉移至另一市場交易平臺可能需要交易日加二個工作天(T+2)之時間。
- 6、美國交易結算日為交易日再加二天，即T+2。
- 7、在香港以外地方收取或持有的資產，將可能會受到有關海外司法轄區的法律及規例監管。該法規與香港有關的法例有所不同，因此有關資產將可能不會享有在香港收取或持有資產的相同保障。

富途證券國際(香港)有限公司

Futu Securities International (Hong Kong) Limited

證券及期貨事務監察委員會註冊持牌法團(編號AZT137)

Registered with SFC as a licensed corporation (CE No. AZT137)

地址：香港上環文咸西街14-20號盤谷銀行大廈11樓全層

電話：香港(852)2523 3588 大陸(86 755)8663 6688

傳真：香港(852)2523 6588 大陸(86 755)8663 6388

From: [Harris](#)
To: [info_RenrenSettlement](#)
Subject: Statement of Yafei Song
Date: Wednesday, November 17, 2021 12:33:14 AM
Attachments: [微信图片_20211116145621.jpg](#)
[1.png](#)
[2.png](#)
[3.png](#)
[4.png](#)
[Certificate of shareholding.pdf](#)
[Statement of Yafei Song.pdf](#)

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Certificate of shareholding Please see the attachment

Name: Yafei Song
Address: BeiJing HaiDian Qu RuiQiJiaYuan 28#
Email:harris_song@126.com
Tel:86 13910274902

Dear Sir/Madam,

Case Ref: In re Renren Inc. Derivative Litigation
Index No. 653594/2018

Objections to the Proposed Settlement of Shareholder Derivative Actions

While I agree with the principles of compensation as manifested in the Proposal of Settlement, I am firmly against the current settlement proposal as the Record Date is not set reasonably.

1, My demand

I urge the court to set the Record Date on April 30, 2018, namely the declaration date of the carve-out transaction of OPI assets, so that all shareholders on this date (the real victims) will have the right to receive compensation. Other approaches that can deliver the same results are also deemed acceptable. Otherwise, we will continue with our lawsuits against the defendants in Renren Case to protect our rights.

2, The organization of Renren shareholder rights protection

We set up a Renren shareholder rights protection organization with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Some members of our organization sold the shares of Renren after the publication of the special dividends deal dated April 30, 2018, while the others sold the shares after receiving the special dividends of 9.1875 USD per ADS on June 21, 2018.

3, My personal information

On April 29, 2018, I held 614 ADSs of Renren and sold them out on June 22, 2018, after receiving the special dividends of USD 9.1875 per ADS.

4, Why we sold Renren ADSs but should still be part of the case

The reason we bought the ADSs of Renren in the first place was that we believed the investments Renren made and possessed, such as the equity of SoFi and Snowball, were of high value. However, under the circumstances that OPI with quality assets was carved out and Renren management did not have good faith and the required capability to run a public company, we then acted in logic to sell Renren's ADSs. According to 2020 Annual Report of Renren (Form 20-F), Losses from operations of \$79.3m, \$57.4m and \$27.2m in 2018, 2019 and 2020 respectively. Moreover, Renren's market cap had been under USD 12m in March 2020 with share price below 1

dollar for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

As a metaphor, suppose there was a crime in 2018, the victims were already injured and if they did not run away from the crime scene, they would have been suffered a further attack and even a fatal one. A reasonable person would not risk his/her life staying at the crime scene, just to safeguard the evidence for potential charges until the year 2021 (That is why we already sold out our shareholding of Renren). The criminal was forced to compensate the victims after 3 years in 2021. Would that be absurd that the actual victims are unable to have the compensation just because they have not stayed at the crime scene for 3 years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for something they were not harmed with?

So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren. We are firmly against the current settlement as the record date is not set reasonably.

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(1)The core reason for the lawsuit of 2018 is the cash dividends of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS(worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that carve-out transaction made can be then quantified as more than \$300m and here is the settlement under negotiation with an amount of roughly \$300m. We are the actual victim of 2018 and entitled to the settlement of compensation no matter whether we are still holding Renren ADSs or not.

(2)The cash settlement of this lawsuit is not deemed for the listed company of Renren, nor is it for all current shareholders. Rather, it is for some of the shareholders excluding the defendants. Therefore, as the true victim, we definitely have the right to take part in the split of the compensation.

(3)According to the settlement , as one of the defendants, DCM is not entitled to any compensation out of the USD 300m payment. However, DCM may has sold some of its shareholding in Renren to other shareholders, and these buyers can still receive the compensation. This circumstance is self-contradictory and almost ridiculous, violating the principle of fairness and justice.

6, Gratefulness to the court, the judge and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights. We are looking forward to our entitled compensation, as well as true justice in the financial market. May the justice be with all of us.

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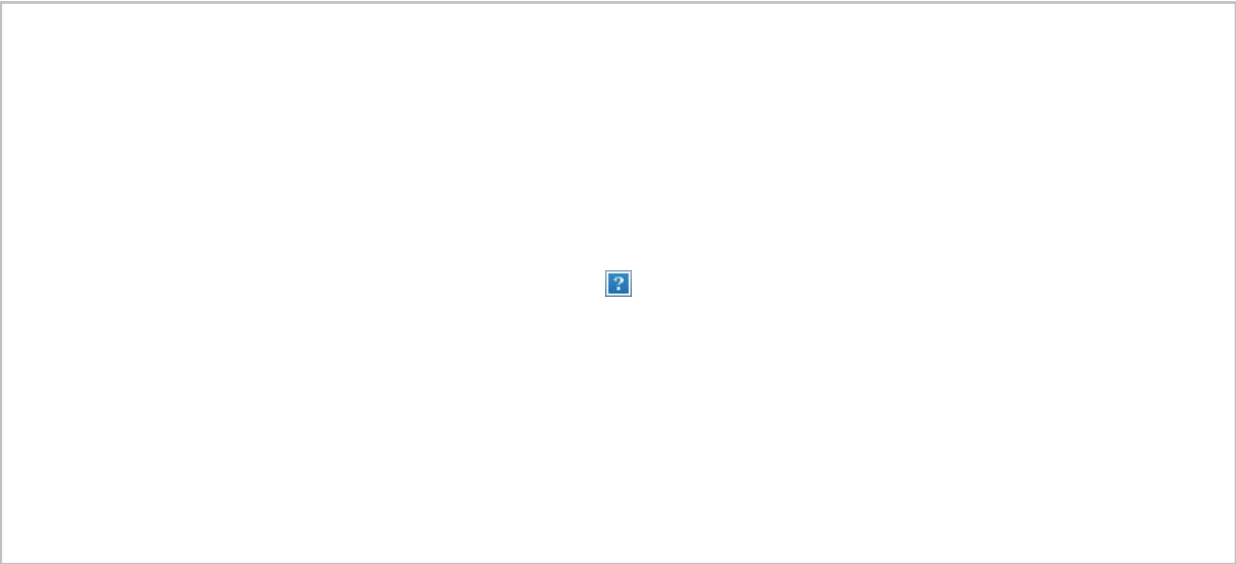
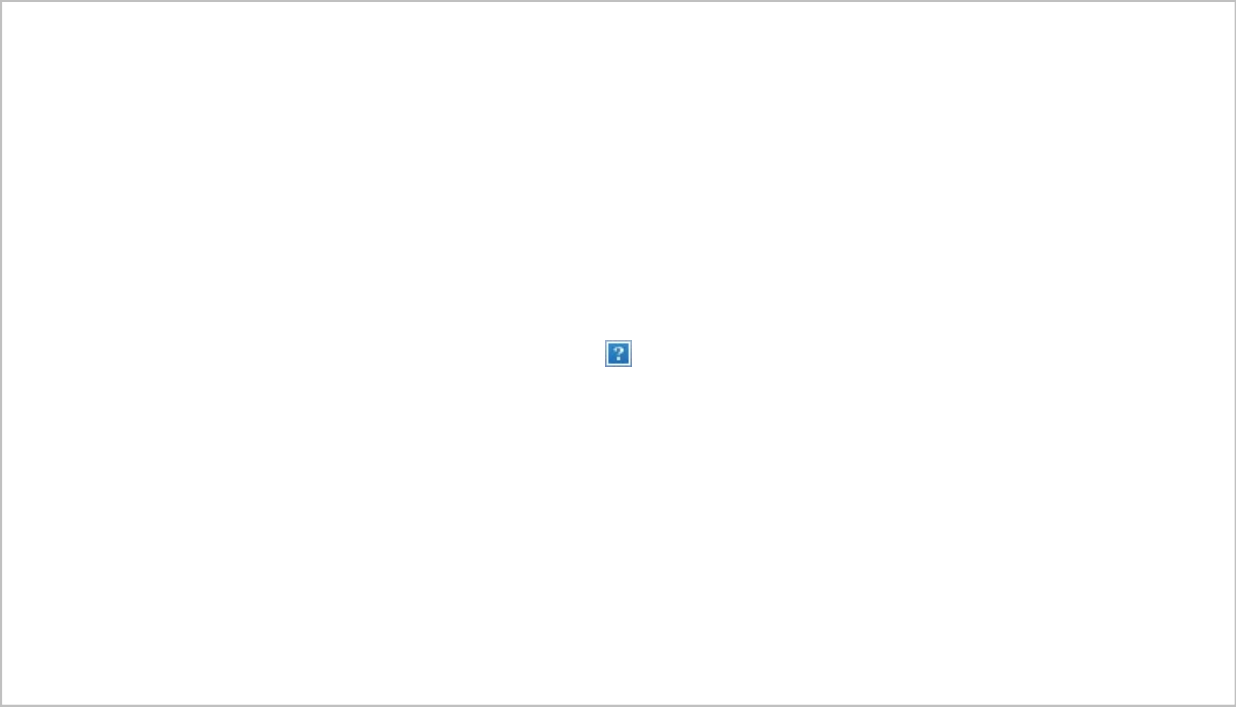
Name: Yafei Song

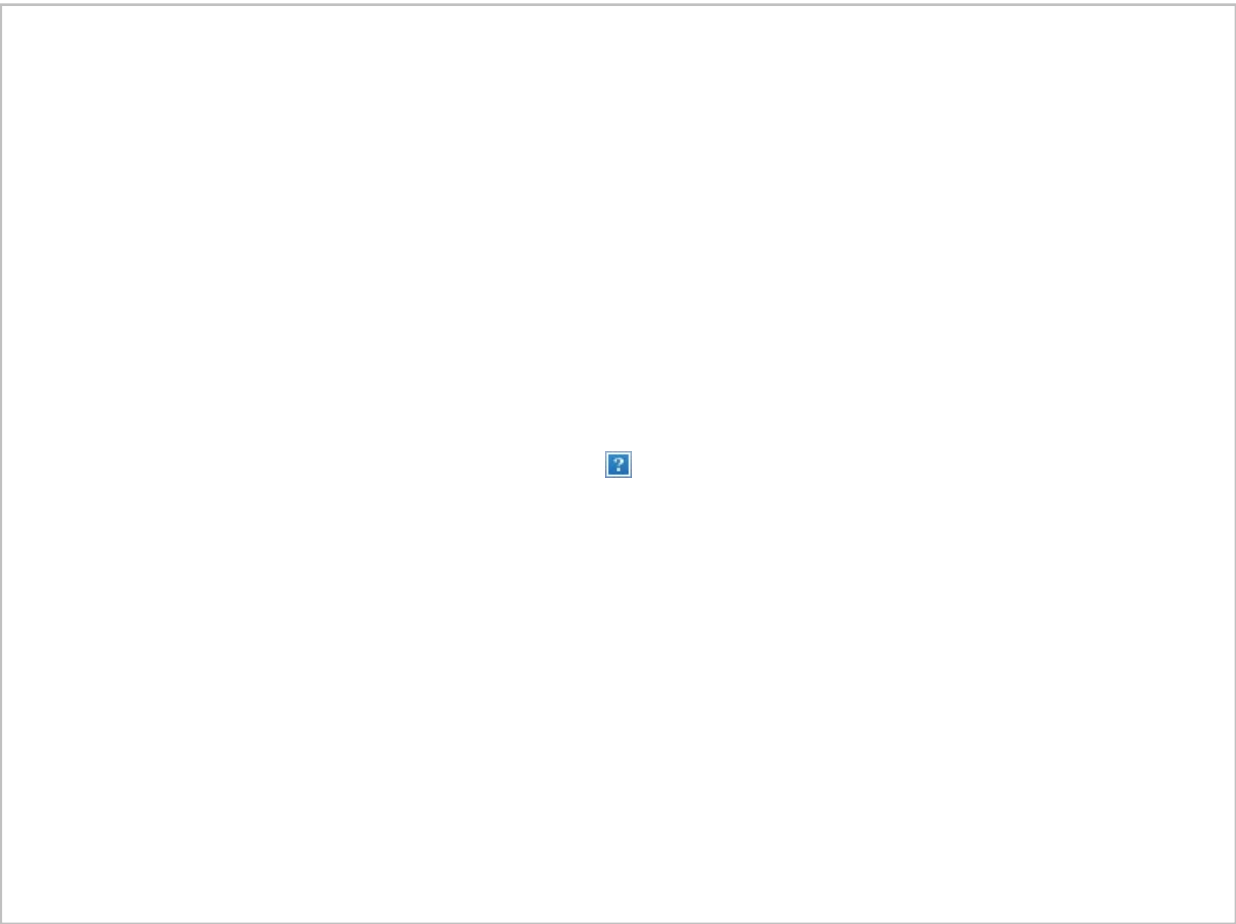
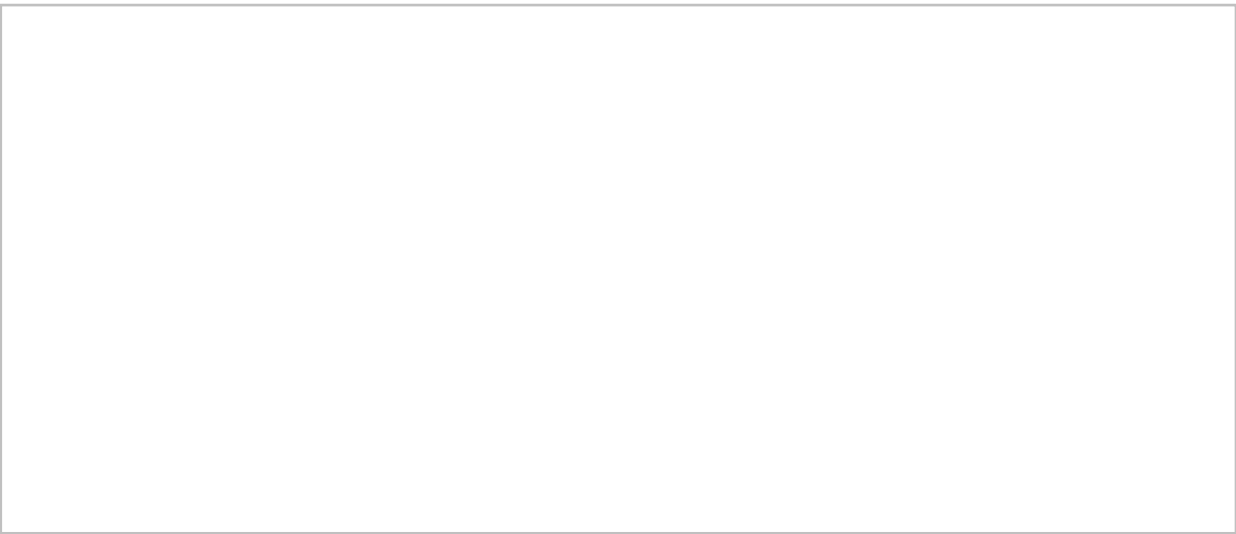
Date: 2021.11.16

signature:



Attachment





From: [Harris](#)
To: [info_RenrenSettlement](#)
Subject: Objections to the Proposed Settlement of Shareholder Derivative Actions
Date: Tuesday, November 16, 2021 2:02:17 AM
Attachments: [微信图片_20211116145621.jpg](#)
[1.png](#)
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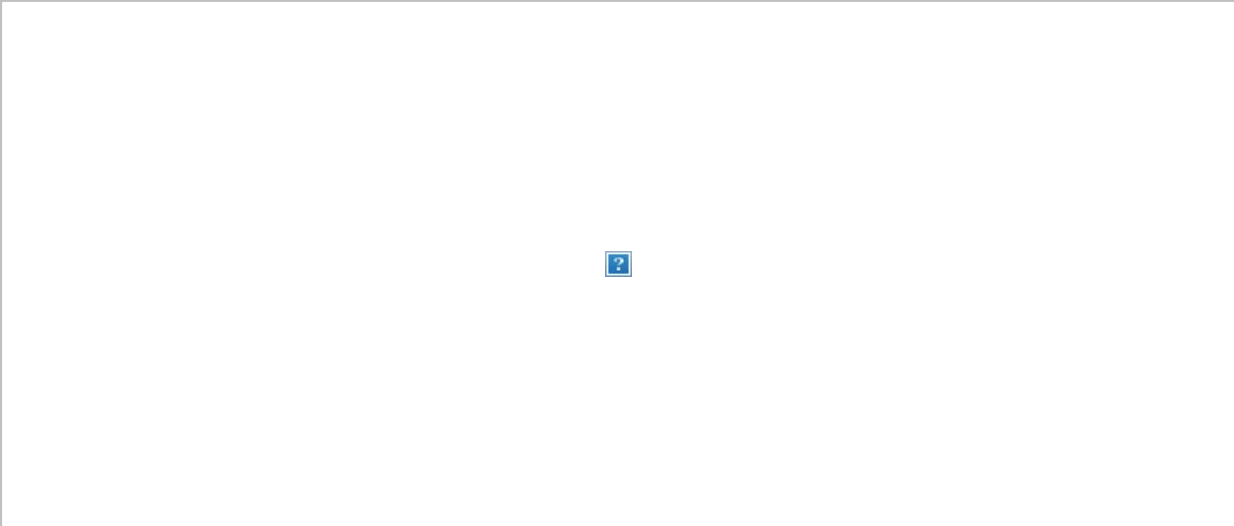
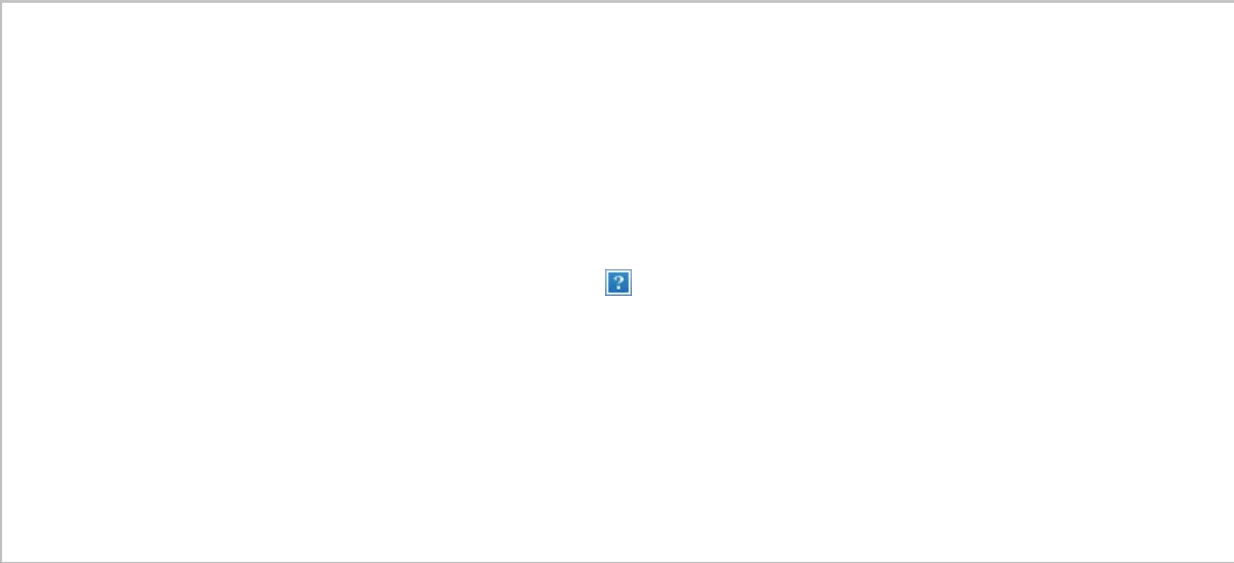
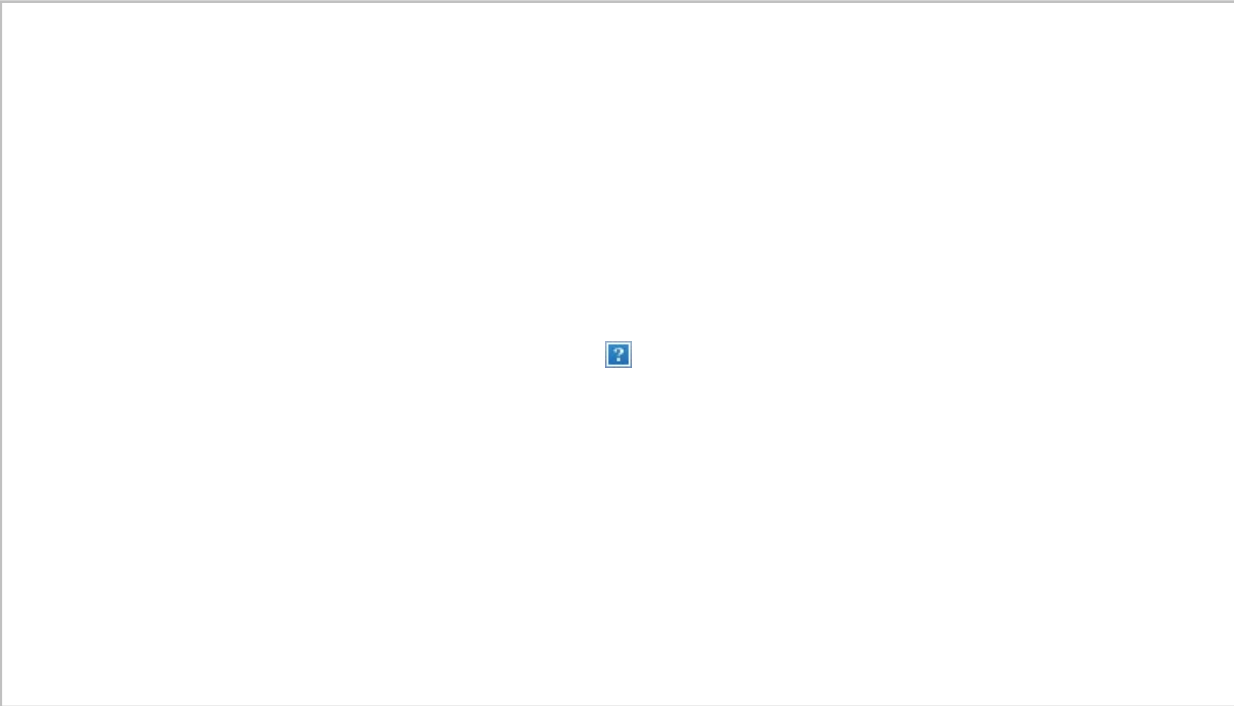
Name: Yafei Song

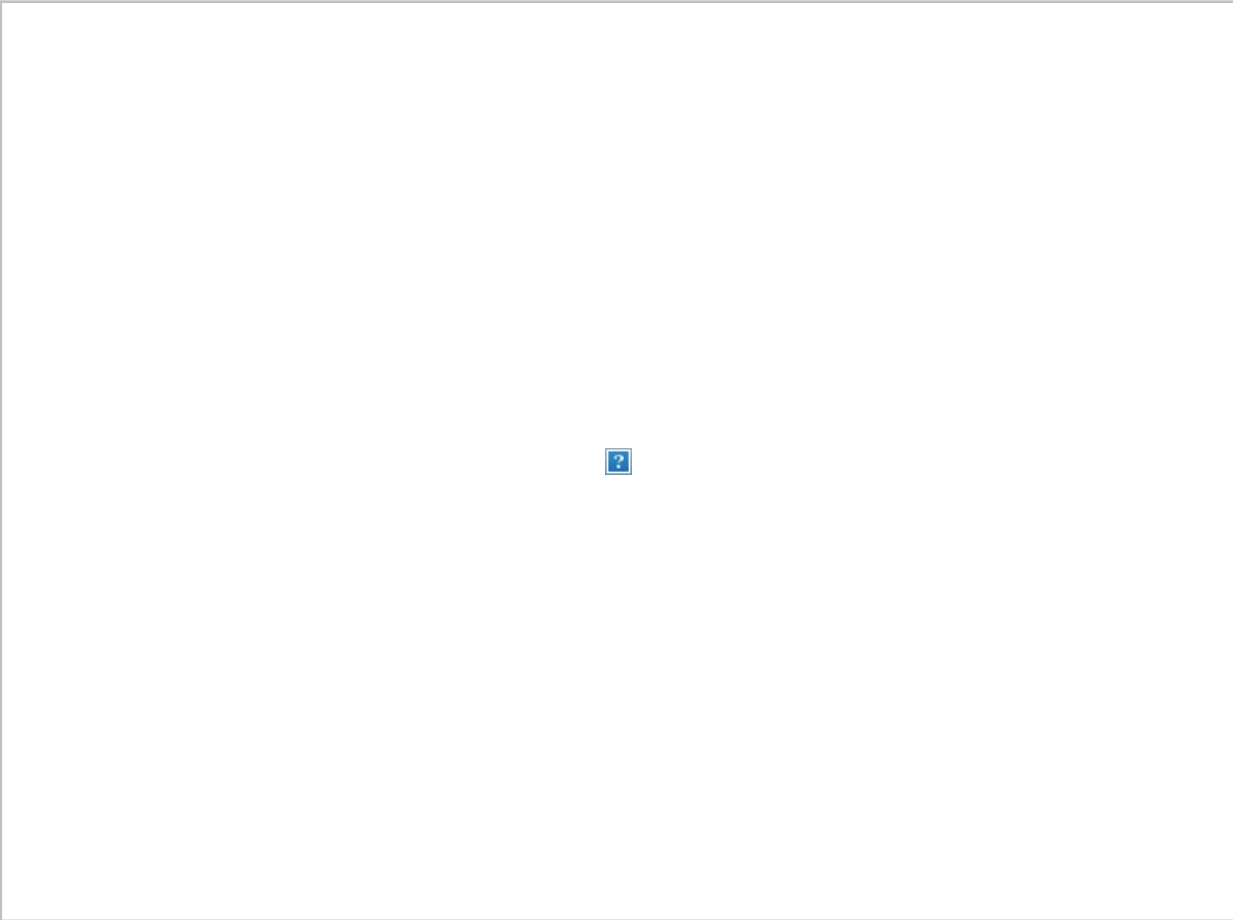
Date: 2021.11.16

signature:



Attachment





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I want to attend the Settlement Hearing.

Name: Yafei Song

Date: 2021.11.16

signature: Yafei Song 宋亚飞

Attachment

Attachment

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	48.9
James Jian Liu ⁽⁴⁾	59,477,400	5.4	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Cong Lin ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
Michael McGowan ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	50.3
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	42.3
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	3.3

Notes: From 2020 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	48.8
James Jian Liu ⁽⁴⁾	56,710,665	5.2	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Tianruo Pu ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	42.6
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	2.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	1.7
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	1.5

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	368,526,211	32.4	48.9
James Jian Liu ⁽⁴⁾	55,963,575	5.2	1.4
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Stephen Tappin ⁽⁷⁾	*	*	*
Tianruo Pu ⁽⁸⁾	*	*	*
Thomas Jintao Ren ⁽⁹⁾	*	*	*
Rita Yi ⁽⁹⁾	*	*	*
He Li ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	434,992,353	37.2	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	38.8	42.7
DCM and affiliates ⁽¹²⁾	87,929,865	8.4	2.3
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,802,315	5.7	1.6

From 2018 Annual Report of Renren (Form 20-F)

CONSOLIDATED STATEMENTS OF OPERATIONS FOR THE YEARS ENDED DECEMBER 31, 2018, 2019 and 2020
(In thousands of US dollars, except share data and per share data)

From 2020 Annual Report of Renren (Form 20-F)

	Years ended December 31,		
	2018	2019	2020
Revenues:			
Automobile sales	\$ 47,226	\$ -	\$ -
SaaS revenue	5,740	8,261	17,519
Other services	13,820	6,824	587
Total revenues	66,794	15,085	18,106
Cost of revenues:			
Automobile sales	50,531	-	-
SaaS business	2,331	1,743	3,353
Other services	9,635	4,975	98
Total cost of revenues	62,497	6,718	3,451
Cross profit	4,297	8,367	14,655
Operating expenses (income):			
Selling and marketing	10,485	10,780	8,293
Research and development	21,930	22,791	11,347
General and administrative	48,082	10,089	22,242
Bad debt provision of prepaid expenses and other current assets	-	418	-
Provision of amount due from a related party	-	12,408	-
Impairment of goodwill	29,055	9,288	-
Gain on disposal of property and equipment	(25,928)	-	-
Total operating expenses	83,624	65,774	41,882
Loss from operations	(79,327)	(57,407)	(27,227)
Other (expenses) income	(1,202)	1,523	3,543
Fair value change gain (loss) of contingent consideration	19,899	20,662	(1,027)
Impairment of long-term investments	-	(2,000)	-
Interest income (including interest income from a related party of \$3,880, \$7,878 and \$9,176 for the years ended December 31, 2018, 2019 and 2020, respectively)	5,185	8,776	8,079
Interest expenses	(842)	(566)	(303)
Realized loss on disposal of long-term investments	(2,141)	-	-
Loss before provision of income tax and earnings (loss) in equity method investments and noncontrolling interest, net of tax	(58,428)	(29,012)	(16,935)

2018年05月31日

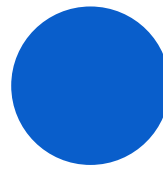
宋亚飞

賬戶號碼

賬戶類型 現金賬戶

賬戶幣種 美元

聯繫地址 北京市海淀区西三旗瑞旗家园28号楼312



證券市值 5,397.06

現金結餘 -33.12

資產淨值 5,363.94

● 期初總覽

股票	持有數量	昨收價	市值
RENN(人人網)	614	8.970	5,507.58
期初證券市值：			5,507.58
期初現金結餘：			-33.12
期初資產淨值：			5,474.46

● 期末總覽

股票	持有數量	收市價	市值
RENN(Renren Inc)	614	8.790	5,397.06
期末證券市值：			5,397.06
期末現金結餘：			-33.12
期末資產淨值：			5,363.94

2018年05月31日

★ 重要備註

- 1、除非另有說明，以上交易由本公司以代理人名義成交。
- 2、本結單亦作為存入交易之收據。
- 3、閣下有責任檢查本結單上所列的每項交易紀錄並須立即書面通知本公司任何閣下認為錯誤、不妥當及/或未授權的紀錄。除非本公司在結單日期起7天內收到該通知，否則本結單上交易紀錄對閣下均具有終局性約束力。而閣下並不可以任何理由對本結單上的任何交易紀錄提出爭辯。
- 4、請保存本結單以供參考。閣下地址或個人資料如有變更請立即以書面通知本公司。
- 5、美國及香港市場之資金不能立即互換。美國市場的資金由其中一個市場交易平臺轉移至另一市場交易平臺可能需要交易日加二個工作天 (T+2) 之時間。
- 6、美國交易結算日為交易日再加二天，即T+2。
- 7、在香港以外地方收取或持有的資產，將可能會受到有關海外司法轄區的法律及規例監管。該法規與香港有關的法例有所不同，因此有關資產將可能不會享有在香港收取或持有資產的相同保障。

富途證券國際 (香港) 有限公司

Futu Securities International (Hong Kong) Limited

證券及期貨事務監察委員會註冊持牌法團(編號AZT137)

Registered with SFC as a licensed corporation (CE No. AZT137)

地址：香港上環文咸西街14-20號盤谷銀行大廈11樓全層

電話：香港(852)2523 3588 大陸(86 755)8663 6688

傳真：香港(852)2523 6588 大陸(86 755)8663 6388



Activity Statement

April 27, 2018

Account Information

Name	jilei zhang
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	HKD

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
CNH								
600000	100	1	12.4783387	1,247.83	11.6100	1,161.00	-86.83	
601166	12,800	1	16.3108998	208,779.52	16.1100	206,208.00	-2,571.52	
Total				210,027.35		207,369.00	-2,658.35	
Total in HKD				260,790.96		257,490.09	-3,300.87	
HKD								
1171	2,000	1	13.5228895	27,045.78	10.9800	21,960.00	-5,085.78	
1288	91,000	1	4.0254344	366,314.53	4.3200	393,120.00	26,805.47	
1766	500	1	7.1733473	3,586.67	6.8100	3,405.00	-181.67	
1816	20,000	1	2.0989566	41,979.13	2.1300	42,600.00	620.87	
3618	49,000	1	4.4798127	219,510.82	6.0500	296,450.00	76,939.18	
3900	6,000	1	7.4834696	44,900.82	10.5600	63,360.00	18,459.18	
998	150,000	1	4.9506723	742,600.85	5.5200	828,000.00	85,399.15	
Total				1,445,938.60		1,648,895.00	202,956.40	
USD								
AMCN	21,500	1	2.779055	59,749.68	0.6700	14,405.00	-45,344.68	
BIDU	100	1	190.9960	19,099.60	251.9300	25,193.00	6,093.40	
CMCSA	200	1	34.0358602	6,807.17	31.8100	6,362.00	-445.17	
EHIC	701	1	12.4174322	8,704.62	13.0500	9,148.05	443.43	
EWU	100	1	33.6700	3,367.00	36.3400	3,634.00	267.00	
EWY	100	1	76.2500	7,625.00	76.0900	7,609.00	-16.00	
EWZ	599	1	38.3552005	22,974.77	43.0600	25,792.94	2,818.17	
FXI	700	1	48.7057	34,093.99	46.8700	32,809.00	-1,284.99	
JKS	500	1	23.6560	11,828.00	18.7300	9,365.00	-2,463.00	
PAK	200	1	12.8750	2,575.00	12.9408	2,588.16	13.16	
PIN	600	1	22.4763167	13,485.79	25.6800	15,408.00	1,922.21	

Open Positions								
Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
RAD	13,900	1	3.7107068	51,578.82	1.6200	22,518.00	-29,060.82	
RENN	5,308	1	7.876269	41,807.24	10.2300	54,300.84	12,493.60	
RSX	1,100	1	21.1653947	23,281.93	21.2900	23,419.00	137.07	
SEP	900	1	33.2056889	29,885.12	35.2300	31,707.00	1,821.88	
TUR	300	1	43.8066667	13,142.00	38.9200	11,676.00	-1,466.00	
VNM	1,000	1	15.4061389	15,406.14	17.9600	17,960.00	2,553.86	
XLV	200	1	82.2300	16,446.00	83.5400	16,708.00	262.00	
Total				381,857.87		330,602.99	-51,254.88	
Total in HKD				2,996,629.66		2,594,406.96	-402,222.70	
Total Stocks in HKD				4,703,359.23		4,500,792.05	-202,567.17	
Equity and Index Options								
USD								
BIDU 11MAY18 250.0 C	-1	100	4.9000	-490.00	6.8757	-687.57	-197.57	
Total				-490.00		-687.57	-197.57	
Total in HKD				-3,845.28		-5,395.71	-1,550.43	



Activity Statement

April 27, 2018

Account Information

Name	jiye zhang
Account	
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	HKD

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
CNH								
600000	1,490	1	11.7478505	17,504.30	11.6100	17,298.90	-205.40	
600886	25,000	1	7.2448414	181,121.03	6.8100	170,250.00	-10,871.03	
601166	50,700	1	16.3981376	831,385.58	16.1100	816,777.00	-14,608.58	
Total				1,030,010.91		1,004,325.90	-25,685.01	
Total in HKD				1,278,964.54		1,247,071.47	-31,893.07	
HKD								
1288	25,000	1	4.6235575	115,588.94	4.3200	108,000.00	-7,588.94	
1359	40,000	1	2.9626022	118,504.09	2.7800	111,200.00	-7,304.09	
1599	93,000	1	4.7270025	439,611.23	3.6500	339,450.00	-100,161.23	
1696	4	1	8.8800	35.52	6.0900	24.36	-11.16	
1918	5,000	1	34.4790023	172,395.01	34.4000	172,000.00	-395.01	
1988	102,000	1	7.4011575	754,918.07	7.2900	743,580.00	-11,338.07	
2799	40,000	1	2.9125059	116,500.24	2.6000	104,000.00	-12,500.24	
337	20,000	1	4.6781866	93,563.73	3.6300	72,600.00	-20,963.73	
3618	30,000	1	4.3580863	130,742.59	6.0500	181,500.00	50,757.41	
3900	28,000	1	8.8221982	247,021.55	10.5600	295,680.00	48,658.45	
3988	108,000	1	3.0722769	331,805.90	4.2000	453,600.00	121,794.10	
410	106,000	1	3.5210161	373,227.70	4.0400	428,240.00	55,012.30	
6818	316,000	1	3.4012236	1,074,786.66	3.9000	1,232,400.00	157,613.34	
998	274,000	1	5.0939646	1,395,746.30	5.5200	1,512,480.00	116,733.70	
Total				5,364,447.53		5,754,754.36	390,306.83	
USD								
AMCN	17,100	1	2.5206877	43,103.76	0.6700	11,457.00	-31,646.76	
JKS	600	1	21.52975	12,917.85	18.7300	11,238.00	-1,679.85	
RAD	6,300	1	3.8285516	24,119.88	1.6200	10,206.00	-13,913.88	

Open Positions

RENN	3,400	1	10.7074471	36,405.32	10.2300	34,782.00	-1,623.32
VNQ	700	1	85.2592632	59,681.48	76.3900	53,473.00	-6,208.48
Total				176,228.29		121,156.00	-55,072.29
Total in HKD				1,382,951.50		950,771.71	-432,179.79
Total Stocks in HKD				8,026,363.57		7,952,597.54	-73,766.03



Activity Statement

April 30, 2018

Account Information

Name	CHANGHAO ZHAO
Account	
Master Name	SNB Finance Holdings Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Margin
Base Currency	USD

Net Asset Value

	April 27, 2018		April 30, 2018			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Mark-to-Market		
Cash	-21,370.38	0.00	-21,370.38	-21,370.38	0.00			23,509.65	
Stock	44,943.75	42,987.49	0.00	42,987.49	-1,956.26			-1,956.26	
Interest Accruals	-61.72	0.00	-67.42	-67.42	-5.70			-5.70	
Dividend Accruals	-2.00	0.00	-2.00	-2.00	0.00				
Total	23,509.65	42,987.49	-21,439.80	21,547.69	-1,961.96			21,547.69	

Time Weighted Rate of Return

-8.35%

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
ACAD	19	19	16.2800	15.8100	-8.93	0.00	0.00	0.00	-8.93	
BILI	100	100	10.6100	10.9200	31.00	0.00	0.00	0.00	31.00	
BLOK	60	60	19.2200	19.1400	-4.80	0.00	0.00	0.00	-4.80	
CDNS	50	50	39.9600	40.0600	5.00	0.00	0.00	0.00	5.00	
GSUM	40	40	5.3500	5.0200	-13.20	0.00	0.00	0.00	-13.20	
JMEI	100	100	2.5700	2.7300	16.00	0.00	0.00	0.00	16.00	
NTP	1,304	1,304	12.3500	12.1500	-260.80	0.00	0.00	0.00	-260.80	
RENN	1,280	1,280	10.2300	8.9700	-1,612.80	0.00	0.00	0.00	-1,612.80	
RYB	190	190	17.0500	16.5100	-102.60	0.00	0.00	0.00	-102.60	
SOHU	20	20	29.7300	30.9800	25.00	0.00	0.00	0.00	25.00	
SQ	131	131	47.5700	47.3400	-30.13	0.00	0.00	0.00	-30.13	
VRX	38	38	18.0700	18.0700	0.00	0.00	0.00	0.00	0.00	
Total Stocks					-1,956.26	0.00	0.00	0.00	-1,956.26	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-21,370.38	-21,370.38	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	0.00
Total Forex					0.00	0.00	0.00	0.00	0.00	0.00
Total (All Assets)					-1,956.26	0.00	0.00	0.00	0.00	-1,956.26

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
ACAD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-232.23	0.00	0.00	-232.23	-232.23	
BILI	0.00	0.00	0.00	0.00	0.00	0.00	111.00	0.00	0.00	0.00	111.00	111.00	
BLOK	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-89.20	0.00	0.00	-89.20	-89.20	
CDNS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-169.70	0.00	0.00	-169.70	-169.70	
GSUM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-249.00	0.00	0.00	-249.00	-249.00	
JMEI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-148.00	0.00	0.00	-148.00	-148.00	
NTP	0.00	0.00	0.00	0.00	0.00	0.00	1,555.20	-18.15	0.00	0.00	1,537.05	1,537.05	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	64.40	-3,657.64	0.00	0.00	-3,593.24	-3,593.24	
RYB	0.00	0.00	0.00	0.00	0.00	0.00	79.50	-14.60	0.00	0.00	64.90	64.90	
SOHU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-326.40	0.00	0.00	-326.40	-326.40	
SQ	0.00	0.00	0.00	0.00	0.00	0.00	739.10	-57.96	0.00	0.00	681.14	681.14	
VRX	0.00	0.00	0.00	0.00	0.00	0.00	32.44	0.00	0.00	0.00	32.44	32.44	
Total Stocks	0.00	0.00	0.00	0.00	0.00	0.00	2,581.64	-4,962.88	0.00	0.00	-2,381.24	-2,381.24	
Forex													
HKD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Total Forex	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	2,581.64	-4,962.88	0.00	0.00	-2,381.24	-2,381.24	

Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
Stocks							
1070	TCL MULTIMEDIA TECHNOLOGY	0.00	58.13	0.00	58.13	0.00	0.00
ACAD	ACADIA PHARMACEUTICALS INC	-126.54	-232.23	0.00	0.00	0.00	0.00
AMD	ADVANCED MICRO DEVICES	0.00	90.20	0.00	114.40	0.00	0.00
BILI	BILIBILI INC.	-8.00	111.00	0.00	0.00	0.00	0.00
BLOK	AMPLIFY TRANSFOR DATA SHARIN	0.60	-89.20	0.00	0.00	0.00	0.00
CCIH	CHINACACHE INTERNAT-SPON ADR	0.00	43.48	0.00	43.48	0.00	0.00
CDNS	CADENCE DESIGN SYS INC	164.50	-88.00	0.00	0.00	0.00	0.00
CELG	CELGENE CORP	0.00	1.80	0.00	2.88	0.00	0.00
CNIT	CHINA INFORMATION TECHNOLOGY	0.00	28.00	0.00	28.00	0.00	0.00
GSUM	GRIDSUM HOLDING INC-ADR	-126.00	-249.00	0.00	0.00	0.00	0.00

Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
JMEI	JUMEI INTERNATIONAL-ADR	-17.00	-148.00	0.00	0.00	0.00	0.00
KANG	IKANG HEALTHCARE GROUP-ADR	0.00	429.00	0.00	429.00	0.00	0.00
KODK	EASTMAN KODAK CO	0.00	68.00	0.00	68.00	0.00	0.00
NTP	NAM TAI PROPERTY INC	-299.92	-346.04	0.00	0.00	0.00	0.00
REDU	RISE EDUCATION CAYMAN - ADR	0.00	135.68	0.00	472.74	0.00	0.00
RENN	RENREN INC-ADR	729.60	-2,761.51	0.00	1,481.73	0.00	0.00
RYB	RYB EDUCATION INC-ADR	-28.50	-70.30	0.00	0.00	0.00	0.00
SNAP	SNAP INC - A	0.00	-66.00	0.00	159.00	0.00	0.00
SOHU	SOHU.COM INC	1.20	-326.40	0.00	0.00	0.00	0.00
SQ	SQUARE INC - A	-243.66	681.14	0.00	0.00	0.00	0.00
TSLA	TESLA INC	0.00	142.58	0.00	139.62	0.00	0.00
TWTR	TWITTER INC	0.00	-42.00	0.00	-42.00	0.00	0.00
VRX	VALEANT PHARMACEUTICALS INTE	81.70	-102.98	0.00	0.00	0.00	0.00
Total Stocks		127.98	-2,732.64	0.00	2,954.98	0.00	0.00
Equity and Index Options							
AAPL 180223P00170000	AAPL 23FEB18 170.0 P	0.00	-67.19	0.00	-67.19	0.00	0.00
ASHR 180119C00030900	ASHR 19JAN18 30.9 C	0.00	330.74	0.00	186.50	0.00	0.00
Total Equity and Index Options		0.00	263.55	0.00	119.30	0.00	0.00
Total (All Assets)		127.98	-2,469.09	0.00	3,074.29	0.00	0.00

Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Base Currency Summary					
Cash Detail					
Starting Cash	-21,370.38	-21,370.38	0.00		
Commissions	0.00	0.00	0.00	-2.00	-56.15
Deposits	0.00	0.00	0.00	0.00	4,811.14
Dividends	0.00	0.00	0.00	0.00	84.28
Broker Interest Paid and Received	0.00	0.00	0.00	-79.74	-244.38
Trades (Sales)	0.00	0.00	0.00	9,350.43	25,840.01
Trades (Purchase)	0.00	0.00	0.00	-9,350.40	-38,347.79
Other Fees	0.00	0.00	0.00	-20.18	-22.18
Payment In Lieu of Dividends	0.00	0.00	0.00	91.28	91.28
Transaction Fees	0.00	0.00	0.00	0.00	-1.18
Cash FX Translation Gain/Loss	0.00	0.00	0.00		
Ending Cash	-21,370.38	-21,370.38	0.00		
Ending Settled Cash	-21,370.38	-21,370.38	0.00		
HKD					
Cash Detail					
Starting Cash	0.00	0.00	0.00		
Commissions	0.00	0.00	0.00	0.00	-36.00
Deposits	0.00	0.00	0.00	0.00	37,640.00
Trades (Sales)	0.00	0.00	0.00	0.00	4,250.00

Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Trades (Purchase)	0.00	0.00	0.00	-73,399.78	-77,149.78
Transaction Fees	0.00	0.00	0.00	0.00	-9.22
Ending Cash	0.00	0.00	0.00		
Ending Settled Cash	0.00	0.00	0.00		

USD

Cash Detail					
Starting Cash	-21,370.38	-21,370.38	0.00		
Commissions	0.00	0.00	0.00	-2.00	-51.55
Dividends	0.00	0.00	0.00	0.00	84.28
Broker Interest Paid and Received	0.00	0.00	0.00	-79.74	-244.38
Trades (Sales)	0.00	0.00	0.00	9,350.43	25,296.78
Trades (Purchase)	0.00	0.00	0.00	0.00	-28,517.92
Other Fees	0.00	0.00	0.00	-20.18	-22.18
Payment In Lieu of Dividends	0.00	0.00	0.00	91.28	91.28
Ending Cash	-21,370.38	-21,370.38	0.00		
Ending Settled Cash	-21,370.38	-21,370.38	0.00		

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
ACAD	19	1	28.0326316	532.62	15.8100	300.39	-232.23	SY
BILI	100	1	9.8100	981.00	10.9200	1,092.00	111.00	
BLOK	60	1	20.6266667	1,237.60	19.1400	1,148.40	-89.20	
CDNS	50	1	43.4540	2,172.70	40.0600	2,003.00	-169.70	
GSUM	40	1	11.2450	449.80	5.0200	200.80	-249.00	
JMEI	100	1	4.2100	421.00	2.7300	273.00	-148.00	
NTP	1,304	1	10.9712796	14,306.55	12.1500	15,843.60	1,537.05	
RENN	1,280	1	11.7772188	15,074.84	8.9700	11,481.60	-3,593.24	SY
RYB	190	1	16.1684211	3,072.00	16.5100	3,136.90	64.90	
SOHU	20	1	47.3000	946.00	30.9800	619.60	-326.40	
SQ	131	1	42.140458	5,520.40	47.3400	6,201.54	681.14	SY
VRX	38	1	17.2163158	654.22	18.0700	686.66	32.44	
Total				45,368.73		42,987.49	-2,381.24	

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
Stocks					
USD					
ACAD	ACADIA PHARMACEUTICALS INC	19	0	0	19
BILI	BILIBILI INC-SPONSORED ADR	100	0	0	100
BLOK	AMPLIFY TRANSFOR DATA SHARIN	60	0	0	60

Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
CDNS	CADENCE DESIGN SYS INC	50	0	0	50
GSUM	GRIDSUM HOLDING INC-ADR	40	0	0	40
JMEI	JUMEI INTERNATIONAL-ADR	100	0	0	100
NTP	NAM TAI PROPERTY INC	1,304	0	0	1,304
RENN	RENREN INC-ADR	1,280	0	0	1,280
RYB	RYB EDUCATION INC-ADR	190	0	0	190
SOHU	SOHU.COM INC	20	0	0	20
SQ	SQUARE INC - A	131	0	0	131
VRX	VALEANT PHARMACEUTICALS INTE	38	0	0	38

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-61.72
Interest Accrued	-5.70
Accrual Reversal	0.00
Ending Accrual Balance	-67.42

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
ACAD	ACADIA PHARMACEUTICALS INC	29308796	004225108	NASDAQ	1	COMMON	
BILI	BILIBILI INC-SPONSORED ADR	311571413	090040106	NASDAQ	1	ADR	
BLOK	AMPLIFY TRANSFOR DATA SHARIN	302902491	032108607	ARCA	1	ETF	
CDNS	CADENCE DESIGN SYS INC	5552	127387108	NASDAQ	1	COMMON	
GSUM	GRIDSUM HOLDING INC-ADR	249028364	398132100	NASDAQ	1	ADR	
JMEI	JUMEI INTERNATIONAL-ADR	151265300	48138L107	NYSE	1	ADR	
NTP	NAM TAI PROPERTY INC	148502652	VGG639071023	NYSE	1	COMMON	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	
RYB	RYB EDUCATION INC-ADR	290476614	74979W101	NYSE	1	ADR	
SOHU	SOHU.COM INC	9678043	83408W103	NASDAQ	1	COMMON	
SQ	SQUARE INC - A	212671971	852234103	NYSE	1	COMMON	
VRX	VALEANT PHARMACEUTICALS INTE	79431711	91911K102	NYSE	1	COMMON	

Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AUD	0.752990	INR	0.015047
BRL	0.285300	JPY	0.009147
CAD	0.778690	KRW	0.000934

Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
CHF	1.009300	MXN	0.053440
CNH	0.158330	NOK	0.124770
CNY	0.157900	NZD	0.703550
CZK	0.047192	PLN	0.285010
DKK	0.162130	RUB	0.015881
EUR	1.207900	SEK	0.114340
GBP	1.376900	SGD	0.754220
HKD	0.127410	THB	0.031695
HUF	0.003852	TRY	0.246210
ILS	0.278040	ZAR	0.080235

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by Broker
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by Broker for two Broker customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by Broker against a Broker affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
GEA	Exercise or Assignment resulting from offsetting positions	Ri	Reimbursement
HC	Highest Cost tax basis election	SI	This order was solicited by Broker
HFI	Investment Transferred to Hedge Fund	SL	Specific Lot tax basis election
HFR	Redemption from Hedge Fund	SO	This order was marked as solicited by your Introducing Broker
I	Internal Transfer	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
IA	This transaction was executed against a Broker affiliate	ST	Short Term P/L
INV	Investment Transfer from Investor	SY	Positions that may be eligible for Stock Yield. Potential for additional annualized income of 32.40 USD

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by Broker (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
- Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to SNB Finance Holdings Limited, using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

SNB Finance Holdings Limited, <http://www.snbfinance.co.nz>, 864000600880

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- IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

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5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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SIPC Member

From: [胡福华](#)
To: [info_RenrenSettlement](#)
Subject: Objections to the Proposed Settlement of Shareholder Derivative Actions
Date: Tuesday, November 23, 2021 9:19:46 AM
Attachments: [Statement of FuHua Hu.pdf](#)
[FirstTrade-FuhuaHu-201805-201806monthly statement.pdf](#)

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Dear Sir/Madam:

Case No. 653594/2018

Ref: In re Renren Inc. Derivative Litigation

Objections to the Proposed Settlement of Shareholder Derivative Actions

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal *and* by the Defendant or the Company in an opaque way. This arrangement, we believe, implies that this important date may be set in a way that maximises the benefit of the Defendants and to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who would have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation.

Our reasons are:

- **The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;**

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, was public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the shareholders' investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out (worth \$31 or more per ADS). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified

as more than \$300m. That is what brought us to this Settlement amount of roughly \$300m.

One can establish that:

- The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;
- The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
- The Proposed Settlement is to provide a remedy to these losses;

Hence,

- The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement that seeks a remedy. This is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.
- **Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

New shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants controlled the company, new shareholders should have no reason to doubt the credibility of the Defendants' plans. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments.

As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

- **Setting a Record date in the future may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who abuses their fiduciary duty.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that “priced in” the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved. All they have to do is to sell their shares to somebody else they know or sell in the rally, and at the same time price out victims even if they want to return.

We suspect this might already have happened. Renren’s listed share price jumped over 280% since the Court placed a junction on OPI’s assets, and rallied 44% in a day when the Settlement was announced because the proposal, as it stands, framed *current or future shareholders*, not *victimised* Renren shareholders as beneficiaries. The current market price of Renren’s ADS is roughly priced in the full amount of the compensation, making Defendants the biggest beneficiaries of their own payout, despite the painstaking efforts in the Proposal that was designed to prevent it.

The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement.

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	48.8
James Jian Liu ⁽⁴⁾	56,710,665	5.2	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Tianruo Pu ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	42.6
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	2.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	1.7
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	1.5

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	48.9
James Jian Liu ⁽⁴⁾	59,477,400	5.4	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Cong Lin ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
Michael McGowan ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	50.3
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	42.3
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	3.3

Notes: From 2020 Annual Report of Renren (Form 20-F)

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy.

DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a part of this claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren's insiders while “Renren itself is a nominal Defendant in the Lawsuit”, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff's Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff's Counsel states. Nonetheless, the words of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

- **It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.**

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions for no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene, after being hurt, for fear of safety. It would be ridiculous to say that those who left were not victims, even more so if the court decides, three years later, only those who stay in the crime scene *after the date of the judgement* are eligible for compensation—including the thefts who dress up and return. Wouldn't it be absurd that the actual victims are unable to get compensation but any passing-bys who happen to be around on the lucky date—again, including the theft themselves—are?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders. It was logical to quit being business partners with thefts, hence a lot of shareholders sold.

The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

- **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the Counsels of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

The proceeds of the asset sale are being returned to Renren shareholders. Some of the largest investors — notably SoftBank, which owns 39 per cent of Renren and is also a large shareholder in SoFi — will get the opportunity to hold shares in the private entity, named Oak Pacific Investment (OPI).

But most retail investors will have to accept a cash dividend, which the complainants say grossly undervalues the assets.

“You can’t just force a sale to yourself at a low price,” said Leo Liao, chief investment officer at Shanghai-based Prusage Asset Management, who said he had written to the SEC to complain about the move.

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5:46 AM - 27 Apr 2015

“The small investors are really anxious now,” said Mr Bian. “They are trying to do anything they can to stop it. A lot of them have already written to the SEC.”

Mr Liao said: “Many shareholders in China are becoming much more activist. If this sets a precedent nobody will be investing in any companies any more. And all these shareholder protections and shareholder rights are going out the window.”

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Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US’ s capital market. It is simply wrong to exclude them from the compensation.

- **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We ’ ve come a long way. We won ’ t give up now we are so close to justice, and we don ’ t believe the court should approve this Proposal for expedience when it ’ s clearly not in the interests of those who it is supposed to protect. We are looking forward to working with you to bring this to a satisfactory end—not just for entitled compensation, but also for sending a strong signal to all unscrupulous Chinese managers who thought they could abuse the US capital market and steal at will.

May justice be with all of us.

On April 29, 2018, I held 4561 ADSs of Renren. I traded Renren shares several times, but I will hold Renren stock until December 31, 2021 . **I want to attend the Settlement Hearing.**

Yours sincerely

Name: FUHUA HU

Address: JIANG GAN QU FAN JIA TIANCHENG GONG YU 2 HAO LOU 501 SHI HANG
ZHOU SHI CHINA

Email: hfhwan@163.com

Tel: 8613600528106

Signature: 

Date: 23 NOV 2021

NYSCEF DOC. NO. 834

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350 N. St. Paul Street 1300
Dallas, TX 75201

RECEIVED NYSCEF: 12/02/2021



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Client Services: (800) 869-8800
From Overseas: (718) 961-6600

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May 1, 2018 - June 30, 2018

PAGE 1 OF 7

ACCOUNT NUMBER



FUHUA HU

FUHUA HU
JIANG GAN QU FAN JIA TIAN
CHENG GONG YU 2 HAO LOU 501
SHI
HANG ZHOU SHI
CHINA

Your Account Instructions

- Hold Securities in Account
- Hold Proceeds
- Hold Dividends
- Approved for Margin

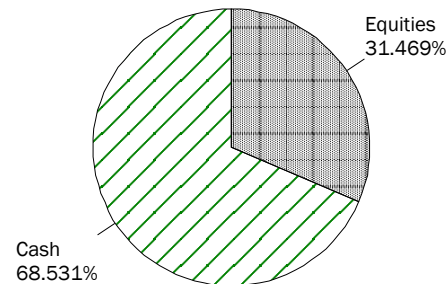
▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$19.39	\$50,358.36
NET ACCOUNT BALANCE	19.39	50,358.36
Securities	61,380.17	23,124.08
TOTAL PRICED PORTFOLIO	61,380.17	23,124.08
Total Equity Holdings	\$61,399.56	\$73,482.44

▶ INCOME AND EXPENSE SUMMARY

	THIS PERIOD	YEAR TO DATE
Taxable dividends	\$41,904.19	\$41,904.19
Credit interest	\$0.00	\$0.06
Investment expense	91.22	91.22

▶ PORTFOLIO EQUITY ALLOCATION



See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

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You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTAMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

May 1, 2018 - June 30, 2018

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ACCOUNT NUMBER



FUHUA HU



30-50 Whitestone Expressway
 Ste. A301, Flushing, NY 11354
 Client Services: (800) 869-8800
 From Overseas: (718) 961-6600

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▶ PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
FACEBOOK INC CL A	FB	M	119	\$194.32	\$23,124.08	\$20,468.00	13%		31.469%
Total Equities					\$23,124.08				31.469%
Total Cash (Net Portfolio Balance)					\$50,358.36				68.531%
TOTAL PRICED PORTFOLIO					\$73,482.44				

▶ ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
SOLD	06/26/18	M	RENREN INC AMERICAN DEPOSITARY SHR EACH RPRSNTNG FIFTEEN CL A ORD SHS INTERNET ORDER UNSOLICITED CUSIP: 759892201	4,561	\$1.87		\$8,526.00
Total Buy / Sell Transactions							\$8,526.00

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ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
DIVIDENDS AND INTEREST							
ADR	06/26/18	M	RENREN INC AMERICAN DEPOSITARY SHR EACH RPRSNTNG FIFTEEN CL A ORD SHS AGENCY PROCESSING FEE CUSIP: 759892201			\$91.22	
DIVIDEND	06/26/18	M	RENREN INC AMERICAN DEPOSITARY SHR EACH RPRSNTNG FIFTEEN CL A ORD SHS CASH DIV ON 4561 SHS REC 06/25/18 PAY 06/26/18 CUSIP: 759892201		9.1875		41,904.19
Total Dividends And Interest						\$91.22	\$41,904.19

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May 1, 2018 - June 30, 2018

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▶ ANNOUNCEMENTS

IMPORTANT INFORMATION

Statement of Financial Condition

A copy of Apex Clearing Corporation's audited Statement of Financial Condition at December, 2017 is available on the website at www.apexclearing.com. A copy may also be obtained at no cost by calling 1 214 765 1009. At December 31, 2017, Apex Clearing Corporation had net capital of \$139,678,222 and was \$121,030,862 in excess of its required net capital of \$18,647,360. At January 31, 2018, Apex Clearing Corporation had net capital of \$144,352,107 and was \$124,607,593 in excess of its required net capital of \$19,744,514.

IMPORTANT INFORMATION

To our valued customers:

NASD Rule 2340 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re confirm any oral communications in writing.

<https://www.finra.org/industry/notices/0672>

"IMPORTANT Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K 1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765 1009.

SEC Rule 606 (b) requires a broker dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011
- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
- Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)

Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099 B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371 8300 or visit www.sipc.org.

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▶ ANNOUNCEMENTS (CONTINUED)

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1 877 275 3342, 1 800 925 4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202 371 8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION**APEX CLEARING CORPORATION DISCLOSURE STATEMENT**

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214 765 1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

- Anti Money Laundering Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day Trading Risk Disclosure Statement: FINRA Rule 2270 Information to be considered before engaging in a day trading strategy.
- Business Continuity Plan: FINRA Rule 4370 A further summary of Apex's Disaster Recovery Plan to reasonable ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing information) (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b 10 Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371 8300.

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► ANNOUNCEMENTS (CONTINUED)

- Investor Education and Protection: FINRA Rule 2267 Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289 9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker/dealer as introducing broker. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non public personal information relating to those accounts. Please note that this policy applies to former customers as well as current customers.

Personal Information Collected

In order to service your account as clearing broker, information is provided to Apex by your introducing broker who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing broker and provided to Apex may come from the following sources:

- Information received from you, such as your name, address, telephone number, social security number, occupation , and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from customer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing broker.

Sharing of Nonpublic Personal Information

Apex does not disclose nonpublic personal information relating to current or former customers of introducing brokers to any third parties, except as required or permitted by law, including but not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates, as permitted by law, in order to better service your financial needs.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non public personal information that is provided to Apex by your introducing broker by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non public personal information are following appropriate standards of security and confidentiality. We instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy our staff will not discuss or disclose information regarding an account except with authorized personnel of your introducing broker or as required by law or pursuant to regulatory request and/or authority.

Access to Your Information

You may access your account information through a variety of media offered by your introducing broker and Apex (i.e. statements or online services). Please contact your introducing broker if

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May 1, 2018 - June 30, 2018

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ACCOUNT NUMBER [REDACTED]

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▶ ANNOUNCEMENTS (CONTINUED)

you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which in case later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get in Touch with Apex about this Privacy Policy

For more information relating to Apex's privacy policy and additional disclosures, please visit our website at www.apexclearing.com or contact:

Apex Clearing Corporation, Attn: Compliance Department, 350 N. St. Paul, Suite 1300, Dallas, Texas 75201, 214 765 1055



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Ste. A301, Flushing, NY 11354
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寰盈证券
HUANYING INTERNATIONAL LIMITED

投资寰球盈满五洲

地址: Level 6, 12 Swanson Street, Auckland Central,

Auckland, 1010, New Zealand

电话: 400-178-6006

网址: HTTPS://www.huanyingsecurities.com

葛燕

资金账号: [REDACTED]

账户类别: 保证金账户

基础货币: 美元

结算汇率: 1美元=6.5954人民币 1美元=7.8476港币

月结单

2018年05月

盘前概况

市场	币种	股票代码	股票名称	持仓数量	昨收价	市值
美股	美元	RENN	人人	510	10.230	5,217.30
股票总市值 (美元)						5,217.30
美元余额						70.17
现金总额 (美元)						70.17
总资产 (美元)						5,287.47

盘后概况

市场	币种	股票代码	股票名称	持仓数量	收市价	市值
美股	美元	RENN	人人	510	8.740	4,457.40
股市总市值 (美元)						4,457.40
美元余额						70.17
现金总额 (美元)						70.17
总资产 (美元)						4,527.57

此为电脑编制文件, 无需授权人士签名确认

如果发生任何错漏或者不符, 请于三个营业日内通知本公司, 否则此结单将视为正确无误。

货币汇率只做参考

客户即日与欧洲或北美市场买卖之股票, 将于翌日之成交单显示, 而有关之市价将显示成交当日的收市价

如果有任何查询, 请致电客户服务部: 400-178-6006



MTM Summary
April 30, 2018

Account Information

Name	Wei Huang
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Margin
Base Currency	HKD

Net Asset Value

	APRIL 27, 2018		APRIL 30, 2018		CHANGE
	TOTAL	LONG	SHORT	TOTAL	
Cash	-722,356.78	0.00	-722,398.27	-722,398.27	-41.50
Stock	1,921,838.08	1,915,998.46	0.00	1,915,998.46	-5,839.62
Interest Accruals	-1,524.81	0.00	-1,737.66	-1,737.66	-212.85
Dividend Accruals	-71.73	0.00	-71.73	-71.73	0.00
Total	1,197,884.76	1,915,998.46	-724,207.67	1,191,790.79	-6,093.98

Time Weighted Rate of Return **-0.51%**

CHANGE IN NAV	TOTAL
Starting Value	1,197,884.76
Mark-to-Market	-5,881.12
Change in Interest Accruals	-212.76
Other FX Translations	-0.10
Ending Value	1,191,790.79

Positions and Mark-to-Market Profit and Loss

SYMBOL	DESCR PTION	QUANTITY		PRICE		MARKET VALUE		MARK-TO-MARKET PROFIT AND LOSS				
		PRIOR	CURRENT	PRIOR	CURRENT	PRIOR	CURRENT	POSITION	TRAD NG	COMM.	OTHER	TOTAL
Stocks												
CNH												
600886	SDIC POWER HOLD NGS CO LTD-A	26,200	26,200	6.81	6.81	178,422.00	178,422.00	0.00	0.00	0.00	0.00	0.00
Total Stocks						178,422.00	178,422.00	0.00	0.00	0.00	0.00	0.00
Total in HKD						221,546.60	221,725.02	0.00	0.00	0.00	0.00	0.00
HKD												
1816	CGN POWER CO LTD-H	93,000	93,000	2.13	2.15	198,090.00	199,950.00	1,860.00	0.00	0.00	0.00	1,860.00
2318	P NG AN INSURANCE GROUP CO-H	7,000	7,000	76.80	77.55	537,600.00	542,850.00	5,250.00	0.00	0.00	0.00	5,250.00
656	FOSUN INTERNATIONAL LTD	8,000	8,000	16.70	16.86	133,600.00	134,880.00	1,280.00	0.00	0.00	0.00	1,280.00
998	CHINA CITIC BANK CORP LTD-H	90,000	90,000	5.52	5.66	496,800.00	509,400.00	12,600.00	0.00	0.00	0.00	12,600.00
Total Stocks						1,366,090.00	1,387,080.00	20,990.00	0.00	0.00	0.00	20,990.00
USD												
AMCN	ARMEDIA GROUP INC-ADR	1,000	1,000	0.67	0.67	670.00	674.90	4.90	0.00	0.00	0.00	4.90
JMEI	JUMEI INTERNATIONAL-ADR	500	500	2.57	2.73	1,285.00	1,365.00	80.00	0.00	0.00	0.00	80.00
RENN	RENREN NC-ADR	3,100	3,100	10.23	8.97	31,713.00	27,807.00	-3,906.00	0.00	0.00	0.00	-3,906.00
SOHU	SOHU.COM INC	300	300	29.73	30.98	8,919.00	9,294.00	375.00	0.00	0.00	0.00	375.00
Total Stocks						42,587.00	39,140.90	-3,446.10	0.00	0.00	0.00	-3,446.10
Total in HKD						334,201.48	307,193.44	-27,046.37	0.00	0.00	0.00	-27,046.37
Total Stocks in HKD						1,921,838.08	1,915,998.46	-6,056.37	0.00	0.00	0.00	-6,056.37
Forex												
HKD												
CNH		0.00	0.00	1.24	1.24	0.00	0.00	178.42	0.00	0.00	0.00	178.42
HKD		-360,537.71	-360,537.71	1.00	1.00	-360,537.71	-360,537.71	0.00	0.00	0.00	0.00	0.00
USD		-46,106.29	-46,106.29	7.85	7.85	-361,819.07	-361,860.57	-3.17	0.00	0.00	0.00	-3.17
Total Forex						-722,356.78	-722,398.27	175.25	0.00	0.00	0.00	175.25
Total (Combined Assets)								-5,881.12	0.00	0.00	0.00	-5,881.12

Interest Accruals



MTM Summary
April 30, 2018

Account Information	
Name	ZHEN ZHANG
Account	
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	CNH

Net Asset Value					
	APRIL 27, 2018	APRIL 30, 2018			
	TOTAL	LONG	SHORT	TOTAL	CHANGE
Cash	-2,429,949.87	0.00	-2,421,590.58	-2,421,590.58	8,359.30
Stock	3,911,481.74	3,765,080.91	0.00	3,765,080.91	-146,400.83
Options	-9,106.30	14,142.72	-22,064.56	-7,921.84	1,184.45
Interest Accruals	-2,532.53	0.00	-2,921.94	-2,921.94	-389.41
Total	1,469,893.03	3,779,223.63	-2,446,577.08	1,332,646.55	-137,246.49
Time Weighted Rate of Return					-9.34%
CHANGE IN NAV					TOTAL
Starting Value					1,469,893.03
Mark-to-Market					-136,857.08
Change in Interest Accruals					-397.14
Other FX Translations					7.73
Ending Value					1,332,646.55

Positions and Mark-to-Market Profit and Loss												
SYMBOL	DESCRIPTION	QUANTITY		PRICE		MARKET VALUE		MARK-TO-MARKET PROFIT AND LOSS				
		PRIOR	CURRENT	PRIOR	CURRENT	PRIOR	CURRENT	POSITION	TRADING	COMM.	OTHER	TOTAL
Stocks												
CNH												
000917	HUNAN TV & BROADCAST INT-A	5,500	5,500	6.56	6.56	36,080.00	36,080.00	0.00	0.00	0.00	0.00	0.00
Total Stocks						36,080.00	36,080.00	0.00	0.00	0.00	0.00	0.00
HKD												
119	POLY PROPERTY GROUP CO LTD	30,000	30,000	3.68	3.68	110,400.00	110,400.00	0.00	0.00	0.00	0.00	0.00
1816	CGN POWER CO LTD-H	150,000	150,000	2.13	2.15	319,500.00	322,500.00	3,000.00	0.00	0.00	0.00	3,000.00
1988	CH NA MINSHENG BANKING-H	42,000	42,000	7.29	7.40	306,180.00	310,800.00	4,620.00	0.00	0.00	0.00	4,620.00
392	BEIJ NG ENTERPRISES HLDGS	6,000	6,000	39.25	39.50	235,500.00	237,000.00	1,500.00	0.00	0.00	0.00	1,500.00
6818	CH NA EVERBRIGHT BANK CO L-H	170,000	170,000	3.90	3.95	663,000.00	671,500.00	8,500.00	0.00	0.00	0.00	8,500.00
Total Stocks						1,634,580.00	1,652,200.00	17,620.00	0.00	0.00	0.00	17,620.00
Total in CNH						1,316,392.66	1,329,558.38	14,179.17	0.00	0.00	0.00	14,179.17
USD												
AMCN	AIRMEDIA GROUP INC-ADR	9,000	9,000	0.67	0.67	6,030.00	6,074.10	44.10	0.00	0.00	0.00	44.10
GE	GENERAL ELECTRIC CO	400	400	14.38	14.07	5,752.00	5,628.00	-124.00	0.00	0.00	0.00	-124.00
G LD	G LEAD SCIENCES INC	300	300	73.86	72.23	22,158.00	21,669.00	-489.00	0.00	0.00	0.00	-489.00
JD	JD.COM NC-ADR	200	200	36.76	36.51	7,352.00	7,302.00	-50.00	0.00	0.00	0.00	-50.00
QCOM	QUALCOMM INC	200	200	51.11	51.01	10,222.00	10,202.00	-20.00	0.00	0.00	0.00	-20.00
RENN	RENREN INC-ADR	20,400	20,400	10.23	8.97	208,692.00	182,988.00	-25,704.00	0.00	0.00	0.00	-25,704.00
SOGO	SOGOU INC-ADR	6,000	6,000	8.81	8.60	52,860.00	51,600.00	-1,260.00	0.00	0.00	0.00	-1,260.00
SOHU	SOHU.COM NC	2,100	2,100	29.73	30.98	62,433.00	65,058.00	2,625.00	0.00	0.00	0.00	2,625.00
TESLA	TESLA NC	100	100	294.08	293.90	29,407.50	29,390.00	-17.50	0.00	0.00	0.00	-17.50
Total Stocks						404,906.50	379,911.10	-24,995.40	0.00	0.00	0.00	-24,995.40
Total in CNH						2,559,009.08	2,399,442.53	-157,865.95	0.00	0.00	0.00	-157,865.95
Total Stocks in CNH						3,911,481.74	3,765,080.91	-143,686.78	0.00	0.00	0.00	-143,686.78
Equity and Index Options												
USD												
BABA 180511P00160000	BABA 11MAY18 160 0 P	-1	-1	0.86	0.45	-85.97	-44.54	41.43	0.00	0.00	0.00	41.43

NYSCEF DOC. NO. 834

RECEIVED NYSCEF: 12/02/2021

SYMBOL	DESCRIPTION	QUANTITY		PRICE		MARKET VALUE		MARK-TO-MARKET PROFIT AND LOSS				
		PRIOR	CURRENT	PRIOR	CURRENT	PRIOR	CURRENT	POSITION	TRADING	COMM.	OTHER	TOTAL
CMCM 180615P00013000	CMCM 15JUN18 13 0 P	-10	-10	1.13	1.05	-1,127.20	-1,046.70	80.50	0.00	0.00	0.00	80.50
FB 180427P00150000	FB 27APR18 150 0 P	-2	0	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00
QCOM 180720C00040000	QCOM 20JUL18 40 0 C	2	2	11.32	11.20	2,263.82	2,239.26	-24.56	0.00	0.00	0.00	-24.56
RAD 190118P00002500	RAD 18JAN19 2.5 P	-20	-20	0.92	0.90	-1,834.40	-1,799.20	35.20	0.00	0.00	0.00	35.20
TSLA 180427P00210000	TSLA 27APR18 210.0 P	-1	0	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSLA 180504P00280000	TSLA 04MAY18 280.0 P	-1	-1	6.57	6.03	-656.52	-602.51	54.01	0.00	0.00	0.00	54.01
XNET 180615P00002500	XNET 15JUN18 2.5 P	-60	-60	0.00	0.00	-0.60	-0.60	0.00	0.00	0.00	0.00	0.00
Total Equity and Index Options						-1,440.87	-1,254.29	186.58	0.00	0.00	0.00	186.58
Total in CNH						-9,106.30	-7,921.84	1,178.40	0.00	0.00	0.00	1,178.40
Forex												
CNH												
CNH		-181.86	-181.86	1.00	1.00	-181.86	-181.86	0.00	0.00	0.00	0.00	0.00
EUR		-208,278.29	-208,278.29	7.67	7.63	-1,596,744.68	-1,588,955.07	7,789.61	0.00	0.00	0.00	7,789.61
HKD		-189,828.52	-189,828.52	0.81	0.80	-152,876.50	-152,758.81	-895.75	0.00	0.00	0.00	-895.75
USD		-107,618.17	-107,618.17	6.32	6.32	-680,146.83	-679,694.83	-1,242.56	0.00	0.00	0.00	-1,242.56
Total Forex						-2,429,949.87	-2,421,590.58	5,651.30	0.00	0.00	0.00	5,651.30
Total (Combined Assets)								-136,857.08	0.00	0.00	0.00	-136,857.08

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-2,532.53
Interest Accrued	-397.14
Accrual Reversal	0.00
FX Translation	7.73
Ending Accrual Balance	-2,921.94
CNH	
Starting Accrual Balance	-1.05
Interest Accrued	-0.15
Accrual Reversal	0.00
Ending Accrual Balance	-1.20
EUR	
Starting Accrual Balance	-186.65
Interest Accrued	-21.54
Accrual Reversal	0.00
Ending Accrual Balance	-208.19
Ending Accrual Balance in CNH	-1,588.28
HKD	
Starting Accrual Balance	-171.37
Interest Accrued	-61.20
Accrual Reversal	0.00
Ending Accrual Balance	-232.57
Ending Accrual Balance in CNH	-187.15
USD	
Starting Accrual Balance	-152.30
Interest Accrued	-29.04
Accrual Reversal	0.00
Ending Accrual Balance	-181.34
Ending Accrual Balance in CNH	-1,145.31

From: [南山](#)
To: [info_RenrenSettlement](#)
Subject: Statement of Zhen Zhang (Case No. 653594/2018 Ref: In re Renren Inc. Derivative Litigation)
Date: Saturday, November 20, 2021 8:36:27 AM
Attachments: [Statement of Zhen Zhang.pdf](#)
[20400ADRs-zhagnzhen.pdf](#)

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Dear Sir/Madam:

Case No. 653594/2018

Ref: In re Renren Inc. Derivative Litigation

Objections to the Proposed Settlement of Shareholder Derivative Actions (Please see the attachment)

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal and *by the Defendant or the Company*. This arrangement, we believe, implies that this important date may be set to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who should have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation. Other approaches that can deliver the same results are also deemed acceptable.

Our reasons are:

• The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, is public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS (worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is the very reason we are

having this negotiated Settlement amount of roughly \$300m.

Thus, one can establish that these facts;

- The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;
- The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
- The Proposed Settlement is to provide a remedy to these losses;

Hence,

- The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement. This logical conclusion is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.
- **Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

One can also reasonably conclude that new shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants control the company, shareholders who bought the share on or after April 30th, 2018, should have no reason to doubt the credibility of the threat. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments.

As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

- **Setting a Record date post-April 29th may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who bears fiduciary duty but abused it.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that "priced in" the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was

approved to recoup their losses. We suspect this might already have happened. The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement as the villain, not victims, are compensated.

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a big part of the claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren's insiders while “Renren itself is a nominal Defendant in the Lawsuit” only, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff's Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff's Counsel states. Nonetheless, the words of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

• It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions with no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene for fear of safety. It would be ridiculous to assume those who left ceased to become victims, even more so if the court decides in a civil case only those who stay in the crime scene *after the date of the judgement* should be compensated—including the thefts who return. Now, the criminals were to compensate the victims 3 years later in 2021. Wouldn't it be absurd that the actual victims are unable to have the compensation just because they did not stay at the crime scene for three years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for no harm?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on or shortly after April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders for themselves. It was logical to quit being business partners with thefts, hence a lot of shareholders sold. The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

• **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US's capital market. It is simply wrong to exclude them from the compensation.

• **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We are looking forward to our entitled compensation, as well as true justice in the financial market. May justice be with all of us.

On April 29, 2018, I held 20400 ADSs of Renren. I want to attend the Settlement Hearing.

Yours sincerely

Name: Zhen Zhang

Address: 8-1-302, No. 59 Minjianger Road, ShiNan District, Qingdao City, Shandong Province, China

Email: 53678080@qq.com

Tel: [8613964280365](tel:8613964280365)

Date: 20 NOV 2021

Dear Sir/Madam:

Case No. 653594/2018

Ref: *In re Renren Inc. Derivative Litigation*

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In light of this, we note that according to the Proposal of Settlement, for example, DCM as

one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that “priced in” the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved to recoup their losses. We suspect this might already have happened. The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement as the villain, not victims, are compensated.

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	48.8
James Jian Liu ⁽⁴⁾	56,710,665	5.2	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Tianruo Pu ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	50.2
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	42.6
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	2.3
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	1.7
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	1.5

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	48.9
James Jian Liu ⁽⁴⁾	59,477,400	5.4	1.5
Hui Huang ⁽⁵⁾	*	*	*
Chuanfu Wang ⁽⁶⁾	*	*	*
Cong Lin ⁽⁷⁾	*	*	*
Yi Yang ⁽⁸⁾	*	*	*
Rita Yi ⁽⁸⁾	*	*	*
He Li ⁽⁸⁾	*	*	*
Michael McGowan ⁽⁹⁾	*	*	*
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	50.3
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	42.3
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	3.3

Notes: From 2020 Annual Report of Renren (Form 20-F)

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy.

DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a big part of the claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren's insiders while “Renren itself is a nominal Defendant in the Lawsuit” only, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff's Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff's Counsel states. Nonetheless, the words of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

- **It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.**

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions with no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene for fear of safety. It would be ridiculous to assume those who left ceased to become victims, even more so if the court decides in a civil case only those who stay in the crime scene *after the date of the judgement* should be compensated—including the thefts who return. Now, the criminals were to compensate the victims 3 years later in 2021. Wouldn't it be absurd that the actual victims are unable to have the compensation just because they did not stay at the crime scene for three years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for no harm?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on or shortly after April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders for themselves. It was logical to quit being business partners with thefts, hence a lot of shareholders sold. The fact speaks for itself that Renren suffered great losses from

operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

- **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

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The proceeds of the asset sale are being returned to Kenren shareholders.

Some of the largest investors — notably SoftBank, which owns 39 per cent of Renren and is also a large shareholder in SoFi — will get the opportunity to hold shares in the private entity, named Oak Pacific Investment (OPI).

But most retail investors will have to accept a cash dividend, which the complainants say grossly undervalues the assets.

“You can’t just force a sale to yourself at a low price,” said Leo Liao, chief investment officer at Shanghai-based Prusage Asset Management, who said he had written to the SEC to complain about the move.

tpt TPT Retirement Solutions
2,187 followers
We're delighted to have been named 'DB Master Trust/Consolidator of the Year', for the second year running, at Professional Pensions' UK Pensions Awards! Here's TPT's Stewart Ireland



Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US’ s capital market. It is simply wrong to exclude them from the compensation.

- **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We are looking forward to our entitled compensation, as well as true justice in the financial market. May justice be with all of us.

On April 29, 2018, I held 20400 ADSs of Renren. I want to attend the Settlement Hearing.

Yours sincerely

Name: Zhen Zhang

Address : 8-1-302, No. 59 Minjianger Road, ShiNan District, Qingdao City, Shandong Province, China

Email: 53678080@qq.com

Tel: [8613964280365](tel:8613964280365)

Signature: *Zhen Zhang*

Date: 20 NOV 2021



MTM Summary
April 30, 2018

Account Information	
Name	ZHEN ZHANG
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	CNH

Net Asset Value					
	APRIL 27, 2018	APRIL 30, 2018			
	TOTAL	LONG	SHORT	TOTAL	CHANGE
Cash	-2,429,949.87	0.00	-2,421,590.58	-2,421,590.58	8,359.30
Stock	3,911,481.74	3,765,080.91	0.00	3,765,080.91	-146,400.83
Options	-9,106.30	14,142.72	-22,064.56	-7,921.84	1,184.45
Interest Accruals	-2,532.53	0.00	-2,921.94	-2,921.94	-389.41
Total	1,469,893.03	3,779,223.63	-2,446,577.08	1,332,646.55	-137,246.49
Time Weighted Rate of Return					-9.34%
CHANGE IN NAV					
Starting Value					1,469,893.03
Mark-to-Market					-136,857.08
Change in Interest Accruals					-397.14
Other FX Translations					7.73
Ending Value					1,332,646.55

Positions and Mark-to-Market Profit and Loss												
SYMBOL	DESCRIPTION	QUANTITY		PRICE		MARKET VALUE		MARK-TO-MARKET PROFIT AND LOSS				
		PRIOR	CURRENT	PRIOR	CURRENT	PRIOR	CURRENT	POSITION	TRADING	COMM.	OTHER	TOTAL
Stocks												
CNH												
000917	HUNAN TV & BROADCAST INT-A	5,500	5,500	6.56	6.56	36,080.00	36,080.00	0.00	0.00	0.00	0.00	0.00
Total Stocks						36,080.00	36,080.00	0.00	0.00	0.00	0.00	0.00
HKD												
119	POLY PROPERTY GROUP CO LTD	30,000	30,000	3.68	3.68	110,400.00	110,400.00	0.00	0.00	0.00	0.00	0.00
1816	CGN POWER CO LTD-H	150,000	150,000	2.13	2.15	319,500.00	322,500.00	3,000.00	0.00	0.00	0.00	3,000.00
1988	CH NA MINSHENG BANKING-H	42,000	42,000	7.29	7.40	306,180.00	310,800.00	4,620.00	0.00	0.00	0.00	4,620.00
392	BEIJ NG ENTERPRISES HLDGS	6,000	6,000	39.25	39.50	235,500.00	237,000.00	1,500.00	0.00	0.00	0.00	1,500.00
6818	CH NA EVERBRIGHT BANK CO L-H	170,000	170,000	3.90	3.95	663,000.00	671,500.00	8,500.00	0.00	0.00	0.00	8,500.00
Total Stocks						1,634,580.00	1,652,200.00	17,620.00	0.00	0.00	0.00	17,620.00
Total in CNH						1,316,392.66	1,329,558.38	14,179.17	0.00	0.00	0.00	14,179.17
USD												
AMCN	AIRMEDIA GROUP INC-ADR	9,000	9,000	0.67	0.67	6,030.00	6,074.10	44.10	0.00	0.00	0.00	44.10
GE	GENERAL ELECTRIC CO	400	400	14.38	14.07	5,752.00	5,628.00	-124.00	0.00	0.00	0.00	-124.00
G LD	G LEAD SCIENCES INC	300	300	73.86	72.23	22,158.00	21,669.00	-489.00	0.00	0.00	0.00	-489.00
JD	JD.COM NC-ADR	200	200	36.76	36.51	7,352.00	7,302.00	-50.00	0.00	0.00	0.00	-50.00
QCOM	QUALCOMM INC	200	200	51.11	51.01	10,222.00	10,202.00	-20.00	0.00	0.00	0.00	-20.00
RENN	RENREN INC-ADR	20,400	20,400	10.23	8.97	208,692.00	182,988.00	-25,704.00	0.00	0.00	0.00	-25,704.00
SOGO	SOGOU INC-ADR	6,000	6,000	8.81	8.60	52,860.00	51,600.00	-1,260.00	0.00	0.00	0.00	-1,260.00
SOHU	SOHU.COM NC	2,100	2,100	29.73	30.98	62,433.00	65,058.00	2,625.00	0.00	0.00	0.00	2,625.00
TESLA	TESLA NC	100	100	294.08	293.90	29,407.50	29,390.00	-17.50	0.00	0.00	0.00	-17.50
Total Stocks						404,906.50	379,911.10	-24,995.40	0.00	0.00	0.00	-24,995.40
Total in CNH						2,559,009.08	2,399,442.53	-157,865.95	0.00	0.00	0.00	-157,865.95
Total Stocks in CNH						3,911,481.74	3,765,080.91	-143,686.78	0.00	0.00	0.00	-143,686.78
Equity and Index Options												
USD												
BABA 180511P00160000	BABA 11MAY18 160 0 P	-1	-1	0.86	0.45	-85.97	-44.54	41.43	0.00	0.00	0.00	41.43

SYMBOL	DESCRIPTION	QUANTITY		PRICE		MARKET VALUE		MARK-TO-MARKET PROFIT AND LOSS				
		PRIOR	CURRENT	PRIOR	CURRENT	PRIOR	CURRENT	POSITION	TRADING	COMM.	OTHER	TOTAL
CMCM 180615P00013000	CMCM 15JUN18 13 0 P	-10	-10	1.13	1.05	-1,127.20	-1,046.70	80.50	0.00	0.00	0.00	80.50
FB 180427P00150000	FB 27APR18 150 0 P	-2	0	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00
QCOM 180720C00040000	QCOM 20JUL18 40 0 C	2	2	11.32	11.20	2,263.82	2,239.26	-24.56	0.00	0.00	0.00	-24.56
RAD 190118P00002500	RAD 18JAN19 2.5 P	-20	-20	0.92	0.90	-1,834.40	-1,799.20	35.20	0.00	0.00	0.00	35.20
TSLA 180615P000210000	TSLA 27APR18 210.0 P	-1	0	-	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TSLA 180504P00280000	TSLA 04MAY18 280.0 P	-1	-1	6.57	6.03	-656.52	-602.51	54.01	0.00	0.00	0.00	54.01
XNET 180615P00002500	XNET 15JUN18 2 5 P	-60	-60	0.00	0.00	-0.60	-0.60	0.00	0.00	0.00	0.00	0.00
Total Equity and Index Options						-1,440.87	-1,254.29	186.58	0.00	0.00	0.00	186.58
Total in CNH						-9,106.30	-7,921.84	1,178.40	0.00	0.00	0.00	1,178.40
Forex												
CNH												
CNH		-181.86	-181.86	1.00	1.00	-181.86	-181.86	0.00	0.00	0.00	0.00	0.00
EUR		-208,278.29	-208,278.29	7.67	7.63	-1,596,744.68	-1,588,955.07	7,789.61	0.00	0.00	0.00	7,789.61
HKD		-189,828.52	-189,828.52	0.81	0.80	-152,876.50	-152,758.81	-895.75	0.00	0.00	0.00	-895.75
USD		-107,618.17	-107,618.17	6.32	6.32	-680,146.83	-679,694.83	-1,242.56	0.00	0.00	0.00	-1,242.56
Total Forex						-2,429,949.87	-2,421,590.58	5,651.30	0.00	0.00	0.00	5,651.30
Total (Combined Assets)								-136,857.08	0.00	0.00	0.00	-136,857.08

[Interest Accruals](#)



Activity Statement

April 27, 2018 - April 30, 2018

Account Information

Name	LINYU ZHOU
Account	[REDACTED]
Master Name	Tiger Brokers (NZ) Limited
Account Type	Broker Client
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	April 26, 2018		April 30, 2018			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Mark-to-Market		
Cash	-248,368.92	0.00	-248,118.73	-248,118.73	250.19	109,464.91	5,927.07	109,464.91	
Stock	357,586.33	363,154.98	0.00	363,154.98	5,568.65		14.04		
Options	982.15	1,123.60	-20.58	1,103.02	120.87		-1.40		
Interest Accruals	-378.22	0.00	-452.12	-452.12	-73.90		-74.19		
Dividend Accruals	-356.43	258.93	-447.42	-188.49	167.94		168.24		
Total	109,464.91	364,537.51	-249,038.85	115,498.66	6,033.75	Ending Value		115,498.66	

Time Weighted Rate of Return 5.51%

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
2318	1,000	1,000	78.5500	77.5500	-127.44	0.00	0.00	0.00	-127.44	
2666	93,500	93,500	7.0000	7.2800	3,335.50	0.00	0.00	0.00	3,335.50	
285	3,500	3,500	11.2400	12.0600	365.68	0.00	0.00	0.00	365.68	
BOTZ	162	162	23.4600	23.1000	-58.32	0.00	0.00	0.00	-58.32	
COHR	56	56	164.8000	168.2200	191.52	0.00	0.00	0.00	191.52	
DE	10	10	136.3300	135.3300	-10.00	0.00	0.00	0.00	-10.00	
DIS	20	20	99.8400	100.3300	9.80	0.00	0.00	0.00	9.80	
ETE	244	244	15.8500	15.8000	-12.20	0.00	0.00	0.00	-12.20	
FB	22	22	174.1600	172.0000	-47.52	0.00	0.00	0.00	-47.52	
GILD	132	132	73.9000	72.2300	-220.44	0.00	0.00	0.00	-220.44	
GM	182	182	38.2500	36.7400	-274.82	0.00	0.00	0.00	-274.82	
GOOGL	2	2	1,043.3100	1,018.5800	-49.46	0.00	0.00	0.00	-49.46	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
HSY	24	24	93.2900	91.9400	-32.40	0.00	0.00	0.00	-32.40	
IBM	43	43	146.7200	144.9600	-75.68	0.00	0.00	0.00	-75.68	
IXD1	400	400	25.5500	25.7800	110.74	0.00	0.00	0.00	110.74	
JMEI	25,002	25,002	2.5400	2.7300	4,750.38	0.00	0.00	0.00	4,750.38	
KHC	179	179	57.3300	56.3800	-170.05	0.00	0.00	0.00	-170.05	
KMB	75	75	102.6900	103.5400	63.75	0.00	0.00	0.00	63.75	
MON	0	0	--	--	0.00	0.00	0.00	12.64	12.64	
MRK	194	194	59.4100	58.8700	-104.76	0.00	0.00	0.00	-104.76	
MU	39	39	50.1400	45.9800	-162.24	0.00	0.00	0.00	-162.24	
NTES	40	40	250.5400	257.0700	261.20	0.00	0.00	0.00	261.20	
PG	113	113	72.7500	72.3400	-46.33	0.00	0.00	0.00	-46.33	
QCOM	94	94	50.4700	51.0100	50.76	0.00	0.00	0.00	50.76	
REGN	40	40	323.9700	303.6800	-811.60	0.00	0.00	0.00	-811.60	
RENN	1,837	1,837	10.1400	8.9700	-2,149.29	0.00	0.00	0.00	-2,149.29	
REZ	241	241	56.7300	58.0600	320.53	0.00	0.00	0.00	320.53	
SMIN	84	84	48.4500	49.0200	47.88	0.00	0.00	0.00	47.88	
SPG	39	39	150.1600	156.3400	241.02	0.00	0.00	0.00	241.02	
TECD	20	20	77.2000	76.2500	-19.00	0.00	0.00	0.00	-19.00	
TEVA	281	281	17.6700	17.9800	87.11	0.00	0.00	0.00	87.11	
USB	40	40	50.7800	50.4500	-13.20	0.00	0.00	0.00	-13.20	
VNQ	182	182	75.1000	76.0900	180.18	0.00	0.00	0.00	180.18	
Total Stocks					5,631.30	0.00	0.00	12.64	5,643.94	
Equity and Index Options										
JMEI 20JUL18 2.5 C	2	2	0.3441	0.4068	12.54	0.00	0.00	0.00	12.54	
JMEI 20JUL18 5.0 C	3	3	0.0087	0.0572	14.55	0.00	0.00	0.00	14.55	
JMEI 20JUL18 7.5 C	-2	-2	0.0002	0.0059	-1.14	0.00	0.00	0.00	-1.14	
JMEI 19OCT18 1.0 C	4	4	1.6151	1.7600	57.96	0.00	0.00	0.00	57.96	
JMEI 19OCT18 1.5 C	1	1	1.1439	1.2794	13.55	0.00	0.00	0.00	13.55	
JMEI 19OCT18 2.0 C	1	1	0.7467	0.8566	10.99	0.00	0.00	0.00	10.99	
JMEI 19OCT18 2.5 C	2	2	0.4755	0.5374	12.38	0.00	0.00	0.00	12.38	
JMEI 19OCT18 5.0 C	-2	-2	0.0972	0.0970	0.04	0.00	0.00	0.00	0.04	
Total Equity and Index Options					120.87	0.00	0.00	0.00	120.87	
Forex										
CNH	-84.52	-84.52	0.15804	0.15833	-0.02	0.00	0.00	0.00	-0.02	
EUR	-83,951.19	-83,951.19	1.2103	1.2079	177.34	0.00	0.00	0.00	177.34	
HKD	-938,086.05	-938,086.05	0.12746	0.12741	8.40	0.00	0.00	0.00	8.40	
SGD	-9,406.39	-9,406.39	0.75307	0.75422	-10.82	0.00	0.00	0.00	-10.82	
USD	-20,097.32	-20,084.68	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total Forex					174.90	0.00	0.00	0.00	174.90	
Total (All Assets)					5,927.07	0.00	0.00	12.64	5,939.71	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
2318	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,844.92	0.00	0.00	-1,844.92	-1,844.92	
2666	0.00	0.00	0.00	0.00	0.00	0.00	13.27	-2,152.71	0.00	0.00	-2,139.45	-2,139.45	
285	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,975.19	0.00	0.00	-3,975.19	-3,975.19	
BOTZ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-324.38	0.00	0.00	-324.38	-324.38	
COHR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5,754.55	0.00	0.00	-5,754.55	-5,754.55	
DE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-278.40	0.00	0.00	-278.40	-278.40	
DIS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-64.00	0.00	0.00	-64.00	-64.00	
ETE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-175.24	0.00	0.00	-175.24	-175.24	
FB	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-367.95	0.00	0.00	-367.95	-367.95	
GILD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,617.81	0.00	0.00	-1,617.81	-1,617.81	
GM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-831.48	0.00	0.00	-831.48	-831.48	
GOOGL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-172.27	0.00	0.00	-172.27	-172.27	
HSY	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-458.68	0.00	0.00	-458.68	-458.68	
IBM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-294.36	0.00	-762.89	-1,057.25	-1,057.25	
IXD1	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,690.70	0.00	0.00	-1,690.70	-1,690.70	
JMEI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-13,272.02	0.00	0.00	-13,272.02	-13,272.02	
KHC	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,584.82	0.00	0.00	-3,584.82	-3,584.82	
KMB	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-746.99	0.00	0.00	-746.99	-746.99	
MRK	0.00	0.00	0.00	0.00	0.00	0.00	485.72	-115.18	0.00	0.00	370.54	370.54	
MU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-567.88	0.00	0.00	-567.88	-567.88	
NTES	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,277.75	0.00	0.00	-3,277.75	-3,277.75	
PG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-989.51	0.00	0.00	-989.51	-989.51	
QCOM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,071.41	0.00	0.00	-1,071.41	-1,071.41	
REGN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,275.35	0.00	0.00	-3,275.35	-3,275.35	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	128.43	-2,540.42	0.00	0.00	-2,411.99	-2,411.99	
REZ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-947.30	0.00	0.00	-947.30	-947.30	
SMIN	0.00	0.00	0.00	0.00	0.00	0.00	101.74	0.00	0.00	0.00	101.74	101.74	
SPG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-932.33	-932.33	-932.33	
TECD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-267.40	0.00	0.00	-267.40	-267.40	
TEVA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-465.64	0.00	0.00	-465.64	-465.64	
USB	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-177.80	0.00	0.00	-177.80	-177.80	
VNQ	0.00	0.00	0.00	0.00	0.00	0.00	75.40	-909.95	0.00	0.00	-834.55	-834.55	
Total Stocks	0.00	0.00	0.00	0.00	0.00	0.00	804.56	-52,212.07	0.00	-1,695.22	-53,102.74	-53,102.74	
Equity and Index Options													
JMEI 20JUL18 2.5 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-69.64	0.00	0.00	-69.64	-69.64	
JMEI 20JUL18 5.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-91.84	0.00	0.00	-91.84	-91.84	
JMEI 20JUL18 7.5 C	0.00	0.00	0.00	0.00	0.00	0.00	17.82	0.00	0.00	0.00	17.82	17.82	
JMEI 19OCT18 1.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-161.54	0.00	0.00	-161.54	-161.54	
JMEI 19OCT18 1.5 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-43.06	0.00	0.00	-43.06	-43.06	
JMEI 19OCT18 2.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-60.34	0.00	0.00	-60.34	-60.34	
JMEI 19OCT18 2.5 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-103.52	0.00	0.00	-103.52	-103.52	
JMEI 19OCT18 5.0 C	0.00	0.00	0.00	0.00	0.00	0.00	59.22	0.00	0.00	0.00	59.22	59.22	
Total Equity and Index Options	0.00	0.00	0.00	0.00	0.00	0.00	77.04	-529.94	0.00	0.00	-452.90	-452.90	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code	
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total			
Forex														
CNH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
EUR	0.00	0.00	0.00	0.00	0.00	0.00	7.76	-2,450.23	0.00	0.00	-2,442.47	-2,442.47		
HKD	0.00	0.00	0.00	0.00	0.00	0.00	416.36	-0.02	0.00	0.00	416.34	416.34		
SGD	0.00	0.00	0.00	0.00	0.00	0.00	15.95	-92.98	0.00	0.00	-77.03	-77.03		
Total Forex	0.00	0.00	0.00	0.00	0.00	0.00	440.09	-2,543.23	0.00	0.00	-2,103.15	-2,103.15		
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	1,321.68	-55,285.24	0.00	-1,695.22	-55,658.78	-55,658.78		

Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
Stocks							
1211	BYD CO LTD-H	0.00	189.61	0.00	1,160.05	0.00	0.00
1317	CHINA MAPLE LEAF EDUCATIONAL	0.00	456.24	0.00	368.28	0.00	0.00
2018	AAC TECHNOLOGIES HOLDINGS IN	0.00	-100.44	0.00	-100.20	0.00	0.00
2318	PING AN INSURANCE GROUP CO-H	-286.83	-2,117.56	0.00	-266.24	0.00	0.00
2666	UNIVERSAL MEDICAL FINANCIAL	5,240.22	-2,734.11	0.00	134.53	0.00	0.00
2799	CHINA HUARONG ASSET MANAGE-H	0.00	51.02	0.00	12.78	0.00	0.00
285	BYD ELECTRONIC INTL CO LTD	-1,186.47	-2,718.61	0.00	-1,220.32	0.00	0.00
3360	FAR EAST HORIZON LTD	0.00	362.47	0.00	362.49	0.00	0.00
56	ALLIED PROPERTIES HK LTD	0.00	-127.77	0.00	-127.78	0.00	0.00
772	CHINA LITERATURE LTD	0.00	-73.08	0.00	-72.50	0.00	0.00
87	SWIRE PACIFIC LTD-CL B	0.00	-123.03	0.00	-123.08	0.00	0.00
A	AGILENT TECHNOLOGIES INC	0.00	54.58	0.00	54.58	0.00	0.00
AAPL	APPLE INC	0.00	174.22	0.00	125.94	0.00	0.00
AMAT	APPLIED MATERIALS INC	0.00	658.90	0.00	613.62	0.00	0.00
AMD	ADVANCED MICRO DEVICES	0.00	2,900.56	0.00	-255.44	0.00	0.00
BABA	ALIBABA GROUP HOLDING-SP ADR	0.00	732.42	0.00	732.42	0.00	0.00
BIDU	BAIDU INC - SPON ADR	0.00	20.86	0.00	20.86	0.00	0.00
BK	BANK OF NEW YORK MELLON CORP	0.00	22.84	0.00	22.84	0.00	0.00
BOTZ	GLOBAL X ROBOTICS & ARTIFICI	-176.58	-251.36	0.00	73.02	0.00	0.00
C	CITIGROUP INC	0.00	144.65	0.00	144.65	0.00	0.00
CBS	CBS CORP-CLASS B NON VOTING	0.00	183.69	0.00	176.08	0.00	0.00
CERS	CERUS CORP	0.00	-87.96	0.00	-87.96	0.00	0.00
CGNX	COGNEX CORP	0.00	-39.81	0.00	-39.81	0.00	0.00
CIFS	CHINA INTERNET NATIONWIDE FI	0.00	2.48	0.00	2.48	0.00	0.00
COHR	COHERENT INC	-1,074.08	-5,433.82	0.00	320.73	0.00	0.00
DE	DEERE & CO	-199.90	-278.40	0.00	0.00	0.00	0.00
DIS	WALT DISNEY CO/THE	-2.20	-47.37	0.00	0.00	0.00	0.00
DLPH	DELPHI TECHNOLOGIES PLC	0.00	50.07	0.00	50.07	0.00	0.00
DOGZ	DOGNESS (INTERNATIONAL) CORPORATION	0.00	-22.51	0.00	-22.51	0.00	0.00
EBAY	EBAY INC	0.00	75.88	0.00	75.88	0.00	0.00
ETE	ENERGY TRANSFER EQUITY LP	387.96	53.05	0.00	343.71	0.00	0.00

Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
FAST	FASTENAL CO	0.00	152.08	0.00	-475.32	0.00	0.00
FB	FACEBOOK INC-A	268.62	524.52	0.00	892.47	0.00	0.00
GE	GENERAL ELECTRIC CO	0.00	-493.25	0.00	-493.25	0.00	0.00
GILD	GILEAD SCIENCES INC	-417.12	-643.34	0.00	638.71	0.00	0.00
GM	GENERAL MOTORS CO	72.80	-87.87	0.00	133.75	0.00	0.00
GOOGL	ALPHABET INC-CL A	-37.12	896.55	0.00	973.21	0.00	0.00
GSVC	GSV CAPITAL CORP	0.00	-2.42	0.00	-2.42	0.00	0.00
HSY	HERSHEY CO/THE	-168.48	-444.50	0.00	0.00	0.00	0.00
HYG	ISHARES IBOX USD HIGH YIELD	0.00	1.71	0.00	0.00	0.00	0.00
IBM	INTL BUSINESS MACHINES CORP	-364.21	-271.34	0.00	-109.13	0.00	0.00
ICE	INTERCONTINENTAL EXCHANGE IN	0.00	26.56	0.00	26.56	0.00	0.00
INTC	INTEL CORP	0.00	1,854.48	0.00	1,754.49	0.00	0.00
IPGP	IPG PHOTONICS CORP	0.00	516.45	0.00	343.65	0.00	0.00
IXD1	INDUSTRIA DE DISENO TEXTIL	160.69	-1,615.92	0.00	0.00	0.00	0.00
JMEI	JUMEI INTERNATIONAL-ADR	-4,234.65	-7,266.81	0.00	-26.06	0.00	0.00
JNJ	JOHNSON & JOHNSON	0.00	198.07	0.00	180.68	0.00	0.00
KHC	KRAFT HEINZ CO/THE	-1,057.89	-3,391.62	0.00	-18.55	0.00	0.00
KMB	KIMBERLY-CLARK CORP	-426.75	-378.69	0.00	682.54	0.00	0.00
LRCX	LAM RESEARCH CORP	0.00	569.90	0.00	563.60	0.00	0.00
MCD	MCDONALD'S CORP	0.00	56.95	0.00	34.23	0.00	0.00
MDT	MEDTRONIC PLC	0.00	200.17	0.00	249.10	0.00	0.00
MON	MONSANTO CO	228.13	211.42	143.31	188.57	0.00	0.00
MRK	MERCK & CO INC	772.82	1,318.43	0.00	-629.85	0.00	0.00
MS	MORGAN STANLEY	0.00	63.24	0.00	63.24	0.00	0.00
MSFT	MICROSOFT CORP	0.00	120.30	0.00	86.71	0.00	0.00
MU	MICRON TECHNOLOGY INC	-240.24	1,245.79	0.00	1,010.39	0.00	0.00
NTES	NETEASE INC-ADR	-932.80	-3,159.56	0.00	102.99	0.00	0.00
NVDA	NVIDIA CORP	0.00	5,598.13	0.00	2,248.02	0.00	0.00
ORCL	ORACLE CORP	0.00	92.91	0.00	92.91	0.00	0.00
PCY	POWERSHARES EM MKT SOVR DEBT	0.00	0.01	0.00	0.00	0.00	0.00
PG	PROCTER & GAMBLE CO/THE	-784.22	-1,019.32	0.00	-43.46	0.00	0.00
PM	PHILIP MORRIS INTERNATIONAL	0.00	31.49	0.00	31.49	0.00	0.00
QCOM	QUALCOMM INC	-413.60	-1,068.73	0.00	0.00	0.00	0.00
QRVO	QORVO INC	0.00	213.39	0.00	213.39	0.00	0.00
REGN	REGENERON PHARMACEUTICALS	-1,627.20	-3,008.29	0.00	0.00	0.00	0.00
RENN	RENREN INC-ADR	1,047.09	-8,275.54	0.00	-5,863.55	0.00	0.00
REZ	ISHARES RESIDENTIAL REAL ESTAT	98.81	-869.56	0.00	0.00	0.00	0.00
RTN	RAYTHEON COMPANY	0.00	102.34	0.00	66.54	0.00	0.00
SBUX	STARBUCKS CORP	0.00	117.07	0.00	56.61	0.00	0.00
SCO	PROSHARES ULTRASHORT BLOOMBE	0.00	-86.74	0.00	-806.74	0.00	0.00
SKYY	FIRST TRUST CLOUD COMPUTING	0.00	119.50	0.00	119.50	0.00	0.00
SLV	ISHARES SILVER TRUST	0.00	-1,257.92	0.00	-1,628.78	0.00	0.00
SMH	VANECK VECTORS SEMICONDUCTOR	0.00	443.18	0.00	378.95	0.00	0.00
SMIN	ISHARES MSCI INDIA SMALL-CAP	154.40	118.64	16.90	16.90	0.00	0.00
SNAP	SNAP INC - A	0.00	44.50	0.00	44.51	0.00	0.00

Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
SOGO	SOGOU INC-ADR	0.00	375.64	0.00	47.64	0.00	0.00
SOXX	ISHARES PHLX SEMICONDUCTOR E	0.00	699.20	0.00	597.28	0.00	0.00
SPG	SIMON PROPERTY GROUP INC	77.61	-532.15	0.00	0.00	0.00	0.00
STOR	STORE CAPITAL CORP	0.00	22.07	0.00	22.07	0.00	0.00
TAL	TAL EDUCATION GROUP- ADR	0.00	486.79	0.00	486.79	0.00	0.00
TANH	TANTECH HOLDINGS LTD	0.00	18.00	0.00	-740.00	0.00	0.00
TECD	TECH DATA CORP	-177.60	-267.40	0.00	0.00	0.00	0.00
TEVA	TEVA PHARMACEUTICAL-SP ADR	250.09	-465.64	0.00	0.00	0.00	0.00
TIP	ISHARES TIPS BOND ETF	0.00	11.81	0.00	0.00	0.00	0.00
TSLA	TESLA INC	0.00	451.31	0.00	-40.59	0.00	0.00
UAA	UNDER ARMOUR INC-CLASS A	0.00	-85.00	0.00	-529.64	0.00	0.00
UAL	UNITED CONTINENTAL HOLDINGS	0.00	183.60	0.00	183.60	0.00	0.00
UCO	PROSHARES ULTRA BLOOMBERG CR	0.00	-28.07	0.00	-28.07	0.00	0.00
UL	UNILEVER PLC-SPONSORED ADR	0.00	154.65	0.00	148.98	0.00	0.00
USB	US BANCORP	8.80	-58.24	0.00	108.76	0.00	0.00
VNQ	VANGUARD REIT ETF	112.84	-1,006.63	0.00	-192.60	0.00	0.00
XNET	XUNLEI LTD-ADR	0.00	-107.00	0.00	-107.00	0.00	0.00
Total Stocks		-4,927.07	-26,672.97	160.21	3,532.97	0.00	0.00
Equity and Index Options							
INTC 180202C00046000	INTC 02FEB18 46.0 C	0.00	-96.00	0.00	0.00	0.00	0.00
INTC 180202C00047500	INTC 02FEB18 47.5 C	0.00	41.00	0.00	41.00	0.00	0.00
INTC 180223P00047500	INTC 23FEB18 47.5 P	0.00	211.00	0.00	211.00	0.00	0.00
INTC 180720C00046000	INTC 20JUL18 46.0 C	0.00	-149.28	0.00	-149.28	0.00	0.00
INTC 180720C00047000	INTC 20JUL18 47.0 C	0.00	108.72	0.00	108.72	0.00	0.00
INTC 190118C00047000	INTC 18JAN19 47.0 C	0.00	157.62	0.00	157.62	0.00	0.00
JMEI 180119C00005000	JMEI 19JAN18 5.0 C	0.00	408.07	0.00	-158.99	0.00	0.00
JMEI 180420C00005000	JMEI 20APR18 5.0 C	-0.13	121.67	-22.54	84.51	0.00	0.00
JMEI 180720C00002500	JMEI 20JUL18 2.5 C	-51.66	-69.64	0.00	0.00	0.00	0.00
JMEI 180720C00005000	JMEI 20JUL18 5.0 C	-5.70	-79.50	0.00	0.00	0.00	0.00
JMEI 180720C00007500	JMEI 20JUL18 7.5 C	0.56	17.82	0.00	0.00	0.00	0.00
JMEI 181019C00001000	JMEI 19OCT18 1.0 C	-70.08	-161.54	0.00	0.00	0.00	0.00
JMEI 181019C00001500	JMEI 19OCT18 1.5 C	-20.47	-43.06	0.00	0.00	0.00	0.00
JMEI 181019C00002000	JMEI 19OCT18 2.0 C	-24.71	-60.34	0.00	0.00	0.00	0.00
JMEI 181019C00002500	JMEI 19OCT18 2.5 C	-53.70	-103.52	0.00	0.00	0.00	0.00
JMEI 181019C00005000	JMEI 19OCT18 5.0 C	26.66	59.22	0.00	0.00	0.00	0.00
RENN 180119C00015000	RENN 19JAN18 15.0 C	0.00	-52.00	0.00	-52.00	0.00	0.00
Total Equity and Index Options		-199.23	310.24	-22.54	242.58	0.00	0.00
Futures							
UCF18	UC 15JAN18	0.00	250.56	0.00	-1,228.93	0.00	0.00
UCH18	UC 19MAR18	0.00	-1,620.71	0.00	-1,626.46	0.00	0.00
Total Futures		0.00	-1,370.15	0.00	-2,855.40	0.00	0.00
Total (All Assets)		-5,126.30	-27,732.88	137.67	920.15	0.00	0.00

Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Base Currency Summary					
Cash Detail					
Starting Cash	-248,368.92	-248,368.92	0.00		
Commissions	0.00	0.00	0.00	-7.44	-875.35
Deposits	0.00	0.00	0.00	0.00	3,628.48
Withdrawals	0.00	0.00	0.00	0.00	-7,616.24
Dividends	14.04	14.04	0.00	198.80	1,036.60
Broker Interest Paid and Received	0.00	0.00	0.00	-472.42	-2,234.27
Cash Settling MTM	0.00	0.00	0.00	0.00	-1,358.84
Trades (Sales)	0.00	0.00	0.00	5,267.85	869,874.12
Trades (Purchase)	0.00	0.00	0.00	-5,999.17	-818,479.73
Other Fees	0.00	0.00	0.00	-23.97	-58.13
Payment In Lieu of Dividends	0.00	0.00	0.00	4.00	278.72
Transaction Fees	0.00	0.00	0.00	0.00	-220.85
Withholding Tax	-1.40	-1.40	0.00	-20.28	-101.04
Cash FX Translation Gain/Loss	237.55	237.55	0.00		
Ending Cash	-248,118.73	-248,118.73	0.00		
Ending Settled Cash	-248,118.73	-248,118.73	0.00		
CNH					
Cash Detail					
Starting Cash	-84.52	-84.52	0.00		
Commissions	0.00	0.00	0.00	0.00	-73.35
Broker Interest Paid and Received	0.00	0.00	0.00	-0.62	-138.13
Cash Settling MTM	0.00	0.00	0.00	0.00	-8,700.00
Trades (Purchase)	0.00	0.00	0.00	0.00	-80,780.97
Ending Cash	-84.52	-84.52	0.00		
Ending Settled Cash	-84.52	-84.52	0.00		
EUR					
Cash Detail					
Starting Cash	-83,951.19	-83,951.19	0.00		
Broker Interest Paid and Received	0.00	0.00	0.00	-108.19	-421.63
Ending Cash	-83,951.19	-83,951.19	0.00		
Ending Settled Cash	-83,951.19	-83,951.19	0.00		
HKD					
Cash Detail					
Starting Cash	-938,086.05	-938,086.05	0.00		
Commissions	0.00	0.00	0.00	0.00	-1,374.05
Withdrawals	0.00	0.00	0.00	0.00	-46,999.00
Dividends	0.00	0.00	0.00	0.00	688.00
Broker Interest Paid and Received	0.00	0.00	0.00	-2,071.04	-8,149.57
Trades (Sales)	0.00	0.00	0.00	0.00	763,635.00
Trades (Purchase)	0.00	0.00	0.00	0.00	-886,610.00
Transaction Fees	0.00	0.00	0.00	0.00	-1,727.56
Ending Cash	-938,086.05	-938,086.05	0.00		
Ending Settled Cash	-938,086.05	-938,086.05	0.00		
SGD					

Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Cash Detail					
Starting Cash	-9,406.39	-9,406.39	0.00		
Withdrawals	0.00	0.00	0.00	0.00	-2,111.00
Broker Interest Paid and Received	0.00	0.00	0.00	-16.92	-53.85
Ending Cash	-9,406.39	-9,406.39	0.00		
Ending Settled Cash	-9,406.39	-9,406.39	0.00		
USD					
Cash Detail					
Starting Cash	-20,097.32	-20,097.32	0.00		
Commissions	0.00	0.00	0.00	-7.44	-688.38
Deposits	0.00	0.00	0.00	0.00	3,628.48
Dividends	14.04	14.04	0.00	198.80	948.60
Broker Interest Paid and Received	0.00	0.00	0.00	-62.74	-613.95
Trades (Sales)	0.00	0.00	0.00	5,267.85	772,270.37
Trades (Purchase)	0.00	0.00	0.00	-5,999.17	-692,542.65
Other Fees	0.00	0.00	0.00	-23.97	-58.13
Payment In Lieu of Dividends	0.00	0.00	0.00	4.00	278.72
Withholding Tax	-1.40	-1.40	0.00	-20.28	-101.04
Ending Cash	-20,084.68	-20,084.68	0.00		
Ending Settled Cash	-20,084.68	-20,084.68	0.00		

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
EUR								
IXD1	400	1	29.27925	11,711.70	25.7800	10,312.00	-1,399.70	
Total				11,711.70		10,312.00	-1,399.70	
Total in USD				14,146.56		12,455.86	-1,690.70	
HKD								
2318	1,000	1	92.0301994	92,030.20	77.5500	77,550.00	-14,480.20	
2666	93,500	1	7.4595917	697,471.83	7.2800	680,680.00	-16,791.83	
285	3,500	1	20.974279	73,409.98	12.0600	42,210.00	-31,199.98	
Total				862,912.00		800,440.00	-62,472.00	
Total in USD				109,943.62		101,984.06	-7,959.56	
USD								
BOTZ	162	1	25.1023457	4,066.58	23.1000	3,742.20	-324.38	
COHR	56	1	270.9798214	15,174.87	168.2200	9,420.32	-5,754.55	
DE	10	1	163.1700	1,631.70	135.3300	1,353.30	-278.40	
DIS	20	1	103.5300	2,070.60	100.3300	2,006.60	-64.00	
ETE	244	1	16.5181967	4,030.44	15.8000	3,855.20	-175.24	
FB	22	1	188.7250	4,151.95	172.0000	3,784.00	-367.95	
GILD	132	1	84.4861472	11,152.17	72.2300	9,534.36	-1,617.81	
GM	182	1	41.3085714	7,518.16	36.7400	6,686.68	-831.48	

Open Positions

GOOGL	2	1	1,104.7150	2,209.43	1,018.5800	2,037.16	-172.27
HSY	24	1	111.0516667	2,665.24	91.9400	2,206.56	-458.68
IBM	43	1	169.5472093	7,290.53	144.9600	6,233.28	-1,057.25
JMEI	25,002	1	3.2608383	81,527.48	2.7300	68,255.46	-13,272.02
KHC	179	1	76.4069513	13,676.84	56.3800	10,092.02	-3,584.82
KMB	75	1	113.4998667	8,512.49	103.5400	7,765.50	-746.99
MRK	194	1	56.9599897	11,050.24	58.8700	11,420.78	370.54
MU	39	1	60.5410723	2,361.10	45.9800	1,793.22	-567.88
NTES	40	1	339.0136471	13,560.55	257.0700	10,282.80	-3,277.75
PG	113	1	81.0967654	9,163.93	72.3400	8,174.42	-989.51
QCOM	94	1	62.4079787	5,866.35	51.0100	4,794.94	-1,071.41
REGN	40	1	385.56375	15,422.55	303.6800	12,147.20	-3,275.35
RENN	1,837	1	10.2830049	18,889.88	8.9700	16,477.89	-2,411.99
REZ	241	1	61.9907054	14,939.76	58.0600	13,992.46	-947.30
SMIN	84	1	47.8088095	4,015.94	49.0200	4,117.68	101.74
SPG	39	1	180.2458974	7,029.59	156.3400	6,097.26	-932.33
TECD	20	1	89.6200	1,792.40	76.2500	1,525.00	-267.40
TEVA	281	1	19.6370819	5,518.02	17.9800	5,052.38	-465.64
USB	40	1	54.8950	2,195.80	50.4500	2,018.00	-177.80
VNQ	182	1	80.6754612	14,682.93	76.0900	13,848.38	-834.55
Total				292,167.53		248,715.05	-43,452.48

Total Stocks in USD				416,257.71		363,154.98	-53,102.74	
Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code

Equity and Index Options

USD							
JMEI 20JUL18 2.5 C	2	100	0.7550	151.00	0.4068	81.36	-69.64
JMEI 20JUL18 5.0 C	3	100	0.3633333	109.00	0.0572	17.16	-91.84
JMEI 20JUL18 7.5 C	-2	100	0.0950	-19.00	0.0059	-1.18	17.82
JMEI 19OCT18 1.0 C	4	100	2.1638445	865.54	1.7600	704.00	-161.54
JMEI 19OCT18 1.5 C	1	100	1.7100	171.00	1.2794	127.94	-43.06
JMEI 19OCT18 2.0 C	1	100	1.4600	146.00	0.8566	85.66	-60.34
JMEI 19OCT18 2.5 C	2	100	1.0550	211.00	0.5374	107.48	-103.52
JMEI 19OCT18 5.0 C	-2	100	0.3930928	-78.62	0.0970	-19.40	59.22
Total				1,555.92		1,103.02	-452.90

Forex Balances

Description	Quantity	Cost Price	Cost Basis in USD	Close Price	Value in USD	Unrealized P/L in USD	Code
Forex							
USD							
CNH	-84.52	0.1584314	13.39	0.15833	-13.38	0.00	
EUR	-83,951.19	1.178806	98,962.17	1.2079	-101,404.64	-2,442.47	
HKD	-938,086.05	0.1278538	119,937.89	0.12741	-119,521.54	416.34	
SGD	-9,406.39	0.7460314	7,017.46	0.75422	-7,094.49	-77.03	
USD	-20,084.68	1.0000	20,084.68	1.0000	-20,084.68	0.00	

Forex Balances

Total	246,015.59	-248,118.73	-2,103.15
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Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
Stocks					
EUR					
IXD1	INDUSTRIA DE DISENO TEXTIL	400	0	0	400
HKD					
2318	PING AN INSURANCE GROUP CO-H	1,000	0	0	1,000
2666	UNIVERSAL MEDICAL FINANCIAL	93,500	0	0	93,500
285	BYD ELECTRONIC INTL CO LTD	3,500	0	0	3,500
USD					
BOTZ	GLOBAL X ROBOTICS & ARTIFICI	162	0	0	162
COHR	COHERENT INC	56	0	0	56
DE	DEERE & CO	10	0	0	10
DIS	WALT DISNEY CO/THE	20	0	0	20
ETE	ENERGY TRANSFER EQUITY LP	244	0	0	244
FB	FACEBOOK INC-A	22	0	0	22
GILD	GILEAD SCIENCES INC	132	0	0	132
GM	GENERAL MOTORS CO	182	0	0	182
GOOGL	ALPHABET INC-CL A	2	0	0	2
HSY	HERSHEY CO/THE	24	0	0	24
IBM	INTL BUSINESS MACHINES CORP	43	0	0	43
JMEI	JUMEI INTERNATIONAL-ADR	25,002	0	0	25,002
KHC	KRAFT HEINZ CO/THE	179	0	0	179
KMB	KIMBERLY-CLARK CORP	75	0	0	75
MRK	MERCK & CO INC	194	0	0	194
MU	MICRON TECHNOLOGY INC	39	0	0	39
NTES	NETEASE INC-ADR	40	0	0	40
PG	PROCTER & GAMBLE CO/THE	113	0	0	113
QCOM	QUALCOMM INC	94	0	0	94
REGN	REGENERON PHARMACEUTICALS	40	0	0	40
RENN	RENREN INC-ADR	1,837	0	0	1,837
REZ	ISHARES RESIDENTIAL REAL EST	241	0	0	241
SMIN	ISHARES MSCI INDIA SMALL-CAP	84	0	0	84
SPG	SIMON PROPERTY GROUP INC	39	0	0	39
TECD	TECH DATA CORP	20	0	0	20
TEVA	TEVA PHARMACEUTICAL-SP ADR	281	0	0	281
USB	US BANCORP	40	0	0	40
VNQ	VANGUARD REAL ESTATE ETF	182	0	0	182

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-378.22
Interest Accrued	-74.19
Accrual Reversal	0.00
FX Translation	0.30
Ending Accrual Balance	-452.12

CNH

Starting Accrual Balance	-0.50
Interest Accrued	-0.08
Accrual Reversal	0.00
Ending Accrual Balance	-0.58
Ending Accrual Balance in USD	-0.09

EUR

Starting Accrual Balance	-87.47
Interest Accrued	-14.00
Accrual Reversal	0.00
Ending Accrual Balance	-101.47
Ending Accrual Balance in USD	-122.57

HKD

Starting Accrual Balance	-1,661.36
Interest Accrued	-375.86
Accrual Reversal	0.00
Ending Accrual Balance	-2,037.22
Ending Accrual Balance in USD	-259.56

SGD

Starting Accrual Balance	-14.06
Interest Accrued	-2.68
Accrual Reversal	0.00
Ending Accrual Balance	-16.74
Ending Accrual Balance in USD	-12.63

USD

Starting Accrual Balance	-49.93
Interest Accrued	-7.34
Accrual Reversal	0.00
Ending Accrual Balance	-57.27

Withholding Tax

Date	Description	Amount	Code
USD			
2018-04-27	MON(US61166W1018) Cash Dividend 0.54000000 USD per Share - US Tax	-1.40	
Total		-1.40	

Dividends

Date	Description	Amount
USD		
2018-04-27	MON(US61166W1018) Cash Dividend 0.54000000 USD per Share (Ordinary Dividend)	14.04
Total		14.04

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
2318	PING AN INSURANCE GROUP CO-H	46652400	CNE1000003X6	SEHK	1	COMMON	
2666	UNIVERSAL MEDICAL FINANCIAL	199290655	HK0000255361	SEHK	1	COMMON	
285	BYD ELECTRONIC INTL CO LTD	47655691	HK0285041858	SEHK	1	COMMON	
BOTZ	GLOBAL X ROBOTICS & ARTIFICI	247691382	37954Y715	NASDAQ	1	ETF	
COHR	COHERENT INC	267889	192479103	NASDAQ	1	COMMON	
DE	DEERE & CO	6393	244199105	NYSE	1	COMMON	
DIS	WALT DISNEY CO/THE	6459	254687106	NYSE	1	COMMON	
ETE	ENERGY TRANSFER EQUITY LP	37830920	29273V100	NYSE	1	LTD PART	
FB	FACEBOOK INC-A	107113386	30303M102	NASDAQ	1	COMMON	
GILD	GILEAD SCIENCES INC	269753	375558103	NASDAQ	1	COMMON	
GM	GENERAL MOTORS CO	80986742	37045V100	NYSE	1	COMMON	
GOOGL	ALPHABET INC-CL A	208813719	02079K305	NASDAQ	1	COMMON	
HSY	HERSHEY CO/THE	8199	427866108	NYSE	1	COMMON	
IBM	INTL BUSINESS MACHINES CORP	8314	459200101	NYSE	1	COMMON	
IXD1	INDUSTRIA DE DISENO TEXTIL	162084958	ES0148396007	BM	1	COMMON	
JMEI	JUMEI INTERNATIONAL-ADR	151265300	48138L107	NYSE	1	ADR	
KHC	KRAFT HEINZ CO/THE	199169586	500754106	NASDAQ	1	COMMON	
KMB	KIMBERLY-CLARK CORP	8864	494368103	NYSE	1	COMMON	
MON	MONSANTO CO	10523297	61166W101	NYSE	1	COMMON	
MRK	MERCK & CO INC	70101545	58933Y105	NYSE	1	COMMON	
MU	MICRON TECHNOLOGY INC	9939	595112103	NASDAQ	1	COMMON	
NTES	NETEASE INC-ADR	9541698	64110W102	NASDAQ	1	ADR	
PG	PROCTER & GAMBLE CO/THE	11054	742718109	NYSE	1	COMMON	
QCOM	QUALCOMM INC	273544	747525103	NASDAQ	1	COMMON	
REGN	REGENERON PHARMACEUTICALS	273733	75886F107	NASDAQ	1	COMMON	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	
REZ	ISHARES RESIDENTIAL REAL EST	44053719	464288562	ARCA	1	ETF	
SMIN	ISHARES MSCI INDIA SMALL-CAP	101484831	46429B614	BATS	1	ETF	
SPG	SIMON PROPERTY GROUP INC	2009113	828806109	NYSE	1	REIT	
TECD	TECH DATA CORP	275052	878237106	NASDAQ	1	COMMON	
TEVA	TEVA PHARMACEUTICAL-SP ADR	275085	881624209	NYSE	1	ADR	
USB	US BANCORP	11669769	902973304	NYSE	1	COMMON	
VNQ	VANGUARD REAL ESTATE ETF	31230302	922908553	ARCA	1	ETF	

Financial Instrument Information

Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
JMEI 180720C00002500	JMEI 20JUL18 2.5 C	296829279		100	2018-07-20	2018-07	C	2.5	
JMEI 180720C00005000	JMEI 20JUL18 5.0 C	296829283		100	2018-07-20	2018-07	C	5	
JMEI 180720C00007500	JMEI 20JUL18 7.5 C	296829286		100	2018-07-20	2018-07	C	7.5	
JMEI 181019C00001000	JMEI 19OCT18 1.0 C	309406829		100	2018-10-19	2018-10	C	1	
JMEI 181019C00001500	JMEI 19OCT18 1.5 C	309406824		100	2018-10-19	2018-10	C	1.5	
JMEI 181019C00002000	JMEI 19OCT18 2.0 C	309406835		100	2018-10-19	2018-10	C	2	
JMEI 181019C00002500	JMEI 19OCT18 2.5 C	307622017		100	2018-10-19	2018-10	C	2.5	
JMEI 181019C00005000	JMEI 19OCT18 5.0 C	307622020		100	2018-10-19	2018-10	C	5	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LD	Adjusted by Loss Disallowed from Wash Sale
ADR	ADR Fee Accrual	LI	Last In, First Out (LIFO) tax basis election
AEx	Automatic exercise for dividend-related recommendation.	LT	Long Term P/L
Adj	Adjustment	Lo	Direct Loan
Al	Allocation	M	Entered manually by Broker
Aw	Away Trade	MEx	Manual exercise for dividend-related recommendation.
B	Automatic Buy-in	ML	Maximize Losses tax basis election
Bo	Direct Borrow	MLG	Maximize Long Term Gain tax basis election
C	Closing Trade	MLL	Maximize Long Term Loss tax basis election
CD	Cash Delivery	MSG	Maximize Short Term Gain tax basis election
CP	Complex Position	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	O	Opening Trade
Co	Corrected Trade	P	Partial Execution
Cx	Part or all of this transaction was a Crossing executed as dual agent by Broker for two Broker customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ep	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by Broker against a Broker affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	RED	Redemption to Investor
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Broker
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against a Broker affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by Broker (Margin Violation)	Un	Unvested shares from stock grant

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

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- IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Tiger Brokers (NZ) Limited of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Tiger Brokers (NZ) Limited. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



CHANG JIADONG 常家棟
山東省東平縣東平辦事處龍山大街016號一區6號樓2單元201號

Account Number 帳戶號碼: [REDACTED]
Account Type 帳戶類別: Cash Account
Sales Code 營業員編號: 91000189
Statement Date 結單日期: 2018-04-27
Print Date 印單日期: 2018-04-27

中國

Daily Combined Statement of Account 帳戶綜合日結單

Contract Details 交易合約明細

U.S. DOLLARS 美元

TRADE DATE 交易日	STL DATE 交割日	REF NO 參考編號	BUY/SELL 買賣	NATURE 性質	PRODUCT CODE & NAME 商品代號及名稱	DESCRIPTION 項目說明	QUANTITY 庫存	CCY 幣別	UNIT PRICE 單位價格	(DR)/CR AMOUNT (提)/存金額
2018-04-26	2018-04-30	1381197	BUY	AIN	NYSEFB FACEBOOK INC FACEBOOK INC		8	USD	172.8800	(1,398.04)
					CONSIDERATION 成交價值	1,383.04				
					Commission 佣金	15.00				

Cash & Portfolio Movements 現金及庫存異動

HONG KONG DOLLARS 港元

TRADE DATE 交易日	STL DATE 交割日	REF NO 參考編號	TXN TYPE 交易類別	PRODUCT CODE & NAME 商品代號及名稱	DESCRIPTION 項目說明	QUANTITY 庫存	UNIT PRICE 單位價格	(DR)/CR AMOUNT (提)/存金額	(DR)/CR BALANCE (結欠)/結餘
2018-04-27					OPENING AVAILABLE BALANCE 期初可用結餘				11,833.59
					AVAILABLE BALANCE AS AT 可用結存 2018-04-27				11,833.59
DEBIT INTEREST 應付利息:0.00 (13.250%pa) CREDIT INTEREST 應收利息:0.00 (0.010%pa) BALANCE INCLUDE INTEREST 結餘(包含利息):									11,833.59

U.S. DOLLARS 美元

TRADE DATE 交易日	STL DATE 交割日	REF NO 參考編號	TXN TYPE 交易類別	PRODUCT CODE & NAME 商品代號及名稱	DESCRIPTION 項目說明	QUANTITY 庫存	UNIT PRICE 單位價格	(DR)/CR AMOUNT (提)/存金額	(DR)/CR BALANCE (結欠)/結餘
2018-04-27					OPENING AVAILABLE BALANCE 期初可用結餘				0.00
					AVAILABLE BALANCE AS AT 可用結存 2018-04-27				0.00
2018-04-26	2018-04-30	1381197	BUY	NYSEFB FACEBOOK INC FACEBOOK INC		8	172.8800	(1,398.04)	(1,398.04)
					LEDGER BALANCE AS AT 總期末結存 2018-04-30				(1,398.04)
DEBIT INTEREST 應付利息:0.00 (0.000%pa) CREDIT INTEREST 應收利息:0.00 (0.000%pa) BALANCE INCLUDE INTEREST 結餘(包含利息):									(1,398.04)

Portfolio 投資組合

PRODUCT CODE 商品代號	SECURITIES NAME 證券名稱	OPENING QTY 期初庫存	(OUT)/IN (提)/存	AVAIL. QTY 可用庫存	O/S, ON HOLD 待結算/凍結股份	CLOSING QTY 期末庫存	CCY 幣別	MKT PRICE 市價(\$)	MARKET VALUE 市值
HKEX 00486	RUSAL 俄鋁	5,000	0	5,000	0	5,000	HKD	1.9800	9,900.00
HKEX 01918	SUNAC 融創中國	1,000	0	1,000	0	1,000	HKD	34.4000	34,400.00
SUB-TOTAL 小計							HKD		44,300.00
NYSE FB	FACEBOOK INC	8	0	8	8	16	USD	174.1600	2,786.56
NYSE RENN	RENREN INC	122	0	122	0	122	USD	10.1400	1,237.08
SUB-TOTAL 小計							USD		4,023.64

Total Assets Value 總資產值

CCY 幣別	Amount 總額	EXCH RATE 匯率	HK\$(EQV)
HKD		1.00	11,833.59
USD		7.83	(1,398.04)
			(10,952.04)

PRODUCT CODE 商品代號	SECURITIES NAME 證券名稱	CLOSING QTY 期末庫存	CCY 幣別	MKT PRICE 市價(\$)	MARKET VALUE 市值	EXCH RATE 匯率	HK\$(EQV)
HKEX 00486	RUSAL 俄鋁	5,000	HKD	1.9800	9,900.00	1.00	9,900.00
HKEX 01918	SUNAC 融創中國	1,000	HKD	34.4000	34,400.00	1.00	34,400.00
NYSE FB	FACEBOOK INC	16	USD	174.1600	2,786.56	7.83	21,829.49
NYSE RENN	RENREN INC	122	USD	10.1400	1,237.08	7.83	9,691.10
SUB-TOTAL 小計							75,820.59
TOTAL 總計							76,702.15

Important Notes 重要備註

- This is a computer generated printout which bears no signature.
此乃電腦編制無需人員簽署。
- This statement is deemed to be correct if no discrepancies are reported within 2 business days.
如發現任何錯誤，請在此結單發出日期起2個工作天內通知本公司。否則本公司有權視作客戶已接受及承認本結單為正確無誤。
- This statement also serves as Contract Note and the acknowledgement of Receipt of securities and/or money deposit to us.
本結單同時作為本公司之買賣單及向閣下確認收到證券及/或款項之收據。
- The current interest rate charged on outstanding amount is calculated on monthly rests. Our company may at its absolute discretion and without prior notice charge interest at such other rate.
未償付的款額會每月按現行息率計算利息。本公司有絕對的酌情權在未有事前通知情況下以其他息率徵收利息。
- Notes to "Nature" 性質備註
A - the transaction is completed by this company acting as an agent. 此交易為本公司以代理人名義完成
P - the transaction is completed by this company acting as a principle. 此交易為本公司以主事人名義完成
I - the transaction is placed via Internet. 此為網上交易
T - the transaction is placed via Terminal(Phone). 此為終端機(電話)交易
F - the transaction is a Force Sell order. 此為強行平倉交易
N - the transaction is a normal order. 此為一般買賣交易
- The Stamp Duty imprinted herein has been/will be paid through the Stock Exchange of Hong Kong Ltd.
在此單據上的印花稅款額，已經或將會透過香港聯合交易所有限公司繳付

** End of Statement *** 結單完

NYSCEF DOC. NO. 834

APEX CLEARING CORPORATION
350 NORTH ST. PAUL SUITE 1300
DALLAS, TX 75201

RECEIVED NYSCEF: 12/02/2021



robinhood

3200 Ash St, Palo Alto, CA 94306
support@robinhood.com
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May 1, 2018 - May 31, 2018

PAGE 1 OF 7

ACCOUNT NUMBER [REDACTED]

KE LIAO

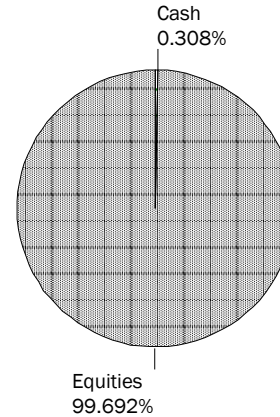
Your Registered Representative
ROBINHOOD FINANCIAL

KE LIAO
353 HAWKEYE DR
IOWA CITY IA 52246 2605

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$ 3.25	\$8.00
NET ACCOUNT BALANCE	3.25	8.00
Securities	2,691.00	2,586.35
TOTAL PRICED PORTFOLIO	2,691.00	2,586.35
Total Equity Holdings	\$2,687.75	\$2,594.35

▶ PORTFOLIO EQUITY ALLOCATION



ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
MEMBER FINRA, NYSE and SIPC

See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

NYSCEF DOC. NO. 834

IMPORTANT INFORMATION

RECEIVED NYSCEF: 12/02/2021

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org. Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTIMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

May 1, 2018 - May 31, 2018

PAGE 2 OF 7

ACCOUNT NUMBER [REDACTED]

KE LIAO



robinhood

3200 Ash St, Palo Alto, CA 94306
support@robinhood.com

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I N D I V I D U A L A C C O U N T

▶ PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ Estimated Yield 0.42%	SQQQ	M	173	\$14.95	\$2,586.35		N/A	\$11	99.692%
Total Equities					\$2,586.35			\$11	99.692%
Total Cash (Net Portfolio Balance)					\$8.00				0.308%
TOTAL PRICED PORTFOLIO					\$2,594.35			\$11	

▶ ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	05/02/18	M	PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ UNSOLICITED CUSIP: 74348A160	14	\$17.06	\$238.84	
BOUGHT	05/02/18	M	PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ UNSOLICITED CUSIP: 74348A160	86	17.06	1,467.16	
BOUGHT	05/02/18	M	RENREN INC AMERICAN DEPOSITARY SHR EACH RPRSNTNG FIFTEEN CL A ORD SHS UNSOLICITED CUSIP: 759892201	128	9	1,152.00	

May 1, 2018 - May 31, 2018

PAGE 3 OF 7

ACCOUNT NUMBER [REDACTED]



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▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	05/02/18	M	RENREN INC AMERICAN DEPOSITARY SHR EACH RPRSNTNG FIFTEEN CL A ORD SHS UNSOLICITED CUSIP: 759892201	77	9.53		733.78
SOLD	05/02/18	M	RENREN INC AMERICAN DEPOSITARY SHR EACH RPRSNTNG FIFTEEN CL A ORD SHS UNSOLICITED CUSIP: 759892201	223	9.53		2,125.11
BOUGHT	05/14/18	M	PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ UNSOLICITED CUSIP: 74348A160	73	15.149	1,105.88	
SOLD	05/14/18	M	RENREN INC AMERICAN DEPOSITARY SHR EACH RPRSNTNG FIFTEEN CL A ORD SHS UNSOLICITED CUSIP: 759892201	128	8.721		1,116.24
Total Buy / Sell Transactions						\$3,963.88	\$3,975.13

I N D I V I D U A L A C C O U N T

May 1, 2018 - May 31, 2018

PAGE 4 OF 7

ACCOUNT NUMBER [REDACTED]



robinhood

3200 Ash St, Palo Alto, CA 94306

support@robinhood.com

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KE LIAO

► ANNOUNCEMENTS

IMPORTANT INFORMATION

Robinhood GOLD Customers Only: Disclosure pursuant to Exchange Act Rule 10b 16

SEC Rules require disclosure on your statement of the total interest charge for the period during which interest is charged, dates on which the interest period began and ended, the annual rate or rates of interest charged and the interest charge for each such different annual rate of interest. As you are aware, by entering into the Robinhood Gold Agreement and opening a Robinhood Gold account, you chose to accept the bundled offering of services, which among other things includes margin lending, at a flat fee that is listed in your account statement and is based on the tiered pricing you selected and for which you qualified. You are under no obligation to borrow funds in your Robinhood Gold account. Unless otherwise indicated on your statement, your use of margin in your Robinhood Gold account will not incur any charges apart from the flat fee and no separate line item for interest charges will be identified on your statement.

IMPORTANT INFORMATION

Statement of Financial Condition

A copy of Apex Clearing Corporation's audited Statement of Financial Condition at December, 2017 is available on the website at www.apexclearing.com. A copy may also be obtained at no cost by calling 1 214 765 1009. At December 31, 2017, Apex Clearing Corporation had net capital of \$139,678,222 and was \$121,030,862 in excess of its required net capital of \$18,647,360. At January 31, 2018, Apex Clearing Corporation had net capital of \$144,352,107 and was \$124,607,593 in excess of its required net capital of \$19,744,514.

IMPORTANT INFORMATION

To our valued customers:

NASD Rule 2340 requires that we advise you to promptly report any inaccuracy or discrepancy in your account (within 10 days after available) to your brokerage firm and clearing firm (where these are different firms) and to re confirm any oral communications in writing.

<https://www.finra.org/industry/notices/0672>

"IMPORTANT Part of your distribution includes a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement."

The preceding notice is required when reporting distributions on Direct Participation Programs and/or REITs and is subject to the DPP or REIT sponsor's final capital return determination as detailed in the IRS Form 1099 or K 1, as applicable.

Alternative or Other Investments, DPP, and Unlisted securities are not listed on a national securities exchange, are generally illiquid and that, even if a customer is able to sell the securities, the price received may be less than the per share estimated value provided in the account. The evaluation method used for any particular DPP or REIT will be provided to clients upon request.

Certain positions are not held on Apex's books or custodied by Apex and are not Protected by SIPC.

Pursuant to SEC Rule 606, Apex Clearing Corporation is required to make publicly available a quarterly report with regard to its routing of non directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance (a Division of S3 Matching Technologies) to disclose all required information pertaining to this rule. This information can be accessed on the internet at: <http://public.s3.com/rule606/apex/>, or a written copy will be furnished at no cost upon request via telephone to (214) 765 1009.

SEC Rule 606 (b) requires a broker dealer to disclose to its customers, upon request, "the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non directed orders, and the time of the transactions, if any, that resulted from such orders."

In accordance with the Emergency Economic Stabilization Act of 2008 broker dealers are required to track and report cost basis to the IRS in three phases over the next three years.

- Equity securities acquired on or after January 1, 2011

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May 1, 2018 - May 31, 2018

PAGE 5 OF 7

ACCOUNT NUMBER [REDACTED]



robinhood

3200 Ash St, Palo Alto, CA 94306

support@robinhood.com

Securities offered through Robinhood Financial, LLC. Member FINRA & SIPC

KE LIAO

► ANNOUNCEMENTS (CONTINUED)

- Mutual fund and dividend reinvestment plan (DRIP) shares acquired on or after January 1, 2012:
 - Debit securities, options, and all other financial instruments acquired on or after January 1, 2014. (The IRS has extended this date from the previously announced date of January 1, 2013.)
- Beginning this upcoming tax reporting season the cost basis of any covered equity security transaction (purchased after 12/31/10) that is required to be reported on a 1099 B will include its associated cost basis. If you have any questions about this important change to the IRS mandated reporting requirements, please contact your broker.

IMPORTANT INFORMATION CONTINUED

Please take note of the following description of Apex Clearing Corporation's excess SIPC coverage, which reflects certain changes made to the coverage effective August 8, 2013. The Securities Investor Protection Corporation ("SIPC") protects certain customer funds up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. **Please note SIPC does not cover commodity contracts and options on futures.** For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371 8300 or visit www.sipc.org.

Apex has purchased an additional insurance policy to supplement SIPC protection. This additional insurance policy, widely known as "excess SIPC," becomes available to customers in the event that SIPC limits are exhausted. This additional insurance provides protection for securities and cash up to an aggregate limit of \$150 million, subject to sub limits for any one customer of \$37.5 million for securities and \$900,000 for cash. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities. For additional information, please contact your broker.

FDIC SWEEP PROGRAM

For customers with balances in a bank deposit account as part of the FDIC Sweep Program or shares of a money market mutual fund in which you have a beneficial interest, those balances or shares may be liquidated on your order and the proceeds returned to your account or remitted to you in accordance with the applicable prospectus and/or Terms and Conditions of the program.

For customers participating in the FDIC Sweep Program, the FDIC Sweep Program allows your cash balance to be eligible for insurance protection through the FDIC up to the maximum applicable insurance limits. Customers may obtain information about FDIC, by contacting the FDIC at 1 877 275 3342, 1 800 925 4618 (TDD) or by visiting www.fdic.gov. Deposit Account balances in the FDIC Sweep Program are not protected by SIPC or any other excess coverage by Apex Clearing Corporation. Deposit Account balances are protected as established by current applicable laws regulated by the FDIC.

Customers may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202 371 8300 or by visiting www.SIPC.org.

IMPORTANT INFORMATION

APEX CLEARING CORPORATION DISCLOSURE STATEMENT

Apex Clearing Corporation ("Apex") recognizes the importance of providing information on an ongoing basis to the customers whose accounts are cleared through Apex. Your broker/dealer has designated Apex as its clearing firm. In accordance with industry rules and regulations, Apex is required to disclose on an annual basis certain important regulatory notices and disclosures. To comply with these requirements, Apex has published an Annual Disclosure Statement which is available on the Apex corporate web site, www.apexclearing.com. For customers who do not have access to the internet please call Apex at 214 765 1009 and request a complete copy of the Annual Disclosure Statement be mailed to your address of record.

A brief summary of the content of the Annual Disclosure Statement is as follows:

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May 1, 2018 - May 31, 2018

PAGE 6 OF 7

ACCOUNT NUMBER [REDACTED]



robinhood

3200 Ash St, Palo Alto, CA 94306

support@robinhood.com

Securities offered through Robinhood Financial, LLC. Member FINRA & SIPC

KE LIAO

► ANNOUNCEMENTS (CONTINUED)

- Anti Money Laundering Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.
- Privacy Policy The privacy of customers is a responsibility which Apex respects and protects for former customers as well as current customers.
- Margin Disclosure Statement: FINRA Rule 2264 The Information furnished provides basic facts about purchasing securities on margin and alerts customers of certain risks involved in trading securities in a margin account.
- Day Trading Risk Disclosure Statement: FINRA Rule 2270 Information to be considered before engaging in a day trading strategy.
- Business Continuity Plan: FINRA Rule 4370 A further summary of Apex's Disaster Recovery Plan to reasonable ensure business continuity.
- SEC Rule 606 and 607 (Payment for Order Flow and Order Routing information) (Rule 606) Requires Apex to make public a quarterly report with regard to routing of non directed orders and (Rule 607) Requires Apex to disclose its payment for order flow practices.
- SEC Rule 10b 10 Requires customers are provided with prior written notification of certain transactions that are not reported immediately through a trade confirmation.
- SIPC Information: FINRA Rule 2266 The Securities Investor Protection Corporation ("SIPC") requires that funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For more information about SIPC coverage or to receive a brochure, please visit the SIPC website at www.sipc.org or, call (202) 371 8300.
- Investor Education and Protection: FINRA Rule 2267 Requires Apex to provide information about FINRA's BrokerCheck program. The investor brochure may be obtained from FINRA BrokerCheck hotline number (800) 289 9999 or the FINRA web site address www.FINRA.org.
- Joint NASD Industry Breakpoint Task Force A further summary of a July 2003 report which recommends written disclosure regarding mutual fund breakpoints.
- Carrying Agreements: FINRA Rule 4311 The firm with which you have opened your securities account has retained Apex to provide certain record keeping, clearance, and settlement functions. A further summary of details is disclosed.
- Extended Hours Trading Risk Disclosure: FINRA Rule 2265 Risks to consider include lower liquidity, higher volatility, changing prices, unlinked markets, news announcements, wider spreads, and lack of calculation.
- Liens and Levies Apex will abide by the directions of federal, state, or other levying authorities.
- Regulation E Disclosure regarding certain electronic transfers is required under the provisions of this regulation as issued by the Board of Governors of the Federal Reserve System.

IMPORTANT INFORMATION - Privacy Policy

Apex Clearing Corporation ("Apex") carries your account as a clearing broker by arrangement with your broker/dealer as introducing broker. At Apex, we understand that privacy is an important issue for customers of our introducing firms. It is our policy to respect the privacy of all accounts that we maintain as clearing broker and to protect the security and confidentiality of non public personal information relating to those accounts. Please note that this policy applies to former customers as well as current customers.

Personal Information Collected

In order to service your account as clearing broker, information is provided to Apex by your introducing broker who collects information from you in order to provide the financial services that you have requested. The information collected by your introducing broker and provided to Apex may come from the following sources:

- Information received from you, such as your name, address, telephone number, social security number, occupation, and income;
- Information relating to your transactions, including account balances, positions, and activity;
- Information which may be received from customer reporting agencies, such as credit bureau reports;
- Information relating to your creditworthiness;
- Information which may be received from other sources with your consent or with the consent of your introducing broker.

Sharing of Nonpublic Personal Information

Apex does not disclose nonpublic personal information relating to current or former customers of introducing brokers to any third parties, except as required or permitted by law, including but

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May 1, 2018 - May 31, 2018

PAGE 7 OF 7

ACCOUNT NUMBER



robinhood

3200 Ash St, Palo Alto, CA 94306
support@robinhood.com

Securities offered through Robinhood Financial, LLC. Member FINRA & SIPC

KE LIAO

▶ ANNOUNCEMENTS (CONTINUED)

not limited to any obligations of Apex under the USA PATRIOT Act, and in order to facilitate the clearing of customer transactions in the ordinary course of business.

Apex has multiple affiliates and relationships with third party companies. Examples of these companies include financial and non financial companies that perform services such as data processing and companies that perform securities executions on your behalf. We may share information among our affiliates, as permitted by law, in order to better service your financial needs.

Security

Apex strives to ensure that our systems are secure and that they meet industry standards. We seek to protect non public personal information that is provided to Apex by your introducing broker by implementing physical and electronic safeguards. Where we believe appropriate, we employ firewalls, encryption technology, user authentication systems (i.e. passwords and personal identification numbers) and access control mechanisms to control access to systems and data. Apex endeavors to ensure that third party service providers who may have access to non public personal information are following appropriate standards of security and confidentiality. We instruct our employees to use strict standards of care in handling the personal financial information of customers. As a general policy our staff will not discuss or disclose information regarding an account except with authorized personnel of your introducing broker or as required by law or pursuant to regulatory request and/or authority.

Access to Your Information

You may access your account information through a variety of media offered by your introducing broker and Apex (i.e. statements or online services). Please contact your introducing broker if you require any additional information.

IMPORTANT INFORMATION - Privacy Policy - CONTINUED

Apex may use "cookies" in order to provide better service, to facilitate its customers' use of the website, to track usage of the website, and to address security hazards. A cookie is a small piece of information that a website stores on a personal computer, and which in case later retrieve.

Changes to Apex's Privacy Policy

Apex reserves the right to make changes to this policy.

How to Get in Touch with Apex about this Privacy Policy

For more information relating to Apex's privacy policy and additional disclosures, please visit our website at www.apexclearing.com or contact:

Apex Clearing Corporation, Attn: Compliance Department, 350 N. St. Paul, Suite 1300, Dallas, Texas 75201, 214 765 1055

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To whom it may concern,

I'm willing to join the lawsuit to protect my holding in RenRen.

Printed name: Pan Teng

Signature: 

Date: 2018/06/06

我愿意参加诉讼以维护我所持有的人人股份。

姓名：潘腾

签名: 

日期： 2018/06/06

2018 年 4 月 30 日前持股人人网股份： ADS 3400

2018 年 4 月 30 日之后持有人人网股份： ADS 3400

Interactive Brokers Hong Kong Ltd [HK] | https://www.ibkr.com.cn/AccountManagement/AmAuthentication?&action=1&loginType=1

简体中文 潘昀

已实现和未实现的表现总结

代码	费用调整	已实现的					未实现的					总数	代码	
		短期利润	短期损失	长期利润	长期损失	总数	短期利润	短期损失	长期利润	长期损失	总数			
股票														
AMCN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1.85	0.00	0.00	-1.85	-1.85		
FEDU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,031.00	0.00	0.00	-1,031.00	-1,031.00		
RENN	0.00	0.00	0.00	0.00	0.00	0.00	39.00	-14,429.88	0.00	0.00	-14,390.88	-14,390.88		
SRNE	0.00	0.00	0.00	0.00	0.00	0.00	24.00	-36.00	0.00	0.00	-12.00	-12.00		
总数	0.00	0.00	0.00	0.00	0.00	0.00	63.00	-15,498.73	0.00	0.00	-15,435.73	-15,435.73		
总计 (全部资产)	0.00	0.00	0.00	0.00	0.00	0.00	63.00	-15,498.73	0.00	0.00	-15,435.73	-15,435.73		

未平仓头寸

代码	数量	多个	成本价格	成本基础	收盘价格	价值	未实现的损益	代码
股票								
USD								
AMCN	1	1	2.586101	2.60	0.7536	0.75	-1.85	
FEDU	1,000	1	7.2110	7,211.00	6.1800	6,180.00	-1,031.00	SY
RENN	3,400	1	12.9726118	44,106.88	8.7400	29,716.00	-14,390.88	SY
SRNE	400	1	7.4550	2,982.00	7.4250	2,970.00	-12.00	
总数				54,302.48		38,866.75	-15,435.73	

> 交易

> 代码

任务栏: 股东信息统计.xlsx, 股东知情书.docx, python-3.6.5.exe

From: [虚心竹傲雪梅](#)
To: [info_RenrenSettlement](#)
Subject: Objections to the Proposed Settlement of Shareholder Derivative Actions(Case No. 653594/2018)
Date: Monday, November 22, 2021 4:57:33 AM
Attachments: [股东知情书.docx](#)
[股东信息统计.xlsx](#)

CAUTION: This email originated from outside of Epiq. Do not click links or open attachments unless you recognize the sender and know the content is safe. Report phishing by using the "Phish Alert Report" button above.

Dear Sir/Madam:

Case No. 653594/2018

Ref: In re Renren Inc. Derivative Litigation

Objections to the Proposed Settlement of Shareholder Derivative Actions

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal and *by the Defendant or the Company*. This arrangement, we believe, implies that this important date may be set to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who should have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation. Other approaches that can deliver the same results are also deemed acceptable. Our reasons are:

- **The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;**

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, is public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS (worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is the very reason we are having this negotiated Settlement amount of roughly \$300m.

Thus, one can establish that these facts;

- The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;
- The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
- The Proposed Settlement is to provide a remedy to these losses;

Hence,

- The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement. This logical conclusion is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.
- **Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

One can also reasonably conclude that new shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants control the company, shareholders who bought the share on or after April 30th, 2018, should have no reason to doubt the credibility of the threat. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments. As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

- **Setting a Record date post-April 29th may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who bears fiduciary duty but abused it.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that "priced in" the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved to recoup their losses. We suspect this might already have happened. The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this

settlement as the villain, not victims, are compensated.

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a big part of the claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren's insiders while “Renren itself is a nominal Defendant in the Lawsuit” only, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff's Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff's Counsel states. Nonetheless, the words of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

- **It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.**

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions with no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene for fear of safety. It would be ridiculous to assume those who left ceased to become victims, even more so if the court decides in a civil case only those who stay in the crime scene *after the date of the judgement* should be compensated—including the thefts who return. Now, the criminals were to compensate the victims 3 years later in 2021. Wouldn't it be absurd that the actual victims are unable to have the compensation just because they did not stay at the crime scene for three years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for no harm?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on or shortly after April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders for themselves. It was logical to quit being business partners with thefts, hence a lot of shareholders sold. The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding

Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders. That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

• **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US' s capital market.

It is simply wrong to exclude them from the compensation.

• **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We are looking forward to our entitled compensation, as well as true justice in the financial market. May justice be with all of us.

On April 29, 2018, I held 3400 ADSs of Renren. I want to attend the Settlement Hearing.

Yours sincerely

PAN TENG (潘腾)

----- 原始邮件 -----

发件人: "虚心竹傲雪梅" <183656427@qq.com>;
发送时间: 2018年6月7日(星期四) 凌晨0:22
收件人: "yining.lu" <yining.lu@gmail.com>;
主题: RenR的资料

Hi 陆总,

您好!

这个是我参与诉讼的资料, 麻烦发给相关的律师, 感谢!
我的微信号 qq183656427

潘腾

To whom it may concern,

I'm willing to join the lawsuit to protect my holding in RenRen.

Printed name: Pan Teng



Date: 2018/06/06

我愿意参加诉讼以维护我所持有的人人股份。

姓名：潘腾

签名：潘腾

日期：2018/06/06

2018年4月30日前持股人人网股份： ADS 3400

2018年4月30日之后持有人人网股份： ADS 3400

Interactive Brokers Hong Kong Ltd [HK] | https://www.ibkr.com.cn/AccountManagement/AmAuthentication?&action=1&loginType=1

简体中文 潘勇

已实现和未实现的表现总结

代码	费用调整	已实现的					未实现的					总数	代码
		短期利润	短期损失	长期利润	长期损失	总数	短期利润	短期损失	长期利润	长期损失	总数		
AMCN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1.85	0.00	0.00	-1.85	-1.85	
FEDU	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,031.00	0.00	0.00	-1,031.00	-1,031.00	
RENN	0.00	0.00	0.00	0.00	0.00	0.00	39.00	-14,429.88	0.00	0.00	-14,390.88	-14,390.88	
SRNE	0.00	0.00	0.00	0.00	0.00	0.00	24.00	-36.00	0.00	0.00	-12.00	-12.00	
总数	0.00	0.00	0.00	0.00	0.00	0.00	63.00	-15,498.73	0.00	0.00	-15,435.73	-15,435.73	
总计 (全部资产)	0.00	0.00	0.00	0.00	0.00	0.00	63.00	-15,498.73	0.00	0.00	-15,435.73	-15,435.73	

未平仓头寸

代码	数量	多个	成本价格	成本基础	收盘价格	价值	未实现的损益	代码
AMCN	1	1	2.596101	2.60	0.7536	0.75	-1.85	
FEDU	1,000	1	7.2110	7,211.00	6.1800	6,180.00	-1,031.00	SY
RENN	3,400	1	12.9726118	44,106.88	8.7400	29,716.00	-14,390.88	SY
SRNE	400	1	7.4550	2,982.00	7.4250	2,970.00	-12.00	
总数				54,302.48		38,866.75	-15,435.73	

交易

代码

任务栏: 股东信息统计.xlsx, 股东知情书.docx, python-3.6.5.exe

Name	Email address	Home Address	Phone number	ADS/Comm on shares	Number of shares held	Brokerage statement (proving your holding)	Are you willing to join the lawsuit to protect my holding in Renren
姓名	联系邮箱	联系地址	联系电话	持有ADS/普通股	目前持有股数	是否已提供经纪商证明	您是否愿意作为个人股东参与维权诉讼
潘腾	183656427@qq.com	中国北京市朝阳区国粹苑A3 078	18618146378	ADS	3400		是

愿意 ADS
不愿意 普通股

From: panteng@adwo.com
To: info_RenrenSettlement
Cc: 183656427
Subject: Likely Spam: Objections to the Proposed Settlement of Shareholder Derivative Actions(Case No. 653594/2018)
Date: Monday, November 22, 2021 4:48:51 AM
Attachments: [InsertPic_.png](#)
[InsertPic_5C62.png](#)
[InsertPic_300A.png](#)
[InsertPic_D76C.png](#)
[CatchC5A9.jpg](#)

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Dear Sir/Madam:

Case No. 653594/2018

Ref: *In re Renren Inc. Derivative Litigation*

Objections to the Proposed Settlement of Shareholder Derivative Actions

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal and *by the Defendant or the Company*. This arrangement, we believe, implies that this important date may be set to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who should have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation. Other approaches that can deliver the same results are also deemed acceptable.

Our reasons are:

-[if !supportLists]-->•<!--[endif]-->**The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;**

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, is public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out per ADS (worth \$31 or more). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is the very reason we are having this negotiated

Settlement amount of roughly \$300m.

Thus, one can establish that these facts;

-[if !supportLists]-->• <!--[endif]-->The shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets at the date, including the full value of the later carved out OPI;

-[if !supportLists]-->• <!--[endif]-->The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;

-[if !supportLists]-->• <!--[endif]-->The Proposed Settlement is to provide a remedy to these losses;
Hence,

-[if !supportLists]-->• <!--[endif]-->The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement. This logical conclusion is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.

-[if !supportLists]-->• <!--[endif]-->**Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

One can also reasonably conclude that new shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants control the company, shareholders who bought the share on or after April 30th, 2018, should have no reason to doubt the credibility of the threat. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments.

As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

-[if !supportLists]-->• <!--[endif]-->**Setting a Record date post-April 29th may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who bears fiduciary duty but abused it.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that "priced in" the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved to recoup their losses. We suspect this might already have happened. The prospect that the

Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement as the villain, not victims, are compensated.

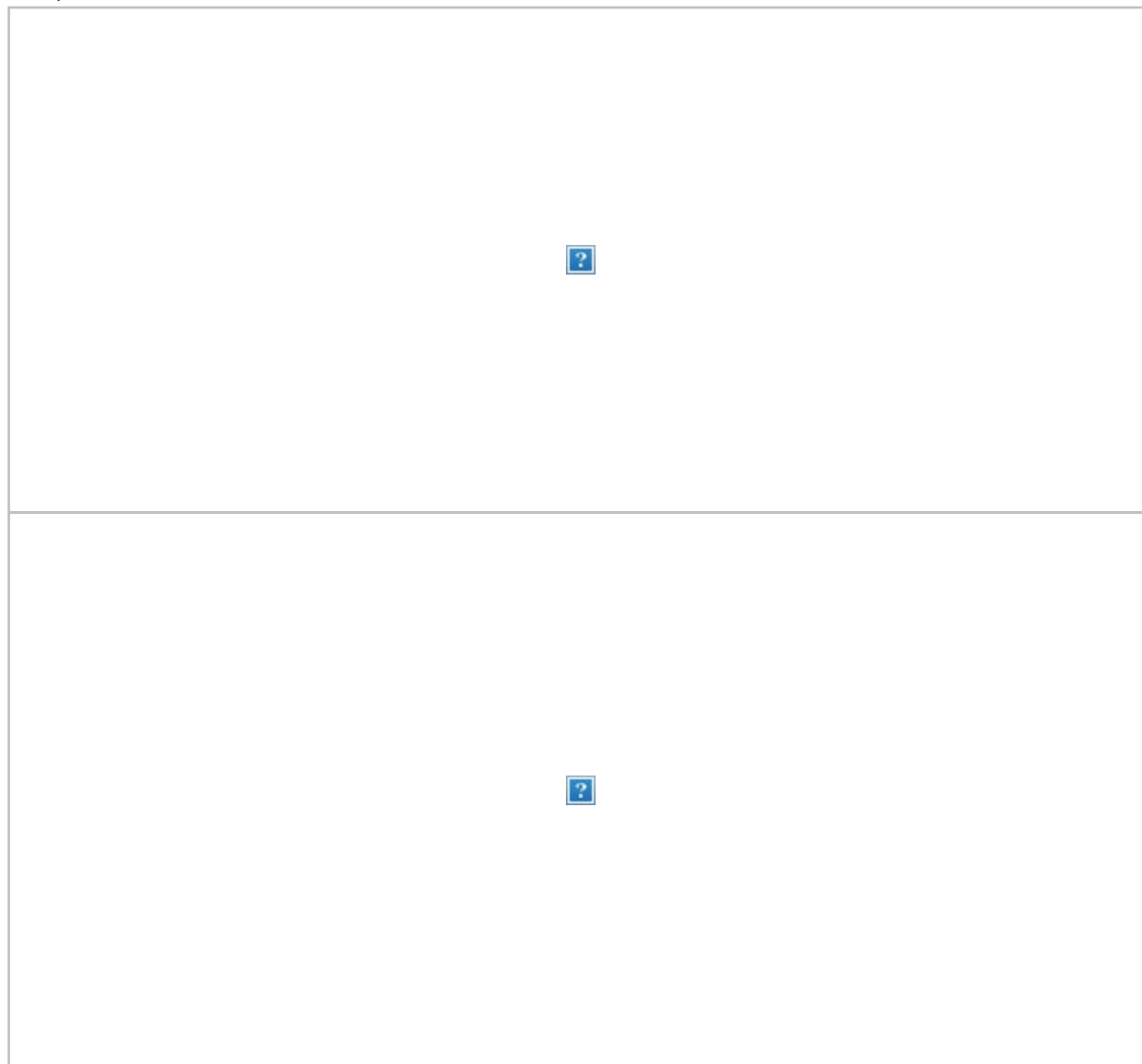


Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

“To receive any funds in the settlement, one would need to be a Renren shareholder as of the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders).”

I am sure a big part of the claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren’ s insiders while “Renren itself is a nominal Defendant in the Lawsuit” only, and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff’ s Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly “belong to Renren itself” as the Plaintiff’ s Counsel states. Nonetheless, the words

of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

-[if !supportLists]-->•<!--[endif]-->**It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.**

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions with no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene for fear of safety. It would be ridiculous to assume those who left ceased to become victims, even more so if the court decides in a civil case only those who stay in the crime scene *after the date of the judgement* should be compensated—including the thefts who return. Now, the criminals were to compensate the victims 3 years later in 2021. Wouldn't it be absurd that the actual victims are unable to have the compensation just because they did not stay at the crime scene for three years long and those who set their foot on the crime scene just now in 2021 (part of current stockholders) may take the compensation for no harm?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the

Defendants became clear on or shortly after April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders for themselves. It was logical to quit being business partners with thefts, hence a lot of shareholders sold. The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

-[if !supportLists]-->•<!--[endif]-->**We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the attorney of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

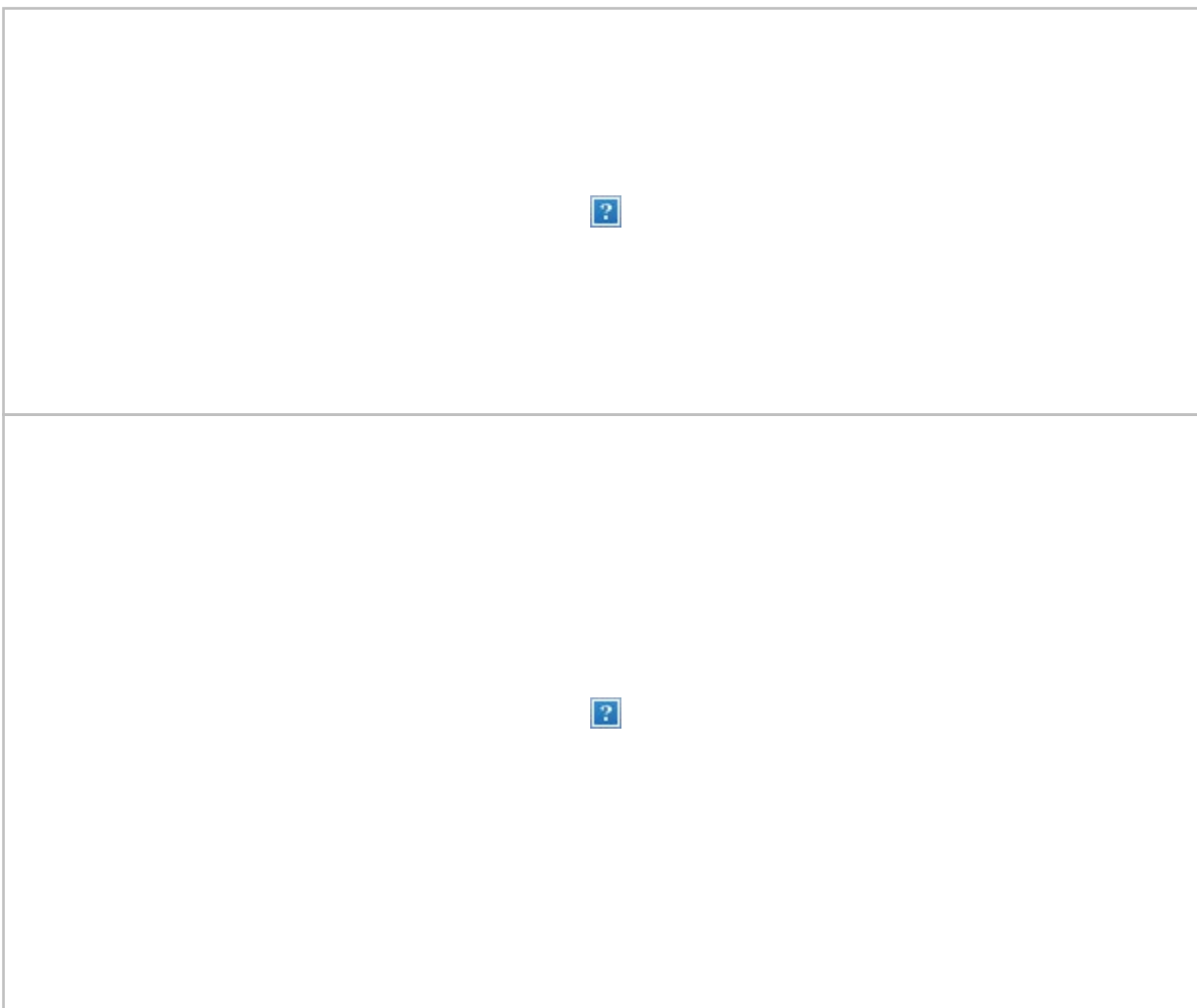


Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US’ s capital market. It is simply wrong to exclude them from the compensation.

Gratefulness to the court, the judge and the lawyers representing the plaintiff

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We are looking forward to our entitled compensation, as well as true justice in the financial market. May justice be with all of us.

On April 29, 2018, I held 3400 ADSs of Renren. I want to attend the Settlement Hearing.

Here is the screenshot of the proof that I joined the lawsuit to protect my holdings in RenRen.



Yours sincerely

潘腾 (PAN TENG)

Cofounder & CTO

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Schwab One® International Account
Account Number: [REDACTED]

Statement Period: April 1, 2018 to April 30, 2018
Page 1 of 3

Last Statement: March 31, 2018

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For Your Information

Important update:

- This new section of your statement will be regularly updated to include important and helpful account information as soon as it's available.
- Thank you for the opportunity to serve you.

Account Value Summary

Cash & Sweep Money Market Funds	\$ 0.00
Total Investments Long	\$ 2,134.86
Total Investments Short	\$ 0.00
Net Loan Balance	\$ (4.61)
Total Account Value	\$ 2,130.25

Total Funds Available: Cash + Margin

Available to Withdraw	\$ 0.00
Securities Buying Power	\$ 0.00

Change in Account Value

Starting Account Value	\$ 1,999.23
Transactions & Income	\$ (4.64)
Income Reinvested	\$ 0.00
Change in Value of Investments	\$ 135.66
Ending Account Value	\$ 2,130.25
Year-to-Date Change in Value Since 1/1/18	\$ (342.60)

Rate Summary

Margin Loan Rates Vary by Balance	6.00% to 9.07%
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Please see "Endnotes For Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® International Account
Account Number: [REDACTED]

Statement Period: April 1, 2018 to April 30, 2018
Page 2 of 3

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(1017-7MAX)



Schwab One® International Account
Account Number: [REDACTED]

Statement Period: April 1, 2018 to April 30, 2018
Page 3 of 3

Investment Detail

Description	Starting Balance	Ending Balance		
Cash				
CASH	0.03	0.00		
Description	Symbol	Quantity	Price	Market Value
Investments				
RENREN INC F (M) UNSPONSORED ADR 1 ADR REPS ORD SHS	RENN	238.0000	8.97000	2,134.86
Less: Net Loan Balance				(4.61)
Total Account Value				2,130.25

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash & Money Market Fund(s) Activity						
04/10	04/10	ADR Pass Thru Fee	RENREN INC F UNSPONSORED ADR: RENN			(4.64)

Endnotes For Your Account

Symbol Endnote Legend

(M) Denotes a security that is marginable. Some mutual fund or ETF investments may not be immediately marginable.

For information on how Schwab pays its representatives, go to <http://www.schwab.com/compensation>.

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活动账单

四月 2, 2018 - 九月 28, 2018

账户信息

名称	WEN HE
账户	
主姓名	Top Capital Partners Limited
账户类型	经纪客户
客户类型	个人
账户能力	保证金
基本货币	USD

净资产值

	March 30, 2018		September 28, 2018		变更	净资产值变更		总数
	总数	多头	空头	总数				
现金	-32,088.45	7.16	-12,546.11	-12,538.95	19,549.50	开始价值		37,037.75
股票	69,384.00	21,254.04	0.00	21,254.04	-48,129.96	按市值计价		3,149.31
应计利息	-123.56	0.00	-32.22	-32.22	91.34	存款和取款		-130,150.00
应计股息	-134.24	0.00	0.00	0.00	134.24	头寸转账		99,055.00
总数	37,037.75	21,261.20	-12,578.33	8,682.87	-28,354.88	利息		-394.38
						应计利息变更		91.34
时间加权的收益率					-24.68%	其他费用		-154.70
						佣金		-85.17
						交易费用		-0.52
						其他外汇换算		134.24
						结束价值		8,682.87

按市值计算的表现总结

代码	数量		价格		按市值计盈亏					代码
	先前	当前	先前	当前	头寸	交易	佣金	其他	总数	
股票										
1810	0	0	--	--	50.97	-16.82	-6.26	0.00	27.89	
BABA	0	129	--	164.7600	-2,349.60	-298.06	-28.36	0.00	-2,676.01	
RENN	8,260	0	8.4000	--	6,519.83	-757.03	-51.08	0.00	5,711.72	
总数 股票					4,221.20	-1,071.91	-85.69	0.00	3,063.60	
外汇										
HKD	0.00	56.08	--	0.12774	0.02	0.00	0.00	0.00	0.02	
USD	-32,088.45	-12,546.11	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
总数 外汇					0.02	0.00	0.00	0.00	0.02	
总计 (全部资产)					4,221.22	-1,071.91	-85.69	0.00	3,063.62	

按市值计算的表现总结

代码	数量		价格		头寸	按市值计盈亏				总数	代码	
	先前	当前	先前	当前		交易	佣金	其他	总数			
支付和收到的经纪商利息											-394.38	
其他费用											-154.70	
账单期间的总损益											2,514.54	

已实现和未实现的表现总结

代码	费用调整	已实现的					未实现的					总数	代码	
		短期利润	短期损失	长期利润	长期损失	总数	短期利润	短期损失	长期利润	长期损失	总数			
股票														
1810	0.00	27.89	0.00	0.00	0.00	27.89	0.00	0.00	0.00	0.00	0.00	0.00	27.89	
BABA	0.00	1,890.54	-3,150.74	0.00	0.00	-1,260.20	0.00	-1,415.81	0.00	0.00	0.00	-1,415.81	-2,676.01	
RENN	0.00	2,492.12	-3,478.57	0.00	0.00	-986.45	0.00	0.00	0.00	0.00	0.00	0.00	-986.45	
总数 股票	0.00	4,410.56	-6,629.31	0.00	0.00	-2,218.76	0.00	-1,415.81	0.00	0.00	0.00	-1,415.81	-3,634.57	
外汇														
HKD	0.00	0.00	0.00	0.00	0.00	0.00	0.02	0.00	0.00	0.00	0.00	0.02	0.02	
总数 外汇	0.00	0.00	0.00	0.00	0.00	0.00	0.02	0.00	0.00	0.00	0.00	0.02	0.02	
总计 (全部资产)	0.00	4,410.56	-6,629.32	0.00	0.00	-2,218.76	0.02	-1,415.81	0.00	0.00	0.00	-1,415.80	-3,634.55	

本月和本年截至现在表现总结

代码	描述	按市值计价		已实现的短期		已实现的长期	
		本月截至当前	本年截至当前	本月截至当前	本年截至当前	本月截至当前	本年截至当前
股票							
1810	XIAOMI CORP-CLASS B	0.00	27.89	0.00	27.89	0.00	0.00
BABA	ALIBABA GROUP HOLDING-SP ADR	-1,671.45	-1,437.89	-1,798.97	-22.08	0.00	0.00
RENN	RENREN INC-ADR	0.00	-13,956.79	0.00	-10,525.15	0.00	0.00
XNET	XUNLEI LTD-ADR	0.00	-2,190.14	0.00	-2,190.14	0.00	0.00
总数 股票		-1,671.45	-17,556.93	-1,798.97	-12,709.48	0.00	0.00
总计 (全部资产)		-1,671.45	-17,556.93	-1,798.97	-12,709.48	0.00	0.00

现金报告

	总数	证券	期货	本月截至当前	本年截至当前
基本货币总结					
现金细节					
期初现金	-32,088.45	-32,088.45	0.00		
佣金	-85.17	-85.17	0.00	-1.94	-391.97
取款	-130,300.00	-130,300.00	0.00	0.00	-130,300.00
账户转账	150.00	150.00	0.00	0.00	179.60
支付和收到的经纪商利息	-394.38	-394.38	0.00	-36.73	-654.72
交易 (卖出)	276,296.08	276,296.08	0.00	17,348.76	565,271.18
交易 (买入)	-125,961.83	-125,961.83	0.00	-17,346.00	-446,970.16

现金报告

	总数	证券	期货	本月截至当前	本年截至当前
其他费用	-154.70	-154.70	0.00	0.00	-154.70
交易费用	-0.52	-0.52	0.00	0.00	-0.52
现金外汇换算收益/损失	0.02	0.02	0.00		
期末现金	-12,538.95	-12,538.95	0.00		
期末已结算现金	-12,538.95	-12,538.95	0.00		

HKD

现金细节

期初现金	0.00	0.00	0.00		
佣金	-45.00	-45.00	0.00	0.00	-45.00
支付和收到的经纪商利息	-2.26	-2.26	0.00	0.00	-2.26
交易(卖出)	3,668.00	3,668.00	0.00	0.00	3,668.00
交易(买入)	-3,400.00	-3,400.00	0.00	0.00	-3,400.00
其他费用	-160.56	-160.56	0.00	0.00	-160.56
交易费用	-4.10	-4.10	0.00	0.00	-4.10
期末现金	56.08	56.08	0.00		
期末已结算现金	56.08	56.08	0.00		

USD

现金细节

期初现金	-32,088.45	-32,088.45	0.00		
佣金	-79.44	-79.44	0.00	-1.94	-386.24
取款	-130,300.00	-130,300.00	0.00	0.00	-130,300.00
账户转账	150.00	150.00	0.00	0.00	179.60
支付和收到的经纪商利息	-394.09	-394.09	0.00	-36.73	-654.43
交易(卖出)	275,828.70	275,828.70	0.00	17,348.76	564,803.80
交易(买入)	-125,528.60	-125,528.60	0.00	-17,346.00	-446,536.93
其他费用	-134.24	-134.24	0.00	0.00	-134.24
期末现金	-12,546.11	-12,546.11	0.00		
期末已结算现金	-12,546.11	-12,546.11	0.00		

未平仓头寸

代码	数量	多个	成本价格	成本基础	收盘价格	价值	未实现的损益	代码
股票								
USD								
BABA	129	1	175.7352972	22,669.85	164.7600	21,254.04	-1,415.81	
总数				22,669.85		21,254.04	-1,415.81	

外汇结余

描述	数量	成本价格	成本基础 USD	收盘价格	价值 USD	未实现盈亏 USD	代码
外汇							
USD							
HKD	56.08	0.12742	-7.15	0.12774	7.16	0.02	
USD	-12,546.11	1.0000	12,546.11	1.0000	-12,546.11	0.00	

外汇结余

总数	12,538.97	-12,538.95	0.02
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净股票头寸总结

代码	描述	在IB的股份	借入的股份	借出的股份	净股份
股票					
USD					
BABA	ALIBABA GROUP HOLDING-SP ADR	129	0	0	129

交易

代码	日期/时间	数量	交易价格	收盘价格	收益	佣金/税	基础	已实现的损益	按市值计算的损益	代码
股票										
HKD										
1810	2018-07-05, 20:25:00	200	17.0000	17.0000	-3,400.00	0.00	3,400.00	0.00	0.00	M;O
1810	2018-07-09, 23:45 50	-200	18.3400	19.0000	3,668.00	-49.10	-3,400.00	218.90	-132.00	C
总数 1810		0			268.00	-49.10	0.00	218.90	-132.00	
总数					268.00	-49.10	0.00	218.90	-132.00	
总数 USD					34.15	-6.26	0.00	27.89	-16.82	
USD										
BABA	2018-04-04, 07:32:00	90	168.4000	172.0700	-15,156.00	-2.99	15,158.99	0.00	330.30	O
BABA	2018-04-04, 11:31 27	-90	170.0000	172.0700	15,300.00	-2.99	-15,158.99	138.02	-186.30	C
BABA	2018-04-25, 09:40 56	100	169.5000	170.2200	-16,950.00	-2.99	16,952.99	0.00	72.00	O
BABA	2018-04-25, 18:46 57	-100	172.6500	170.2200	17,265.00	-2.99	-16,952.99	309.02	243.00	C
BABA	2018-05-21, 09:30 28	-80	198.2000	197.6400	15,856.00	-1.00	-15,848.80	6.20	44.80	C
BABA	2018-05-24, 09:37:00	-80	199.3000	197.3700	15,944.00	-1.00	-15,848.80	94.20	154.40	C;P
BABA	2018-05-25, 11:45:36	-80	199.5000	199.2000	15,960.00	-1.00	-15,848.80	110.20	24.00	C
BABA	2018-05-29, 09:50:38	-80	201.0000	198.0000	16,080.00	-1.00	-15,848.80	230.20	240.00	C
BABA	2018-05-29, 09:55:17	-80	202.0000	198.0000	16,160.00	-1.00	-15,848.80	310.20	320.00	C
BABA	2018-05-29, 12:05:00	80	198.0000	198.0000	-15,840.00	-1.00	15,841.00	0.00	0.00	O
BABA	2018-06-01, 10:05:14	-90	201.0000	204.3400	18,090.00	-1.00	-17,829.90	259.10	-300.60	C
BABA	2018-06-01, 11:16 24	-90	202.8500	204.3400	18,256.50	-1.00	-17,822.10	433.40	-134.10	C
BABA	2018-06-25, 06:17:17	67	198.0000	191.2500	-13,266.00	-1.00	13,267.00	0.00	-452.25	O
BABA	2018-06-25, 09:39:09	60	194.0800	191.2500	-11,644.80	-1.00	11,645.80	0.00	-169.80	O

交易												
BABA	2018-06-25, 09:43:28		70	193.4000	191.2500	-13,538.00	-1.00	13,539.00	0.00		-150.50	O
BABA	2018-06-25, 15:51:14		-67	190.7511	191.2500	12,780.32	-0.54	-13,267.00	-487.21		-33.43	C;L
BABA	2018-06-27, 11:12:32		40	186.5000	185.0200	-7,460.00	-1.00	7,461.00	0.00		-59.20	O
BABA	2018-06-27, 15:51:16		-39	185.4800	185.0200	7,233.72	-0.44	-7,569.77	-336.49		17.94	C;L;P
BABA	2018-06-29, 09:12:49		60	183.5300	185.5300	-11,011.80	-1.00	11,012.80	0.00		120.00	O
BABA	2018-06-29, 15:51:03		-60	184.8600	185.5300	11,091.60	-0.48	-11,619.19	-528.07		-40.20	C;L
BABA	2018-09-04, 07:53:57		100	173.4600	170.4400	-17,346.00	-1.00	17,347.00	0.00		-302.00	O;P
BABA	2018-09-04, 15:46:42		-10	170.1400	170.4400	1,701.40	-0.36	-1,934.14	-233.10		-3.00	C;L
BABA	2018-09-04, 15:50:43		-92	170.0800	170.4400	15,647.36	-0.58	-17,212.65	-1,565.87		-33.12	C;L
总数 BABA			-371			75,153.30	-28.36	-76,385.15	-1,260.20		-298.06	
RENN	2018-04-02, 10:26:00		-375	8.4800	8.2300	3,180.00	-3.00	-3,712.42	-535.42		93.75	C;P
RENN	2018-04-02, 13:03:37		400	8.2900	8.2300	-3,316.00	-3.20	3,319.20	0.00		-24.00	O
RENN	2018-04-03, 10:41:46		-360	8.4300	8.4500	3,034.80	-2.99	-3,558.38	-526.57		-7.20	C;P
RENN	2018-04-04, 10:11:36		-375	8.5000	8.6200	3,187.50	-3.00	-3,711.75	-527.25		-45.00	C
RENN	2018-04-04, 10:32:34		-370	8.5400	8.6200	3,159.80	-2.99	-3,640.82	-484.01		-29.60	C;P
RENN	2018-05-14, 11:08:36		-418	8.9000	8.8500	3,720.20	-2.09	-4,095.16	-377.05		20.90	C;P
RENN	2018-05-15, 10:37:22		-462	8.9500	8.9900	4,134.90	-2.31	-4,497.32	-364.73		-18.48	C;P
RENN	2018-05-15, 10:40:25		-400	9.0000	8.9900	3,600.00	-2.00	-3,867.20	-269.20		4.00	C;P
RENN	2018-05-25, 09:38:28		-400	8.8600	9.1000	3,544.00	-2.00	-3,673.40	-131.40		-96.00	C;P
RENN	2018-05-25, 09:46:01		-360	8.9300	9.1000	3,214.80	-1.80	-3,233.23	-20.23		-61.20	C;P
RENN	2018-05-25, 09:50:05		-500	8.9500	9.1000	4,475.00	-2.50	-4,419.05	53.45		-75.00	C;P
RENN	2018-05-25, 11:24:09		-440	8.9200	9.1000	3,924.80	-2.20	-3,852.67	69.93		-79.20	C;P
RENN	2018-05-25, 13:12:57		-400	8.9700	9.1000	3,588.00	-2.00	-3,514.45	71.55		-52.00	C;P
RENN	2018-05-25, 14:20:07		-500	9.0000	9.1000	4,500.00	-2.50	-4,374.80	122.70		-50.00	C;P
RENN	2018-06-01, 11:25:10		-400	8.9500	8.8500	3,580.00	-2.00	-3,469.60	108.40		40.00	C;P
RENN	2018-06-12, 10:56:07		-500	9.0500	9.0500	4,525.00	-2.50	-4,626.29	-103.79		0.00	C
RENN	2018-06-14, 10:35:41		-400	9.4100	9.7800	3,764.00	-2.00	-3,747.05	14.95		-148.00	C;P
RENN	2018-06-14, 11:50:18		-400	9.5000	9.7800	3,800.00	-2.00	-3,640.62	157.38		-112.00	C;P
RENN	2018-06-14, 12:39:19		-500	9.6500	9.7800	4,825.00	-2.50	-4,478.56	343.94		-65.00	C

交易											
RENN	2018-06-14, 12:43:59		-500	9.6500	9.7800	4,825.00	-2.50	-4,319.37	503.13	-65.00	C
RENN	2018-06-14, 12:56:02		-600	9.8000	9.7800	5,880.00	-3.00	-4,969.23	907.77	12.00	C;P
总数 RENN			-8,260			75,146.80	-51.08	-76,082.17	-986.45	-757.03	
总数						150,300.10	-79.44	-152,467.32	-2,246.65	-1,055.09	

交易费用						
日期/时间	代码	描述	数量	交易价格	总额	代码
股票						
HKD						
2018-07-09, 23:45:50	1810	XIAOMI CORP-CLASS B	-200	18.3400	-4.10	
总数					-4.10	
总数 USD					-0.52	

香港IPO申购活动						
代码	数量	申购金额	预计的费用	总金额	Cash Sweep Amount	
HKD						
1810	1,200	-26,400.00	-266.03	-26,666.03	0.00	
1810	-1,200	26,400.00	266.03	26,666.03	0.00	
总数				0.00	0.00	
总数 USD				0.27	0.00	

转账											
代码	日期	类型	方向	转账公司	转账账户	数量	转账价格	市场价值	已实现的损益	现金金额	代码
股票											
USD											
BABA	2018-05-17	免支付 (FOP)	进	--	74H-000247-2	500	--	99,055.00	0.00	0.00	
总数								99,055.00	0.00	0.00	

费用		
日期	描述	金额
其他费用		
HKD		
2018-06-26	1810 IPO手续费- 六月 2018	-100.00
2018-06-28	IPO申购附加费 - 六月 2018	-2.92
2018-06-29	IPO申购附加费 - 六月 2018	-2.92
2018-07-03	IPO申购附加费 - 七月 2018	-2.92
2018-07-03	IPO申购附加费 - 七月 2018	-2.92
2018-07-03	IPO申购附加费 - 七月 2018	-2.92
2018-07-03	IPO申购附加费 - 七月 2018	-2.92
2018-07-03	IPO申购附加费 - 七月 2018	-2.92
2018-07-04	IPO申购附加费 - 七月 2018	-2.92
2018-07-05	IPO申购附加费 - 七月 2018	-2.92
2018-07-06	1810 IPO经纪商费用- 七月 2018	-34.00
2018-07-06	1810 香港证监会IPO交易税费- 七月 2018	-0.09
2018-07-06	1810 IPO港交所交易所交易费用- 七月 2018	-0.17
2018-07-06	IPO申购附加费 - 七月 2018	-2.92
总数		-160.56
总数 USD		-20.46
USD		
2018-04-10	RENN(263026679) 美国存托凭证 (ADR) 费用 0.02000000 USD 每股	-134.24
总数		-134.24
总数 其他费用 在 USD		-154.70

存款和取款		
日期	描述	金额
USD		
2018-05-29	启动付款 WEN HE	-100,000.00
2018-06-15	启动付款 WEN HE	-30,300.00
2018-06-20	内部转入 I1641670 (150049)	150.00
总数		-130,150.00

金融产品信息

代码	描述	合约编号	证券号码	上市交易所	乘数	类型	代码
股票							
1810	XIAOMI CORP-CLASS B	323608988	KYG9830T1067	SEHK	1	COMMON	
BABA	ALIBABA GROUP HOLDING-SP ADR	166090175	01609W102	NYSE	1	ADR	
RENN	RENREN INC-ADR	263026679	759892201	NYSE	1	ADR	

应计利息	
基本货币总结	
期初应计余额	-123.56
应计利息	-303.04
应计转回	394.38
外汇换算	0.00
期末应计余额	-32.22
HKD	
期初应计余额	0.00
应计利息	-2.26
应计转回	2.26
期末应计余额	0.00
期末应计余额USD	0.00
USD	
期初应计余额	-123.56
应计利息	-302.75
应计转回	394.09
期末应计余额	-32.22

利息		
日期	描述	金额
HKD		
2018-07-05	HKD 借方利息 六月-2018	-0.07
2018-08-03	HKD 借方利息 七月-2018	-2.19
总数		-2.26
总数 USD		-0.29
USD		
2018-04-04	USD 借方利息 三月-2018	-144.47
2018-05-03	USD 借方利息 四月-2018	-12.15
2018-05-03	USD 借方利息 四月-2018	-97.13
2018-06-05	USD 借方利息 五月-2018	-50.98
2018-07-05	USD 借方利息 六月-2018	-16.03
2018-08-03	USD 借方利息 七月-2018	-36.60
2018-09-06	USD 借方利息 八月-2018	-36.73
总数		-394.09
总数 利息 在 USD		-394.38

代码		代码 (继续)	
代码	意思	代码 (继续)	意思 (继续)
A	转让	LD	以“虚售无效亏损”调整
ADR	ADR应计费用	LI	后进先出 (LIFO) 报税基础选择
AEx	股息相关期货自动行权推荐。	LT	长期盈亏
Adj	调整	Lo	直接贷款
Al	分配	M	由经纪人人工输入
Aw	外部交易	MEx	股息相关期货手动行权推荐。
B	自动买入	ML	最大化损失报税基础选项
Bo	直接借入	MLG	最大化长期收益课税基础选择
C	平仓交易	MLL	最大化长期亏损课税基础选择
CD	现金交割	MSG	最大化短期盈利课税基础选择
CP	复合头寸	MSL	最大化短期亏损课税基础选择
Ca	取消的	O	建仓交易
Co	被更正的交易	P	部分执行
Cx	该交易的部分或全部是经纪商为两个经纪商客户双重代理执行的交叉交易	PI	价格改善
ETF	创建/赎回交易所指数基金	Po	应计利息或股息过账
Ep	由到期的头寸导致	Pr	该交易的部分或全部被交易所作为经纪商与经纪商附属机构之间的交叉定单执行，因此被归类为“Principal”而非机构交易
Ex	执行	R	股息再投资
FP	IB充当本次交易小数股部分的做市商	RED	投资人赎回
FPA	IB充当本次交易小数股部分的做市商、整股部分的代理商	RP	IB充当本次交易小数股部分的无风险做市商
G	担保账户部分中的交易	RPA	IB充当本次交易小数股部分的无风险做市商、整股部分的代理商
GEA	Exercise or Assignment resulting from offsetting positions	Re	应计利息或股息转回
HC	最高成本课税基础选择	Ri	退款
HFI	转账至对冲基金的投资	SI	该定单由经纪商请求
HFR	从对冲基金处赎回	SL	特定计税单位课税基础选择
I	内部转账	SO	此定单为您的介绍经纪商请求的定单
IA	该交易是与经纪商附属机构执行	SS	客户指定该笔交易缩短结算周期，因此需以高于现行市场价的价格执行
INV	从投资者处转账的投资	ST	短期盈亏
IPO	本交易作为IPO的一部分被执行且被归为自营交易 (Principal trade) ，IB是该IPO承销团的一员。	T	转账
L	由经纪商决定 (违反保证金要求)	Un	股票赠与未兑现的股份

注释/法律注释

注释

- 大部分证券交易所的交易是在交易日期后的两天进行结算。期权、期货和美国开放式共同基金交易是在交易日期后的一天进行结算。(某些交易所和其他交易类型的结算时间可能会更长或更短。)期末已结算现金反映的是实际结算的现金。
- 初始和维持保证金要求可在交易平台的账户窗口中查看。
- 盈透证券有限责任公司接受来自基金公司的与由盈透证券有限责任公司客户买入和持有的基金股份有关的报酬。该报酬包括，但不限于用基金资产支付的法规12b-1费用。盈透证券有限责任公司收到的交易报酬的来源和金额将在客户提出书面申请后提供。IB可能会与您的财务顾问或介绍经纪商分享来自基金公司的部分报酬
- 数量前有“-”号的表示卖出交易。其他交易为买入交易。
- 如果IB在执行您定单的任何小数股部分时充当做市商，则定单将以执行之时您定单相反方向显示的NBBO (如您买入则为卖价；如您卖出则为买价)执行。如果IB在执行您定单的小数股部分时充当无风险做市商，则IB会以其收到的执行完整一股的价格执行您定单的小数股部分。
- 在部分执行的情况下，佣金以原始定单执行的总量收取。仅在第一个部分执行处显示佣金。
- 以上带有参考注释 (6) 的每笔平仓批次是在每个交易日未选出来针对该行显示的特定开仓买入交易卖出的。
- 交易执行时间以东部时间显示。
- 可在盈透网站中查看适用的商品监管费用，地址：www.interactivebrokers.com/cn/accounts/fees/exchangeAndRegulatoryFees.php。

注释/法律注释

- 借入费率代表的是借入股票的成本以年百分比表示。它用作股票借入合约的现金抵押金额，且独立于任何在贷方现金余额上赚取的利率。同样，贷出费率代表的是借出股票的利润。正费率表示借入者成本/贷出者受益，负费率表示借入者受益/借出者成本。一般来说，难借入股票比一般可用性股票的费率更高。
- 客户抵押品利率指由于出借股票、存入客户账户的抵押品的利率。正利率代表出借人将获益。
- 活动报表中的收盘价为指示价格，可能来自第三方数据。盈透证券不承担第三方提供的价格的准确性。由于存在时差，某些非美国共同基金可能在活动报表生成之后才发布收盘价，而报表上此类基金的收盘价反映的则是前一天的价格。
- 所有市场数据及研究服务均通过全球金融信息服务公司 (Global Financial Information Services) 提供。
- 市场数据由全球金融信息服务公司 (Global Financial Information Services) 提供。您的本地经纪商会代表该公司收取此等数据的费用和税收。请注意，您需承担与此等服务相关的税费。
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法律注释

- 请使用以下联系方式及时向盈透证券和Top Capital Partners Limited报告该报表中或您的账户中任何不准确或有出入的地方。如果您通过电话报告，您需要将该口头信息以书面形式重新确认以维护您的权利，包括证券投资者保护法 (SIPA) 中所规定的权利。

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- 标记有交易所指示码TMBR的交易由盈透作为代理机构通过做市分公司达成，该做市商在交易中充当委托人，能够持有证券的多头或空头头寸，并且可能获得与交易相关的盈利或亏损。
- 所有交易量加权平均价格(VWAP)交易都受到一个第三方数据提供方提供的参考价格基础上的平均价格公式的影响。其他信息及参考价格只要申请都可获得。盈透作为代理，通过一家做市分公司进行交易量加权平均价格(VWAP)交易，该做市商在此类交易中作为委托人，可能有证券的多头或空头仓位并且可能获得与交易有关的盈利或亏损。
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SIPC成员

From: [Yinffett](#)
To: [info_RenrenSettlement](#)
Subject: Statement of Yin Nante
Date: Tuesday, November 23, 2021 1:26:31 AM
Attachments: [Statement of Yin Nante.pdf](#)
[2018.4.30.pdf](#)
[2021.10.21.pdf](#)

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Good morning ,

Attached is my statement and shareholding certificate.I want to attend the Settlement Hearing.

Best Wishes!

Dear Sir/Madam:

Case No. 653594/2018

Ref: *In re Renren Inc. Derivative Litigation*

Objections to the Proposed Settlement of Shareholder Derivative Actions

While we agree with the principles of compensation as manifested in the Proposal of Settlement, our objections lie on the part that leaves the Record Date, which determines the list of shareholders who will receive pro-rata payment from the Settlement Amount, to be set as one *after* the Effective Date of the Proposal *and* by the Defendant or the Company in an opaque way. This arrangement, we believe, implies that this important date may be set in a way that maximises the benefit of the Defendants and to the detriment of many shareholders who have been victims of the actions of Defendant in the first place and who would have been provided with a remedy by this Proposal.

Instead, we urge the court to set the Record Date on April 29th, 2018, namely the date before Defendants' announcement for their illegal carve-out transaction of OPI assets that led to this Settlement. This will ensure that the shareholders who suffered losses have access to compensation.

Our reasons are:

- **The shareholders as of April 29th, 2018, were the true victims of the Defendants' actions;**

Shareholders as of April 29th, 2018, were the rightful owners of all Renren's assets including the portfolio as of April 29th, 2018. One can reasonably conclude that all shareholders who bought the shares in the open market before this date did so with all the assets of the Company in mind, including the value in Renren's investment portfolio (which was later carved out in "OPI"). The existence of the investment portfolio, including the fair value of many of the constituents including SoFi, was public knowledge.

These shareholders fell victim to the Defendants' actions and suffered real losses when the Defendants announced, on April 30th 2018, that they would package the shareholders' investment portfolio (later named OPI), and sell to themselves at an artificially discounted price. The proposed "cash dividends" of \$9.1875 per ADS is far less than the fair value of those assets being carved out (worth \$31 or more per ADS). In other words, the lump sum of \$134.3m of cash dividends is far less than the total market value of at least \$450m of those investment assets. The damage that the carve-out transaction made can be then quantified as more than \$300m. That is what brought us to this Settlement amount of roughly \$300m.

One can establish that:

- The shareholders as of April 29th, 2018, were the rightful owners of all Renren's

assets at the date, including the full value of the later carved out OPI;

- The shareholders as of April 29th, 2018, suffered real losses at the prospect of the Defendants abusing their control of the Company and selling the shareholder's assets to themselves at a hugely discounted price;
- The Proposed Settlement is to provide a remedy to these losses;

Hence,

- The shareholder as of April 29th, 2018, should be the rightful recipients of any payment from the Settlement that seeks a remedy. This is independent of whether they had sold shares after the announcement or if they had held the shares to this day. Their losses would have been realised on April 29th, 2018, had there not been this Derivate Action and proposed Settlement.
- **Shareholders purchased after April 29th, 2018, are logically not victims of Defendants' action;**

New shareholders who bought the share after April 30th, 2018, did so in light of Defendant's public announcement. As the Defendants controlled the company, new shareholders should have no reason to doubt the credibility of the Defendants' plans. That means new shareholders who bought on or after April 30th 2018 should have been aware of the fact that the majority of Renren's investment portfolio (later named OPI) would be carved out without adequate compensation so the ADS shares they bought should be considered not to include these investments.

As there are no related actions from Defendant to further erode shareholder value, we can conclude no more losses from the Defendants' action have occurred. When no losses have happened to the new shareholders after April 30th, 2018, logic provides that no remedy should be provided.

- **Setting a Record date in the future may result in the Defendants receiving compensation. This defies the purpose of this Derivate Action.**

The Proposal of Settlement made it clear that this Derivative Action is not between minority shareholders and the listed company, but between minority shareholders and the controlling shareholders/management who abuses their fiduciary duty.

In light of this, we note that according to the Proposal of Settlement, for example, DCM as one of the defendants bearing a close relationship with the management is not entitled to any compensation out of the USD 300m payment. This is fair.

But if compensation is provided to new shareholders with a record date after April 30th, 2018, or indeed, on any future date after this Settlement, DCM might and will gain from the compensation by simply selling its shareholdings either to related parties or in the latest rally that "priced in" the compensation payment. In fact, all of the Defendants can simply do this *after* the Proposal was approved. All they have to do is to sell their shares to somebody else they know or sell in the rally, and at the same time price out victims even if they want

to return.

We suspect this might already have happened. Renren's listed share price jumped over 280% since the Court placed a junction on OPI's assets, and rallied 44% in a day when the Settlement was announced because the proposal, as it stands, framed *current or future shareholders*, not *victimised* Renren shareholders as beneficiaries. The current market price of Renren's ADS is roughly priced in the full amount of the compensation, making Defendants the biggest beneficiaries of their own payout, despite the painstaking efforts in the Proposal that was designed to prevent it.

The prospect that the Defendants themselves will be among the recipients of their own paid-out would make the current Proposal a flying joke defying the purpose of this settlement.

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	378,688,756	32.5	4
James Jian Liu ⁽⁴⁾	56,710,665	5.2	
Hui Huang ⁽⁵⁾	*	*	
Chuanfu Wang ⁽⁶⁾	*	*	
Tianruo Pu ⁽⁷⁾	*	*	
Yi Yang ⁽⁸⁾	*	*	
Rita Yi ⁽⁸⁾	*	*	
He Li ⁽⁸⁾	*	*	
All directors and executive officers as a group ⁽⁹⁾	448,438,221	37.4	5
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹⁰⁾	405,388,451	38.2	4
DCM and affiliates ⁽¹¹⁾	87,929,865	8.3	
CRCM Institutional Master Fund (BVI), Ltd. ⁽¹²⁾	62,905,470	5.9	
Oasis Investments II Master Fund Ltd. ⁽¹³⁾	59,020,470	5.6	

From 2019 Annual Report of Renren (Form 20-F)

	Ordinary Shares Beneficially Owned		
	Number	% ⁽¹⁾	% of Voting Power ⁽²⁾
Directors and Executive Officers:			
Joseph Chen ⁽³⁾	393,861,016	33.0	4
James Jian Liu ⁽⁴⁾	59,477,400	5.4	
Hui Huang ⁽⁵⁾	*	*	
Chuanfu Wang ⁽⁶⁾	*	*	
Cong Lin ⁽⁷⁾	*	*	
Yi Yang ⁽⁸⁾	*	*	
Rita Yi ⁽⁸⁾	*	*	
He Li ⁽⁸⁾	*	*	
Michael McGowan ⁽⁹⁾	*	*	
All directors and executive officers as a group ⁽¹⁰⁾	469,762,191	38.1	5
Principal Shareholders:			
SoftBank Group Capital Limited and affiliate ⁽¹¹⁾	405,388,451	37.5	4
Oasis Management Company Ltd. ⁽¹²⁾	125,030,430	11.6	

Notes: From 2020 Annual Report of Renren (Form 20-F)

Figure 1 We note DCM may have sold out the majority of its holdings presumably in line with the above strategy. DCM should provide more information to confirm this.

Source: Form 20-F, Renren Inc.

To add to our misgivings, we received a reply from the Plaintiff's Counsel noting that

"To receive any funds in the settlement, one would need to be a Renren shareholder as of

the Record Date (which, as noted above, will be some future date in 2022). That is because the litigation only asserts derivative claims brought on behalf of the company, meaning that the claims actually belong to Renren itself (and are not claims brought for individual shareholders)."

I am sure a part of this claim is a misperception as it is clear from the Proposal that the Defendants of the case are Renren's insiders while "Renren itself is a nominal Defendant in the Lawsuit", and the settlement is to be paid into a Settlement Account by the Defendants and distributed by the Plaintiff or the Plaintiff's Counsel to Renren Shareholders barring Defendants. So, the said claims cannot possibly "belong to Renren itself" as the Plaintiff's Counsel states. Nonetheless, the words of Plaintiff's Counsel added to our misgiving that there is a significant risk for the payment to be misappropriated by insiders setting a Record Date for the Defendants or their associates to be on the receiving end of the payment, thereby recovering their settlement, at the expenses of ordinary shareholders.

This scenario is real and would rub salt into the wounds of many innocent shareholders.

- **It's logical for shareholders who sold Renren ADSs after April 30th, 2018, to still receive compensation, but the Proposal doesn't provide a means to it.**

It's important to note that shareholders who sold Renren stocks after 30th April 2018 are still direct victims of the Defendants' actions for no fault of their own.

As a metaphor, suppose there was a crime in 2018. Lots of people were hurt. Many ran away from the crime scene, after being hurt, for fear of safety. It would be ridiculous to say that those who left were not victims, even more so if the court decides, three years later, only those who stay in the crime scene *after the date of the judgement* are eligible for compensation—including the thefts who dress up and return. Wouldn't it be absurd that the actual victims are unable to get compensation but any passing-bys who happen to be around on the lucky date—again, including the theft themselves—are?

No reasonable victim of a crime would risk his/her life to stay at the crime scene for an uncertain future of justice, and this was exactly why we sold out our shareholding of Renren when the intentions of the Defendants became clear on April 30th, 2018. The act of Defendants was in effect theft—stealing the assets that belonged to all shareholders. It was logical to quit being business partners with thefts, hence a lot of shareholders sold.

The fact speaks for itself that Renren suffered great losses from operations of -US\$79.3m, -US\$57.4m and -US\$27.2m for the years 2018, 2019, and 2020, according to the 2020 Annual Report of Renren (Form 20-F). Moreover, Renren's market cap had been under US\$12m in March 2020 with a share price below \$1 for a long time and almost got delisted. Holding Renren's ADSs after the assets carve-out deal posed a great risk for its shareholders.

That the shareholders decided not to be partners with thefts doesn't mean they weren't victims. They have already been. So we believe we are entitled to this USD 300m compensation even though we have sold out our shareholdings of Renren.

- **We did a lot in the years to fight for justice.**

We set up a Renren shareholder rights protection group with more than 100 members in 2018, through which we provided more than 50 signed documents and certificates of shareholding, representing more than 1 million ADSs collectively, to the Counsels of the plaintiff. Many signed here did what they could, including public protests, helping journalists, reviewing documents and finding facts, organizing litigation.... to bring light to the case in 2018, resulting in one of the largest public outcries in the financial media in recent years. These actions were in no small part one of the reasons for the Defendants to concede to today's settlement.

The screenshots show two articles from the Financial Times. The top article discusses the return of proceeds from an asset sale to Renren shareholders, mentioning SoftBank and SoFi. It quotes Leo Liao, chief investment officer at Prusage Asset Management, who had written to the SEC to complain about the move. The bottom article features a photo of two men, one in a white jacket and one in a blue shirt with a 'J' logo, and quotes Mr. Bian and Mr. Liao regarding shareholder activism and SEC complaints.

Figure 2 One of our signatories actively assisted journalist investigation in 2018

Source: Financial Times, <https://www.ft.com/content/f95ae740-53b9-11e8-b3ee-41e0209208ec>

While some members in this group subsequently sold the shares of Renren, most of them are still here today ready to defend their rights, as well as the integrity of the US's capital market. It is simply wrong to exclude them from the compensation.

- **Gratefulness to the court, the judge and the lawyers representing the plaintiff**

We are truly grateful to the court and the judge for faithfully defending justice and protecting the victims of this financial scandal of Renren. Through www.renrensettlement.com and Settlement Hearing, we might have the opportunity to protect our rights.

We ' ve come a long way. We won't give up now we are so close to justice, and we don't believe the court should approve this Proposal for expedience when it's clearly not in the interests of those who it is supposed to protect. We are looking forward to working with you to bring this to a satisfactory end—not just for entitled compensation, but also for sending a strong signal to all unscrupulous Chinese managers who thought they could abuse the US capital market and steal at will.

May justice be with all of us.

On April 29, 2018, I held 10144 ADSs of Renren. On October 20, 2021, I held 6500 ADSs of Renren. I traded Renren shares several times, but I will hold Renren stock until December 31, 2021 . **I want to attend the Settlement Hearing.**

Yours sincerely

Name: Nante Yin

Address: No. 6-502, floor 16, Hengfeng-Yihai Garden, 129 South Fourth Ring West Road, Beijing, China 100070

Email: yinfett@qq.com

Tel: [86](tel:8615210037420)15210037420

Signature:

Date: 23 NOV 2021



Oct 21, 2021

YIN NANTE

Account Number



Account Type

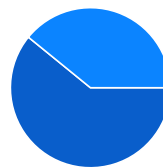
Margin

Currency

USD

Address

北京丰台怡海花园恒丰园16-6-502



Portfolio Value 252,747.00

Cash Balance 162,442.90

NAV 415,189.90

Starting Overview

Symbol	Quantity	Previous Close/Settlement	Market Value
HEP(Holly Energy)	3,600	20.340	73,224.00
NCLH(Norwegian Cruise)	4,278	25.600	109,516.80
PBFX(PBF Logistics)	5,100	14.430	73,593.00
RENN(Renren)	6,500	24.990	162,435.00
Starting Portfolio Value			418,768.80
Starting Cash Balance			0.00
Starting NAV			418,768.80

Transactions

Direction		Order Number	Symbol	Trade Time	Exchange	Quantity	Price	Amount	Change Amount
Stocks									
SELL	Total	77180368	RENN(Renren)			6,500	24.9952	162,469.00	+162,442.90
				10:13:32	BATS	100	25.02	2,502.00	
				10:13:32	ARCA	100	25.02	2,502.00	
				10:13:32	DRCTEDGE	200	25.01	5,002.00	
				10:13:32	ISLAND	100	25.01	2,501.00	
				10:13:32	ARCA	400	25.02	10,008.00	
				10:13:32	ARCA	100	25.01	2,501.00	
				10:13:32	ARCA	100	25.01	2,501.00	
				10:13:32	BATS	100	25	2,500.00	
				10:13:32	ARCA	100	25	2,500.00	
				10:13:32	ARCA	300	25	7,500.00	
				10:13:32	BATS	100	25	2,500.00	
				10:13:32	BATS	100	24.99	2,499.00	
				10:13:32	ARCA	300	24.99	7,497.00	
				10:13:32	ARCA	120	24.99	2,998.80	
				10:13:32	ARCA	20	24.99	499.80	
				10:13:32	ARCA	10	24.99	249.90	
				10:13:32	ARCA	10	24.99	249.90	



10:13:32	ARCA	10	24.99	249.90
10:13:32	ARCA	4,230	24.99	105,707.70

Commission: 5.00
 Clearing Fee: 19.50
 SEC Fee: 0.83
 TAF: 0.77
 Settlement Date: 10/25/2021
 Subtotal: 26.10

Total Transaction Amount: 162,469.00
 Total Transaction Costs: 21.10
 Total Commission: 5.00
 Total Change Amount: +162,442.90

Securities Lending Overview

Date	Symbol	Quantity	Settlement Price	Collateral Amount	Annual Interest Rate	Interest	Month to Date
20211020	RENN(Renren)	48	26.00	1,248.00	0.92%	0.03	0.03
Total Interest:							0.03

Ending Overview

Symbol	Quantity	Closing/Settlement Price	Market Value	Initial Margin Requirements	Maintenance Margin Requirements	Maintenance Margin Rate
HEP(Holly Energy)	3,600	19.760	71,136.00	71,136.00	71,136.00	1.000
NCLH(Norwegian Cruise)	4,278	25.500	109,089.00	81,816.75	65,453.40	0.600
PBFX(PBF Logistics)	5,100	14.220	72,522.00	61,643.70	50,765.40	0.700
Ending Portfolio Value:					252,747.00	
Ending Cash Balance:					162,442.90	
Ending NAV:					415,189.90	
Initial Margin Requirements:					214,596.45	
Available Cash:					200,593.45	
Maintenance Margin Requirements:					187,354.80	

★ NOTES AND IMPORTANT INFORMATION

01. Unless otherwise stated, transactions above are completed by our Company as a nominee.
02. Stamp duty, CCASS stock settlement fee, transaction fee, transaction levy, Securities and Futures Commission fee, handling fee, securities management fee, transfer fee/registration and transfer fee, securities portfolio fee, and transaction activity fee represent the charges by the relevant exchanges, settlement agencies, government and securities regulatory authorities which are collected on their behalf and be returned to the relevant exchanges, clearing houses, government and securities regulatory authorities as required.
03. The stamp duty of the Contract Notes has been/will be paid through the relevant exchanges.
04. Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market.
05. One or more Options Contracts on the same terms as the Client Contracts were executed by Futu Securities International (Hong Kong) Limited ("the Company") which is an Options Trading Exchange Participant on SEHK.
06. This statement is also used as a receipt for the deposits.
07. The Client shall examine each statement and record issued by the Company. Contract notes, transaction confirmations and statements of account shall be conclusive of the matters stated therein (except in the case of manifest error) and shall be deemed to have been accepted by and binding on the Client unless the Company has actually received from the Client notice in writing alleging any omission or error within 2 Business Days after the date of such contract note or transaction confirmation or statement of account. Thereafter, the Client shall not dispute the accuracy of such statement.
08. Please keep this statement for your reference. Please notify our Company at once for any changes on your address or any personal information.
09. The settlement date of the US and Hong Kong securities is two days after the trading day, i.e.T+2.
10. The securities settlement date of the Stock Connect China A-share is on the trading day (the cash settlement date is on the day following the trading day, i.e.T+1).
11. The Pre-IPO Trading transaction will be settled on the second trading day after the listing date of relevant shares.
12. Assets received or held outside Hong Kong may be subjected to the applicable laws and regulations of the relevant overseas jurisdictions. The legislations of the relevant overseas jurisdictions may be different from those in Hong Kong, so the assets received or held outside Hong Kong may not enjoy the same protection as those received or held in Hong Kong.
13. "Re-openable position" are funds that can be traded only based on the circumstances of this account. Please note that if your margin account is a connected account, your actual re-openable position should be the aggregate re-openable position of multiple

Oct 21, 2021

margin accounts.

14. The Company confirms that it has paid / will pay stamp duty to the Stock Exchange of Hong Kong. If the transaction currency is a foreign currency, the stamp duty will be calculated by converting the transaction amount into Hong Kong dollars using the exchange rate prescribed by the Stamp Duty Ordinance (Cap 117).

15. In the event of a default committed by the Company resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time.

16. All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both the Company and the client.

17. (This section applies only to margin clients.) The above securities are held by the Company under the Securities and Futures Ordinance and the Securities and Futures (Client Securities) Rules. You have provided the Company with a standing authority, authorizing the Company to re-pledge the above-mentioned securities held by the Company in accordance with the Securities and Futures (Client Securities) Rules. The Company has re-pledged the above securities held by the Company during the period covered by this statement.

18. Orders marked with an asterisk (*) are the forced liquidation orders initiated by the Company.

19. The company may choose strategies provided by third party upstream brokers to place orders which will be subject to rebates or non-monetary benefits. Orders will be placed based on the client's instructions and it is executed by using the strategies with the best execution conditions.

If you need to make any complaints about the statement of account, please contact our online customer service or call the customer service hotline to refer your case to our complaint handler, or you may also send an email to our complaint officer. (Email: complaint@futu.hk)

Futu Securities International (Hong Kong) Limited

Registered with SFC as a licensed corporation (CE No. AZT137)

Address: Unit C1-2, 13F, United Centre, No. 95 Queensway, Admiralty, HK

Tel: HK(852)2523 3588 Mainland China(86 755)8869 1168

Fax: HK(852)2523 6588 Mainland China(86 755)8663 6388



Apr 30, 2018

YIN NANTE

Account Number

[REDACTED]

Account Type

Margin

Currency

USD

Address

北京丰台怡海花园恒丰园16-6-502



Portfolio Value 90,991.68

Cash Balance 28.40

NAV 91,020.08

Starting Overview

Symbol	Quantity	Previous Close/Settlement	Market Value
RENN(Renren)	10,144	10.230	103,773.12
Starting Portfolio Value			103,773.12
Starting Cash Balance			28.52
Starting NAV			103,801.64

Fund Movement

Direction	Amount	Settlement Date	Order Number	Remarks
Out	-0.12	04/30/2018	410633	Interest for Month

Financing Overview

Financing Amount	Annual Interest Rate	Today's Interest	Month to Date
0.00	4.80%	0.00	-0.12

Ending Overview

Symbol	Quantity	Closing/Settlement Price	Market Value
RENN(Renren)	10,144	8.970	90,991.68
Ending Portfolio Value:			90,991.68
Ending Cash Balance:			28.40
Ending NAV:			91,020.08

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Apr 30, 2018

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